

# 1 Structural and thermal retrofitting of masonry walls: an 2 integrated cost-analysis approach for the Italian context

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6

## 7 Abstract

8 Constructions ageing is a relevant problem in developed country like Italy. In particular, in  
9 case of existing masonry buildings, retrofitting interventions aimed at improving structural and  
10 thermal performances represents an obvious need. At the same time, sustainability awareness  
11 of buildings life-cycle has grown in the last years. Consequently, the whole life-cycle of  
12 constructions should be analyzed and assessed during the design of retrofitting interventions.  
13 In order to take into account these aspects new design and planning methods are necessary.  
14 This paper presents an integrated approach to evaluate structural and thermal retrofitting  
15 strategies for masonry walls. Economic and ecological costs of each examined retrofitting  
16 solution are compared, taking into account thermal and seismic capacity demand of the  
17 construction site. Given the *economic cost*, a set of retrofitting solutions for masonry panels  
18 have been mapped with a couple of parameters (structural strength Vs thermal insulation). An  
19 analogous mapping, considering the *ecological cost* due to equivalent CO2 production, have  
20 been performed. A methodology to find the best solution among a set of retrofitting solutions  
21 is presented, depending on the location of the building and its seismic and thermal  
22 characteristics. Examples, based on six retrofitting techniques located in four different sites in  
23 Italy, are analyzed to explain the effectiveness and the feasibility of the proposed method. The  
24 comparison between ecological and economical cost allowed to highlight the characteristics of  
25 the different interventions. Thermal performance proved to be more important in cold weather  
26 conditions while structural retrofitting is preferable in high seismic risk areas.

27

## 28 1 Introduction

29 A large part of traditional European building constructions is made of masonry. Most of them  
30 were built in absence of seismic codes and thermal requirements. Indeed, the first European  
31 seismic code was published in 1997 (Eurocode 8: Design of structures for earthquake resistance  
32 - EN 1998), actually, before this year national seismic standards were already present in various

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33 countries but the situation was not homogeneous. The first European standard considering the  
34 thermal performance of building was UNI EN 832:1998. Furthermore, the first Energy  
35 Performance of Buildings Directive arrived in 2002, but most of European Nations already had  
36 national standards at the beginning of the 90s. Actually, masonry buildings in Europe built  
37 before 1990 often require retrofitting interventions aimed at improving structural and thermal  
38 performances, to fulfill current standards requirements. In addition, the sustainability  
39 awareness of buildings life cycle has grown in the last years and re-use of construction  
40 demolition waste is becoming a common solution to reduce the construction environmental  
41 impact [1-4]. It is then necessary to design and to retrofit, taking into account how much energy  
42 will be spent for the refurbishment and how much will change the thermal and structural  
43 performance of the construction.

44 It is useful to have a synthetic review of the current retrofitting strategies concerning both  
45 structural and thermal interventions.

46 The literature devoted to structural retrofitting is wide. A general approach to this theme is  
47 presented in [5]. It addresses the problem of associating a cost to each different retrofitting  
48 procedure and it develops a cost-benefit analysis to compare alternative choices in order to  
49 optimize the refurbishments.

50 Surface treatment of masonry represents a quite common technique: ferrocement [6],  
51 reinforced plaster [7] and shotcrete sprayed [8-9]. A current evolution is the application of  
52 Fiber Reinforced Polymers FRP nets on the masonry wall [10-16]. A recent trend is the use of  
53 Textile Reinforced Mortar (TRM) with inorganic mortar matrix strengthened by an open fabric  
54 made of fiber rovings, e.g. [17-22]. Another retrofitting method is grout and epoxy injection.  
55 This approach tends to restore the original integrity of the cracked or damaged masonry wall.  
56 Further examples can be found in [23-24].

57 External reinforcements represent useful retrofitting techniques: steel plates, tubes, grids are  
58 directly applied to the masonry to improve the lateral in and out of plane resistance of the wall.  
59 In [25] externally bonded grids are applied to existing masonry. Reticulatus technique [26] is  
60 characterized by a stainless grid able to adapt to the irregular texture of blocks. Other technique  
61 is the introduction of horizontal connectors (diaton) to anchor masonry walls from out-of-plane  
62 displacements [27-30]. The use of bionatural aggregates in masonry specimens could also be  
63 calibrated to optimize the structural and energetic performances [31].

64 The insertion of a RC frame inside masonry walls allows to improve the energy dissipation  
65 capacity and the ductility of the structure, as in [32-33]. This approach induces modification of  
66 the structure and is often inappropriate for historical buildings. Instead, post tensioning is the  
67 application of a compressive force to masonry wall counteracting the tensile stresses produced  
68 by external load. Unfortunately, it is often restricted to monumental construction due to its high  
69 cost [34-35].

70 Energy retrofitting of a building represents the whole set of interventions aimed at reducing its  
71 energy needs. In this work we will focus on the improvement of the thermal insulation of  
72 masonry buildings. A State-of-Art review for the energy retrofitting methods applied to  
73 existing buildings can be found in [36]. Special attention has been devoted to the improvement  
74 of thermal insulation and waterproofing properties of masonry walls [37-39]. Examples of  
75 masonry walls with high thermal insulation properties are in [40-41].

76 Building thermal performances are strictly linked to sustainability considerations. Indeed, the  
77 construction sector is responsible for a significant part of the primary energy consumption and  
78 for a large part of the greenhouse gas (GHG) emissions all over the world, see [42-44]. The  
79 effects of climate change on old building energy performance is discussed in [45] pointing out  
80 the reduction of the cooling energy usage due to climate warming. Climates effects on  
81 residential building durability are discussed in [46] with particular attention on the PassivHaus  
82 performance level in Canada weather.

83 Actually, each stage in building life, (construction, usage, demolition and recovery) contribute  
84 to the GHG emissions. In order to plan urban development and existing building retrofitting is  
85 essential to consider the environmental impacts both in terms of carbon footprint (CO2 ton.)  
86 and energy demands. The energy spent for the direct refurbishment or the energy necessary for  
87 the reconstruction should be compared with the building performance improvement, in order  
88 to find the best strategy for a proper management of existing buildings. Furthermore, the  
89 CO2/year emission, joined with the energy spent every year to reach serviceability comfort  
90 conditions, is a significant indicator of the efficiency of the building, in terms of protection of  
91 the environment. Attention is generally given to the façades to optimize the thermal resistance  
92 of the construction, see [47] for multi criteria analysis of different façade systems. In addition,  
93 the sustainability awareness of buildings life cycle is addressed to the evaluation of the energy  
94 spent for the direct refurbishment and versus the variation of the energy performance of the  
95 construction.

96 Recent political strategies have been adopted by several European countries in order to promote  
97 the sustainable refurbishment. Actually, those strategies can be better oriented to satisfy the  
98 structural and thermal demands of different local sites. Indeed, it is often required by political  
99 decision makers to consider the seismic and the energetic demands in a given area with a  
100 multicriteria analysis, able to treat the above-mentioned aspects in an integrated way. Currently  
101 there is not any international standard method for this kind of analysis.

102 The authors recently published a proposal [48] for a synthetic performance parameter  
103 considering both structural and thermal issues. Calvi et al., [49] discussed the idea of a common  
104 indicator for both structural and energy performances with a cost/benefit analysis for different  
105 retrofitting strategies. Okutan et al. [50] report on a presentational theory that places equal  
106 weight on energy and historic conservation perception of old buildings. These studies are useful  
107 but do not present an ultimate solution to this complex problem. In particular none of them  
108 considers the equivalent CO2 emission for each retrofitting intervention.

109 Instead, in this paper an integrated approach to evaluate the structural and thermal retrofitting  
110 strategies, considering economic and ecological cost, is proposed. In addition, the seismic and  
111 thermal characteristics of the building site is taken into account. The retrofitting representative  
112 scenarios are discussed in Section 2. Then parameters measuring the retrofitting strategy of  
113 masonry building are presented in Section 3. A comparative economic and ecological cost  
114 analysis is discussed in Section 4, while Section 5 presents a criterion capable of considering  
115 the local characteristics of different sites. The main results are in Section 6 and finally, in  
116 Section 7, some conclusive remarks are drawn.

## 117 2 Retrofitting scenarios

118 The retrofitting techniques on masonry walls can improve in different way thermal and  
119 structural performances. A set of six emblematic retrofitting scenarios, presented in Figure 1,  
120 have been selected to explain the proposed method. Intervention (a) does not appreciably  
121 increase the strength, while it enhances the thermal resistance. It consists in the application of  
122 single insulating polystyrene panel, characterized by a thermal conductance  $\lambda=0.04$  W/mK, on  
123 traditional plaster using adhesive glue. The same polystyrene panel with plaster and transverse  
124 connectors (diaton) has been adopted in intervention (b). In this case, both thermal resistance  
125 and structural strength have been improved. In case (c) a CFRP (Carbon Fiber Reinforced  
126 Polymers) reinforced plaster, characterized by a thermal conductance  $\lambda=0.08$  W/mK, is applied  
127 to both side of the wall panel in addition to transverse connectors. The CFRP is characterized  
128 by a tensile strength  $f_{fRP}$  equal to 2.8 GPa and an elastic modulus  $E_{fRP}$  of 350 GPa. Similarly,  
129 in case (d) a GFRP (Glass Fiber Reinforced Polymers) reinforced plaster is applied to both side  
130 of the wall panel in addition to transverse connectors. This intervention increases the structural  
131 performance but is also able to induce a superior thermal resistance. The GFRP is characterized  
132 by a tensile strength  $f_{fRP}$  equal to 1.0 GPa and an elastic modulus  $E_{fRP}$  of 45 GPa. Finally, in  
133 case (e) and (f), a net of CFRP and GFRP respectively is applied on both sides of the wall  
134 panel. Thermal resistance is not appreciably increased due to the lack of any insulation layer,  
135 thus only the structural resistance is enhanced.

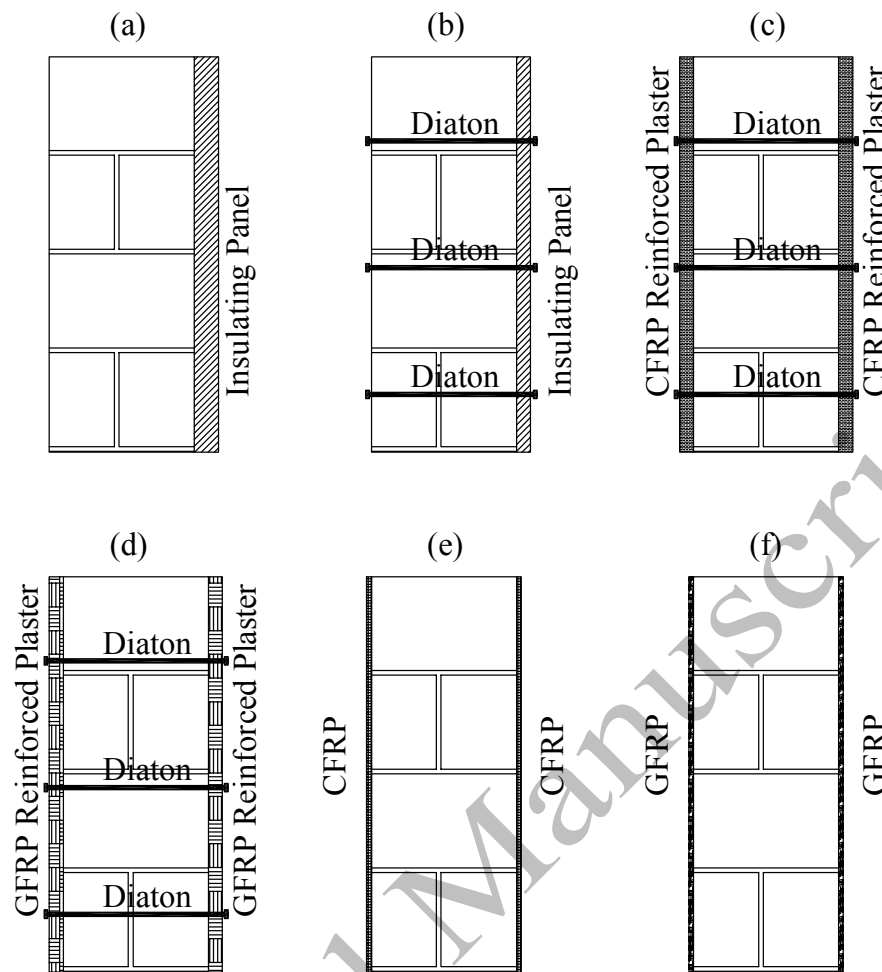


Figure 1: Retrofitting strategies.

### 3 Method to measure retrofitting strategy.

In this Section the retrofitting performance parameters are defined considering both structural and thermal performance.

For the sake of simplicity, the analysis of the thermal and structural performances is limited on a single unitary (1x1 m) masonry wall in order to quantify the effects of each retrofitting strategy.

The relative variation of a generic performance parameter  $\Delta C$  is assessed by the ratio of the performance variation between its value before ( $C_0$ ) and after the retrofitting ( $C_1$ ) and the initial value  $C_0$ :

$$\Delta C = (C_1 - C_0) / C_0 \quad (1)$$

149 Thus, for each wall panel is possible to calculate the relative increment of structural resistance  
 150 referring to bending moment  $\Delta M$  or shear force  $\Delta V$  and the relative variation in the thermal  
 151 resistance  $\Delta R$  or the thermal inertia  $\Delta T$  obtained after retrofitting.

152 In the forthcoming analysis, the variation of  $\Delta M$ ,  $\Delta V$  and  $\Delta R$  is considered for the mentioned  
 153 1x1 m wall panel. The masonry characteristics adopted for the numerical analysis are presented  
 154 in Table 1. These are the characteristics of the emblematic case study of the school in Visso  
 155 (Macerata – Italy) made of 70 cm thick stone blocks characterized by good weaving and lime  
 156 mortar.

157 Table 1: Existing masonry characteristics,  $f_{M,k}$  is the compressive strength,  $\tau_0$  is the shear strength,  $E$  is the  
 158 longitudinal elastic modulus,  $G$  is the shear elastic modulus,  $W$  is the specific weight,  $\lambda$  is the thermal conductance.

$f_{M,k}$ [N/mm <sup>2</sup> ]	$\tau_0$ [N/mm <sup>2</sup> ]	$E$ [N/mm <sup>2</sup> ]	$G$ [N/mm <sup>2</sup> ]	$W$ [kN/m <sup>3</sup> ]	$\lambda$ [W/mK]
3.2	0.06	1740	580	21	2.4

159 In case of diatons (retrofitting scenarios b-c-d Figure 1), the mechanical characteristics of  
 160 masonry are conventionally improved of the 30% as in Italian code [51].

161 The resistant bending moment of a masonry wall retrofitted with FRP is evaluated using the  
 162 approach presented in [52]. The stress condition in the masonry cross section can be  
 163 represented by the translational and rotational equilibrium:

$$164 \quad N = R_m + R_{frp} \quad (2)$$

$$165 \quad M = \frac{t}{2} R_{frp} + \left( \frac{t}{2} - \frac{0.8}{2x} \right) R_m \quad (3)$$

166 Where  $R_m$  and  $R_{frp}$  are respectively the masonry and reinforcement internal forces. The  
 167 adopted constitutive laws are reported in Fig. 2. Given the following definitions:

$$168 \quad \rho = \frac{A_{frp}}{lt} \quad (4)$$

$$169 \quad \omega = \frac{\varepsilon_{frp,u} E_{frp}}{f_{M,k}} \rho \quad (5)$$

$$170 \quad \frac{\varepsilon_{frp,u}}{\varepsilon_{M,u}} = \frac{(1 - \frac{x}{t})}{\frac{x}{t}} \quad (6)$$

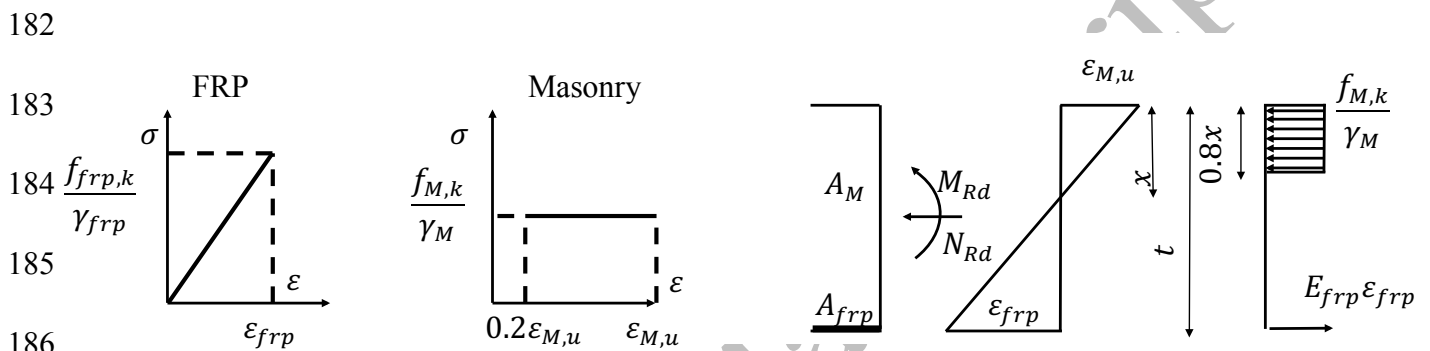
171 where  $t$  and  $l$  are the width and height of the cross section, respectively;  $A_{frp}$  is the FRP cross-  
 172 sectional area;  $E_{frp}$  is the Young's modulus of the fibers;  $\varepsilon_{frp,u}$  and  $\varepsilon_{M,u}$  are the ultimate tensile  
 173 and compressive strains for the fibers and the masonry, respectively;  $f_{M,k}$  is the compressive  
 174 strength of the masonry; and  $x$  is the neutral axis depth. Enforcing equations (4) - (6) into (1)  
 175 and (2) it is possible to obtain:

$$176 \quad \frac{M_{Rd}}{lt^2 f_{M,k}} = \frac{\frac{1}{2}\omega\left(1-\frac{x}{t}\right)}{\frac{x}{t}} + \frac{0.4x}{\gamma_m t} \left(1-0.8\frac{x}{t}\right) \quad (7)$$

$$177 \quad \frac{x}{t} = \frac{\gamma_M}{1.6} \left[ \frac{N_{Rd}}{lt f_{M,k}} - \omega + \sqrt{\left(\omega - \frac{N_{Rd}}{lt f_{M,k}}\right)^2 + \frac{3.2}{\gamma_M \omega}} \right] \quad (8)$$

178 where  $M_{Rd}$  and  $N_{Rd}$  are the design bending moment and axial force of the cross section, while  
 179  $\gamma_m$  is the masonry partial safety factor. Equations (7) and (8) allow to express the resistant  
 180 bending moment as a function of  $\omega$  and of the axial load.

181 In the present case a standard axial load of  $\frac{N_{Rd}}{lt f_{M,k}} = 0.3$  has been adopted.



187 Figure 2: Materials constitutive law and cross section forces, taken by [52].

188 The shear force strength  $V$  of the wall panel is assessed following the methods presented in  
 189 [53]. Considering the contribution of the masonry  $V_{Rd,m}$  and of the possible FRP reinforcement  
 190  $V_{Rd,f}$ , the resistant shear value  $V_{Rd}$  is evaluated considering an equivalent truss approach. Thus:

$$191 \quad V_{Rd} = \min(V_{Rd,m} + V_{Rd,f}, V_{Rd,MAX}) \quad (9)$$

$$192 \quad V_{Rd,m} = d t f_{vd} \quad (10)$$

$$193 \quad V_{Rd,f} = 0.6 d 2 t_f f_{fRp} \quad (11)$$

$$194 \quad V_{Rd,MAX} = 0.15 f_{M,k} t d \quad (12)$$

195 Where  $d$  is the effective height depth,  $l$  is the panel length,  $f_{vd}$  is the masonry shear strength  $t_f$   
 196 and  $f_{fRp}$  are the FRP reinforcement thickness and tensile strength, respectively. The latter is  
 197 defined as the minimum between the ultimate tensile strength of the FRP and the delamination  
 198 stress.

199 Thermal insulation resistance has been evaluated considering the properties of each layer of  
 200 the panel:

$$201 \quad R = \sum s_i / \lambda_i \quad (13)$$

202 where  $s_i$  and  $\lambda_i$  respectively are the thickness and the thermal conductance of the  $i$ -th layer of  
203 the panel. For the sake of simplicity, we neglect the aspect of transient thermal transmittance  
204 due to the mass of the walls.

## 205 4 Cost analysis

206 In this Section the regression curves representing economic and ecological costs are obtained  
207 starting from the retrofitting scenarios characteristics presented in Section 3.

208 The cost of the six interventions depends on the thickness of the retrofitting layers. In order to  
209 estimate a general economic cost relationship between  $\Delta M$  and  $\Delta R$ , six different investment  
210 cost scenarios varying between 100 €/m<sup>2</sup> and 350 €/m<sup>2</sup> have been considered. For each  
211 intervention, the thicknesses of the retrofitting layers have been tuned to obtain the required  
212 cost for construction (supply and manpower), see Table 2. These values have been based on  
213 the Italian public works market. In this way, each cost scenario is described by six points. They  
214 represent retrofitting conditions in which the economic cost is constant. They have been fitted  
215 with a hyperbolic regression curve, see Figure 3:

$$216 \quad \Delta R(\alpha_1 - \Delta M) = \alpha_0 \quad (14)$$

217 where the numerical parameters ( $\alpha_0$ ,  $\alpha_1$ ) are determined by least squares approach using the  
218 `lsqnonlin` Matlab<sup>®</sup> function. The corresponding values are in Table 3.

219 Table 2: Adopted materials costs.

Material	Spec. Economic Cost	Spec. Ecological cost
Polystyrene panel	1517 €/m <sup>3</sup>	138 kgCO <sub>2</sub> /m <sup>3</sup>
Diatons	80 €/m <sup>2</sup>	0.25 kgCO <sub>2</sub> /m <sup>2</sup>
CFRP reinf. plaster	17133 €/m <sup>3</sup>	1096 kgCO <sub>2</sub> /m <sup>3</sup>
GFRP reinf. plaster	10767 €/m <sup>3</sup>	734 kgCO <sub>2</sub> /m <sup>3</sup>
CFRP web	650000 €/m <sup>3</sup>	77700 kgCO <sub>2</sub> /m <sup>3</sup>
GFRP web	344000 €/m <sup>3</sup>	520 kgCO <sub>2</sub> /m <sup>3</sup>

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Table 3: Economic cost regression coefficients for the  $\Delta R$ - $\Delta M$  plane.

Cost Scenario	$\alpha_0$	$\alpha_1$
100 €/m <sup>2</sup>	0.090	0.1427
150 €/m <sup>2</sup>	0.120	0.1476
200 €/m <sup>2</sup>	0.150	0.1488
250 €/m <sup>2</sup>	0.180	0.1492
300 €/m <sup>2</sup>	0.210	0.1495
350 €/m <sup>2</sup>	0.250	0.1496

223

A similar approach has been adopted to obtain the  $\Delta R$  -  $\Delta V$  cost regression lines. The results are in Figure 4, while numerical parameters values are in Table 4.

224

225

Table 4: Economic cost regression coefficients for the  $\Delta R$ - $\Delta V$  plane.

Cost Scenario	$\alpha_0$	$\alpha_1$
100 €/m <sup>2</sup>	0.100	0.0100
150 €/m <sup>2</sup>	0.150	0.0080
200 €/m <sup>2</sup>	0.180	0.0100
250 €/m <sup>2</sup>	0.210	0.0250
300 €/m <sup>2</sup>	0.250	0.0203
350 €/m <sup>2</sup>	0.300	0.0203

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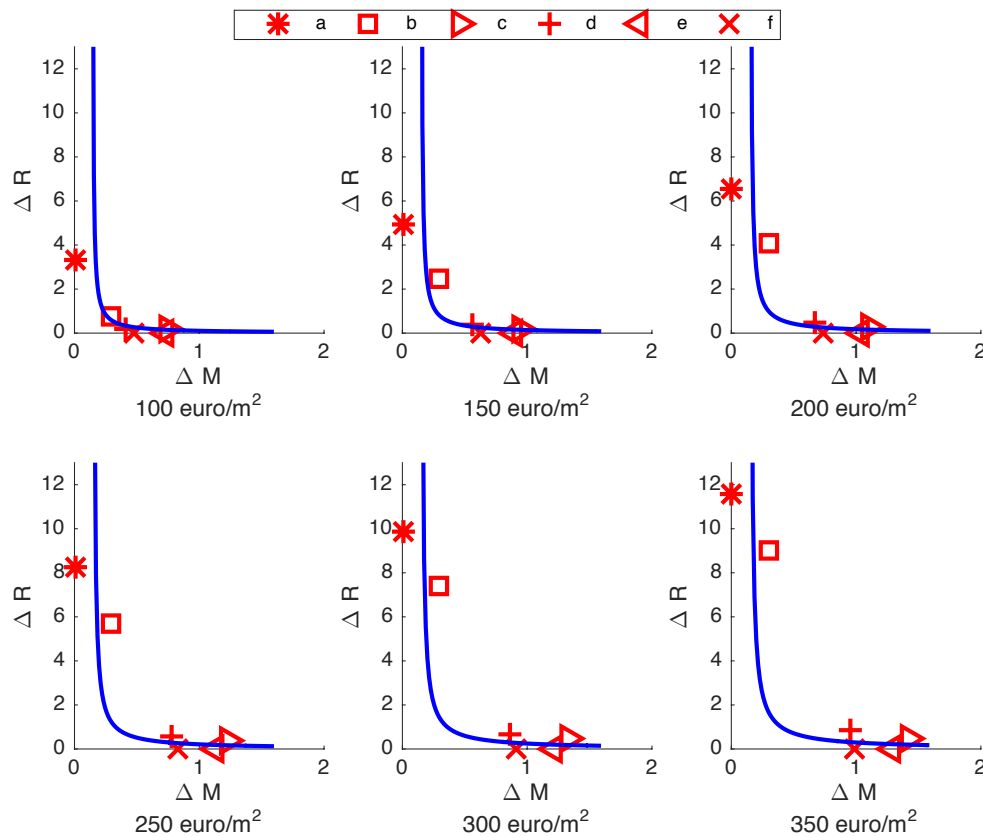
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Looking at Figures 3 and 4 it can be highlighted that CFRP reinforced plaster retrofitting scenario (c) produced the best structural performance while scenario (a) corresponds, as expected, to the most effective thermal performance.

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232 Figure 3: Cost regression lines  $\Delta R$ ,  $\Delta M$  corresponding to six different budgets per square meter for the six  
 233 retrofitting scenarios (a-f), see Figure 1.

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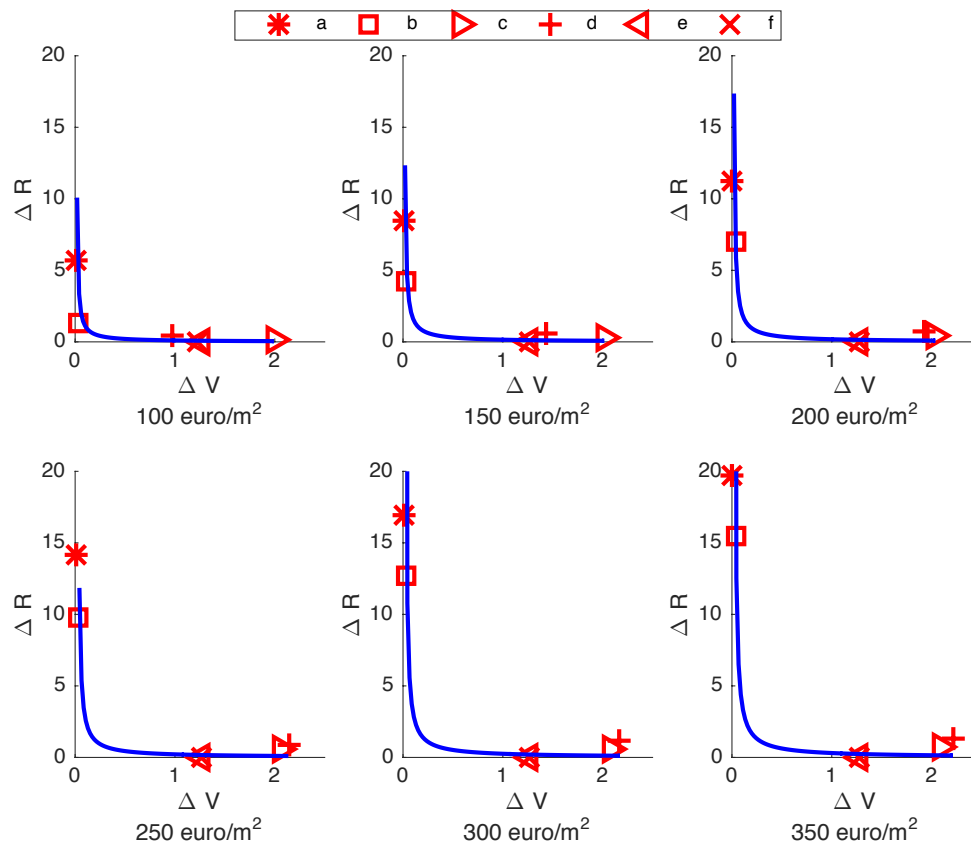
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Table 5: Ecological cost regression coefficients for the  $\Delta R$ - $\Delta M$  plane.

Cost Scenario	$\alpha_0$	$\alpha_1$
10 kg CO <sub>2</sub> /m <sup>2</sup>	0.100	0.1422
28 kg CO <sub>2</sub> /m <sup>2</sup>	0.200	0.1494
46 kg CO <sub>2</sub> /m <sup>2</sup>	0.300	0.1498
64 kg CO <sub>2</sub> /m <sup>2</sup>	0.400	0.1500
82 kg CO <sub>2</sub> /m <sup>2</sup>	0.500	0.1600
100 kg CO <sub>2</sub> /m <sup>2</sup>	0.600	0.1700

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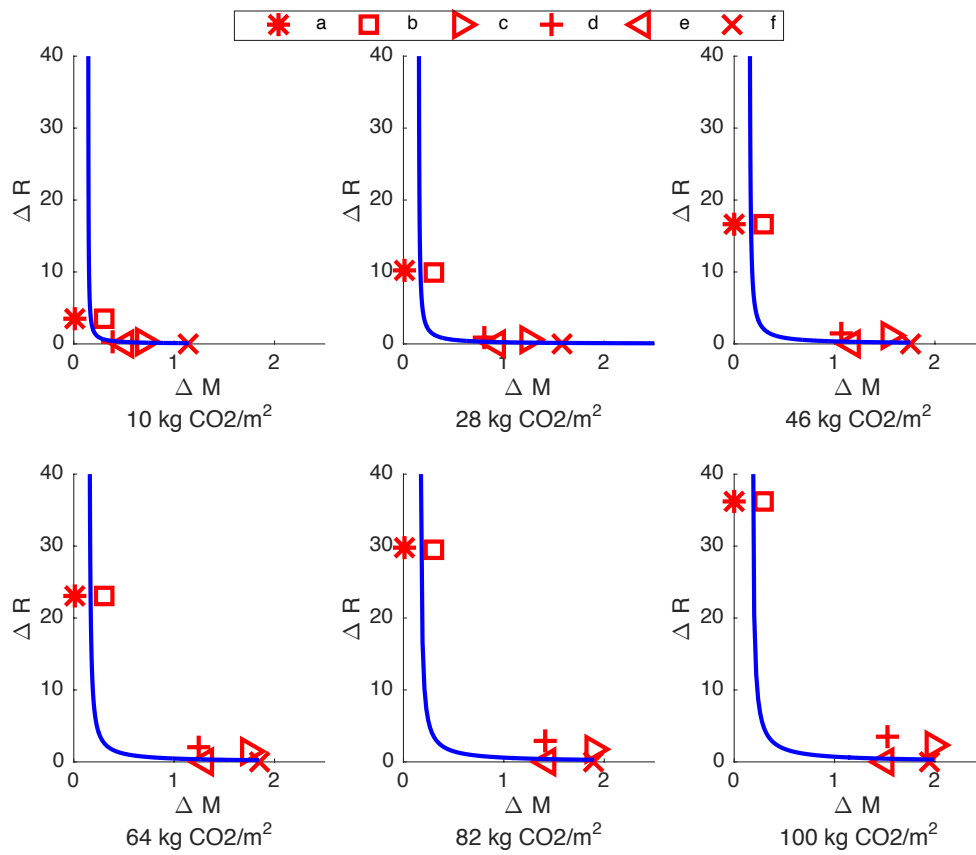
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241 Figure 4: Cost regression lines  $\Delta R - \Delta V$  corresponding to six different budgets per square meter for the six  
 242 retrofitting scenarios (a-f), see Figure 1.

243 Now, it is interesting to consider the problem from another perspective, using no longer an  
 244 *economic cost* but an *ecological cost*. Given that carbon footprint is the total set of greenhouse  
 245 gas emissions during the life cycle of a product, the ecological cost of each retrofitting  
 246 intervention is described in terms of kg CO<sub>2</sub> on a single 1x1 m masonry panel. It should be  
 247 pointed out that this computation does not assess the life cycle carbon footprint of a building,  
 248 focusing only on the masonry component. The detailed kg CO<sub>2</sub> equivalent for each material,  
 249 reported in Table 2, is taken from [54-56].

250 With this aim, a set of hyperbolic regression curve represented in equation (14) has been  
 251 calculated for six scenarios characterized by a fixed mass of CO<sub>2</sub> equivalent, in which the  
 252 ecological cost is constant. The numerical parameters  $\alpha_0, \alpha_1$  of the fitting curves are presented  
 253 in Table 5 and 6, while Figure 5 presents the  $\Delta R - \Delta M$  results and Figure 6 the  $\Delta R - \Delta V$  one.

254



255

256 Figure 5: Hyperbolic regression functions  $\Delta R - \Delta M$  for six different scenarios of Carbon footprint in terms of CO<sub>2</sub>  
 257 equivalent for the six retrofitting scenarios (a-f), see Figure 1.

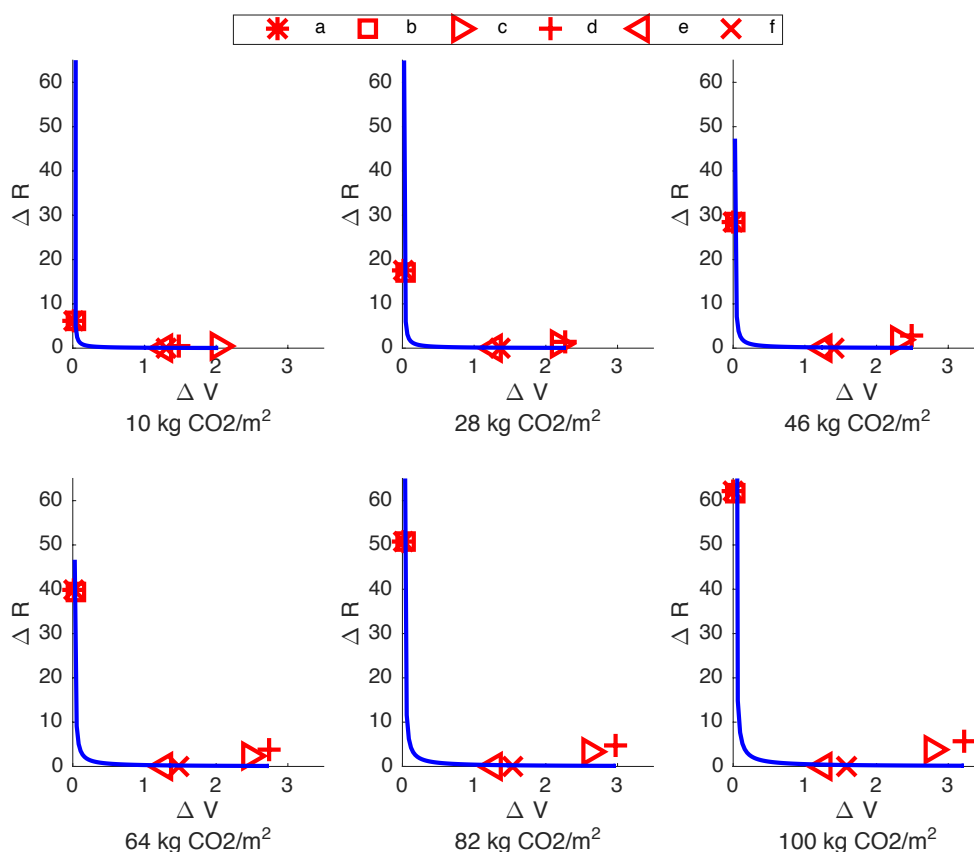
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Table 6: Ecological cost regression coefficients for the  $\Delta R - \Delta V$  plane.

Cost Scenario	$\alpha_0$	$\alpha_1$
10 kg CO <sub>2</sub> /m <sup>2</sup>	0.100	0.0203
28 kg CO <sub>2</sub> /m <sup>2</sup>	0.150	0.0205
46 kg CO <sub>2</sub> /m <sup>2</sup>	0.210	0.0206
64 kg CO <sub>2</sub> /m <sup>2</sup>	0.310	0.0207
82 kg CO <sub>2</sub> /m <sup>2</sup>	0.400	0.0250
100 kg CO <sub>2</sub> /m <sup>2</sup>	0.510	0.0300

260



261

262 Figure 6: Hyperbolic regression functions  $\Delta R - \Delta V$  for six different scenarios of Carbon footprint in terms of CO2  
 263 equivalent for the six retrofitting scenarios (a-f), see Figure 1.

264 It is interesting to highlight that the equivalent CO2 scenarios (a) and (b) produced similar  
 265 performances, while CFRP retrofitting seems to provide the most important structural  
 266 performance in the  $\Delta R - \Delta M$  plane. Finally, the GFRP reinforced polymer obtains better  
 267 structural results in the  $\Delta R - \Delta V$  plane. This last is due to the different CO2 cost of GFRP in  
 268 comparison with CFRP, see Table 2.

269 Thus, Figures 3-6 represents the iso-cost (economic cost or ecological cost) performance  
 270 curves that will be adopted to compare the retrofitting strategies in the next sections.

## 271 5 Local Parameters

272 In this Section the local sites characteristics are quantitatively defined for the Italian case study.

273 As said before, the retrofitting performance analysis should be referred to the specific site in  
 274 which the building is located. Indeed, there are locations in which the seismic risk induces  
 275 more relevant impact than the thermal conditions and others in which the climate conditions  
 276 are more severe than the seismic risk. Assuming as example the Italian peninsula, the seismic  
 277 event, commonly measured throughout the peak ground acceleration (PGA), is mapped in  
 278 Figure 7. In the meanwhile, the thermal effect, commonly measured throughout the Degree

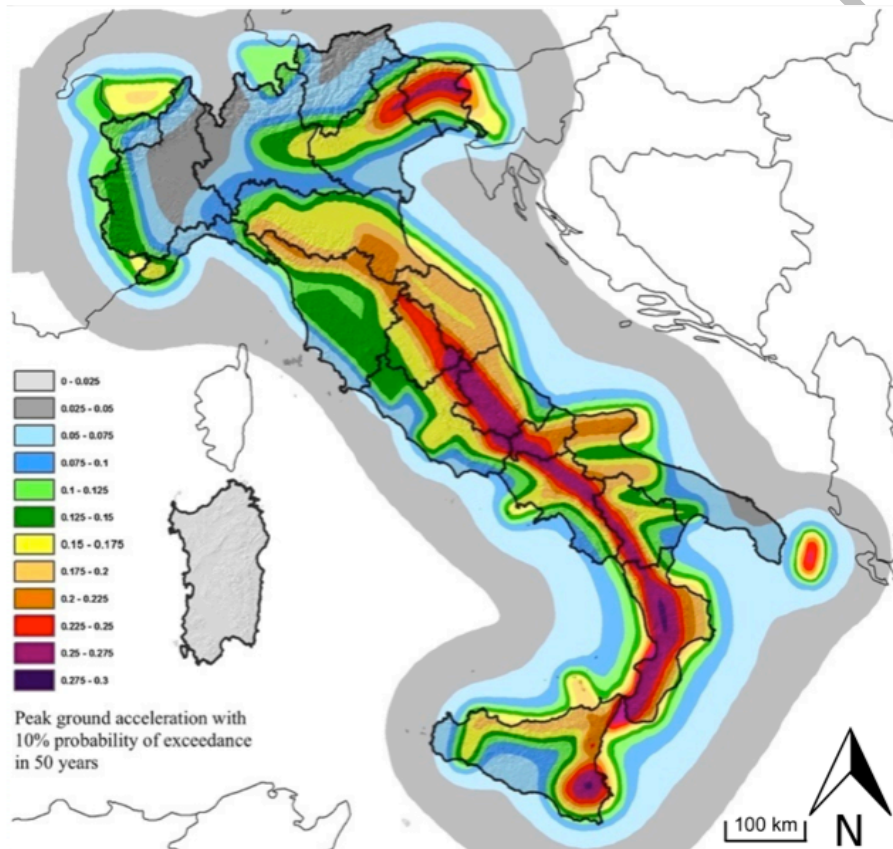
279 Day (DD), is depicted in Figure 8. Thus, both aspects can be detected by the following  
280 dimensionless parameters  $c_R$  and  $c_U$ :

$$281 \quad c_R = \frac{PGA_i}{PGA_{MAX}} \quad , \quad (15)$$

$$282 \quad c_U = \frac{DD_i}{DD_{MAX}} \quad . \quad (16)$$

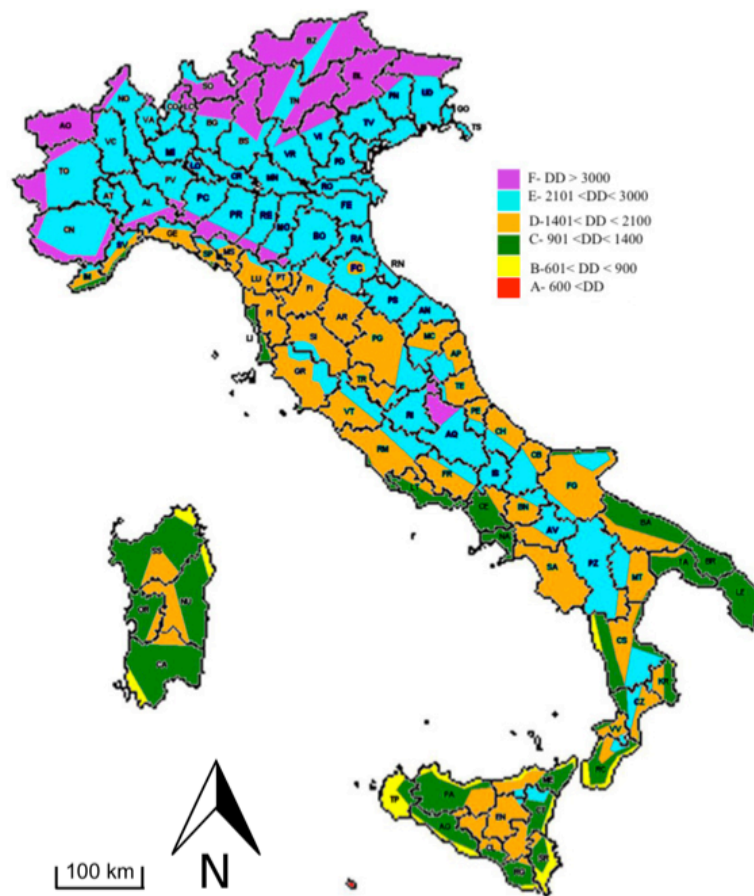
283 where  $PGA_{MAX}$  denotes the maximum PGA of the Italian peninsula and  $PGA_i$  represents the  
284 peak ground acceleration for the considered i-th location of the building. In the same way,  
285  $DD_{MAX}$  is the maximum Degree Day value for the same area and  $DD_i$  is the corresponding  
286 value for the given i-th location.

287



288  
289

290 Figure 7: Italian map of seismic PGA (peak ground acceleration), taken from [57].



291

292

Figure 8: Italian map of heating DD (Degree Days), based on Italian technical code [58].

293  $c_R$  and  $c_U$  parameters represent a “weight” of the structural and energy requirements in that  
 294 area. The Italian peninsula is divided into 107 districts, assigning conventionally to each of  
 295 them the values of  $P_i$  and  $DD_i$ , see Figure 7 and Figure 8, respectively. Equations (15) and (16),  
 296 define  $c_R$  and  $c_U$ , allowing to rank each district for both weather conditions and seismic risk.

297 Four emblematic locations have been considered:

- 298 - Torino (low seismic load, high thermal requirements)
- 299 - L’Aquila (high seismic load, high thermal requirements)
- 300 - Catania (high seismic load, low thermal requirements)
- 301 - Cagliari (low seismic load, low thermal requirements).

302 The values of  $c_R$  and  $c_U$  are presented in Table 7:

303

Table 7: Site parameters for the considered cases.

Location	$c_R$	$c_U$
Torino	0.228	0.507
L’Aquila	0.868	0.487
Catania	0.782	0.161
Cagliari	0.196	0.192

304

305 A possible criterion to design masonry panel retrofitting intervention is to fix the ratio between  
 306 the thermal and structural performance improvements using the above-mentioned parameters.  
 307 Equation (17) presents the proposed criterion for the  $\Delta R - \Delta M$  performance plane while  
 308 equation (18) the one for the  $\Delta R - \Delta V$  plane.

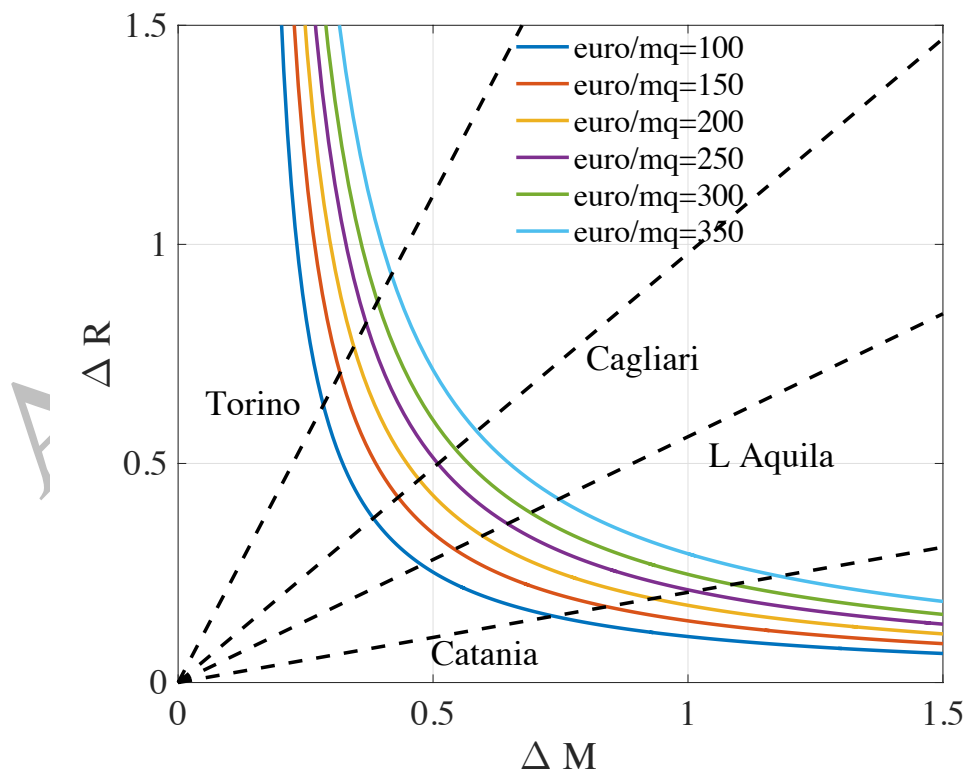
$$309 \quad \Delta R = \alpha \frac{c_R}{c_U} \Delta M \quad (17)$$

$$310 \quad \Delta R = \alpha \frac{c_R}{c_U} \Delta V \quad (18)$$

311  $\alpha$  represents a tuning parameter that can be assigned by the political decision-makers. Indeed,  
 312 it is possible to encourage thermal retrofitting interventions versus structural ones. Without  
 313 political needs  $\alpha$  can be assumed equal to one.

## 314 6 Results

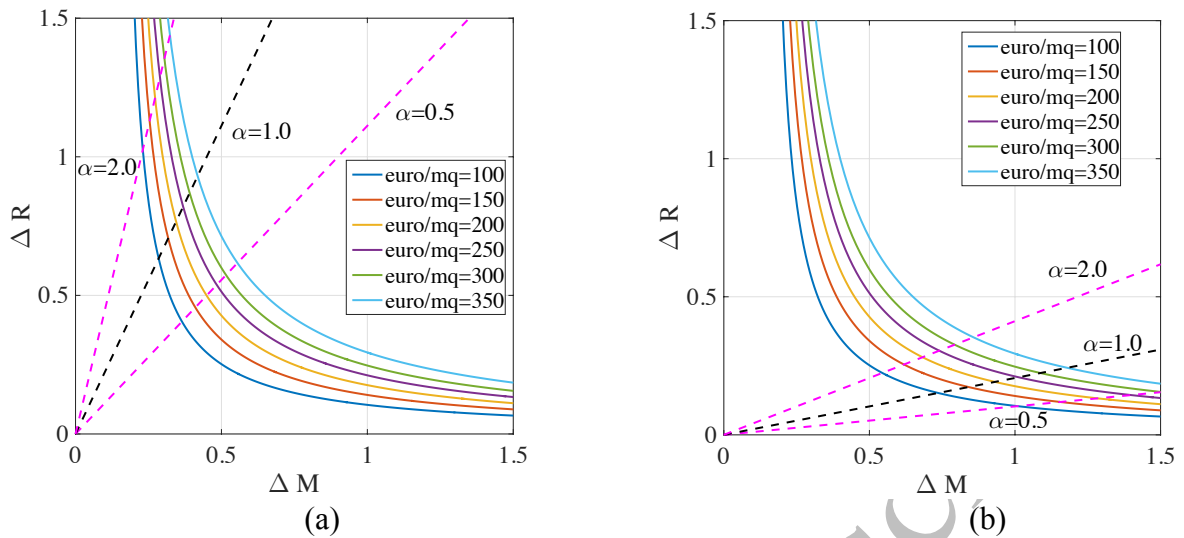
315 For the above mentioned four characteristics locations in Italy (Torino, L'Aquila, Cagliari,  
 316 Catania) the criteria represented in equations (17-18) and the economic or ecological cost  
 317 regression line can be plot on the  $\Delta R - \Delta M$  plane (Figure 9 -11) or on the  $\Delta R - \Delta V$  plane (Figure  
 318 12 and 13). These Figures represent a synthetic way to evaluate retrofitting scenarios for the  
 319 different locations linking the performance parameters  $\Delta R$ ,  $\Delta M$ ,  $\Delta V$  to the economic or  
 320 ecological costs. Each crossing between a retrofitting criterion (equations 17-18) curve and a  
 321 cost regression one represents an optimal retrofitting solution.



322 Figure 9: Retrofitting strategy considering economic costs for plane  $\Delta R - \Delta M$ .  
 323



324



325

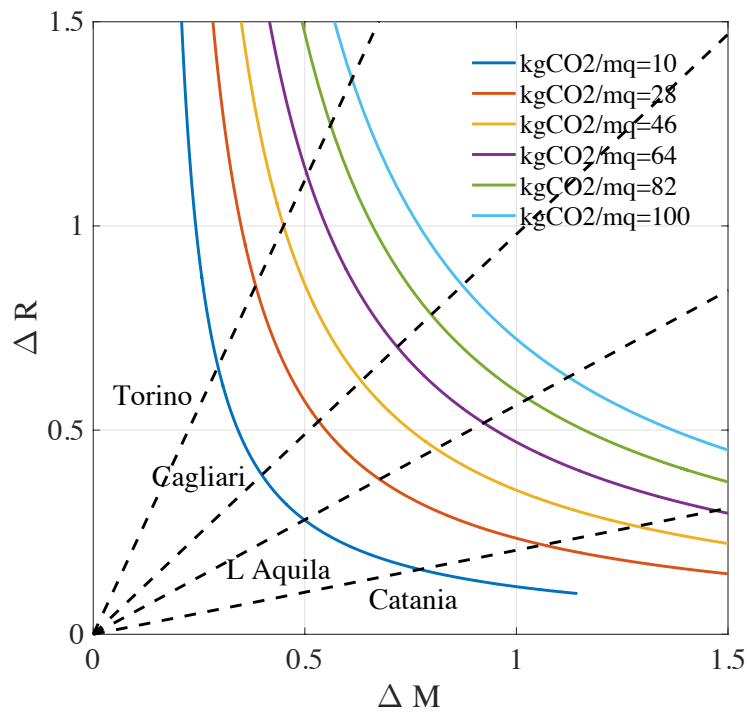
326

Figure 10: Torino (a) and Catania (b) retrofitting strategies for different values of the  $\alpha$  parameter.

327 Figure 10 presents the specific case of Torino and Catania using different values of the  $\alpha$   
 328 parameter (the so called "political parameter"). Indeed, varying  $\alpha$  it is possible to modify the  
 329 results of the retrofitting intervention in order to follow different political strategies. Figure 10  
 330 shows that the mentioned approach produces a wide set of retrofitting cases for Torino, while  
 331 in the Catania case the specific conditions tend to encourage structural intervention in contrast  
 332 to thermal ones. It means that in Torino the value of  $\alpha$  can have a stronger influence on the  
 333 optimal solution.

334 Figure 11 presents the possible retrofitting strategies that follow equation (17) considering the  
 335 ecological cost (in terms of  $\text{CO}_2$  kg) in the  $\Delta R - \Delta M$  plane. As already discussed in case of  
 336 Torino, thermal retrofitting is more relevant than structural one. On the other hand, the same  
 337 quantity of  $\text{CO}_2$  kg can be used to improve the structural resistance of the masonry wall in  
 338 Catania.

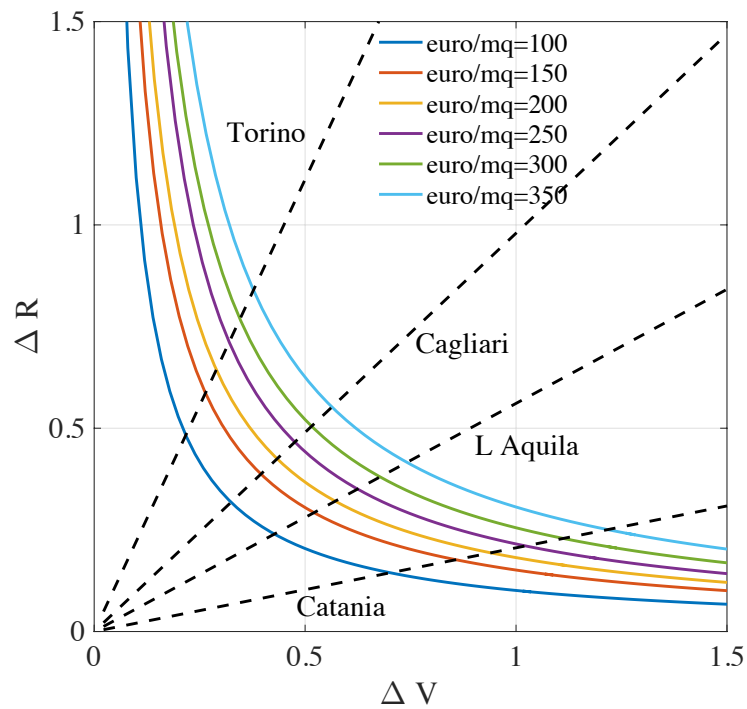
339 Finally, considering the  $\Delta R - \Delta V$  plane, Figure 12 presents the economic cost analysis of the  
 340 retrofitting interventions while Figure 13 the ecological cost in terms of  $\text{CO}_2$  production.  
 341 Comparing Figures 12-13 with the corresponding Figures 9 and 11 the shear strength increment  
 342  $\Delta V$  can be obtained with lower cost than the equivalent  $\Delta M$  improvement. This can be due to  
 343 the adopted structural model presented in Section 3 and to the assumed specific costs presented  
 344 in Table 2.



345

346

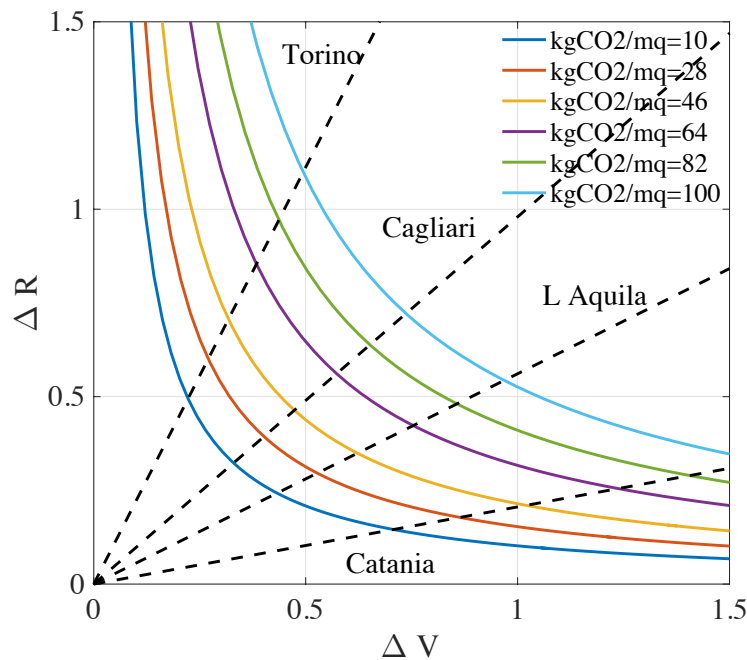
Figure 11: Retrofitting strategy considering ecological costs for plane  $\Delta R - \Delta M$ .



347

348

Figure 12: Retrofitting strategy considering economic costs for plane  $\Delta R - \Delta V$ .



349

350

Figure 13: Retrofitting strategy considering ecological costs for plane  $\Delta R - \Delta V$ .

## 351 7 Conclusions

352 In this paper, the problem of retrofitting a single unitary masonry wall has been assessed  
 353 considering both structural and thermal performances in a cost analysis framework.

354 First, six representative retrofitting interventions have been parameterized by the improvement  
 355 of thermal resistance, bending moment and the shear structural strength.

356 The unitary economic ( $\text{€}/\text{m}^2$ ) and the ecological ( $\text{kg CO}_2/\text{m}^2$ ) costs of the retrofitting have been  
 357 analyzed to obtain regression functions that allow a direct comparison of different actions.

358 The peculiarity of the local sites has been accounted with specific parameters based on the  
 359 seismic and the thermal demands. The approach has been shown on four different Italian cities  
 360 representing different local conditions.

361 The comparison between ecological and economical cost allowed to highlight the  
 362 characteristics of the different interventions. Thermal performance proved to be more  
 363 important in cold weather conditions (Torino) while structural retrofitting is preferable in high  
 364 seismic risk areas (Catania). In addition, the political parameter  $\alpha$ , seems to have a bigger  
 365 impact in low risk seismic area (Torino and Cagliari) than in higher seismic area (Catania and  
 366 L'Aquila).

367 The main results presented by Figures 9-13 are a synthetic view of the possible alternative  
 368 masonry building retrofitting strategies. In this way given a fixed cost (economic or ecological)  
 369 it is possible to find the best solution taking into account the local site characteristics. Thus, in  
 370 order to plan an urban redevelopment plan a set of graphs like those presented in Figures 9-13

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371 can give to the political decision makers an effective and synthetic view of this complex  
372 problem and its possible solutions.

373 An extension of this study to other countries is possible once the seismic PGA map and the  
374 degree days analysis is available for the considered areas. Currently, there are several territories  
375 with these information (whole Europe, North America, far east Asia etc.)

376 Further developments of this approach are expected considering other constructive  
377 components, in order to analyze an entire building, but also other types of construction. Indeed,  
378 an extension of existing concrete and steel frames can be useful. Clearly, in these cases the  
379 retrofitting interventions should be updated for the specific structural and thermal  
380 requirements.

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