Λ polarization from unpolarized quark fragmentation

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The long-standing problem of explaining the observed polarization of Λ hyperons, inclusively produced in the high-energy collisions of *unpolarized* hadrons, is tackled by considering spin- and \mathbf{k}_{\perp} -dependent quarkfragmentation functions. The data on Λ 's and $\overline{\Lambda}$'s produced in *p*-*N* processes are used to determine simple phenomenological expressions for these new "polarizing fragmentation functions," which describe the experiments remarkably well.

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I. INTRODUCTION

It has been well known for a long time that Λ hyperons produced with $x_F \ge 0.2$ and $p_T \ge 1$ GeV/c in the collision of two unpolarized hadrons, $AB \rightarrow \Lambda^{\uparrow}X$, are polarized perpendicularly to the production plane as allowed by parity invariance; a huge amount of experimental information, for a wide energy range of the unpolarized beams, is available on such single spin asymmetries [1],

$$P_{\Lambda} = \frac{d\sigma^{AB \to \Lambda^{\uparrow}X} - d\sigma^{AB \to \Lambda^{\downarrow}X}}{d\sigma^{AB \to \Lambda^{\uparrow}X} + d\sigma^{AB \to \Lambda^{\downarrow}X}}.$$
 (1)

Similar effects have been observed for several other hyperons but we shall consider here only Λ 's and $\overline{\Lambda}$'s.

Despite the wealth of data and the many years they have been known, no convincing theoretical explanation or understanding of the phenomenon exists [2,3]. The perturbative QCD dynamics forbids any sizable single spin asymmetry at the partonic level [4]; the polarization of hyperons resulting from the strong interaction of unpolarized hadrons must then originate from nonperturbative features, presumably in the hadronization process. A number of models attempting some understanding of the data in this perspective [2–9] only achieve partial explanations.

In the last years other single spin asymmetries observed in $p^{\uparrow}p \rightarrow \pi X$ reactions [10] have attracted a lot of theoretical activity [11–20]; a phenomenological description of such asymmetries appears possible now with the introduction of new distribution [21,11,14,22] and/or fragmentation [12,19,23] functions that are spin- and k_{\perp} -dependent; k_{\perp} denotes either the transverse momentum of a quark inside a nucleon or of a hadron with respect to the fragmenting quark.

In particular, the effect first discussed by Collins [12] that is, the azimuthal angle dependence of the number of hadrons produced in the fragmentation of a transversely polarized quark—has been recently observed [24,25]; were such results confirmed the role of these new fragmentation functions would be of great phenomenological importance.

We consider here an effect similar to that suggested by Collins, namely, a spin and k_{\perp} dependence in the fragmen-

tation of an *unpolarized* quark into a *polarized* hadron: a function describing this mechanism was first introduced in Ref. [23] and denoted by D_{1T}^{\perp} . This function is introduced in a frame defined by two lightlike four-vectors n_+ and n_- , satisfying $n_+ \cdot n_- = 1$, and by the plane transverse to them. The four-momentum P of the outgoing hadron—a Λ hyperon in the present investigation—is in the n_- direction (up to a mass term correction). The function D_{1T}^{\perp} is then defined as (displayed in the $n_+ \cdot A = 0$ gauge)

$$\frac{\epsilon_T^{ij}k_{Ti}S_{Tj}}{M_h}D_{1T}^{\perp}(z,k_{\perp})$$

$$\equiv \sum_X \int \frac{dy^+ d^2 \mathbf{y}_T}{4z(2\pi)^3} e^{ik \cdot y}$$

$$\times \operatorname{Tr}\langle 0|\psi(y)|P,S_T;X\rangle\langle P,S_T;X|\overline{\psi}(0)\gamma^-|0\rangle|_{y^-=0},$$
(2)

where the final state depends on the transverse part (S_T) of the spin vector *S* of the produced Λ only, i.e., one should interpret it as $|P,S_T;X\rangle \equiv (|P,S=S_T;X\rangle - |P,S=-S_T;X\rangle)/2$, such that the contribution from unpolarized fragmentation cancels out. Furthermore, $k_{\perp} = |\mathbf{k}_{\perp}|$ is the modulus of the transverse momentum of the hadron in a frame where the fragmenting quark has no transverse momentum. More details on this type of definition of fragmentation (or decay) functions can be found in Refs. [26,12,23].

In the notations of Ref. [19] a similar function is defined as

$$\Delta^{N} D_{h^{\uparrow}/a}(z, \boldsymbol{k}_{\perp}) \equiv \hat{D}_{h^{\uparrow}/a}(z, \boldsymbol{k}_{\perp}) - \hat{D}_{h^{\downarrow}/a}(z, \boldsymbol{k}_{\perp})$$
$$= \hat{D}_{h^{\uparrow}/a}(z, \boldsymbol{k}_{\perp}) - \hat{D}_{h^{\uparrow}/a}(z, -\boldsymbol{k}_{\perp}), \qquad (3)$$

and denotes the difference between the density numbers $\hat{D}_{h^{\uparrow}/a}(z, \mathbf{k}_{\perp})$ and $\hat{D}_{h^{\downarrow}/a}(z, \mathbf{k}_{\perp})$ of spin 1/2 hadrons *h*, with longitudinal momentum fraction *z*, transverse momentum \mathbf{k}_{\perp} , and transverse polarization \uparrow or \downarrow , inside a jet originated

by the fragmentation of an unpolarized parton *a*. From the above definition it is clear that the k_{\perp} integral of the function vanishes.

The exact relation between D_{1T}^{\perp} and $\Delta^N D_{h^{\uparrow}/a}$ is given by (notice that also D_{1T}^{\perp} should have labels *h* and *a*, which are often omitted):

$$\Delta^{N} D_{h^{\uparrow}/a}(z, \boldsymbol{k}_{\perp}) = 2 \frac{k_{\perp}}{zM_{h}} \sin \phi D_{1T}^{\perp}(z, \boldsymbol{k}_{\perp}), \qquad (4)$$

where ϕ is the angle between \mathbf{k}_{\perp} and the transverse polarization vector of the hadron, which shows that the function $\Delta^N D_{h^{\uparrow/a}}(z, \mathbf{k}_{\perp})$ vanishes in case the transverse momentum and transverse spin are parallel.

In the following we shall refer to $\Delta^N D_{h^{\uparrow}/a}$ and D_{1T}^{\perp} as polarizing fragmentation functions.

In analogy to Collins's suggestion for the fragmentation of a transversely polarized quark we write [12,27]

$$\hat{D}_{h^{\uparrow}/q}(z, \boldsymbol{k}_{\perp}) = \frac{1}{2} \hat{D}_{h/q}(z, \boldsymbol{k}_{\perp}) + \frac{1}{2} \Delta^{N} D_{h^{\uparrow}/q}(z, \boldsymbol{k}_{\perp}) \frac{\hat{\boldsymbol{P}}_{h} \cdot (\boldsymbol{p}_{q} \times \boldsymbol{k}_{\perp})}{|\boldsymbol{p}_{q} \times \boldsymbol{k}_{\perp}|}$$
(5)

for an unpolarized quark with momentum p_q that fragments into a spin 1/2 hadron h with momentum $p_h = zp_q + k_\perp$ and polarization vector along the $\uparrow = \hat{P}_h$ direction; $\hat{D}_{h/q}(z,k_\perp)$ $= \hat{D}_{h^{\uparrow/q}}(z,k_\perp) + \hat{D}_{h^{\downarrow/q}}(z,k_\perp)$ is the k_\perp -dependent unpolarized fragmentation function. Notice that $\hat{P}_h \cdot (p_q \times k_\perp)$ $= p_q \cdot (k_\perp \times \hat{P}_h) \sim \sin \phi$.

A QCD factorization theorem gives for the high-energy and large- p_T process $AB \rightarrow \Lambda^{\uparrow}X$ at leading twist with collinear parton configurations

$$d\sigma^{AB \to \Lambda^{\uparrow} X} = \sum_{a,b,c,d} f_{a/A}(x_a) \otimes f_{b/B}(x_b)$$
$$\otimes d\hat{\sigma}^{ab \to cd} \otimes D_{\Lambda^{\uparrow}/c}(z) \tag{6}$$

and

$$d\sigma^{AB \to \Lambda^{\downarrow} X} = \sum_{a,b,c,d} f_{a/A}(x_a) \otimes f_{b/B}(x_b)$$
$$\otimes d\hat{\sigma}^{ab \to cd} \otimes D_{\Lambda^{\downarrow/c}}(z). \tag{7}$$

Here and in the sequel we shall fix the scattering plane as the x-z plane, with hadron A moving along $+\hat{z}$ and the detected Λ produced in the first x-z quadrant; the \uparrow , \downarrow directions are then respectively $+\hat{y}$ and $-\hat{y}$.

In the absence of intrinsic \mathbf{k}_{\perp} (or rather when integrated over) the fragmentation functions $D_{\Lambda^{\uparrow}/c}(z) = \int d^2 \mathbf{k}_{\perp} \hat{D}_{\Lambda^{\uparrow}/c}(z, \mathbf{k}_{\perp})$ [or $D_{\Lambda^{\downarrow}/c}(z)$] cannot depend on the hadron polarization, so that one has $d\sigma^{\uparrow} = d\sigma^{\downarrow}$, which implies $P_{\Lambda} = 0$.

However, by taking into account intrinsic k_{\perp} in the hadronization process and assuming that the factorization theo-

rem holds also when k_{\perp} 's are included [12], one has, using Eq. (5) instead of $D_{\Lambda/c}(z)$ in Eqs. (1), (6), and (7)

$$\frac{E_{\Lambda}d^{3}\sigma^{AB\to\Lambda X}}{d^{3}p_{\Lambda}}P_{\Lambda}$$

$$= \sum_{a,b,c,d} \int \frac{dx_{a}dx_{b}dz}{\pi z^{2}}d^{2}\mathbf{k}_{\perp}f_{a/A}(x_{a})f_{b/B}(x_{b})$$

$$\times \hat{s}\,\delta(\hat{s}+\hat{t}+\hat{u})\frac{d\hat{\sigma}^{ab\to cd}}{d\hat{t}}(x_{a},x_{b},\mathbf{k}_{\perp})\Delta^{N}D_{\Lambda^{\uparrow}/c}(z,\mathbf{k}_{\perp})$$
(8)

where \hat{s} , \hat{t} , and \hat{u} are the Mandelstam variables for the elementary process: for collinear configurations, $\hat{s} = x_a x_b s$, $\hat{t} = x_a t/z$, and $\hat{u} = x_b u/z$ and the modifications due to intrinsic \mathbf{k}_{\perp} will be taken into account in the numerical evaluations. $E_{\Lambda} d^3 \sigma^{AB \to \Lambda X} / d^3 \mathbf{p}_{\Lambda}$ is the unpolarized cross section

$$\frac{E_{\Lambda}d^{3}\sigma^{AB\to\Lambda X}}{d^{3}p_{\Lambda}} = \sum_{a,b,c,d} \int \frac{dx_{a}dx_{b}dz}{\pi z^{2}} d^{2}\mathbf{k}_{\perp}f_{a/A}(x_{a})f_{b/B}(x_{b}) \\
\times \hat{s}\,\delta(\hat{s}+\hat{t}+\hat{u})\frac{d\hat{\sigma}^{ab\to cd}}{d\hat{t}}(x_{a},x_{b},\mathbf{k}_{\perp})\hat{D}_{\Lambda/c}(z,k_{\perp}).$$
(9)

In Eq. (8) \mathbf{k}_{\perp} is considered only where its absence would lead to zero polarization, that is, leading collinear configurations are assumed for partons *a* and *b* inside unpolarized hadrons *A* and *B*, while transverse motion is considered in the fragmentation process. The final hadron, detected with momentum \mathbf{p}_{Λ} , is generated by the hadronization of a parton *c* whose momentum $\mathbf{p}_{c} = (\mathbf{p}_{\Lambda} - \mathbf{k}_{\perp})/z$ varies with \mathbf{k}_{\perp} ; also the corresponding elementary process $ab \rightarrow cd$ depends on \mathbf{k}_{\perp} .

 P_{Λ} is a function of the hyperon momentum $p_{\Lambda} = p_L + p_T$ and is normally measured in the *AB* c.m. frame as a function of $x_F = 2p_L/\sqrt{s}$ and p_T .

Notice that, in principle, there might be another contribution to the polarization of a final hadron produced at large p_T in the high-energy collision of two unpolarized hadrons; in analogy to Sivers's effect [11,14] one might introduce a new spin- and k_{\perp} -dependent distribution function

$$\Delta^{N} f_{a^{\uparrow}/A}(x_{a}, \mathbf{k}_{\perp a}) \equiv \hat{f}_{a^{\uparrow}/A}(x_{a}, \mathbf{k}_{\perp a}) - \hat{f}_{a^{\downarrow}/A}(x_{a}, \mathbf{k}_{\perp a})$$
$$= \hat{f}_{a^{\uparrow}/A}(x_{a}, \mathbf{k}_{\perp a}) - \hat{f}_{a^{\uparrow}/A}(x_{a}, -\mathbf{k}_{\perp a}),$$
(10)

i.e., the difference between the density numbers $\hat{f}_{a^{\uparrow}/A}(x_a, \mathbf{k}_{\perp a})$ and $\hat{f}_{a^{\downarrow}/A}(x_a, \mathbf{k}_{\perp a})$ of partons *a*, with longitu-

dinal momentum fraction x_a , transverse momentum $\mathbf{k}_{\perp a}$, and *transverse polarization* \uparrow or \downarrow , inside an *unpolarized* hadron A.

This idea was first applied to unpolarized leptoproduction [22] and to single spin asymmetries in pp^{\uparrow} scattering [28]; the corresponding function, related to $\Delta^N f_{a^{\uparrow}/A}(x_a, \mathbf{k}_{\perp a})$, was denoted by h_1^{\perp} . In the present case of transversely polarized Λ production this function would enter the cross-section accompanied by the transversity fragmentation function $H_1(z)$ (or $\Delta D_{h^{\uparrow}/a^{\uparrow}}$). We shall not consider this contribution here, not only because of the problems concerning $\Delta^N f_{a^{\uparrow}/A}(x_a, \mathbf{k}_{\perp a})$ discussed below, but also because the experimental evidence that the hyperon polarization is somewhat independent of the nature of the hadronic target suggests that the mechanism responsible for the polarization is in the hadronization process.¹ A clean test of this should come from a measurement of P_{Λ} in unpolarized deep inelastic scattering (DIS) processes, $lp \rightarrow \Lambda^{\uparrow}X$ [30].

The \mathbf{k}_{\perp} -dependent functions considered in Refs. [11,14,19,22,12,23] $(\Delta^N f_{a/A^{\uparrow}}, \Delta^N f_{a^{\uparrow}/A}, \Delta^N D_{h/a^{\uparrow}}, \text{ and } \Delta^N D_{h^{\uparrow}a}$ or, respectively, $f_{1T}^{\perp}, h_{\perp}^{\perp}, H_{\perp}^{\perp}$, and D_{1T}^{\perp} have the common feature that the transverse momentum direction is correlated with the direction of the transverse spin of either a quark or a hadron, via a sin ϕ dependence, as can be seen from Eq. (2), for example. The reason for considering these functions is that this "handedness" of the transverse momentum compared to the transverse spin is displayed by the single spin asymmetry data in, for instance, $pp^{\uparrow} \rightarrow \pi X$ and $pp \rightarrow \Lambda^{\uparrow} X$. However, the problem of using such functions is that naively they appear to be absent due to time reversal invariance. This conclusion would be valid if the hadronic state appearing in the definition of such functions is treated as a plane-wave state. One can then show that the functions are odd under the application of time reversal invariance, whereas hermiticity requires them to be even. If, however, initial- or final-state interactions are present, then time reversal symmetry will not prevent the appearance of nonzero (naively) T-odd functions. In the case of a state like $|P_h, S_h; X\rangle$, final-state interactions are certainly present and nonzero fragmentation functions $\Delta^N D_{h/a^{\uparrow}}$ and $\Delta^N D_{h^{\uparrow}/a}$ are expected. However, for distribution functions this issue poses severe problems and since we will only consider fragmentation functions here, we refer to Refs. [12,14,22] for more detailed discussions on this topic.

The main difference between the function $\Delta^N D_{h/a^{\uparrow}}$ as originally proposed by Collins and the function under present investigation $\Delta^N D_{h^{\uparrow}/a}$ is that the former is a socalled chiral-odd function, which means that it couples quarks with left- and right-handed chiralities, whereas the latter function is chiral even. Since the perturbative (pQCD) interactions conserve chirality, chiral-odd functions must always be accompanied by a mass term or appear in pairs. Both options restrict the accessibility of such functions and make them harder to be determined separately. On the other hand, the chiral-even fragmentation function can simply occur accompanied by the unpolarized (chiral-even) distribution functions, which are the best determined quantities, allowing for a much cleaner extraction of the fragmentation function itself. Moreover, since chiral-even functions can appear in charged current mediated processes (as opposed to chiral-odd functions), more methods of extraction are available [31].

As it was studied in Ref. [32] the Collins function H_1^{\perp} (or $\Delta^N D_{h/a^{\uparrow}}$) satisfies a sum rule arising from momentum conservation in the transverse directions. The same holds for the other \mathbf{k}_{\perp} -odd, *T*-odd fragmentation function D_{1T}^{\perp} [33],

$$\sum_{h} \int dz \int dk_{\perp}^{2} \frac{k_{\perp}^{2}}{zM_{h}} D_{1T}^{\perp}(z,k_{\perp}) = 0, \qquad (11)$$

or in terms of the function $\Delta^N D_{h^{\uparrow}/a}$,

$$\sum_{h} C_{h} M_{h} \equiv \sum_{h} \int dz \int d^{2} \mathbf{k}_{\perp} k_{\perp} \sin \phi \Delta^{N} D_{h^{\uparrow}/a}(z, \mathbf{k}_{\perp}) = 0,$$
(12)

which is equivalent to Eq. (11) via Eq. (4).

Notice that the above sum rule can be written as

$$\sum_{h} \int dz \int d^2 \mathbf{k}_{\perp} \mathbf{k}_{\perp} \hat{D}_{h^{\uparrow}/a}(z, \mathbf{k}_{\perp}) = 0, \qquad (13)$$

and the same holds independently for $\hat{D}_{h\downarrow/a}(z, \mathbf{k}_{\perp})$. Equation (13) has a clear nontrivial physical meaning: for each polarization direction (\uparrow or \downarrow) the total transverse momentum carried by spin 1/2 hadrons² is zero.

The sum over hadrons prevents a straightforward application of the sum rule to the case of Λ production alone. It cannot be used as a constraint on the parametrization of the function to be fitted to the data. However, we note that the integral $C_h M_h$ for each hadron type h is the same function of the energy scale (implicit in all expressions) apart from as yet unknown normalization. In this sense it closely resembles the tensor charge. In other words, the running of the functions are the same for any type of hadron and there is no mixing with other functions. The ratios for different types of hadrons are constants, which allow for checks of consistency between sets of data obtained at different energies, without the need for evolution. These constants are universal, if indeed the *T*-odd fragmentation functions are universal. This universality is the main point of interest here: one wants to see if Λ polarization from unpolarized quark fragmentation is independent of the initial state, as is implicit when writing down the factorized cross sections Eqs. (8) and (9). At the

¹This is not in contradiction with the observed spin transfer (D_{NN}) in $pp^{\uparrow} \rightarrow \Lambda^{\uparrow}X$ [29], which in the factorized approach can be described in terms of the ordinary transversity distribution and fragmentation functions, rather than in terms of $\Delta^{N}D_{h^{\uparrow}/a}$.

²Strictly speaking, the sum over h is over all hadrons that carry transverse polarization, which might be true also for higher spin hadrons.

present time, this cannot be verified due to lack of data but some predictions can be given [30] that will allow tests of this universality.

At present it is unclear up to what extent is factorization in Eqs. (8) and (9) valid and how large nonfactorizable contributions could be in the kinematical range of the available data. However, extension of factorization theorems with inclusion of k_{\perp} is a natural ansatz [12] and further corrections might be small for ratios of cross sections. We are attempting a description of Λ polarization in unpolarized hadronic processes, based on perturbative QCD factorized elementary dynamics and nonperturbative spin- and k_{\perp} -dependent fragmentation functions: even qualitative agreement could be considered as substantial progress and as a starting point for further refinements and developments. Use of the same methods and the same results obtained here for protonnucleon processes to predict Λ polarization in other reactions will provide a clear and crucial test of our approach: it will reveal whether or not we have taken into account the main source of the observed polarization.

We only consider the quark fragmenting into a Λ and not into other hyperons like the Σ^0 . The latter actually produces a significant amount (20-30%) of the Λ 's via the decay $\Sigma^0 \rightarrow \Lambda \gamma$. The reason we do not introduce a separate Σ^0 fragmentation function at this stage is that the factorized approach by itself does not address such a separation (it is about a generic spin-1/2 hadron of type h), unless one introduces some additional input like a model based on SU(3) or unless one applies it to separate sets of data for each hyperon (which are not available yet) [34]. Apart from that, for each quark flavor such a Σ^0 fragmentation function would evolve in the same way as the Λ fragmentation function, which implies that their relative fractions stay constant. In this way we can view the Λ fragmentation function as an effective fragmentation function that includes the contamination due to Σ^{0} 's. Indeed, the fragmentation functions we shall use in the next section have been obtained from fits to inclusive Λ productions in e^+e^- processes independently of their origin. In this respect the sum over h that appears in Eqs. (11)–(13)should exclude hadrons already taken into account in the effective Λ polarizing fragmentation function.

At a later stage, one might make the distinction that the Σ^0 fragmentation is a different fraction of the effective Λ fragmentation function for different quark flavors. One can insert all this information with hindsight and correct for it, but the present approach cannot be used to acquire this information unless the data could clearly distinguish the Λ 's coming from Σ^0 's. Our approach of using an effective Λ fragmentation function would be more problematic if the Σ^0 would decay into final states other than $\Lambda \gamma$ (where the branching ratio happens to be 100%): then only a part of the total Σ^0 fragmentation function would be included into the effective Λ fragmentation function and this would be energy dependent.

We shall now consider both Λ and $\overline{\Lambda}$ production and attempt a determination of the polarizing fragmentation functions $\Delta^N D_{\Lambda^{\uparrow/q}}$ by comparing results for P_{Λ} and $P_{\overline{\Lambda}}$ from Eqs. (8) and (9) with data from [35–39].

II. NUMERICAL FITS OF DATA ON P_{Λ} FROM $pN \rightarrow \Lambda X$ PROCESSES

Equation (8) for proton-nucleon processes can be rewritten as

$$\frac{E_{\Lambda}d^{3}\sigma^{pN\to\Lambda X}}{d^{3}\boldsymbol{p}_{\Lambda}}P_{\Lambda}$$

$$=\sum_{a,b,c,d}\int_{(+k_{\perp})}d^{2}\boldsymbol{k}_{\perp}\left[\int_{z_{\min}}^{1}dz\int_{x_{a\min}}^{1}dx_{a}\frac{1}{\pi z}\frac{\bar{x}_{b}^{2}s}{(-t\Phi_{t})}\right]$$

$$\times f_{a/p}(x_{a})f_{b/N}(\bar{x}_{b})\frac{d\hat{\sigma}^{ab\to cd}}{d\hat{t}}(x_{a},\bar{x}_{b},\boldsymbol{k}_{\perp})$$

$$-\{\boldsymbol{k}_{\perp}\to-\boldsymbol{k}_{\perp}\}\left]\Delta^{N}D_{\Lambda^{\dagger/c}}(z,\boldsymbol{k}_{\perp}), \qquad (14)$$

which deserves several comments and some explanation of notations.

In deriving Eq. (14) from Eq. (8) we have used the fact that $\Delta^N D_{\Lambda^{\uparrow/c}}(z, \mathbf{k}_{\perp})$, Eq. (3), is an odd function of \mathbf{k}_{\perp} ; the $(+k_{\perp})$ integration region of \mathbf{k}_{\perp} runs over one half plane of its components.

The x_b integration has been performed by exploiting the $\delta(\hat{s} + \hat{t} + \hat{u})$ function; the resulting value of x_b is given by

$$\bar{x}_b = -\frac{x_a t \Phi_t}{x_a z s + u \Phi_u},\tag{15}$$

where Φ_t and Φ_u are defined below.

 \mathbf{k}_{\perp} could have any direction in the plane perpendicular to \mathbf{p}_c ; however, due to parity conservation in the hadronization process, Eq. (5), the only \mathbf{k}_{\perp} component contributing to the polarizing fragmentation function is that perpendicular to \mathbf{P}_{Λ} , i.e., the component lying in the production plane, the *x*-*z* plane in our conventions. To simplify the kinematics we shall then consider only the leading contributions of \mathbf{k}_{\perp} vectors in the *x*-*z* plane.

s, t, and u are the Mandelstam variables for the $pN \rightarrow \Lambda X$ process; in the simple planar configuration discussed above (p_c and k_{\perp} both lying in the x-z production plane) they are related to the corresponding variables for the elementary processes by

$$\hat{s} = 2p_a \cdot p_b = x_a x_b s,$$

$$\hat{t} = -2p_a \cdot p_c = (x_a/z) t \Phi_t(\pm k_\perp),$$

$$\hat{u} = -2p_b \cdot p_c = (x_b/z) u \Phi_u(\pm k_\perp),$$
(16)

with

$$\Phi_{t}(\pm k_{\perp}) = g(k_{\perp}) \left\{ t \mp 2k_{\perp} \frac{\sqrt{stu}}{t+u} - [1 - g(k_{\perp})] \frac{t-u}{2} \right\}$$
(17)

$$u\Phi_{u}(\pm k_{\perp}) = g(k_{\perp}) \left\{ u \pm 2k_{\perp} \frac{\sqrt{stu}}{t+u} + [1-g(k_{\perp})] \frac{t-u}{2} \right\}$$
(18)

where $g(k_{\perp}) = \sqrt{1 - (k_{\perp}/p_{\Lambda})^2}$ and where $\pm k_{\perp}$ refer respectively to the configuration in which k_{\perp} points to the left or to the right of p_c . Up to the leading order in k_{\perp}/p_{Λ} one has

$$\Phi_t(k_\perp) = 1 - \frac{k_\perp}{p_\Lambda} \sqrt{\frac{u}{t}} \quad \Phi_u(k_\perp) = 1 + \frac{k_\perp}{p_\Lambda} \sqrt{\frac{t}{u}}.$$
 (19)

The lower integration limits in Eq. (14) are given by

$$x_{a \min} = -\frac{u\Phi_u(\pm k_\perp)}{zs + t\Phi_t(\pm k_\perp)},$$

$$z \ge -\frac{t\Phi_t(\pm k_\perp) + u\Phi_u(\pm k_\perp)}{s}.$$
 (20)

Notice that the integration limits are slightly different for $+k_{\perp}$ and $-k_{\perp}$; when replacing k_{\perp} with $-k_{\perp}$ inside the square bracket of Eq. (14), one should not forget to change accordingly also the *z* and x_a integration limits and the value of \bar{x}_b Eq. (15), although the results are only marginally affected by this.

Equation (14) can be schematically written as

$$d\sigma^{pN\to\Lambda X} P_{\Lambda}$$

$$= d\sigma^{pN\to\Lambda^{\uparrow}X} - d\sigma^{pN\to\Lambda^{\downarrow}X}$$

$$= \sum_{a,b,c,d} f_{a/p}(x_{a}) \otimes f_{b/N}(x_{b}) \otimes [d\hat{\sigma}^{ab\to cd}(x_{a},x_{b},\mathbf{k}_{\perp})$$

$$- d\hat{\sigma}^{ab\to cd}(x_{a},x_{b},-\mathbf{k}_{\perp})] \otimes \Delta^{N} D_{\Lambda^{\uparrow}/c}(z,\mathbf{k}_{\perp}), \quad (21)$$

which shows clearly that P_{Λ} is a higher twist effect, despite the fact that the polarizing fragmentation function $\Delta^N D_{h^{\uparrow}/a}$ is a leading twist function: this is due to the difference in the square brackets $[d\hat{\sigma}(+\mathbf{k}_{\perp}) - d\hat{\sigma}(-\mathbf{k}_{\perp})] \sim k_{\perp}/p_T$ similar to what happens for the single spin asymmetries in $p^{\uparrow}p \rightarrow \pi X$ [14,19].

In the computation of the unpolarized cross section $E_{\Lambda}d^3\sigma^{pN\to\Lambda X}/d^3p_{\Lambda}$ intrinsic-transverse motion is significant only in limited phase space regions: we have checked that the values obtained in most of the kinematical regions of available data do not vary whether or not we take into account \mathbf{k}_{\perp} . Notice that when taking into account \mathbf{k}_{\perp} , the expression for $E_{\Lambda}d^3\sigma^{pN\to\Lambda X}/d^3p_{\Lambda}$ is the same as Eq. (14) with the – inside the square brackets replaced by a + and $\Delta^N D_{\Lambda^{\uparrow/c}}(z,\mathbf{k}_{\perp})$ replaced by $\hat{D}_{\Lambda/c}(z,\mathbf{k}_{\perp})$.

When computing the cross sections for scattering off nuclei $pA \rightarrow \Lambda^{\uparrow} X$ for which plenty of data are available, we have adopted the most simple incoherent sum neglecting nuclear effects. That is, for the scattering off a nucleus with *A* nucleons and *Z* protons we use

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We have checked that different ways of taking into account nuclear effects leave results for P_{Λ} —a ratio of cross sections—almost unchanged. The partonic distribution functions in a neutron are obtained from the usual proton distribution functions by applying isospin invariance.

Equation (14) holds for any spin 1/2 baryon; we shall use it also for $\overline{\Lambda}$'s using charge conjugation invariance to obtain $\overline{q} \rightarrow \overline{\Lambda}$ fragmentation properties from $q \rightarrow \Lambda$ properties, which implies $D_{\overline{\Lambda}/\overline{q}} = D_{\Lambda/q}$ and $\Delta^N D_{\overline{\Lambda}^{\dagger}/\overline{q}} = \Delta^N D_{\Lambda^{\dagger}/q}$.

We now use Eq. (14) in order to see whether or not it can reproduce the data and in order to obtain information on the new polarizing fragmentation functions. To do so we introduce a simple parametrization for these functions and fix the parameters by fitting the existing data on P_{Λ} and $P_{\bar{\Lambda}}$ [35– 39].

We assume that $\Delta^N D_{\Lambda^{\uparrow/c}}(z, \mathbf{k}_{\perp})$ is strongly peaked around an average value \mathbf{k}^0_{\perp} lying in the production plane, so that we can expect

$$\int_{(+k_{\perp})} d^2 \mathbf{k}_{\perp} \Delta^N D_{\Lambda^{\uparrow/c}}(z, \mathbf{k}_{\perp}) F(\mathbf{k}_{\perp}) \simeq \Delta_0^N D_{\Lambda^{\uparrow/c}}(z, \mathbf{k}_{\perp}^0) F(\mathbf{k}_{\perp}^0).$$
(23)

Consistently, since in this case $F(\mathbf{k}_{\perp})$ depends weakly on \mathbf{k}_{\perp} when $k_{\perp} \ll p_T$, in the computation of the unpolarized cross section we use

$$\int_{(+k_{\perp})} d^2 \mathbf{k}_{\perp} \hat{D}_{\Lambda/c}(z, k_{\perp}) F(\mathbf{k}_{\perp}) \simeq \frac{1}{2} D_{\Lambda/c}(z) F(\mathbf{k}_{\perp}^0).$$
(24)

The average k_{\perp}^{0} value depends on z and we parametrize this dependence in a most natural way,

$$\frac{k_{\perp}^{0}(z)}{M} = K z^{a} (1-z)^{b}, \qquad (25)$$

where *M* is a momentum scale (M=1 GeV/c); in performing the fit we demand that *K*, *a* and *b* are constrained so that they satisfy the kinematical bound $p_q^2 = (p_{\Lambda}^2 - k_{\perp}^2)/z^2 \ge p_{\Lambda}^2$, from which $k_{\perp}^2 \le (1-z^2)p_{\Lambda}^2$ and

$$k_{\perp}^{0}(z) \leq (p_{\Lambda})_{\min} \sqrt{1-z} \simeq (1 \text{ GeV}/c) \sqrt{1-z}, \qquad (26)$$

which implies $a \ge 0$ and $b \ge 0.5$. The values of *K*, *a*, and *b* resulting from our best fit will have a clear physical meaning.

We parametrize $\Delta_0^N D_{\Lambda^{\dagger/c}}(z, k_{\perp}^0)$ in a similar simple form: we know that it has to be zero when $k_{\perp}=0$ and z=1; in addition, the positivity of the fragmentation functions, Eq. (5), requires, at any k_{\perp} value, $|\Delta^N D_{h^{\dagger/q}}(z, k_{\perp})| \leq \hat{D}_{h/q}(z, k_{\perp})$. However, according to Eqs. (23) and (24) and to take into account the mentioned [see comment after Eq. (20)] difference of the integration regions $(+k_{\perp})$ and $(-k_{\perp})$, which is significant at the boundaries of the kinematical ranges (when $p_T \simeq k_{\perp}$ and when $p_T \simeq p_T \max$), we prefer to impose the more stringent bound $|\Delta_0^N D_{\Lambda^{\dagger/c}}(z, k_{\perp}^0)| \leq D_{\Lambda/c}(z)/2$. Following Ref. [40], this is automatically satisfied by taking

$$d\sigma^{pA\to\Lambda X} = Zd\sigma^{pp\to\Lambda X} + (A-Z)d\sigma^{pn\to\Lambda X}.$$
 (22)

$$\begin{split} \Delta_{0}^{N} D_{\Lambda^{\uparrow/q}}(z,k_{\perp}^{0}) \\ &= N_{q}^{\prime} \frac{k_{\perp}^{0}(z)}{M} \Biggl[\frac{z^{\alpha_{q}}(1-z)^{\beta_{q}}}{c_{q}^{c_{q}} d_{q}^{d_{q}/(c_{q}+d_{q})^{c_{q}+d_{q}}} \Biggr] \frac{D_{\Lambda/q}(z)}{2} \\ &= N_{q}^{\prime} K \frac{z^{c_{q}}(1-z)^{d_{q}}}{c_{q}^{c_{q}} d_{q}^{d_{q}/(c_{q}+d_{q})^{c_{q}+d_{q}}} \frac{D_{\Lambda/q}(z)}{2} \\ &\equiv N_{q} z^{c_{q}}(1-z)^{d_{q}} \frac{D_{\Lambda/q}(z)}{2}, \end{split}$$
(27)

where we have used Eq. (25), and we require $c_q = a + \alpha_q$ >0, $d_q = b + \beta_q > 0$, and $|N'_a| K \le 1$.

We are now almost fully equipped to compute P_{Λ} and $P_{\overline{\Lambda}}$; let us briefly discuss the remaining quantities appearing in Eq. (14).

We sum over all possible elementary interactions computed at lowest order in pQCD. The polarizing fragmentation functions—parametrized as in Eq. (27)—are supposed to be nonvanishing only for Λ valence quarks, u, d, and s; similarly for $\overline{\Lambda}$ valence antiquarks \overline{u} , \overline{d} , and \overline{s} . All contributions to the unpolarized fragmentation functions—from quarks, antiquarks, and gluons—are taken into account.

We adopt the unpolarized distribution functions of Martin-Roberts-Stirling-Thorne [41]. We have explicitly checked that a different choice makes no difference in our conclusions. We fix the QCD hard scale of distribution (and fragmentation) functions at 2 $(\text{GeV}/c)^2$, corresponding to an average value $p_T \approx 1.5 \text{ GeV}/c$. Since the range of p_T values of the data is rather limited, no evolution effect would be visible anyway.

We use the set of unpolarized fragmentation functions of Ref. [42], which allows a separate determination of $D_{\Lambda/q}$ and $D_{\overline{\Lambda}/q}$, and which includes Λ 's both directly and indirectly produced; it also differentiates between the *s* quark contribution and the *u* and *d* contributions: the nonstrange fragmentation functions $D_{\Lambda/u} = D_{\Lambda/d}$ are suppressed by an SU(3) symmetry breaking factor $\lambda = 0.07$ as compared to $D_{\Lambda/s}$. In our parametrization of $\Delta_0^N D_{\Lambda^{1/q}}(z, k_{\perp}^0)$, Eq. (27), we use the same $D_{\Lambda/q}$ as given in Ref. [42] keeping the same parameters α_q and β_q (c_q and d_q) for all quark flavors but allowing for different values of $N_u = N_d$ and N_s .

We can now use pQCD dynamics together with the chosen distribution and fragmentation functions and the parametrized expressions of the polarizing fragmentation functions in Eq. (14) to compute P_{Λ} and $P_{\overline{\Lambda}}$; by comparing with data we obtain the best fit values of the parameters K, a, b, N_u $=N_d$, N_s , c_q , and d_q introduced in Eqs. (25) and (27). Notice that we remain with seven free parameters, c_q and d_q being the same for all flavors.

Our best fit results [χ^2 /degree of freedom (DOF)=1.57], taking into account all data [35–39], are shown in Figs. 1–5. They correspond to the best fit parameter values

$$K = 0.69, \quad a = 0.36, \quad b = 0.53,$$
 (28)

$$N_u = -4.30, \quad N_s = 1.13, \quad c_q = 6.58, \quad d_q = 0.67.$$
 (29)



FIG. 1. Our best fit to P_{Λ} data from *p-Be* reactions as a function of p_T and for different x_F bins as indicated in the figure. Only some of the bins are shown, see Fig. 2 for complementary bins. The experimental results [37–39] are collected at two different c.m. energies, $\sqrt{s} \approx 82$ GeV and $\sqrt{s} \approx 116$ GeV. For each x_F -bin, the corresponding theoretical curve is evaluated at the mean x_F value in the bin, and at $\sqrt{s} = 80$ GeV; the results change very little with the energy. See the text for further details.

Let us comment in some details on our results.

In Figs. 1 and 2 we present our best fits to P_{Λ} as a function of p_T for different x_F values as indicated in the figures: the famous approximately flat p_T dependence, for p_T greater than 1 GeV/c, is well reproduced. Such a behavior, as expected, does not continue indefinitely with p_T and we have explicitly checked that at larger values of p_T the values of P_{Λ} drop to zero: the shape of such a decrease, contrary to what happens in the p_T region of the data shown here, strongly depends on the assumptions about the nuclear corrections. It may be interesting to note that this falloff has not yet been observed experimentally, but is expected to be seen in the near-future BNL Relativistic Heavy Ion Collider data.



FIG. 2. Our best fit to P_{Λ} data from *p-Be* reactions as a function of p_T and for different x_F bins as indicated in the figure. Only some of the bins are shown, see Fig. 1 for complementary bins. The experimental results [37–39] are collected at two different c.m. energies, $\sqrt{s} \approx 82$ GeV and $\sqrt{s} \approx 116$ GeV. For each x_F -bin, the corresponding theoretical curve is evaluated at the mean x_F value in the bin and at $\sqrt{s} \approx 80$ GeV; the results change very little with the energy. See the text for further details.



FIG. 3. P_{Λ} data for *p*-*Be* reactions as a function of x_F and for different p_T bins as indicated in the figure. The data are collected at two different c.m. energies, $\sqrt{s} \approx 82$ GeV and $\sqrt{s} \approx 116$ GeV [37–39]. The two theoretical curves, evaluated at $\sqrt{s} = 80$ GeV, correspond to $p_T = 1.5$ GeV/*c* (solid) and $p_T = 3$ GeV/*c* (dot-dashed).

Also the increase of $|P_{\Lambda}|$ with x_F at fixed p_T values can be well described as shown in Fig. 3; the two curves correspond to $p_T=1.5$ GeV/c (solid) and $p_T=3$ GeV/c (dashed-dotted).

The best fits of Figs. 1 and 2 are compared to *p-Be* data [36–39], these are collected at two different energies in the *p-Be* c.m. frame, $\sqrt{s} \approx 82$ GeV [36–38] and $\sqrt{s} \approx 116$ GeV [39]. Our calculations are performed at $\sqrt{s} = 80$ GeV; we have explicitly checked that by varying the energy between 80 and 120 GeV, our results for P_{Λ} vary in the kinematical range considered here at most by 10%, in agreement with the observed energy independence of the data.

Some data from *p*-*p* collisions are also available; in Ref. [35] a linear fit to $P_{\Lambda}(x_F)$ is performed collecting all data with $p_T \ge 0.96$ GeV/*c* for an average value $\langle p_T \rangle$



FIG. 4. Experimental results for P_{Λ} in *p*-*p* reactions as a function of x_F from Ref. [36]. All data with $p_T \ge 0.96$ GeV/*c* are collected and $\langle p_T \rangle = 1.1$ GeV/*c*. Also shown is a linear fit to the data taken from Ref. [36] (central line); the upper and lower dot-dashed lines show the corresponding fit error band. The solid curve shows the theoretical computation at $p_T = 1.1$ GeV/*c* with all parameters fixed as in Eqs. (28) and (29).



FIG. 5. Our best fit to $P_{\overline{\Lambda}}$ data from *p-Be* reactions as a function of p_T and for different x_F bins as indicated in the figure. The experimental results [36,38] are collected at the c.m. energies \sqrt{s} ≈ 82 GeV. For each x_F -bin, the corresponding theoretical curve is evaluated at the mean x_F value in the bin and at $\sqrt{s} = 80$ GeV; the results change very little with the energy.

=1.1 GeV/c. In Fig. 4 we show these data and the linear fit (central line), the upper and lower lines show the fit error band. The solid line is our computation at p_T =1.1 GeV/c with all parameters fixed as in Eqs. (28) and (29); our fit reproduces the data with good accuracy.

In Fig. 5 we show our best fit results for $P_{\overline{\Lambda}}$ as a function of p_T for different x_F values, as indicated in the figure: in this case all data [36,38] are compatible with zero, with large errors, and the measured x_F range is not as wide as for P_{Λ} as expected from the lack of overlapping between $\overline{\Lambda}$ and nucleon valence quarks.

The resulting values of the parameters, Eqs. (28) and (29), are very realistic; notice, in particular, that *b* essentially reaches its kinematical limit 0.5 and the whole function (25) giving the average k_{\perp} value of a Λ inside a jet turns out to be very reasonable.

Mostly u and d quarks contribute to P_{Λ} , resulting in a



FIG. 6. Plot of $|\Delta_0^N D_{\Lambda^{\dagger}/u}| (= |\Delta_0^N D_{\Lambda^{\dagger}/d}|)$ and $\Delta_0^N D_{\Lambda^{\dagger}/s}$ as given by Eq. (27) with the best fit parameters (28) and (29). For comparison we also show the unpolarized fragmentation functions $D_{\Lambda/u} (= D_{\Lambda/d})$ and $D_{\Lambda/s}$ [42].

negative value of N_u ; instead, u, d, and s quarks all contribute significantly to $P_{\bar{\Lambda}}$ and opposite signs for N_u and N_s are found, inducing cancellations.

In Fig. 6 we plot $|\Delta_0^N D_{\Lambda^{\dagger}/u,d}|$ and $\Delta_0^N D_{\Lambda^{\dagger}/s}$ as given by Eq. (27) with the best fit parameters (28) and (29). We show, for comparison, also $D_{\Lambda/u,d}$ and $D_{\Lambda/s}$: notice how a tiny value of the polarizing fragmentation functions in a limited large *z* region is enough to allow a good description of the data. This also shows that taking into account only valence quark contributions to $\Delta^N D_{\Lambda^{\dagger}/q}$, as we have done, is a justified assumption.

A different set of unpolarized fragmentation functions can be found in the literature [43]: it holds for the quark fragmentation into $\Lambda + \overline{\Lambda}$ and gives $D_{(\Lambda + \overline{\Lambda})/q}$ rather than a separate expression of $D_{\Lambda/q}$ and $D_{\overline{\Lambda}/q}$; it would be appropriate to compute the $\Lambda + \overline{\Lambda}$ single spin asymmetry,

$$P_{\Lambda+\bar{\Lambda}} = \frac{d\sigma^{\Lambda^{\uparrow}} + d\sigma^{\bar{\Lambda}^{\uparrow}} - d\sigma^{\Lambda^{\downarrow}} - d\sigma^{\bar{\Lambda}^{\downarrow}}}{d\sigma^{\Lambda^{\uparrow}} + d\sigma^{\bar{\Lambda}^{\uparrow}} + d\sigma^{\bar{\Lambda}^{\downarrow}} + d\sigma^{\bar{\Lambda}^{\downarrow}}} = \left(P_{\Lambda} + \frac{d\sigma^{\bar{\Lambda}}}{d\sigma^{\Lambda}}P_{\bar{\Lambda}}\right) \left(1 + \frac{d\sigma^{\bar{\Lambda}}}{d\sigma^{\Lambda}}\right)^{-1}.$$
 (30)

However, since one knows from experiments on *p*-*N* reactions that in the kinematical range of interest $d\sigma^{\bar{\Lambda}} \ll d\sigma^{\Lambda}$ (and this is confirmed in our scheme, simply due to the dominance of *q* over \bar{q} in a nucleon), one can safely assume

$$P_{\Lambda+\bar{\Lambda}} \simeq P_{\Lambda} \,. \tag{31}$$

This set—differently from the one of Ref. [42]—assumes SU(3) symmetry and takes $D_{\Lambda/u} = D_{\Lambda/d} = D_{\Lambda/s}$.

We have also computed P_{Λ} with this second set of fragmentation functions; as in the previous case we have parametrized $\Delta^N D_{\Lambda^{\uparrow/q}}$ according to Eq. (27) with the same values of c_q and d_q for each flavor but different values of $N_{u,d}$ and N_s . We can equally well (χ^2 /DOF=1.85) fit the data on P_{Λ} obtaining fits almost indistinguishable from those of Figs. 1–4, with the best fit values

$$K = 0.66, \quad a = 0.37, \quad b = 0.50,$$
 (32)

$$N_u = -28.13, \quad N_s = 57.53, \quad c_q = 11.64, \quad d_q = 1.23.$$
 (33)

Notice that also in this case of SU(3) symmetric fragmentation functions $D_{\Lambda/q}$, and using only data on P_{Λ} , one reaches similar conclusions about the polarizing fragmentation functions $\Delta^N D_{\Lambda^{\dagger/q}}$: $N_{u,d} \neq N_s$ and not only is there a difference in magnitude, but once more one finds negative values for $\Delta_0^N D_{\Lambda^{\dagger/u},d}$ and positive ones for $\Delta_0^N D_{\Lambda^{\dagger/s}}$. This seems to be a well-established general trend. Plots analogous to those of Fig. 6, would show also in this case, Eqs. (27) and (33), $\Delta_0^N D_{\Lambda^{\dagger/s}} > |\Delta_0^N D_{\Lambda^{\dagger/u},d}|$.

Very recently, new sets of quark and antiquark fragmentation functions into a Λ based on a bag model calculation and a fit to e^+e^- data have been published [44]. Both a SU(3) flavor symmetric and a SU(3) symmetry breaking set are available, although at a rather too low energy scale $(\mu^2 = 0.25 \text{ GeV}^2)$. Nevertheless, we have also tried using these sets in our scheme to fit the data on P_{Λ} and $P_{\overline{\Lambda}}$: once more we can fit the data better with the symmetric than with the asymmetric set, and again negative $\Delta_0^N D_{\Lambda^{\uparrow}/u,d}$ and positive $\Delta_0^N D_{\Lambda^{\uparrow}/s}$ are found with $\Delta_0^N D_{\Lambda^{\uparrow}/s} > |\Delta_0^N D_{\Lambda^{\uparrow}/u,d}|$.

III. CONCLUSIONS

A phenomenological approach has been developed towards a consistent explanation and predictions of transverse single spin effects in processes with inclusively produced hadrons; we work in a kinematical region where pQCD and the factorization scheme can be used, but p_T is not much larger than intrinsic k_{\perp} so that higher twist contributions are still important. This applies to several processes for which data are available, like $p^{\uparrow}p \rightarrow \pi X$ [16,19] and $pN \rightarrow \Lambda^{\uparrow}X$. The single spin effect originates in the fragmentation process, either of a transversely polarized quark into an unpolarized hadron—Collins's effect [12]—or of an unpolarized quark into a transversely polarized hadron—the polarizing fragmentation functions [23]. Single spin effects in quark distribution functions [11] have also been discussed [14,17].

We have considered here the well-known and longstanding problem of the polarization of Λ hyperons, produced at large p_T in the collision of two unpolarized hadrons. We have assumed a generalized factorization scheme, with the inclusion of intrinsic transverse motion, with pQCD dynamics; the new spin- and \mathbf{k}_{\perp} -dependent polarizing fragmentation functions $\Delta^N D_{\Lambda^{\uparrow}/q}$ have been parametrized in a simple way and data on $p Be \rightarrow \Lambda^{\uparrow} X$, $p Be \rightarrow \overline{\Lambda}^{\uparrow} X$ and $pp \rightarrow \Lambda^{\uparrow} X$ have been used to determine the values of the parameters that give a best fit to the experimental measurements.

The data can be described with remarkable accuracy in all their features: the large negative values of the Λ polarization, the increase of its magnitude with x_F , the puzzling flat $p_T \gtrsim 1$ GeV/*c* dependence, and the \sqrt{s} independence; data from *p*-*p* processes are in agreement with data from *p*-*Be* interactions and also the tiny or zero values of $\overline{\Lambda}$ polarization are well reproduced. The resulting functions $\Delta^N D_{\Lambda^{\uparrow/q}}$ are very reasonable and realistic.

Different sets of unpolarized fragmentation functions either SU(3) symmetric or not—lead to very similar conclusions about these new polarizing fragmentation functions describing the hadronization process of an unpolarized quark into a polarized Λ : they have opposite signs for u and dquarks as compared with s quarks and their magnitudes are larger for s quarks. They are sizeable with respect to the unpolarized fragmentation functions only in limited z regions, yet they can describe the experiments remarkably well.

These polarizing fragmentation functions have a partonic interpretation and a formal definition, Eq. (2); they are free from the ambiguities related to initial-state interactions that might affect analogous distribution functions and we expect them to be universal, process-independent functions. Our parametrization of $\Delta^N D_{\Lambda^{\dagger}/q}$ should allow us to give a prediction for Λ polarization in other processes; a study of $lp \rightarrow \Lambda^{\dagger}X$, $lp \rightarrow l' \Lambda^{\dagger}X$ and $e^+e^- \rightarrow \Lambda^{\dagger}X$ is in progress [30].

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