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Abstract: One- and two-stage anaerobic digestion of food waste aimed at recovering CH<sub>4</sub> and H<sub>2</sub> + CH<sub>4</sub>, respectively, were compared in order to assess the potential benefits from the two-stage process in terms of overall energy recovery. The results obtained suggest that a two-stage process where the first reactor is properly operated in order to achieve a significant net H<sub>2</sub> production, may display a 20 % comparatively higher energy recovery yield as a result, mainly, of enhanced methane production as well as of the associated H<sub>2</sub> production. The highest CH<sub>4</sub> production of the two-stage process, observed despite the recovery of H<sub>2</sub> may in principle represent a potential substrate depletion for the methanogenic stage, was due to improved hydrolysis and fermentation of food waste, with increased amounts of volatile fatty acids being readily available to methanogenesis.

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Dear Editor,

Please find enclosed the manuscript entitled "Energy recovery from one- and two-stage anaerobic digestion of food waste".

In the present work one- and two-stage anaerobic digestion (AD) of food waste aimed at recovering  $\text{CH}_4$  and  $\text{H}_2 + \text{CH}_4$ , respectively, were compared in order to assess the potential benefits from the two-phase process in terms of overall energy recovery. The issue has been debated extensively, and advantages/drawbacks of both systems have been considered and evaluated by several authors. However, it became again topical in recent years as a result of the interest aroused by the possibility of producing bio-hydrogen from organic substrates during the fermentation phase of AD. Hydrogen recovery through dark fermentation of organic substrates is not yet considered both technically reliable and commercially attractive. Assessing the increased overall energy recovery and, in particular, also higher  $\text{CH}_4$  yields of two-stage AD systems could greatly contribute to the affirmation of fermentative hydrogen production as a viable process. Few studies are available that provide ultimate answers about the advantages of AD operated in two distinct phases and even fewer, in particular, provide a comparison between one- and two-stage AD where the latter is contextualized and focused on the possibility of combining the recovery of both  $\text{H}_2$  and  $\text{CH}_4$  from a complex substrate such as food waste. For these reasons, the Authors think that the paper provides useful results and gives a contribute on the issue, therefore it should be considered for publishing. Since the paper addresses an issue of renewed scientific and technical interest, it is appealing to an audience either scientific or belonging to the company world.

The manuscript has been checked by a native tongue speaker with expertise in the field.

Authors are available as reviewers for at least three other articles for WM during the current year.

Thank you for your consideration of this manuscript. Authors hope that this work will be appreciated by your readers.

Best regards

Aldo Muntoni

1 Title page

2 ENERGY RECOVERY FROM ONE- AND TWO-STAGE ANAEROBIC

3 DIGESTION OF FOOD WASTE

4

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23 **ABSTRACT**

24 One- and two-stage anaerobic digestion of food waste aimed at recovering CH<sub>4</sub> and H<sub>2</sub> + CH<sub>4</sub>,  
25 respectively, were compared in order to assess the potential benefits from the two-stage process in  
26 terms of overall energy recovery. The results obtained suggest that a two-stage process where the  
27 first reactor is properly operated in order to achieve a significant net H<sub>2</sub> production, may display a  
28 20 % comparatively higher energy recovery yield as a result, mainly, of enhanced methane  
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30 process, observed despite the recovery of H<sub>2</sub> may in principle represent a potential substrate  
31 depletion for the methanogenic stage, was due to improved hydrolysis and fermentation of food  
32 waste, with increased amounts of volatile fatty acids being readily available to methanogenesis.

33  
34 *KEYWORDS: anaerobic digestion, one-stage, two-stage, hydrogen, methane*

35  
36 **1. INTRODUCTION<sup>1</sup>**

37 In current applications of anaerobic digestion (AD) systems, organic matter is converted into a

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<sup>1</sup> 1S-AD: one-stage anaerobic digestion system  
2S-AD: two-stage anaerobic digestion system  
AD: anaerobic digestion  
AS: activated sludge  
CSTR: continuous stirred tank reactor  
DOC: dissolved organic carbon  
FW: food waste  
G<sub>max</sub>: maximum gas yield  
ISR: inoculum to substrate ratio  
MS: methanogenic sludge  
OBS<sub>H<sub>2</sub></sub>: observed H<sub>2</sub> production  
R<sub>max</sub>: maximum gas production rate  
SER: specific energy recovery  
SHP: specific hydrogen production  
SMP: specific methane production  
t<sub>95</sub>: time required to attain 95 % of the maximum biogas yield  
TAN: total ammonia nitrogen  
THEO<sub>H<sub>2</sub></sub>: theoretical H<sub>2</sub> production  
TOC: total organic carbon  
TS: total solids  
VFAs: volatile fatty acids  
VS: volatile solids  
λ: lag phase duration

38 mixture of gaseous compounds, mainly CH<sub>4</sub> and CO<sub>2</sub>, via acid fermentation and volatile fatty acids  
39 (VFAs) degradation, and through the activity of two groups of microorganisms: acid-forming and  
40 methane-forming biomass, respectively (Zhang et al., 2016). In a single-reactor system, namely one-  
41 stage anaerobic digestion (1S-AD), those microorganisms are kept together in a balance which is  
42 delicate because both groups differ widely in terms of physiology, nutritional needs, growth kinetics  
43 and sensitivity to environmental conditions (Demirel and Yenigün, 2002). By way of example, the  
44 pH prevailing in 1S-AD systems (between 7 and 8) does not provide optimal growth conditions for  
45 acidifying hydrolytic bacteria, leading to insufficient hydrolysis/fermentation rates (especially for  
46 slowly degradable lignocellulosic substrates) and, in turn, diminished biogas production  
47 (Giovannini et al., 2016). Considering these aspects, Pohland and Ghosh (1971) proposed the two-  
48 stage AD system (2S-AD) where organic matter hydrolysis and its fermentation to acids are  
49 physically separated from the methane production process.

50 Since then, the comparison of the performances of 1S- and 2S-AD has been debated extensively,  
51 and advantages/drawbacks of both systems have been considered and evaluated by several authors  
52 (Demirel and Yenigün, 2002; Reith et al., 2003; Han and Shin, 2004; Liu et al., 2006; Gómez et al.,  
53 2006, 2009; Ueno et al., 2007; Cooney et al., 2007; Chu et al., 2008; Thompson, 2008; Dong et al.,  
54 2011).

55 In 2S-AD systems, the physical separation of the reactors guarantees appropriate environments for  
56 the acidogenic and the methanogenic biomass, thus optimizing specific metabolic activities and  
57 methane generation (Schievano et al., 2014). Moreover, the first acidogenic reactor may act as a  
58 buffer against pH drops caused by potential accumulation of VFAs hindering methanogenic  
59 microorganisms. As a consequence, better process reliability, resilience, stability, as well as higher  
60 substrate removal and conversion efficiency are anticipated for 2S-AD systems.

61 Nevertheless, 1S-AD is a well-established system for the treatment of organic waste, characterized  
62 by a simple set-up and relatively limited investment and operating costs, and as a matter of fact

63 most of the full-scale digestion plants in Europe (90 % of the installed AD capacity) are designed  
64 and operated as one-stage systems (Rapport et al., 2012). A major drawback in such cases is that the  
65 produced biogas is frequently reported to display a poor quality in terms of its calorific value  
66 (Zhang et al., 2015; Sunyoto et al., 2016).

67 The issue of operating AD in the 2S configuration has become again topical in recent years as a  
68 result of the interest aroused by the possibility of producing bio-hydrogen from organic substrates  
69 through dark fermentation (Lee and Chung, 2010; Dong et al., 2011; De Gioannis et al., 2013;  
70 Cappai et al., 2014). Indeed, under appropriate operating conditions, facultative or strict anaerobic  
71 microorganisms are able to convert organic substrates into bio-H<sub>2</sub> through fermentation; the H<sub>2</sub>  
72 produced is recoverable, provided that a harsh environment for hydrogenophilic methanogens is  
73 guaranteed. In addition to H<sub>2</sub> and CO<sub>2</sub>, which are the most abundant gaseous products, a mix of  
74 volatile fatty acids (VFAs) and reduced end products including alcohols is generated as well, which  
75 are suitable for further valorization through methanogenesis. This can be accomplished through a  
76 variety of potential alternatives, differing for the type of process applied and/or the characteristics  
77 of the resulting product. Hydrogen has the highest energy content of any known fuel, and sequential  
78 H<sub>2</sub> and CH<sub>4</sub> production is, from a theoretical point of view, energetically more favourable than 1S-  
79 AD (Dong et al., 2009); from a practical point of view, the two gas streams may be valued  
80 individually, or mixed to form a hydrogen-enriched biogas (namely biohythane) characterized by an  
81 improved quality for gas engines applications (Porpatham et al., 2007). However, H<sub>2</sub> recovery  
82 through dark fermentation of organic substrates is not yet considered both technically reliable and  
83 commercially attractive. Assessing the increased overall energy recovery and, in particular, also  
84 higher CH<sub>4</sub> yields of 2S-AD systems could greatly contribute to the affirmation of fermentative  
85 hydrogen production as a viable process.

86 Few studies are available that provide ultimate answers about the advantages of AD operated in two  
87 distinct phases (Aslanzadeh et al., 2014); even fewer, in particular, provide a comparison between

88 1S- and 2S-AD where the latter is contextualized and focused on the possibility of combining the  
89 recovery of both H<sub>2</sub> and CH<sub>4</sub> from a complex substrate such as food waste (FW). Voelklein et al.  
90 (2016) operated a two-stage anaerobic CSTR observing a methane yield from FW ranging between  
91 371 and 419 NI CH<sub>4</sub>/kg VS, 23 % higher than from the one-stage process; no data on H<sub>2</sub> production  
92 were observed because, as reported by the authors, the goal was to optimize the acidification  
93 process and maximize methane yield rather than to produce H<sub>2</sub>. Grimberg et al. (2015) achieved a  
94 methane production yield from FW of 446 NI CH<sub>4</sub>/kg VS<sub>removed</sub> in a two-stage CSTR-based process,  
95 fairly higher than the yield of 380 NI CH<sub>4</sub>/kg VS<sub>removed</sub> observed in a one-stage process (no available  
96 data about H<sub>2</sub> production were provided). Aslanzadeh et al. (2014) evaluated the effects of organic  
97 loading rate and hydraulic retention time on CH<sub>4</sub> production in one- and two-stage systems treating  
98 municipal FW: a maximum methane production of 380 NI CH<sub>4</sub>/kg VS was obtained in the two-stage  
99 process versus a maximum of 330 NI CH<sub>4</sub>/kg VS observed in the one-stage. Nathao et al. (2013)  
100 compared the performance of one- and two-stage mesophilic AD of FW in batch reactors at varying  
101 ratios of feedstock to microbial inoculum (F/M), observing yields of 55 NI H<sub>2</sub>/kg VS and 94 NI  
102 CH<sub>4</sub>/kg VS at F/M ratios of 7.5 in the two-stage process, to be compared with a CH<sub>4</sub> yield of 82  
103 NI/kg VS attained in the one-stage system. Interesting economic considerations were derived by Lee  
104 and Chung (2010) who managed a two-stage pilot-scale process treating FW, connected to a fuel  
105 cell. When single CH<sub>4</sub> and combined H<sub>2</sub> + CH<sub>4</sub> production were compared, negligible differences in  
106 the production costs were estimated, whilst a gain of 12-25 % in terms of overall energy production  
107 was observed for the two-stage system.

108 The objective of the present study was to compare 1S- and 2S-AD of a complex substrate (FW)  
109 aimed at recovering CH<sub>4</sub> and H<sub>2</sub> + CH<sub>4</sub>, respectively. Batch tests were performed under mesophilic  
110 conditions, the performances in terms of H<sub>2</sub> and CH<sub>4</sub> yields and volatile solids removal efficiency  
111 were evaluated, and the overall energy recoverable from the two AD systems was estimated.

112

## 113 **2. MATERIALS AND METHODS**

### 114 **2.1 Substrate and inocula**

115 Due to general heterogeneity of municipal FW, a standardized FW was used for the present study to  
116 allow repeatable and directly comparable experiments. FW was prepared by mixing (on a wet  
117 weight basis) 10 % of meat, 65 % of fruit and vegetables, 10 % of bread and 15 % of cooked pasta.  
118 Due to their tendency to rapid degradation, FW samples were purposely prepared for each  
119 experiment by mixing the individual components and shredding the obtained mixture with a blender  
120 to a final particle size below 2 cm.

121 Activated sludge (AS) from the aerobic unit of a municipal wastewater treatment plant was used as  
122 the inoculum in the first phase of the 2S-AD test, without performing any specific treatment to  
123 inhibit methanogens, as suggested by the results presented in Cappai et al. (2014).

124 Methanogenic sludge (MS), collected from the anaerobic digester of a municipal solid waste  
125 treatment plant, was used as the inoculum in both the 1S-AD test and in the second phase of the 2S-  
126 AD test. The MS inoculum was preliminarily maintained under anaerobic conditions in the reactor  
127 at  $39 \pm 1^\circ\text{C}$  until biogas production stopped in order to deplete the residual biodegradable organic  
128 material, as indicated also by Raposo et al. (2011).

129 The main characteristics of the FW, of the inocula and of the feeds are shown in Table 1.

130

### 131 **2.2 Experimental set-up**

132 The methanogenic test (1S-AD) was conducted in a batch mode at  $39 \pm 1^\circ\text{C}$  using a 2-l glass  
133 reactor (1.8 l working volume). An inoculum-to-substrate ratio (ISR) of 2 g VS/g VS was adopted  
134 in order to limit inhibition effects associated with accumulation of intermediate compounds, such as  
135 VFAs, during substrate degradation (Raposo et al., 2011).

136 The hydrogenogenic + methanogenic test (2S-AD) was conducted in a batch mode at  $39 \pm 1^\circ\text{C}$   
137 using a 2-l glass reactor (1.8 l working volume) for the first stage and a 5-l glass reactor (4.5 l



138 working volume) for the second stage. The effluent from the fermentative-hydrogenogenic reactor  
 139 was then fed to the methanogenic stage after mixing with MS according to the same ISR adopted  
 140 for the 1S-AD test (2 g VS/g VS). All the reactors were equipped with mechanical stirring (150  
 141 rpm) and were connected to an automatic system for data acquisition and pH control through NaOH  
 142 addition. An operating pH set-point value of 6.5 and a ISR of 0.14 g VS/g VS were adopted for the  
 143 first stage of the 2S-AD test, as suggested by Cappai et al. (2014). Control of operating pH was not  
 144 deemed necessary in the 1S-AD test and in the methanogenic stage of the 2S-AD test.  
 145 Biogas production was assessed by the volume displacement principle. The measured gas volume  
 146 was converted to standard temperature and pressure conditions ( $T = 0\text{ }^{\circ}\text{C}$ ,  $p = 1\text{ atm}$ ).  
 147 All the reactors were flushed with  $\text{N}_2$  gas to drive off air from the headspace before starting the  
 148 experiments.

149 Table 1. Main characteristics of concern for FW, inocula and feeds for the 1S-AD and 2S-AD tests.

Parameter	Unit of measure	FW	AS	MS	Test		
					1S-AD	2S-AD	
						1 <sup>st</sup> stage	2 <sup>nd</sup> stage
Initial pH	---	$5.5 \pm 0.2$	$7.1 \pm 0.1$	$7.8 \pm 0.1$	$7.6 \pm 0.1$	$6.9 \pm 0.1$	$7.3 \pm 0.1$
TS	% wt	$22.6 \pm 1.3$	$0.9 \pm 0.1$	$5.2 \pm 0.3$	$6.4 \pm 0.2$	$4.1 \pm 0.3$	$4.2 \pm 0.2$
VS	% wt	$22.0 \pm 1.2$	$0.6 \pm 0.1$	$3.0 \pm 0.2$	$4.3 \pm 0.3$	$3.8 \pm 0.4$	$2.4 \pm 0.5$
TOC	% TS	$46.2 \pm 0.4$	$36.4 \pm 0.3$	$24.3 \pm 0.6$	$29.2 \pm 1.4$	$44.5 \pm 2.7$	$30.1 \pm 2.3$

150

### 151 2.3 Analytical methods

152 All analyses were conducted in triplicate and the results are presented as average values of the  
 153 replicates.

154 The contents of total solids (TS) and volatile solids (VS) were measured according to the APHA  
 155 Standard Methods (APHA, Awwa, 1998). The total organic carbon concentration (TOC) and its  
 156 soluble fraction (dissolved organic carbon (DOC), on  $0.45\text{ }\mu\text{m}$  filtered samples) were measured  
 157 using a Shimadzu TOC analyser equipped with modules for the analysis of both liquid and solid  
 158 samples (TOC-VCSN and SSM-5000 module, Shimadzu, Japan). Total ammonia-nitrogen (TAN)  
 159 was determined on  $0.45\text{ }\mu\text{m}$  filtered samples according to the APHA Standard Methods (APHA,

160 Awwa, 1998) using a Hitachi U-2000 spectrophotometer operated at a wavelength of 420 nm. The  
161 biogas was sampled periodically from the reactors with a 1-ml gastight syringe and injected through  
162 a valve in a gas chromatograph (Model 7890B, Agilent Technology) equipped with a thermal  
163 conductivity detector and two stainless columns packed with HayeSep N (80/100 mesh) and  
164 Shincarbon ST (50/80 mesh) connected in series. The operating temperatures of the valve and the  
165 TCD were 90 and 200 °C, respectively, and He was the carrier gas. The oven temperature was set  
166 initially at 70 °C (3-min holding time), followed by a ramp of 10 °C/min up to 160 °C (3-min  
167 holding time).

168 The concentrations of VFAs (acetic [HAc], propionic [HPr], butyric + iso-butyric [HBu], valeric +  
169 iso-valeric [HVa], hexanoic + iso-hexanoic [HHEX], heptanoic [HHep]) were determined using a  
170 gas chromatograph (Model 7890B, Agilent Technology) equipped with an HP-FFAP capillary  
171 column (30 m, inner diameter 0.53 mm, Agilent Technology). The samples were filtered using a  
172 0.45 µm cellulose acetate filter and then acidified with concentrated H<sub>3</sub>PO<sub>4</sub> (pH < 3). The injection  
173 volume was 0.6 µl. The temperatures of the injector and the detector were 250 and 300 °C,  
174 respectively. The oven temperature was initially set at 70 °C (3-min holding time), followed by a  
175 ramp of 20 °C/min up to 180 °C (3-min holding time). He (1.6 ml/min, split ratio 20:1) was used as  
176 the carrier gas.

177

## 178 **2.4 Kinetic model**

179 The sigmoid-type modified Gompertz function was used to analyse and describe the H<sub>2</sub> and CH<sub>4</sub>  
180 production during each test. In the Gompertz model, the evolution of gas production G(t) over time  
181 is expressed as follows (Eq. 1) (Zwietering et al., 1990; Lay et al., 1999):

182

$$183 \quad G(t) = G_{max} \exp \left\{ -\exp \left[ \frac{R_{max} \cdot e}{G_{max}} (\lambda - t) + 1 \right] \right\} \quad (1)$$

184

185 where  $G_{max}$  is the maximum gas yield,  $R_{max}$  is the maximum gas production rate,  $\lambda$  is the lag phase  
186 duration and the value of “e” is 2.71828. The time required to attain 95 % of the maximum biogas  
187 yield, namely  $t_{95}$ , was derived from the Gompertz equation as follows (Eq. 2):

188

$$189 \quad t_{95} = \frac{G_{max}}{R_{max} \cdot e} (1 - \ln(-\ln 0.95)) + \lambda \quad (2)$$

190 The experimental data were fitted with the Gompertz equation and  $G_{max}$ ,  $R_{max}$ ,  $\lambda$  and  $t_{95}$  were  
191 estimated using the software TableCurve 2D (v. 5.01, Systat Software Inc.). The coefficient of  
192 determination or correlation coefficient  $R^2$ , was calculated so as to evaluate the quality of data  
193 fitting for each experimental dataset.

194

## 195 **2.5 Calculations**

196 The hydrolysis and the acidification yields (%) were calculated for the first stage of the 2S-AD test  
197 as expressed in Eqs. 3 and 4 (Graunke and Wilkie, 2014; Voelklein et al., 2016):

198

$$199 \quad \text{hydrolysis yield (\%)} = 100 * DOC/TOC_i \quad (3)$$

$$200 \quad \text{acidification yield (\%)} = 100 * VFAs/DOC \quad (4)$$

201

202 where  $TOC_i$  is the initial total organic carbon concentration and VFAs is the concentration of net  
203 VFAs (final-initial) expressed as g C/l.

204 In order to validate the results of the tests performed, a carbon mass balance was calculated as  
205 expressed in Eq. 5:

206

$$207 \quad \text{carbon mass balance (\%)} = 100 * C_{gas}/(TOC_{initial} - TOC_{final}) \quad (5)$$

208

209 where  $C_{gas}$ ,  $TOC_{initial}$  and  $TOC_{final}$  are the organic carbon mass in the produced gas, in the influent at

210 the beginning of the test and in the effluent at the end of the test, respectively.

211 The specific methane production (SMP) for the 1S-AD test was expressed as the methane produced  
212 per unit of initial VS mass added to the methanogenic reactor. As for the 2S-AD test, the specific  
213 hydrogen production (SHP) was calculated per unit of initial VS mass added to the first reactor and,  
214 in order to consider the performance of the whole system, the SMP for the second reactor was  
215 calculated as the methane produced per unit of initial VS mass added to the first reactor, as  
216 indicated also by Schievano et al. (2014).

217 The specific gas production, either SHP or SMP, was converted to a specific energy recovery (SER)  
218 per unit of VS added to the two systems (1S-AD and 2S-AD). The SER was calculated by  
219 considering the heat of combustion of H<sub>2</sub> and CH<sub>4</sub> (12.74 MJ/m<sup>3</sup> and 35.16 MJ/m<sup>3</sup>, respectively;  
220 (Schievano et al., 2014)).

221

## 222 **3. RESULTS AND DISCUSSION**

### 223 **3.1 One-stage process (1S-AD test)**

#### 224 *3.1.1 Methane production*

225 Although the operating pH was not controlled during the 1S-AD test, the observed values (7.3 - 7.8,  
226 data not shown) were found to lie within the recommended range for methanogenesis for the entire  
227 duration of the experiments.

228 Figure 1(a) shows the specific CH<sub>4</sub> production (SMP) cumulative curve and the evolution over time  
229 of the CH<sub>4</sub> content in the gas produced. The methane content increased up to about 66 % vol. after  
230 the first 50 h, then remained fairly constant until the test was stopped. The overall SMP (328.6 NI  
231 CH<sub>4</sub>/kg VS) is within the range of values reported by other authors for 1S-AD of FW performed  
232 under similar operating conditions, though significant differences may be found in the literature  
233 which reflect the influence of a number of factors, mainly the FW composition in terms of  
234 carbohydrates, proteins and lipids (which in turn depends on the geographic origin and seasonal

235 variability of food and the specific eating habits; (Zhang et al., 2014)). Browne and Murphy (2013)  
236 observed a SMP of 358 NI CH<sub>4</sub>/kg VS in AD batch test on FW. Cabbai et al. (2013) reported a  
237 SMP of 364 NI CH<sub>4</sub>/kg VS from household waste. El-Mashad and Zhang (2010) attained a SMP of  
238 353 NI CH<sub>4</sub>/kg VS from FW.

239 A rapid accumulation of acetate, up to 1380 mg/l, was detected during the first 24 h and almost  
240 completely degraded afterwards up to roughly 70 h from the beginning of the test (Figure 1(b)).  
241 Additionally, a significant accumulation of propionate, with a concentration of around 1600 mg/l,  
242 was detected during the first 50 h, followed likely by transformation to acetate and syntrophic  
243 conversion to methane. The overall VFAs residual concentration was found to be almost negligible  
244 after around 150 h. As for substrate conversion, the final VS removal efficiency was 53.3 % and the  
245 carbon mass balance (Eq. 5) closed at 97.4 %.

246 The Total Ammonia Nitrogen (TAN) concentration at the end of the 1S-AD test was 1300 mg/l,  
247 lower than the level of 3000 mg/l reported to exert toxic effects (Wu et al., 2016).

248

### 249 3.1.2 Reaction kinetics

250 The kinetic parameters derived from fitting of the experimental data with the Gompertz equation  
251 (Eq. 1) are shown in Table 2. The model fitting was high, with an R<sup>2</sup> of 0.990. The estimated  
252 maximum methane production rate was 3.89 NI CH<sub>4</sub>/(kg VS\*h) (Table 2), similar to that obtained  
253 by Yin et al. (2016) for batch AD tests performed on FW. The calculated lag phase duration  
254 resulted to be fairly short (4.5 h), as observed also by Elbeshbishy et al. (2012) who performed  
255 methanogenic tests on FW, and the t<sub>95</sub> was equal to 125 h, confirming the high rate of  
256 biodegradation of the feedstock.

257

258 (Figure 1. 1S-AD test: evolution over time of (a) specific CH<sub>4</sub> production (SMP; solid line indicates  
259 Gompertz-model curve) and CH<sub>4</sub> content in the gas produced, (b) VFAs concentration.)

## 260 3.2 Two-stage process (2S-AD test)

### 261 3.2.1 First stage – hydrogen production

262 Figure 2(a) shows the specific H<sub>2</sub> production (SHP) cumulative curve and the evolution over time  
263 of the H<sub>2</sub> content in the gas produced during the first stage of the 2S-AD test. The hydrogen content  
264 peaked at 66 % vol. during the first 12 h, then decreased continuously until the test was stopped,  
265 presumably due to biological H<sub>2</sub> consumption. To this regard, the fact that methane was never  
266 detected during the first stage of the 2S-AD test may imply that H<sub>2</sub> consumption was caused by the  
267 onset of either propionic fermentation (Dong et al., 2010) or homoacetogenesis (Siriwongrungson et  
268 al., 2007; Saady, 2013).

269 The total SHP attained (56.5 NI H<sub>2</sub>/kg VS) falls within the range of values reported by other authors  
270 for fermentative hydrogen production from FW under similar operating conditions, though it is  
271 again worth mentioning that wide ranges of values have been reported (De Gioannis et al., 2013;  
272 Cappai et al., 2014). Hydrogen production lasted about 26 h and a final VS removal efficiency of  
273 34.1 % was estimated. Fermentable sugars generated from carbohydrates by hydrolytic bacteria  
274 enable the rapid growth of acidogens which generate hydrogen via acetic and butyric pathways (Eq.  
275 6 and 7):



278 The analysis of VFAs generation over time indicated the main presence of acetate (55 % of total  
279 VFAs, 2730 mg/l) and butyrate (41 % of total VFAs, 2000 mg/l) during the first 7 h of  
280 fermentation, while propionate was found to be produced at later stages (Figure 2(b)). At the end of  
281 the test, the total VFAs concentration was 8410 mg/l, with acetate (42 %), propionate (30 %) and  
282 butyrate (26 %) as the major soluble products. According to Vavilin et al. (2008) and Graunke and  
283 Wilkie (2014), hydrolysis of particulate matter into soluble species is assumed to be the rate-  
284 limiting step in AD and, in this sense, essential in order to obtain an adequate biogas generation.

285 The hydrolysis and acidification yields at the end of the hydrogenogenic stage were calculated to be  
286 42.4 % and 48.9 %, respectively. The latter is higher than the values reported by Voelklein et al.  
287 (2016) (34 %-41 %) and Chen et al. (2015) (29 %-36 %).

288 It is interesting to note that Voelklein et al. (2016) also observed lower specific H<sub>2</sub> yields (1.7 –  
289 11.8 l/kg VS) as compared with the present study, with H<sub>2</sub> concentrations in the range of 5.6 – 16.2  
290 % vol., pointing out that the process was arguably not optimized for H<sub>2</sub> production, while no data  
291 on the observed H<sub>2</sub> production were provided by Chen et al. (2015).

292 As shown by Eq. 6 and 7, the formation of acetate and butyrate is associated with a net production  
293 of H<sub>2</sub>, whereas ethanol and propionate production is associated to H<sub>2</sub>-neutral and H<sub>2</sub>-consuming  
294 pathways, respectively. In order to derive information about the metabolic pathways taking place  
295 during the fermentation stage, the theoretical H<sub>2</sub> production (THEO<sub>H2</sub>) was calculated assuming the  
296 generation of 2 mol H<sub>2</sub>/mol acetate and butyrate produced and the consumption of 1 mol H<sub>2</sub>/mol  
297 propionate produced (Jungermann et al., 1973; Li and Fang, 2007; Antonopoulou et al., 2008), and  
298 compared with the observed H<sub>2</sub> production (OBS<sub>H2</sub>). The correspondence between OBS<sub>H2</sub> and  
299 THEO<sub>H2</sub>, though fair (77.1 %), indicates that processes other than acetic/butyric fermentation and  
300 propionic production took place, which may include homoacetogenic fermentation, a non-  
301 syntrophic reaction where hydrogen and carbon dioxide are used to produce acetate; the onset of  
302 homoacetogenesis is also corroborated by the decrease in the H<sub>2</sub> content of the gas observed after  
303 about 10-12 h of fermentation (Figure 2(a)). Although the effects of homoacetogenesis on dark  
304 fermentation may be relevant, it is still unclear whether homoacetogenic H<sub>2</sub> consumption acts  
305 during the entire fermentation process along with concomitant hydrogenogenic pathways, or it only  
306 occurs at some point during the process when the substrate gets depleted and the biomass is then  
307 forced to switch to different metabolic pathways (Saady, 2013). This and other aspects confirm how  
308 complex and intricate the hydrogenogenic fermentation process is. Therefore, the identification of  
309 operating conditions that optimize substrate hydrolysis and H<sub>2</sub> production and lead to a suitable

310 outflow for methanogenesis in the second stage, is crucial to the overall energy balance of the 2S-  
311 AD system.

312

313 (Figure 2. 2S-AD test, first stage: evolution over time of (a) specific H<sub>2</sub> production (SHP; solid line  
314 indicates Gompertz-model curve) and H<sub>2</sub> content in the gas produced, (b) VFAs concentration.)

### 315 3.2.2 Second stage – methane production

316 Figure 3(a) shows the SMP cumulative curve and the evolution over time of the CH<sub>4</sub> content in the  
317 gas produced during the second stage of the 2S-AD test.

318 The methane content in the gas produced was higher than that observed in the 1S-AD test,  
319 increasing gradually with time and peaking at 77 % vol. (Figure 3(b)). Therefore, the 2S-AD  
320 configuration allowed an enrichment of the methane content by 16.7 % as compared to the 1S-AD.  
321 This is consistent with Voelklein et al. (2016) who stated that a hydrolysis/fermentative reactor may  
322 serve as a carbon dioxide stripping step, reducing the potential costs for upgrading the biogas to  
323 biomethane. This is a significant figure considering that biogas upgrading could make up 30 % of  
324 the whole cost for the biogas management system in an AD plant (Murphy and Power, 2009).  
325 The total SMP attained (392 Nl CH<sub>4</sub>/kg VS) was 19 % higher than that observed for the 1S-AD test,  
326 a result similar to that reported by Voelklein et al. (2016). The VS removal in the methanogenic  
327 stage was 46.9 %, which led to a 66.7 % overall removal for the entire process. The carbon mass  
328 balance for the methanogenic stage closed at 97.5 %.

329 A gradual decrease in the VFAs concentration over time was observed, which resulted in a total  
330 removal of 97 %, and control of the operating pH was not necessary as the pH values were always  
331 within the recommended range for methanogenesis (7.4 - 7.8, data not shown). Finally, the TAN  
332 concentration at the end of the 2S-AD test was 985 mg/l, lower than the reported inhibition level of  
333 3000 mg/l.

334



335 3.2.3 Reaction kinetics

336 The experimental biogas production data for each stage of the 2S-AD test were fitted with the

337 Gompertz equation (Eq. 1) and the derived kinetic parameters are reported in Table 2.

338 Concerning the first stage, the Gompertz model fitted well the experimental data ( $R^2 = 0.988$ ). The  
 339 estimated kinetic parameters were as follows: maximum hydrogen production rate = 3.84 NI H<sub>2</sub>/(kg  
 340 VS\*h), lag phase duration = 4.2 h and  $t_{95} = 26.4$  h.

341 A good fitting was also observed for biogas production data in the second stage ( $R^2 = 0.996$ ). The  
 342 maximum rate of methane production was 2.37 NI CH<sub>4</sub>/(kg VS\*h), lower than that calculated for the  
 343 1S-AD test (Table 2). This issue could be explained by a slight inhibition effect exerted by the  
 344 significant VFAs concentration which characterized the inflow to the second stage in the 2S-AD  
 345 test, and is also mirrored by the much longer, as compared with the 1S-AD test, lag phase duration  
 346 (20.4 h vs 4.5 h) and  $t_{95}$  (250 h vs 125 h). However, despite the lower methane production rate  
 347 estimated for the 2S-AD, the longer production period (about 430 h versus 200 h) allowed for a  
 348 higher SMP as compared to the 1S-AD test.

349  
 350 (Figure 3. 2S-AD test, second stage: evolution over time of (a) specific CH<sub>4</sub> production (SMP; solid  
 351 line indicates Gompertz-model curve) and CH<sub>4</sub> content in the gas produced, (b) VFAs  
 352 concentration.)

353 Table 2. Kinetic parameters calculated for the 1S-AD and 2S-AD tests.

Mathematic model	Estimated parameter	Unit	1S-AD (CH <sub>4</sub> )	2S-AD	
				1 <sup>st</sup> stage (H <sub>2</sub> )	2 <sup>nd</sup> stage (CH <sub>4</sub> )
Gompertz model	$G_{max}$	NI (CH <sub>4</sub> or H <sub>2</sub> )/kg VS	321.7	58.6	380.1
	$R_{max}$	NI (CH <sub>4</sub> or H <sub>2</sub> )/kg VS h	3.89	3.84	2.37
	$\lambda$	h	4.47	4.15	20.4
	$t_{95}$	h	125.1	26.4	250.6
	$R^2$	-	0.990	0.988	0.996

354

355 **3.3 Specific energy recovery calculation**

356 A comparison of the specific energy recovery (SER) values was conducted for the 1S-AD and 2S-  
357 AD process configurations. Such a comparison was made on the basis of the observed biogas  
358 production in the two cases as explained in section 2.5.

359 The global SER from the 2S-AD was calculated to be 14.5 MJ/kg VS; in particular, H<sub>2</sub> production  
360 in the first stage accounts for 5 % (0.7 MJ/kg VS) of the total energy generated, while the  
361 contribution of CH<sub>4</sub> production during the second stage contributed is as high as 13.8 MJ/kg VS.  
362 Both values are within the range reported by Schievano et al. (2014) for 2S-AD of fruit/vegetable  
363 waste.

364 As for the 1S-AD test, the methane production corresponded to a SER of 11.6 MJ/kg VS, 20 % less  
365 than the overall SER attained with 2S-AD test, as expected, and also even lower than that  
366 associated to the second stage of the 2S-AD test.

367 These results clearly show that adopting the two-stage configuration for the AD process results in a  
368 20 % comparatively higher energy recovery yield, which is mainly ascribed to the improved  
369 digestion conditions induced in the methanogenic stage.

370

371 **4. CONCLUSIONS**

372 One- and two-stage AD of FW aimed at recovering CH<sub>4</sub> and H<sub>2</sub> + CH<sub>4</sub>, respectively, were  
373 compared in order to assess the benefits associated with the two-stage approach in terms of overall  
374 energy recovery. The results obtained suggest that a two-stage process where the first reactor is  
375 properly operated in order to achieve a significant net H<sub>2</sub> production, may display a 20 %  
376 comparatively higher energy recovery yield as a result, mainly, of enhanced methane, as well as of  
377 the associated hydrogen production.

378 The highest CH<sub>4</sub> production of the two-stage process, observed despite the H<sub>2</sub> recovered is a  
379 potential substrate for methanogenesis, was due to improved hydrolysis and fermentation of FW

380 with increased amounts of volatile fatty acids being readily available to methanogenesis. This  
381 figure, if on one hand resulted in a slight inhibition effect on the methanogens, as revealed by the  
382 slower methanogenic kinetics and the longer lag phase duration compared to the 1S-AD test,  
383 nevertheless allowed to achieve a higher SMP over a HRT of suitable duration.

384 Although not directly assessed in the present study and thus requiring further specific  
385 quantification, additional advantages of the two-stage configuration in terms of the overall  
386 environmental profile of the investigated process may also be anticipated. In particular, the 25 %  
387 increase in VS removal achieved in the 2S-AD system (66.7 % VS removal vs. 53.3 %) also implies  
388 a higher degree of digestate stabilization, which may represent a relevant indirect effect when the  
389 subsequent treatment requirements and the final destination of digestate are concerned. Potential  
390 indirect outcomes on the carbon footprint of the 2S-AD process are also expected. These are mainly  
391 related to the avoided CO<sub>2</sub> emissions deriving from biogas energy use, to the absence of CO<sub>2</sub> in the  
392 emissions generated by H<sub>2</sub> combustion, to the reduced energy demand of the digestate treatment  
393 units as well as to the reduced use of synthetic soil amending agents (if digestate is to be used for  
394 agronomic purposes). As mentioned above, a specific quantification of all such effects requires a  
395 dedicate study to account the positive and negative, direct and indirect CO<sub>2</sub> burdens of the  
396 investigated process, which was beyond the scopes of the present work.

397 At the moment, there are not real-scale plants for the fermentative production of hydrogen from  
398 biodegradable residues. It is our opinion that a combined process which, besides allowing the  
399 recovery of hydrogen, also produces more methane than a one-stage one may boost the interest of  
400 technicians and companies in the fermentative production of hydrogen.

401 Moreover, the production of methane from biodegradable waste, and even more that of hydrogen,  
402 are processes naturally included in the biorefinery concept, which is currently regarded as a means  
403 to thoroughly apply the principles of circular economy in the management of organic residues.

404 However, one of the major concerns that cast shadows on a possible implementation of the waste

405 biorefinery concept is linked to the required plant size, considered by many to be very high and not  
406 compatible, for acceptable waste transport distances, with the European scenario. In Europe,  
407 therefore, simple biorefinery process schemes, such as the combined production of hydrogen and  
408 methane, would be more suitable, at least in the short-medium term.

409

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414

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560

## \*Highlights

One- and two-stage anaerobic digestion of food waste were compared

The first stage of the two-stage process was properly operated to allow H<sub>2</sub> recovery

The two-stage process was characterised by CH<sub>4</sub> production higher than the one-stage

The highest CH<sub>4</sub> production was due to improved fermentation in the first stage

The two-stage process displayed a 20% comparatively higher energy recovery yield

## Figure captions

Figure 1. 1S-AD test: evolution over time of (a) specific  $\text{CH}_4$  production (SMP; solid line indicates Gompertz-model curve) and  $\text{CH}_4$  content in the gas produced, (b) VFAs concentration.

Figure 2. 2S-AD test, first stage: evolution over time of (a) specific  $\text{H}_2$  production (SHP; solid line indicates Gompertz-model curve) and  $\text{H}_2$  content in the gas produced, (b) VFAs concentration.

Figure 3. 2S-AD test, second stage: evolution over time of (a) specific  $\text{CH}_4$  production (SMP; solid line indicates Gompertz-model curve) and  $\text{CH}_4$  content in the gas produced, (b) VFAs concentration.

Figure 1  
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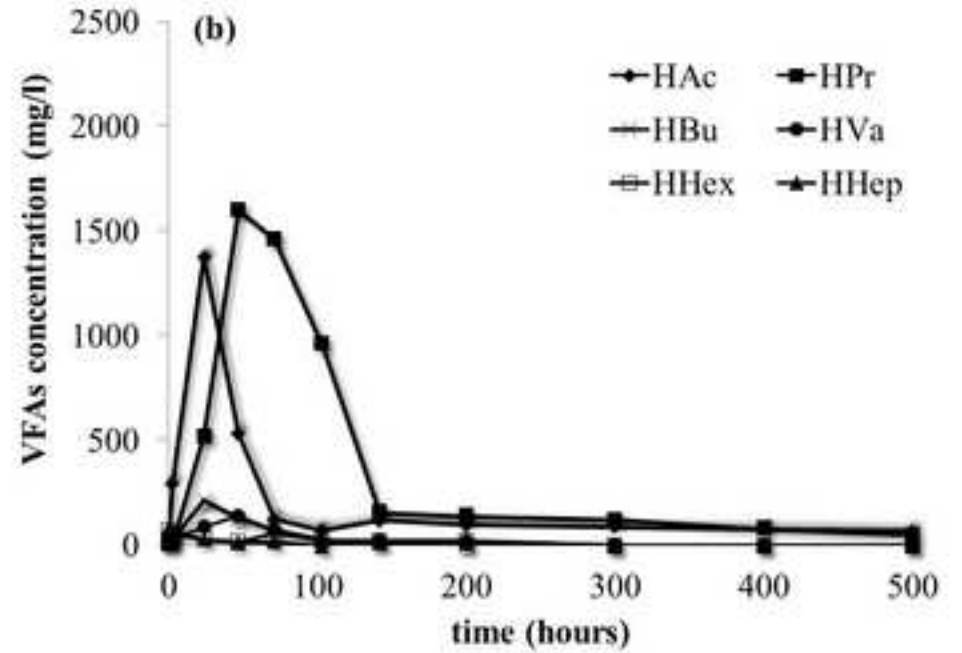
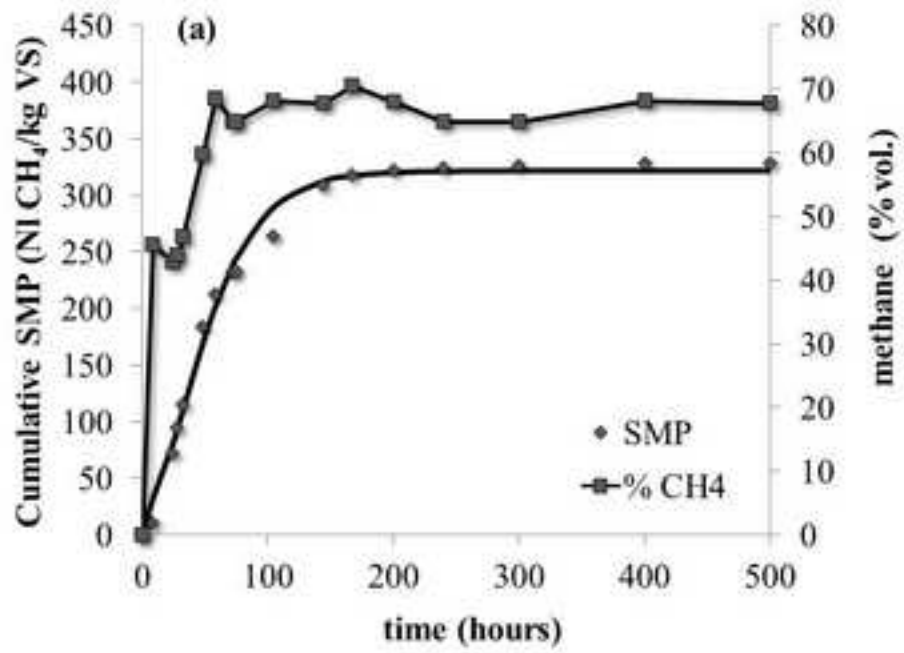


Figure 2  
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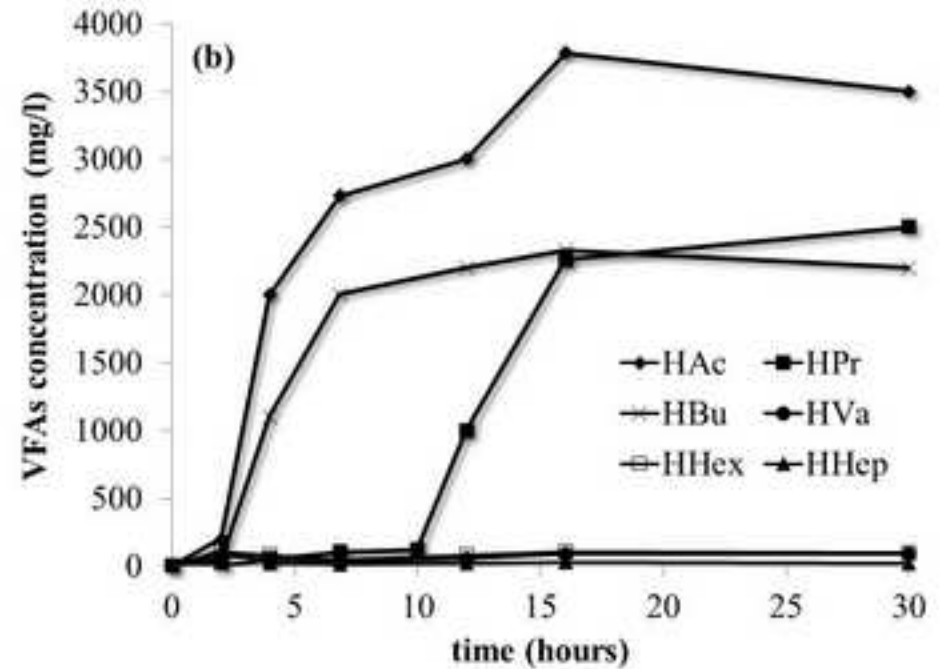
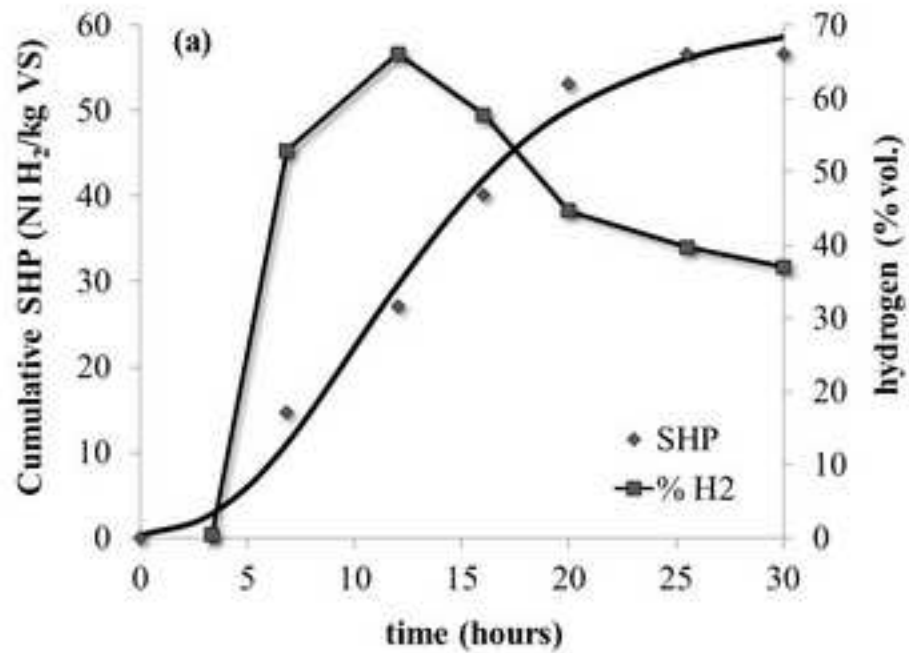


Figure 3  
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