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**An Adaptive nonlinear autoregressive ANN model for high time resolution traffic noise predictions. Experimental results for a port city waterfront.**

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1 **An Adaptive nonlinear autoregressive ANN model for high time resolution traffic noise**  
2 **predictions. Experimental results for a port city waterfront.**

3  
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13  
14 **Abstract.**

15 In this research study an adaptive recurrent artificial nonlinear neural network identification model has  
16 been developed and experimentally tested for dynamically predicting the traffic noise level  $L_{eq,1'}$  with a time  
17 refinement of 1 minute. The model has been successfully applied in three selected positions, representative of  
18 the waterfront in a Mediterranean port city. Several maritime cities are exposed to a wide range of road traffic  
19 fluctuations that negatively impact liveability in the area concerned. Large volumes of road traffic periodically  
20 access the port, dynamically affecting the acoustic scenario in neighbouring areas, especially in seaside towns  
21 during the tourist season. A signalized intersection, a roundabout, and a wide entrance to a vehicular underpass  
22 have been analyzed in the course of two characteristic periods, during which traffic ranged widely from normal  
23 to peak yearly intensity. Detailed traffic data for 15 road lanes and noise sequence regressors have been  
24 considered as input data sources. This exploratory investigation reveals a good predictive performance of the  
25 model developed, the prediction error of  $L_{eq,1'}$  falling prevalently within the range  $\pm 0.5$ dB. The experimental  
26 profile of  $L_{eq,1'}$  is well reflected by the simulated sequence, and the auto and cross correlation functions  
27 confirm how well the identified neural model is able to explain the functional dependence underlying the  
28 experimental observations.

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32 **Keywords:**

33 Traffic noise prediction model; dynamic model; nonlinear autoregressive neural network;  
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39 Nomenclature

40	$[I]$	represents the neural network input matrix. It includes data about the traffic flow;
41	$L_{eq,1'}$	represents the acoustic pressure level measured by the sound level meter, obtained by averaging over an integration time of 1 minute [dB];
42		
43	$[T]$	represents the target matrix. It includes the information of the physical quantities describing the noise level;
44		
45	$\tau_i$	represents the time at $i^{\text{th}}$ instant [min];
46	$[t \neq d]$	indicates the traffic flow data. It represents the exogenous input matrix that incorporates the time sequences $\dot{V}(\tau)$ , $v(\tau)$ describing the temporal evolution of the flow rates and the speed for each vehicle class and lane that composes the road section, under consideration. <del>From</del>
47		
48	$v$	average value of the different speeds of a class of vehicles measured at a given point by the traffic sensor during a time of 1 minute
49		
50	$\dot{V}$	traffic flow rate in terms of vehicles per unit time crossing a considered section of a roadway lane, $\left[ \frac{\text{number of vehicles}}{\text{min}} \right]$ ;
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52		
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54	$ _{\tau_i}$	denotes a physical quantity evaluated at time $\tau_i$ ;
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## 57 1. Introduction

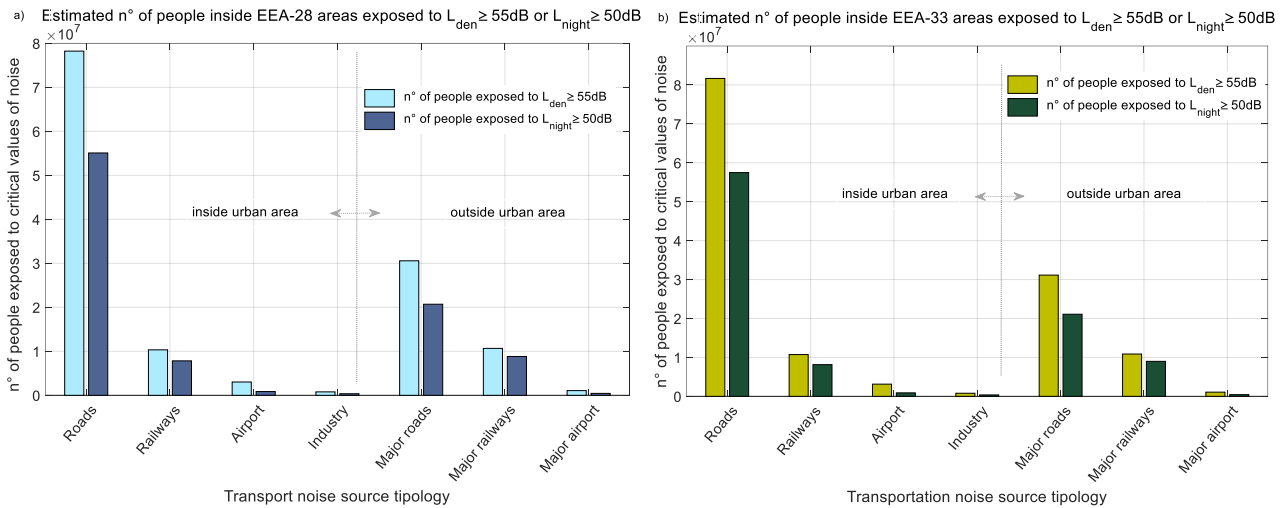
58 Nowadays, the control and abatement of the urban noise transversally pervade the different aspects of  
59 spatial planning whereas the implications on the natural and built environment, as well as on human wellbeing  
60 have become paramount in the modern age.

61 Several studies of the European Environment Agency (EEA) [1] show that the dominant source of noise  
62 pollution results from road traffic. An overview of the estimated number of people that are exposed to the  
63 noise level indicators  $L_{den}$  and  $L_{night}$  equal to or exceeding the threshold values of 55 dB and 50 dB is depicted  
64 in fig. 1 for different transportation sources. Traffic noise poses severe health challenges, diminishes the quality  
65 of life and negatively impacts the livability character of the urban and indoor spaces [2] and [3].

66 Devising specific tools for reducing noise exposure and setting proper mitigation actions can play a key  
67 role in improving the welfare of mankind. This explains why several researchers involved in applied acoustic  
68 concepts, urban planners and software developers have spawned (since the pioneering studies started in the  
69 early 1950s [4]) a plethora of studies to expedite the development of tools for traffic noise prediction.

70 Two classes of modelling techniques are generally adopted to determine the noise level from vehicular  
71 traffic: static and dynamic.

72 Static models, in the main, are oriented towards providing an acoustic representation in the spatial domain  
73 rather than over time [5-6]. For this reason, the applicability of statistical models is restricted to acoustical  
74 context where the time-varying effects are not essential. However, this approach becomes quite inadequate for  
75 reproducing the dynamic response of the acoustic field when the traffic scenario evolves continuously over  
76 short periods of time. Most conventional models accept stationary representation of the noise sources as input  
77 data, generating a corresponding crystallized timeless picture of the noise field as output, [7].



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Fig. 1 Number of people exposed to values of  $L_{den}$  and  $L_{night}$  equal to or exceeding the threshold values of 55dB and 50dB, respectively. Box a) concerns people belonging to the European Union member states, while box b) refers to people belonging to the member countries of the Economic European Area. All states are counted before the Brexit decision was implemented. All data are extracted from reported data on noise exposure covered by Directive 2002/49/EC provided by European Environment Agency (EEA) and published 21 Nov 2019.

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Specifically, under free-flowing traffic conditions the noise field represents the favorable scenario for noise level prediction when using static models. Conversely, non-free-flowing traffic conditions, such as at signalized intersections or roundabouts, could be critical due to the complexity inherent in the relative random influence, (as noted by S. Abo-Qudais in [8]).

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A critical review and details of these standard methodologies can be found in excellent studies conducted by Naveen Garg and Sagar Maji in [9], by J. Quartieri in [10], by C. Guarnaccia et al in [11] and by C. Steel in [12]. The limitations of the static models are discussed in [7] by Quartieri et al who carried out an experimental validation of different static models, revealing a significant discrepancy between predicted and measured values.

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### 1.1 Traffic noise prediction model based on AI.

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Since the earliest study published in 1952, the advancements in the modeling approach were primarily inspired by the effort to incorporate more detail and explanatory variables into the physical description of the noise event, so as to derive an increasingly accurate, but still tractable, simulation tool. The physical approach

108 requires modeling the functional dependence underlying the acoustic wave phenomena. An interesting and  
109 detailed account of the existing physical approaches is presented by M. Hornikx in [25-26] and by H. Wang  
110 in [27].

111 Many improvements have been achieved over the decades, nevertheless accurate dynamic predictions of  
112 road traffic noise, based on a physical description approach, still remains a challenge.

113 During the last three decades the modeling approach has been further developed by exploring the  
114 applicability of alternative options, offered by artificial intelligence concepts, for solving road noise issues.

115 Many methods that rely on machine learning modeling techniques can be applied for predicting the influence  
116 of vehicular traffic on urban noise. For instance, regression decision trees, support vector regression,  
117 ensembles, fuzzy logic, and artificial neural networks (ANN) methods are examined in recent studies by many  
118 authors as L. Bravo-Moncayo in [28], N. Genaro in [29], V. Nourani in [30], M. .Ali Khalil in [31], A. Sharma  
119 in [32] J. Tomić in [33], and N. Garg in [34]. The most common and successful heuristic methods are based  
120 on the ANN algorithms. Indeed, the universal applicability of ANN in modeling, classifying, controlling, and  
121 predicting complex systems with an acceptable level of accuracy, of insensitivity to noisy data and tolerance  
122 to of input data incompleteness, is one of the reasons for the recent vivid interest in developing ANN models  
123 for complex traffic noise prediction problems. Furthermore, the black-box paradigm of the neural network  
124 allows one to bypass the preliminary stage consisting in the implementation of specific physical laws of the  
125 acoustic wave propagation mechanism and related boundary conditions. This aspect is quite attractive because  
126 the preliminary stages for implementing the topographic and acoustic properties of the urban and the natural  
127 environment (with the proper refinement), in addition to noise source representation may have a significant  
128 impact on the time required for fine-tuning a physical model

129 At present, numerous excellent works are reported in the literature that propose theoretical and  
130 experimental validation studies employing ANN modeling techniques for traffic noise issues.

131 G. Cammarata in [35] and in [36] proposed a neural architecture for traffic - noise prediction in three Sicilian  
132 cities. A two-stage architecture was examined in [36]. A first preliminary stage consisting of a Learning Vector  
133 Quantization network was used for filtering measurements affected by error followed by a stage consisting of  
134 a back propagation (BP) network for predicting the pressure level. The size of the training set was equal to  
135 70% of the entire data. The results show the proposed approach to outperform the classical relationships  
136 reported in the pertinent literature. In [37] V. Nedic et al proposed an interesting application of an ANN to  
137 traffic noise prediction. The authors adopted a feed-forward (FF) BP scheme. The ANN was trained and tested  
138 under steady-state traffic conditions on a Serbian motorway. The training and test sets comprised 70% and  
139 30% respectively of the whole dataset. The results revealed that the ANN algorithm outperformed any other  
140 statistical method in predicting the traffic noise level. A. J. Torija et al In [38] proposed an interesting study  
141 on the use of a BP algorithm for predicting the short - term level of  $L_{eq,5'}$  and the evolution, in the frequency  
142 domain, of sound pressure level for a physical characterization of the urban soundscape. Traffic features, street  
143 geometry, type of day and period, stabilization time of the sound level, and characterization of the location  
144 were considered as input data. The training, validation and test sets were equal to 80%, 5% and 15%

145 respectively of the whole dataset. A prediction error for  $L_{eq}$  lower than 1.88% and than 3.07% for the spectral  
146 composition was obtained. In [39] sixteen different FF-BP ANN models were calibrated and tested by K.  
147 Hamad for modeling traffic noise in a hot climate. The training and test sets size were respectively 85% and  
148 15% of the entire dataset. The authors carried out a sensitivity analysis over the adopted explanatory variables  
149 (distance from edge of the road, light and heavy-duty vehicle volume and composition, average speed, roadway  
150 temperature) to shed some light on the black-box paradigm of the neural network. In [40] V. Nourani et al.  
151 presented the first application of the Emotional ANN (EANN) as a new generation of neural network methods  
152 for predicting the equivalent noise level  $L_{eq,15'}$  from road traffic noise in Nicosia. The traffic volume was  
153 found to be the most significant contributing factor whereas heavy-vehicle volume was found to be the least  
154 (in accordance with the study [38] mentioned above). No information is provided about the composition of the  
155 training, validation, and test sets.

156 P. Kumar et al in [41] trained a multilayer feed forward BP neural network by using the Levenberg–  
157 Marquardt algorithm for predicting highway traffic noise in an Indian scenario. A location characterized by  
158 free-flowing-traffic conditions, avoiding sources of interruptions, was selected omitting nighttime traffic  
159 volumes from the predictions. The proposed ANN model was used to predict 10 percentile levels ( $L_{10}$ ) and the  
160 equivalent continuous sound level in dB(A) using as source of input data the average hourly values of the  
161 traffic flow. A Comparison between regression analysis and experimental values revealed a percentage training  
162 error ranging from -4.2 to 2.7 for  $L_{10}$  and from -5.1 to 2.6 for  $L_{eq}$ , while for the test samples the error is within  
163 the range -4.1% to -0.1% for  $L_{10}$  and -4.8% to 0.5% for  $L_{eq}$ . The ANN model outperformed the regression  
164 analysis. Indeed, the training error of the ANN model lies within the range -0.8% - 1.0% for  $L_{10}$  and -1.5% -  
165 0.9% for  $L_{eq}$ , while for testing samples the error ranges from -1.7% to 1.8 for  $L_{10}$  and from -0.6% to 1.5% for  
166  $L_{eq}$ . The training and test set sizes were 80% and 20% respectively of the whole dataset composed of 46 hourly  
167 records.

168 In [30] V. Nourani et al. adopted an original ensemble approach for combining the response of four  
169 different models aimed to improve traffic noise prediction performance in Nicosia. Three AI-based models  
170 (fuzzy, neural network, support vector regression algorithm) were employed while the fourth was based on a  
171 conventional multilinear regression model. Measurements were performed during daytime (omitting  
172 nighttime) at observation points carefully selected for avoiding as much spurious background noise as possible.  
173 The ensembled model was then used to predict the equivalent sound level  $L_{eq}$ , considering 15 minutes  
174 integration time and using traffic composition and average vehicle speed as input data..

175 L. Chen in [42] developed a neural network model for traffic noise prediction in a mountainous city. A  
176 multilayer feedforward ANN model was trained using experimental data measured in a municipal road in a  
177 hilly Chinese city (Chongqing). Measurements were performed, at observation points carefully selected for  
178 operating under free-flowing traffic conditions. The proposed ANN model was used to predict the per-vehicle  
179 noise levels and the corresponding equivalent sound level pressure. Comparison between the neural model and  
180 the Chinese standard HJ 2.4-2009 revealed a significant improvement over the empirical equations.

181 In [43] S. Givargis et al. presented a basic Multi-Layer Perceptron (MLP) model for predicting hourly  
182 equivalent sound pressure levels. The authors conducted a comparison with the CORTN model in order to  
183 investigate whether a neural network can be used in a statistical manner to model traffic noise for Tehran's  
184 roads. The result of the study revealed the ability of the MLP model to provide a description of the traffic noise  
185 consistent with the conventional statistical approach of the CORTN model.

186 In [44] D. Singh et al investigated using four different soft computing methods, (generalized linear model,  
187 decision trees, random forests, and neural networks), for predicting continuous hourly  $L_{eq}$  at different free-  
188 flow traffic location locations in an Indian city. The Random Forests method outperformed the other  
189 approaches in predicting the sound pressure level.

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## 192 *1.2 Short-term traffic noise prediction in waterfront of seaport cities.*

193 From the above overview, an exiguous number of studies emerges that have probed in developing  
194 dynamic models for traffic noise prediction in complex urban contexts where actual traffic conditions cannot  
195 be properly described by a priori and restrictive assumptions on traffic behavior and background noise.  
196 Continuous traffic flow regime or general features drawn from regular scenarios even under unperturbed  
197 boundary conditions, are, in some cases, unrealistic assumptions that do not adequately account for the acoustic  
198 field in response to the short - term evolution of traffic sources and under real operating conditions.

199 The motivation of the present work stems from the following consideration. Several waterfront cities  
200 experience through traffic of private and commercial vehicles attracted by port activities, especially in tourist  
201 destinations. During the peak season the soundscape of an urban waterfront in port cities undergoes substantial  
202 changes throughout the day and night on an hourly or sub-hourly time scale that however is not always  
203 univocally and a priori predictable. This phenomenon is caused by the ferry traffic which tangibly has a direct  
204 impact on the vehicle flows through the road network in urban waterfronts in port cities. Exposure to noise in  
205 urban port waterfront areas takes on a different connotation compared to other urban contexts, particularly in  
206 those cities with commercial ports strongly impacted by the seasonality of tourism demand. The concentration  
207 of ferry departures and arrivals, during the peak season, generates a large amount of tourist with an exceptional  
208 intensification of the vehicular and heavy lorry traffic (in comparison with the average yearly trend),  
209 conditioning driving strategies and behavior, especially when drivers have to comply with boarding times.

210 This phenomenon occurs with an unpredictable and variable time scale during days of the peak tourist  
211 season and culminates every weekend. In the case at hand, the traffic flow can increase by about (730-1000)%.  
212 The consequences on the acoustic pressure generated by vehicle engines are easy to imagine. Therefore, the  
213 presence of an urban port exposes the neighbouring areas to a wide range of temporal variation in traffic  
214 volumes, potentially creating complex constantly evolving acoustical scenarios [45]. Certain buildings may  
215 thus be subject to annoying traffic noise, as low levels in normal times alternate with heavy traffic volumes on  
216 road connections to the port. Changes in vehicular flow intensity and composition occur during boarding and  
217 disembarking. Exceptional increases in traffic flows during port operations negatively impact the livability of

218 the waterfront areas as well as the tourist appeal of the city as a whole. Thus, the waterfront area is solely  
219 perceived as a thoroughfare for reaching quieter places.

220 Static traffic–noise models, based on purely statistical assumptions, or even dynamic models operating  
221 with a time refinement of an hour or longer are not able to satisfactorily incorporate and reproduce the dynamic  
222 features in similar acoustical contexts with the proper time scale and accuracy.

223 Therefore, similar approaches could lead to unrealistic results in predicting the impact of traffic  
224 management strategies aimed at reducing urban noise.

225 From the foregoing discussion, it would be advisable that a traffic noise prediction model, designed to  
226 identify a series of predefined traffic management strategies and to combat the uncontrolled evolution of the  
227 traffic noise levels in urban waterfronts, needed to be dynamic, accurate and to operate in real time with the  
228 actual traffic conditions at time scales smaller than the considered acoustic phenomenon. Analysis of the  
229 pertinent literature showed that a full transient dynamic model that predicts the equivalent noise level, from  
230 the traffic flow, with a small prediction error (lower than 1 dB) and a small-time refinement (1 minute), is not  
231 yet available.

232 Consistent with this overarching objective, we addressed the problem of identifying a dynamic model that  
233 was able to replicate, or at least approximate, the data generation mechanism by means of which the flow and  
234 composition of vehicle traffic produces noise levels in a specific urban context. Therefore, we implemented a  
235 dynamic prediction model architecture with exogenous inputs that dynamically predicts noise level trends,  
236 with a short time refinement and a small prediction error, as the noise sources, arranged in different traffic  
237 scenarios, vary.

238 An adaptive nonlinear autoregressive recurrent dynamic neural network model has been developed,  
239 trained, and experimentally tested for the short-term prediction of the equivalent noise level  $L_{eq,1'}$  generated  
240 by vehicular traffic in the port city of Olbia chosen for the case study. Olbia, a medium sized city on the  
241 Mediterranean Sea exhibits the peculiar characteristics of a port city with seasonal variations in traffic volumes,  
242 due to the major tourism flows attracted by the port. Once the area of interest was suitably scaled down to be  
243 more appropriate with the research objectives, the exploratory analysis focused on the area affected by  
244 direction of the traffic traveling to the Isola Bianca quay for freight and passenger loading and unloading  
245 operations.

246 The neural model simultaneously predicts the experimental sequence of the time averaged equivalent  
247 sound pressure level  $L_{eq,1'}(\tau)$  of the traffic noise at three different locations, representative of the acoustic  
248 field in the waterfront line: i) a signalized intersection, ii) a roundabout, and iii) the entrance to a vehicular  
249 underpass.

250 The three locations exhibit a wide variability and different traffic conditions and were selected for the  
251 significant correlation between vehicular traffic and port activities, observed during preliminary monitoring  
252 carried out over a period of several years prior to the present study.

253 The architecture adopted for developing the model belongs to the so called NARX models [46] and is  
254 nonlinear, autoregressive and accepts exogenous input and feedback regressors as source of input data. The

255 intensity and the composition of the traffic flows have been used as exogenous inputs, while regressors of the  
256 acoustic output have been employed as feedback input.

257 Moreover, the model is based on a restricted number of experimental observations of the traffic noise  
258 event, circumventing the need for a preliminary data collection stage for incorporating all possible occurrence  
259 of the traffic noise phenomenon.

260 Indeed, this type of prediction system is employed for its ability to generalize the results and hence to  
261 associate correct responses even to input signals not previously contemplated or with missing or partially  
262 missing information. The prediction capability of the NARX model is demonstrated over a 6-day time span  
263 measured in two distinct periods of the year: for normal and peak traffic conditions.

264 The neural model, once has been trained, is able to operate in multi-step head prediction mode. The time  
265 required for provide predictions with a time refinement of 1 minute is less of 14 [ms]. Therefore, the developed  
266 model operates in real time mode, with respect to the considered time frame of 1 minute. These features offer  
267 potential for supporting the decision processes in traffic management with short- term refinement where the  
268 ability to predict the effects of different traffic strategies can be very useful

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## 271 **2. Description of the measuring periods and selection of the measuring points and methods.**

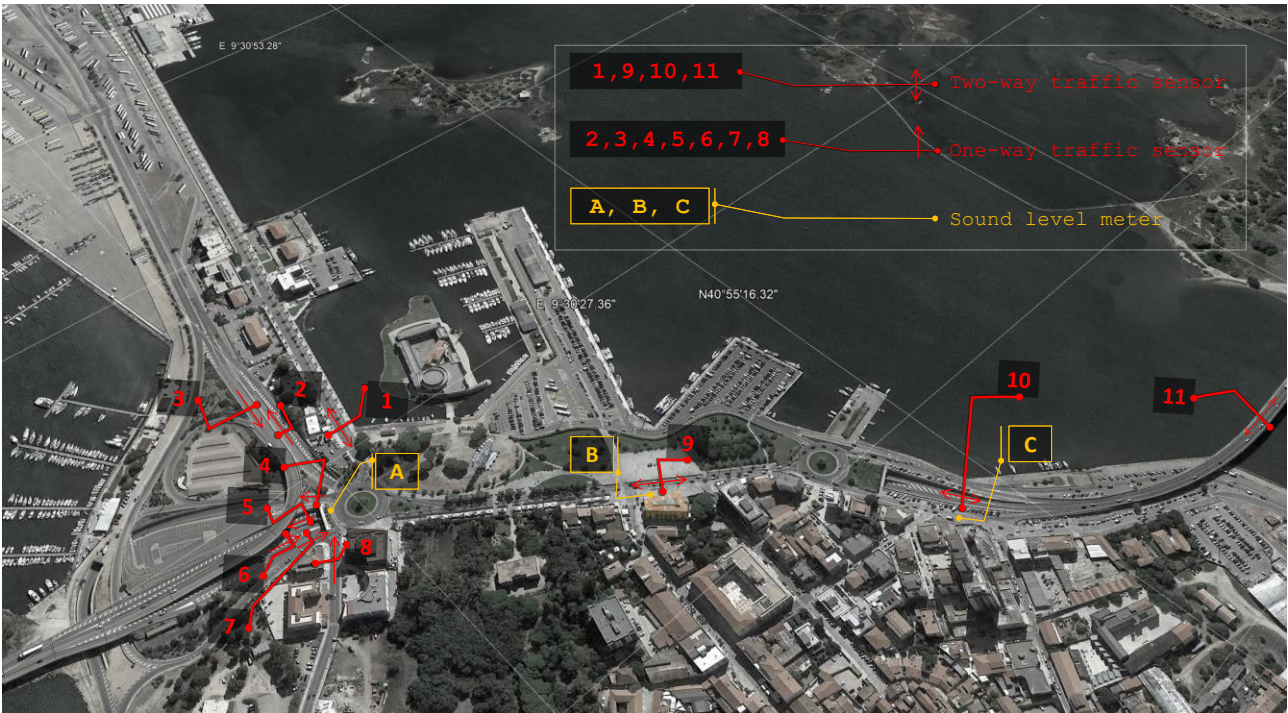
272 Fig. 2 shows a satellite image of the urban area of the Olbia's waterfront indicating the distribution of the  
273 traffic sensors and three sound pressure meters are schematically reported. A roundabout, a signalized  
274 intersection, and a wide entrance to a vehicular underpass, the most exposed area to traffic volume generated  
275 by port activities, mark the imaginary line of the waterfront city and have been acoustically monitored during  
276 the measuring periods being. The distribution of the road sections was decided on the basis of our intention to  
277 monitor all those sections along the preferential routes in and out of the port and that provide access to the  
278 city's waterfront. In addition, we considered sources of traffic congestion such as the signalized intersections  
279 and roundabouts along the port waterfront (see measurement points A e B shown in Fig. 2).

280 A total of 11 road sections were continuously monitored, as shown in Fig. 2. Note that four road sections  
281 are two-way roads (sections 1, 9, 10, and 11), while the remaining 7 are one-way streets (2, 3, 4, 5, 6, 7, and  
282 8), making a total of 15 road lanes.

283 The representativity of the selected points was confirmed by preliminary noise level measurements in  
284 both periods that in a first approximation showed a close correlation of the traffic volume fluctuations with the  
285 corresponding variations in noise levels.

286 The area is a popular tourist destination with marked seasonal variations. Indeed, the volume and  
287 composition of traffic along the city's waterfront can be divided into two very different periods. The first is  
288 the normal (off-season) period,  $T_{\text{off-s}}$ , from October to May where traffic volumes are mainly generated by the  
289 daily routine of the residents and by ordinariness of production activities. The second is the peak period,  $T_{\text{pk-s}}$ ,  
290 that goes from June to September where traffic volumes increase substantially by comparison with the average  
291 yearly trend because of the large number of tourists arriving at and departing from the port. During these

292 months vehicle traffic increases substantially by about 730 – 1000%, culminating in the third weekend in  
 293 August.  
 294



295  
 296 Fig. 2. Monitoring area of the Olbia waterfront. Optimized selection of vehicle traffic measurement points (red) and sound pressure  
 297 level meters (yellow).  
 298



299  
 300  
 301 Fig. 3 Local contextualization of the measurement points. a) position of the sound level meter at the “Principe Umberto” roundabout  
 302 denoted with A in Fig. 2; b) position of the sound level meter at the signalized intersection in front of Olbia town hall,  
 303 denoted with B in Fig. 2; c) position of the sound level meter at the entrance to the underpass denoted with C in Fig. 2. d) position of the  
 304 traffic sensor number 4 in Fig. 2. e) example of traffic flows along the waterfront during daytime; f) example of traffic flows  
 305 along the waterfront at nighttime at sound level meter A.

306

307 In the present investigation noise level and traffic data measurements were performed in two continuous  
308 periods each of 72 hours duration. During each period the temporal evolution of sound pressure levels  $L_{eq,1'}$   
309 was acquired simultaneously with the traffic data (category, traffic volume and speed of the vehicles) in fifteen  
310 sections of different road lanes. The first between March 24<sup>th</sup> 27<sup>th</sup>, representative of the off-season period  
311 characterized by normal traffic volumes, the second between August 24<sup>th</sup> and 27<sup>th</sup> representative of the peak  
312 season.

313 The choice of measurement points fell on those locations that were able to provide a sufficiently complete  
314 and representative picture of noise levels in the port area.

315

316

### 317 **3. Neural network architecture**

318 The traffic – noise model has been implemented adopting the architecture of a nonlinear autoregressive  
319 exogenous (NARX) artificial neural network in a closed loop configuration [47]. The reason for adopting a  
320 NARX architecture relies on its specific suitability for implementing time series prediction tasks where  
321 external independent physical input affects the behavior of the output time series to be predicted, with the  
322 contribution of its past values.

323 This kind of autoregressive architecture can adapt its parameters on the past values of the output sequence  
324 and in addition other exogenous inputs are accounted for as driver of the future values by specific input nodes.

325 This means that the NARX model relates the history of the time series to be used as feedback input, with  
326 the current and past values of other external independent explanatory inputs by a using a learning algorithm  
327 that drives adaptation of its parameters for reproposing the temporal relationship between inputs and output  
328 observations.

329 The training of the network parameters takes place for each value of the sample of the input sequence that  
330 is supplied to the network. At every training step the Levenberg-Marquardt back-propagation optimization  
331 scheme is applied for reducing as much as possible the overall error between the answer given by the network  
332 at the actual instant, with respect to the relative target, and the error of the answer given at a certain number of  
333 preceding instants.

334 The NARX model could be utilized to solve time series prediction problem where the task consists in  
335 predicting future values of a given time series  $\ell(\tau)$  from its past values and from past values of another time  
336 series  $\varepsilon(\tau)$ . The past values of the time series  $\ell(\tau)$  represents endogenous input, while the values of the second  
337 time series  $\varepsilon(\tau)$  represents the exogenous-input. This form of prediction can be represented by the following  
338 describing equation:

339  $\ell(\tau) = \mathcal{F}(\ell(\tau - 1), \dots, \ell(\tau - r_n), \varepsilon(\tau), \dots, \varepsilon(\tau - r_t))$  Eq. 1

340 where  $\ell(\tau)$  corresponds to the next value of the output to be predicted at instant  $\tau$  and by means the  
341 function  $\mathcal{F}(\ )$  is regressed on previous values of the output signal,  $\ell(\tau - i)|_{i=1\dots r_n}$ , and previous values of  
342 the independent exogenous input quantity,  $\varepsilon(\tau - j)|_{j=1\dots r_t}$ .

343 The traffic noise model can be implemented on the NARX architecture by assuming the following  
344 correspondence: the time profile of the equivalent noise level  $L_{eq,1'}$ , obtained by averaging over an integration  
345 time of 1 minute, plays the role of dependent variable in place of the output signal  $\ell$  in Eq. 1. In particular  
346  $L_{eq,1'}(\tau)$  represents the output value to predict at instant  $\tau$ , while  $L_{eq,1'}(\tau - i)|_{i=1\dots\tau_n}$  represent the past values  
347 of the noise level that have to be used as regressors for predicting the next values of  $L_{eq,1'}$ . The time profiles  
348 of the traffic flows data  $[tfd(\tau)]$ , averaged over 1 minute play the role of independent variable in place of the  
349 exogenous input signal  $\varepsilon$  in Eq. 1. Clearly, the traffic flow data variable  $[tfd(\tau)]$  is not simply composed by  
350 a scalar time sequence, like  $L_{eq,1'}$ , but incorporates the time sequences  $\dot{V}(\tau)$ ,  $v(\tau)$  describing the temporal  
351 evolution of the flow rates and the speed for each vehicle class and each lane that composes the road sections,  
352 under consideration.

353 The NARX model can be implemented by means a neural network that provides an approximation of the  
354 mathematical expression of the function  $\mathcal{F}$ . The input data source is composed of the regressor values of the  
355 acoustic pressure level  $L_{eq,1'}$  of the noise signal (in feedback or open loop arrangement) and of the parameters  
356 describing traffic composition and road layout. The NARX model should be trained using input values at  
357 instant  $\tau_i$  and the values occurring at past instants  $\tau_{i-1}$ ,  $\tau_{i-2}$  and  $\tau_{i-n}$  so that the model is able to learn not only  
358 the individual measured values but also the temporal dynamics with which they occur.

359 It is worthwhile to introduce Fig. 4 since it provides insight into the architecture of the resulting NARX  
360 model. The schemes of Fig. 4a and 4b represent a two-layer feedforward neural network, with a sigmoid  
361 transfer function in the hidden layer (to treat nonlinear separable solutions) and a linear transfer function in the  
362 output layer. In both schemes, the networks are equipped with tapped delay buffers to store past values of  
363  $L_{eq,1'}(\tau)$  and  $[tfd(\tau)]$  sequences. In Fig 4a the network exhibits a close loop configuration, where the output  
364 of the network is fed back as source of input data for implementing the instantaneous dependence of  $L_{eq,1'}(\tau)$   
365 from its past values  $L_{eq,1'}(\tau - i)|_{i=1\dots\tau_n}$ . In this case the regressors are numerically calculated by the network  
366 algorithm and derived from its output. Therefore, they are estimated values. In Fig. 4b an architecture in open  
367 loop configuration is depicted where the regressors of  $L_{eq,1'}$  are directly extracted from the experimental  
368 observations. The open loop configuration should be used during the training phase of the network, since the  
369 exact output values are available from measurements, rather than feeding back the estimated output. Thereby,  
370 the network can adapt its parameters on input values that are measured and then more accurate than the  
371 estimated values achieved with the closed loop configuration.

372 Moreover, the training procedure can be performed by means a more efficient algorithm if a feedforward  
373 architecture is adopted in place of a feedback network.

374 Once the training stage of the network has been carried out in open loop configuration, by utilizing the  
375 scheme illustrated in Fig. 4b, the typical workflow includes a further step in which the trained network is  
376 transformed in closed loop configuration (according to the scheme of fig. 4a) for generating multistep-ahead  
377 predictions.

378 The model has been built using Matlab development environment that allows to display the  
379 autocorrelation function of the prediction and the input -error cross-correlation functions that describe how the  
380 prediction errors are related in time with each other and with the input respectively. The adequacy of an  
381 identification model to the experimental observation is, in some extent, revealed by behaviour of the  
382 autocorrelation and cross-correlation function in so far as they approach to zero values throughout the lag  
383 abscissa, except in the origin at zero lag value where the function should attain its maximum value for the case  
384 of the autocorrelation function. These conditions would denote that the prediction errors are weakly or  
385 completely uncorrelated with each other and with the input sequence. If there is no dependence among these  
386 residuals, then they can be regarded as observations of independent random variables (white noise), and there  
387 is no further modelling to be done except to estimate their mean and variance. However, if instead there is  
388 significant dependence among and between the prediction error values and input sequences then it should be  
389 possible to improve the predictions by looking for a more complex time series model, for example by adding  
390 hidden layers, that accounts for the showed dependence, or perhaps by increasing the number of delays in the  
391 tapped delay lines. This could be beneficial, since dependence aspect may imply that past observations of the  
392 noise sequence can assist in predicting future values. Therefore, the autocorrelation and cross correlation  
393 function can help for assessing the proper complexity of the network and the size of the regression vectors that  
394 exhibit to improve the adequacy of the trained model to the observed data generation mechanism.  
395 Specifically, in the present study the hidden layer size and the number of the input and feedback regressor has  
396 been found by tuning them (throughout a trial-and-error technique) until no further improvements on the  
397 prediction error and the auto and cross - correlation functions were revealed. As a result, the number of the  
398 regressor considered for the training phase is 1 for the traffic flow data and 3 for the level noise data. The  
399 choice has been also validated by the Lipschitz Quotients criterion proposed for the first time by He and Asada  
400 (1993), and later developed by Jeen- Shing Wang et al. (2009), for dynamic neural models of the MISO (Multi  
401 Input Single Output) type.

402

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404



420 structure of the input and output data, supplied to the model for developing the training and testing  
 421 procedure, are reported in the following scheme.

422

source of the exogenous input data	<p>experimental values of the traffic flow data sequence, (<math>[tfd(\tau)]</math>):</p> <p>a) traffic flow rate, in terms of the overall number of vehicles per unit time (1 minute) crossing a reference section of a given roadway, <math>\dot{V}</math>.</p> <p>b) average value of the different speeds of a class of vehicles measured at a given point by the traffic sensor during a time of 1 minute, <math>v</math>.</p> <p>The traffic flow rate <math>\dot{V}</math> and the average speed <math>v</math> are separately provided for each vehicle's category, as defined accordingly to the CNOSSOS-EU standard and for each lane that composes the roadways under consideration. Separate quantities for each lane allow to incorporate the information about the driven direction in the training processes.</p>
n° of monitored roadway sections	<p>11 measuring points placed as shown in Fig. 2. Four road sections are two-way roads (sections 1, 9, 10, and 11), while the remaining seven (2, 3, 4, 5, 6, 7, 8) are one-way streets, making a total of 15 road lanes</p>
n° of vehicle's categories	<p>vehicles are grouped into four categories on the basis of their emission characteristics as defined in the Common Noise Assessment methods (CNOSSOS). Specifically: a) light motor vehicles, b) medium heavy motor vehicles, c) heavy vehicles, d) powered two wheelers (motorcycles and moped). In the case of powered two-wheelers (category 4), two subclasses had to be defined: a class 4a for mopeds and a class 4b for more powerful motorcycles, since they have very different driving modes, and their numbers usually vary widely (as indeed happens between the off-season and peak traffic periods due to the large number of tourists arriving at and departing from the port).</p>
n° of exogenous input	<p>75 exogenous inputs, resulting from the combination between the number of roadway lanes and the number of the vehicular classes. In particular, 5 vehicular classes (considering the two subclasses 4a and 4b) for 15 roadway lanes. Each datum contains two pieces of information collected for a time period of 1 minute: the average vehicle speed, <math>v</math> and the flow rate, <math>\dot{V}</math>.</p>
source of the output target data	<p>experimental values of the equivalent noise pressure level <math>L_{eq,1'}(\tau_i)</math> sequence obtained by averaging over an integration time of 60 seconds.</p>
n° of acoustic measuring points	<p>3 measuring points placed as shown in Fig. 2.</p>
n° of output	<p>3 noise level outputs.</p>
source of the feedback input (regressors)	<p>past values of the noise level and traffic flow sequences, namely <math>L_{eq,1'}(\tau - i) _{i=1\dots r_n}</math>, <math>\dot{V}(\tau - j) _{j=1\dots r_t}</math> and <math>s(\tau - j) _{j=1\dots r_t}</math>.</p>
size of the feedback regressors	<p><math>r_t = 1</math> traffic data regressor.  <math>r_n = 3</math> noise level data regressors.</p>
n° of hidden layers	<p>4 hidden layers.</p>
n° of measurement periods	<p>two continuous periods each of 72 hours duration.</p> <p>1<sup>st</sup> period, <math>T_{off-s}</math>, that covers March 24<sup>th</sup> and 27<sup>th</sup>, representative of the off-season period of the traffic flow rate.</p> <p>2<sup>nd</sup> period, <math>T_{pk-s}</math>, during August 24<sup>th</sup> and 27<sup>th</sup>, representative of the peak season period of the traffic flow rate.</p>
time refinement	<p><math>T_r = 60</math> seconds.</p>
n° of records of input/output sequences	<p>8640 records each averaged over a time span equal to <math>T_r</math>. Specifically 4320 records are measured during <math>T_{off-s}</math> period and other 4320 during <math>T_{pk-s}</math> period.</p>
input and target training sequences size	<p>800 ÷ 950 records of <math>L_{eq,1'}(\tau)</math> and <math>[tfd(\tau)]</math> sequences, extracted from the <math>T_{off-s}</math> period.</p>

input and target test sequences size

7840 ÷ 7680 records of  $L_{eq,1'}(\tau)$  and  $[t\#d(\tau)]$  sequences, measured during the  $T_{off-s}$  and  $T_{pk-s}$  periods. In particular 3520 ÷ 3350 records come from the remaining part of  $T_{off-s}$ , that is omitted by the training procedure and other 4320 records are provided by the whole  $T_{pk-s}$  period.

423

### 424 3.1 Input and output data sets

425 The neural model accepts an  $m \times n$  matrix, containing all the traffic composition information, as source  
426 of exogenous input [I]. Two explanatory variables are used for characterizing the traffic on each road lane and  
427 for each vehicle class: a) the number of vehicles per minute and the average speed for each class. The row  
428 index  $j$  (1.. $m$ ) selects the explanatory variable of a given road lane and vehicle category while the column  
429 index  $k$  (1.. $n$ ) goes through the temporal samples, obtained by averaging the physical sample with a  
430 refinement of one minute. The value of the row index  $m$  is equal to the number of explanatory variables, (2)  
431 multiplied by the number of road lanes monitored (15) and by the number of the vehicular categories (5),  
432 therefore  $m = 15 * 2 * 5 = 150$ , while the value of the column index  $n$  is equal to the total number of  
433 temporal samples, namely  $n = 8640$ .

434 The neural model returns the  $q \times n$  target matrix [T] as output. The output matrix contains the temporal  
435 evolution of the noise level at the given measurement points. The value of the row index is equal to 3, the same  
436 as the number of noise level measurement points.

437 The training and the testing processes take as neural model input and output the submatrices extracted from  
438 [I] and [T] respectively.

439

440

## 441 4. Training Procedure

442 The success of the neural network approach relies on a preliminary phase, termed the *training process*.  
443 During this step the network adapts its parameters in order to learn the information contained in the *training*  
444 *set* data.

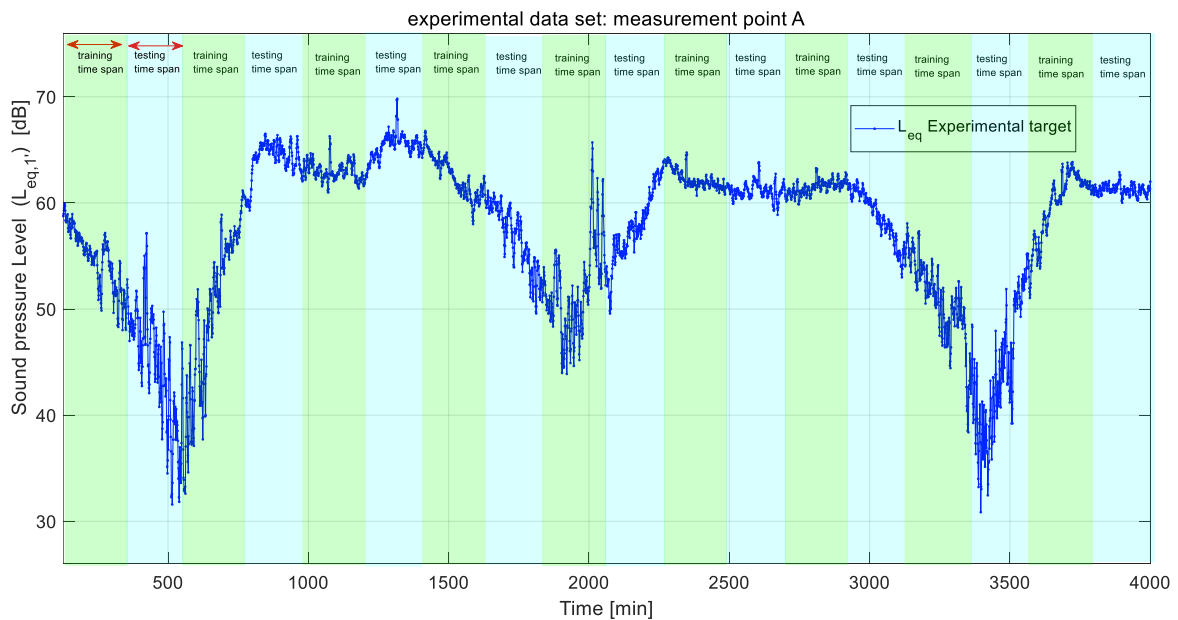
445 Throughout this preliminary training process, at each training step the experimental noise level at a given  
446 instant is a known target and is used by the internal algorithm to iteratively adjust the network parameters to  
447 minimize the overall error between the right experimental targets and the simulated response of the network.  
448 The preliminary training process was carried out on a temporal sequence of traffic-noise data containing 800  
449 and 950 records corresponding to 9% and 11% respectively of all the experimental observations (depending  
450 on which measurement point A, B or C was considered). The training sequence covers a period ranging from  
451 nighttime to early morning and is characterized by a wide transitoriness of the traffic conditions.  
452 The remaining sequence, ten times larger than the training sequence, containing 7840 or 7680 records  
453 corresponding to 91% - 89% respectively of the experimental observations were used for validating the  
454 generalization stage.

455 Figures 5 and 6 show the sound pressure level evolution, during the off-season measurement period (24-  
456 27th March 2019) at the measurement point situated in position A (indicated in Fig. 2). Two schemes of

457 training strategies throughout the classical dynamics alternating between daytime, evening, and nighttime are  
458 shown. Two different strategies have been devised: a single and a multiple time span. They were both  
459 implemented but for brevity only the results of the single time span are reported here as this strategy performed  
460 better.

461 The multiple time span strategy consists in identifying a certain number  $h$  of intervals, evenly spaced along  
462 the timeline in an attempt to capture the dynamics of the noise event in each segment. Figure 5 gives an intuitive  
463 picture of how this strategy splits the time - line into training and test set intervals. However, this strategy did  
464 not produce the expected results. Though the training set comprised a number of intervals spread over the  
465 measurement period, each segment was not sufficiently long to identify the relationship between traffic and  
466 noise data in transient conditions. The results presented in the next sections, obtained adopting the single time  
467 span training strategy, show that it outperforms the interleaved multiple short -time spans having the same  
468 overall size as the single one.

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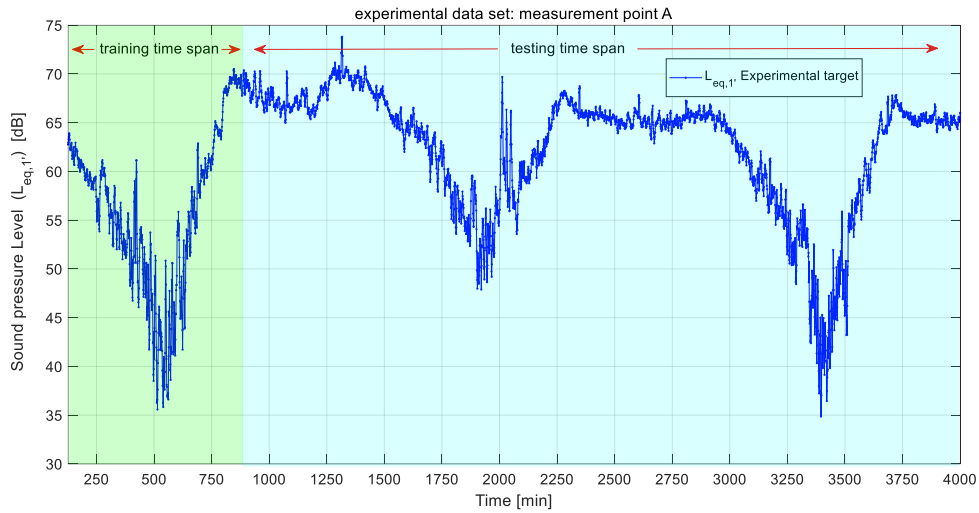


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471 Fig. 5. Sound pressure levels vs. time, during off-season measurement period in position A, showing the periods to which, the  
472 data considered for the training and test sets, obtained using an interleaved multiple time spans learning scheme, refer.

473

474 The other strategy consists in extracting a single continuous time span from the measurement period, of  
475 sufficient duration to ensure that traffic composition and noise levels exhibit a transient response. This single  
476 interval is employed for training, while the remainder of the measurement period is used for the subsequent  
477 test phase. Figure 6 gives an intuitive picture of how the single time span scheme selects the training set from  
478 the complete timeline.



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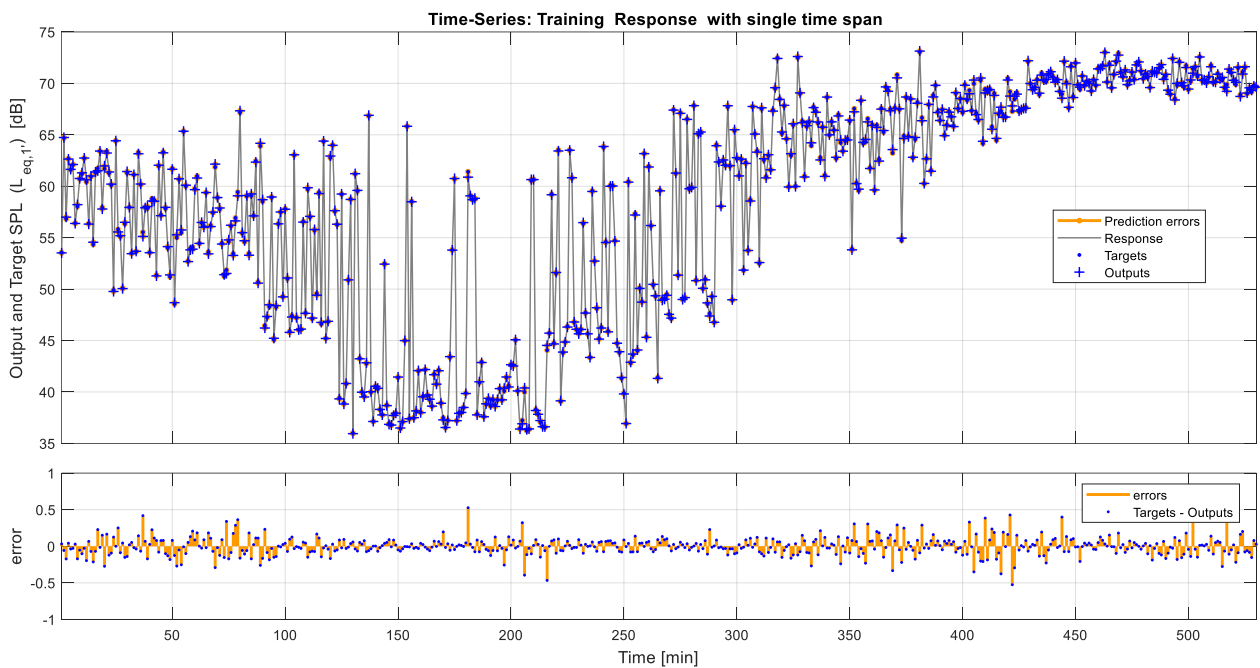
Fig. 6 Traffic noise measurements showing the periods to which the data considered for the training and test sets, obtained using the single time span strategy, refer.

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Figure 7 summarizes the results obtained. The continuous time interval comprises both day- and nighttime periods. As can be seen, the network has been trained within a time span in which the acoustic time-series exhibits a transient trend.



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Fig 7. Example of training response using the single time span strategy. Time span of 550 minutes with a time refinement of 1 sample/min

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## 5. Results of the training and test processes.

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Once the training process is completed using the experimental data entered into the submatrices  $[I]_{\{m,v\}}$ ,  $[T]_{\{q,v\}}$ , the internal parameters of the network are numerically determined and thus take constant values. The

493 model is now potentially ready for performing prediction tasks over the entire measurement period. Therefore,  
494 the next step consists in testing the learning rate achieved by the network during the previous step, since it is  
495 quite desirable the ability to predict the right sequences of  $L_{eq,1'}(\tau_j)$ , omitted from the training process, that  
496 cannot have any effect on the training stage. Indeed, the correct prediction of noise levels, generated at a given  
497 point for any profile of the traffic flow, dominates the developing process of a simulation model.

498

### 499 5.1 Training process

500 In this section the relevant results of the training processes of the NARX model by applying the  
501 measurements performed during the *off-season traffic condition*, are reported.

502 In Figs. 8, 9 and 10 the simulated response of the model  $L_{eq,1',sim}$  is compared with respect to the experimental  
503 target  $L_{eq,1',exp}$  for vehicle traffic data completely extracted from the training set. The prediction errors are  
504 shown in specific subplots.

505 Figures 8, 9 and 10 show the figures of merit describing the reliability and effectiveness of the NARX  
506 model identified for each measurement point as a result of the training process response.

507 In Figs. 8a, 10a and 12a the simulated and experimental values of  $L_{eq,1'}$  measured at points A, B and C  
508 are compared, while the prediction errors are shown in specific subplots.

509 In Figures 8b, 9b and 10b the regression function of the input and output data is reported. The cluster of  
510 points around the straight-line bisector indicates better performance. The closer the regression coefficient R is  
511 to 1, the better the regression of the values estimated by the neural network with respect to the experimental  
512 data.

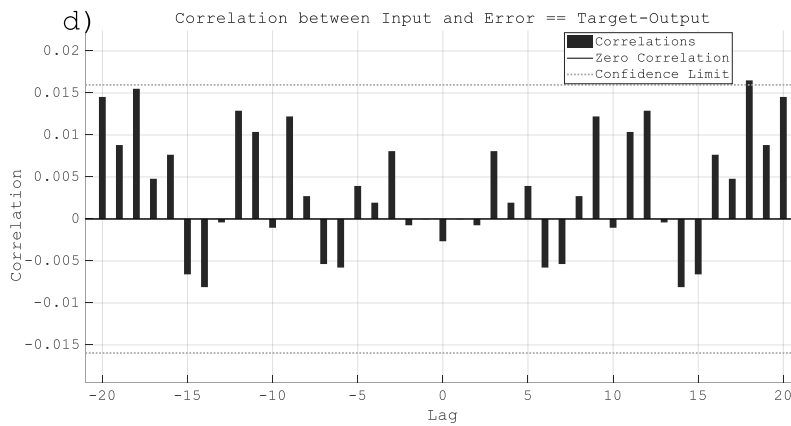
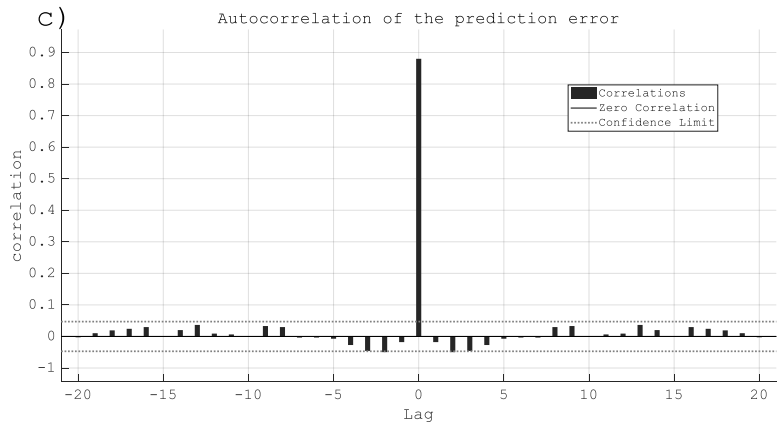
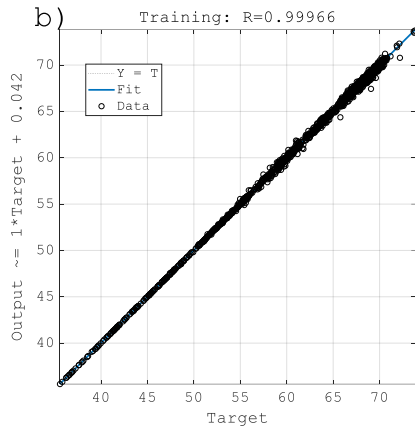
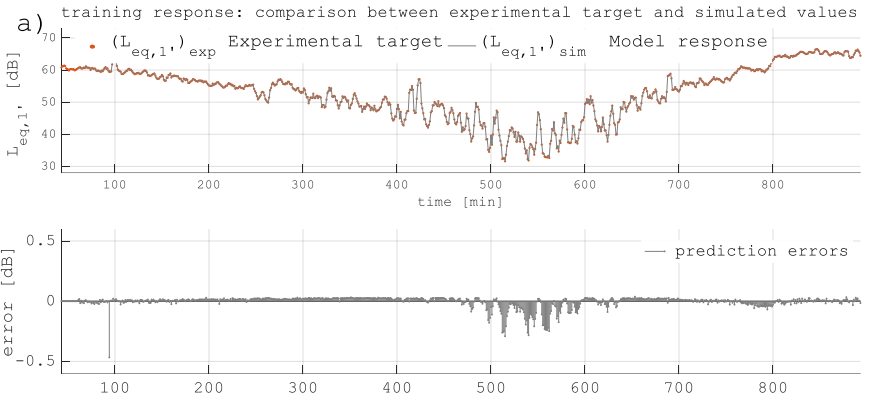
513 Figures 8c, 9c and 10c show the autocorrelation of the prediction error function. It describes how the prediction  
514 errors are related in time. A well performing prediction model requires a single non-zero value of the  
515 autocorrelation function at zero lag, whereas for lag times different from zero, it should be close to zero. This  
516 requirement represents a no-correlation condition throughout the prediction errors.

517 In Figs. 8d, 9d and 10d the cross - correlation function of the prediction error and the exogenous input  
518 sequence is shown. The cross - correlation function provides a picture of how the errors are correlated with the  
519 input traffic composition sequence. For a perfect prediction model, the correlations should be close to zero  
520 across the entire lag domain.

521 The auto and cross correlation functions are a figure of merit to consider when assessing whether and to  
522 what extent the identified model can explain the functional interdependence of the experimental observations.

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Position 'A'



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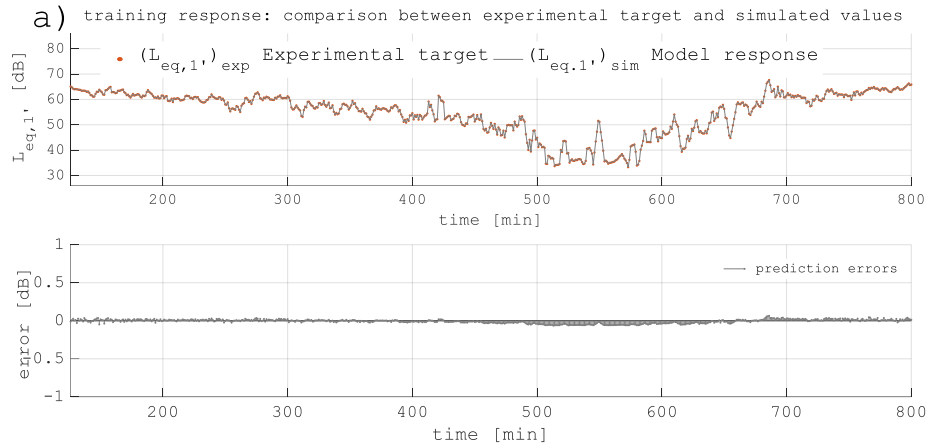
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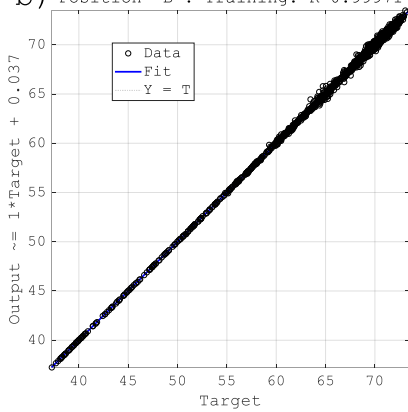
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Fig. 8 Training performances for experimental data measured at point A. In a) comparison and prediction error of simulated and measured  $L_{A,eq,1'}$ ; b) regression between traffic input and acoustic output sequences; c) autocorrelation function of the prediction error sequence. d) cross-correlation function between prediction error and traffic input sequence.

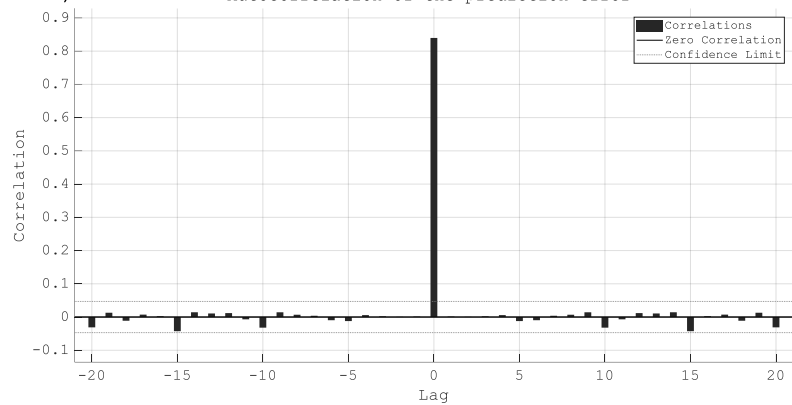
Position 'B'



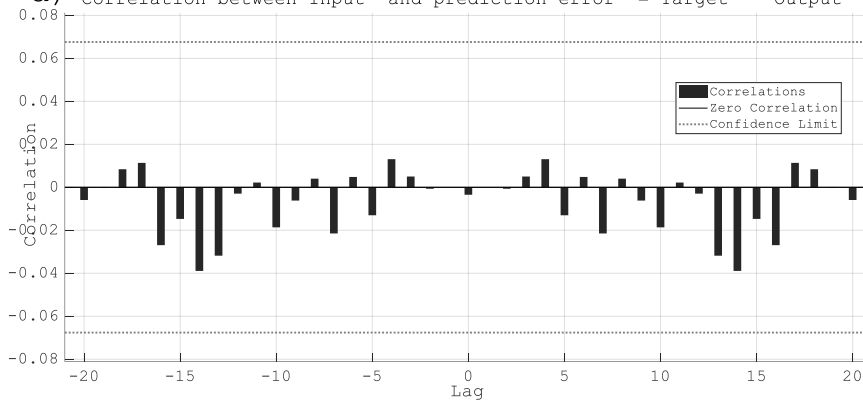
b) Position "B": Training: R=0.99971



c) Autocorrelation of the prediction error



d) Correlation between Input and prediction error = Target - Output



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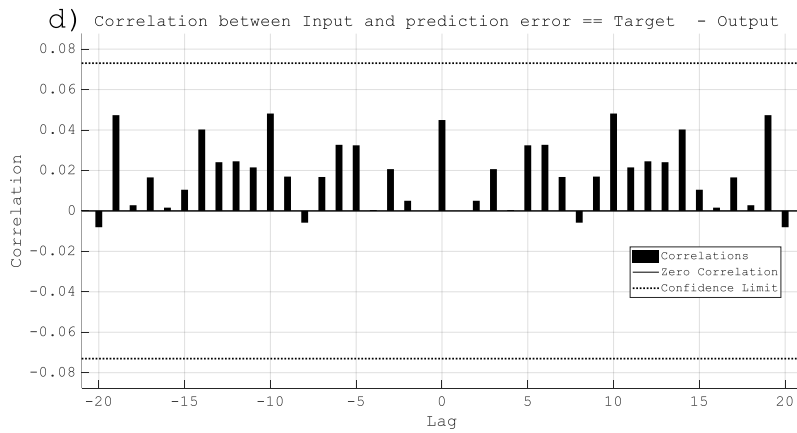
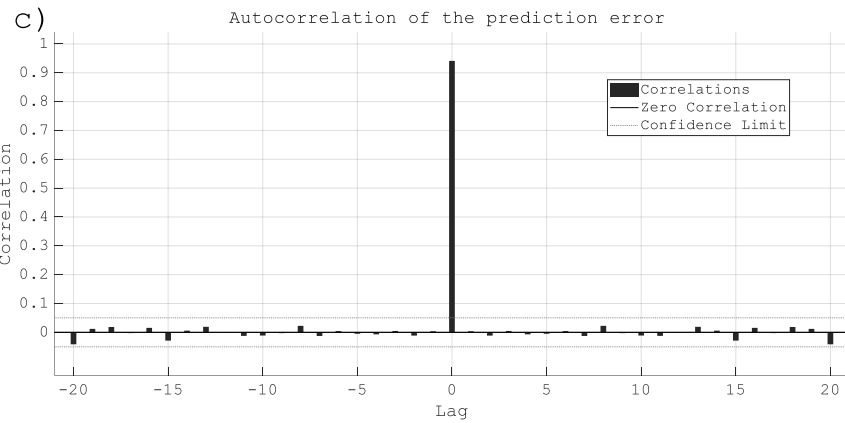
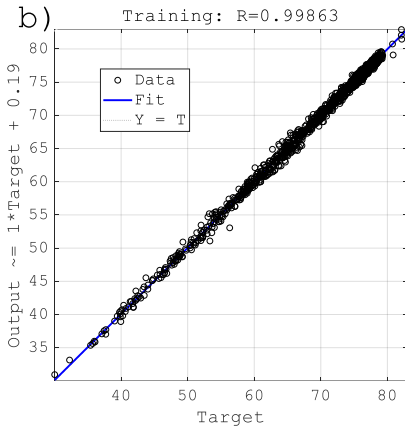
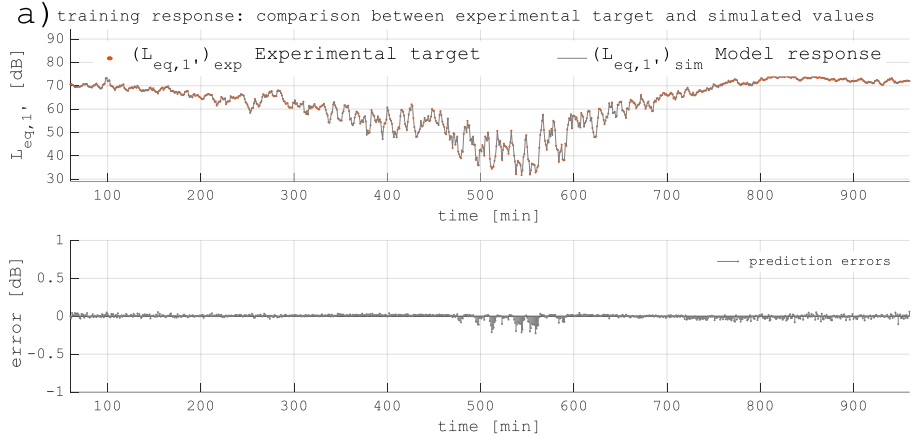
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Fig. 9 Training performances for experimental data measured at point B. In a) comparison and prediction error of simulated and measured  $L_{A,eq,1}$ ; b) regression between traffic input and acoustic output sequences; c) autocorrelation function of the prediction error sequence. d) cross-correlation function between prediction error and traffic input sequence.

Position 'C'



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Fig. 10 Training performances for experimental data measured at point C. In a) comparison and prediction error of simulated and measured  $L_{A,eq,1'}$ ; b) regression between traffic input and acoustic output sequences; c) autocorrelation function of the prediction error sequence. d) cross-correlation function between prediction error and traffic input sequence.

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### 5.2 Testing process

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In this section the relevant results of the testing processes of the NARX model are reported. The model has been tested adopting the closed loop architecture (illustrated in Fig. 4a) that performs multi-step ahead predictions where the values predicted in the preceding steps (output feedback) are used as input for predicting multi time steps ahead.

549

The ability of the NARX model to generalize what has been learned from the training subset has been verified on a large test set size, composed (at the least) of a sequence of 7690 records, corresponding to 89% of the experimental observations. The training set is much smaller and contains the remaining 11%.

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551

552 These values should not be overlooked since the model's generalization ability robustness relies to some  
553 extent, on the adopted percentages composition of the training and testing sets.

554 In pattern recognition or time series prediction, the training set is usually larger than the test set. The  
555 recommended percentages, reported in standard applications, being close to 80% and 20% respectively.  
556 Training sets composed of less than 80% of the experimental data may be inadequate, they likely contain too  
557 little information to achieve good generalization of learning across all the experimental observations.

558 Consequently, successful generalization performance (namely attaining small prediction errors) becomes  
559 an increasingly formidable task as the size of the training set size diminishes and thus the test set increases.  
560 However, on the other hand, it is also true, that the statistical consistency of the prediction capability would be  
561 strengthened.

562 Vice versa, increasing the training set to more than 80% facilitates achieving small prediction errors, may  
563 nevertheless undermine the statistical consistency of the generalization power. Therefore, a suitable division  
564 should reflect a compromise between the requirements (frequently conflicting) of reducing the prediction  
565 errors and increasing the size of the test set for enhancing the robustness of the generalization capability.

566 The test results are divided into two separate time periods, the three off-season days in March 2019 and  
567 the three days during the peak tourism season in August 2019.

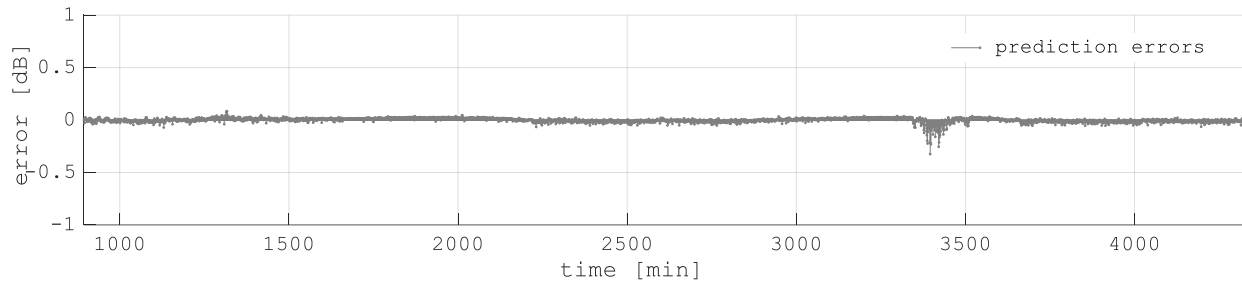
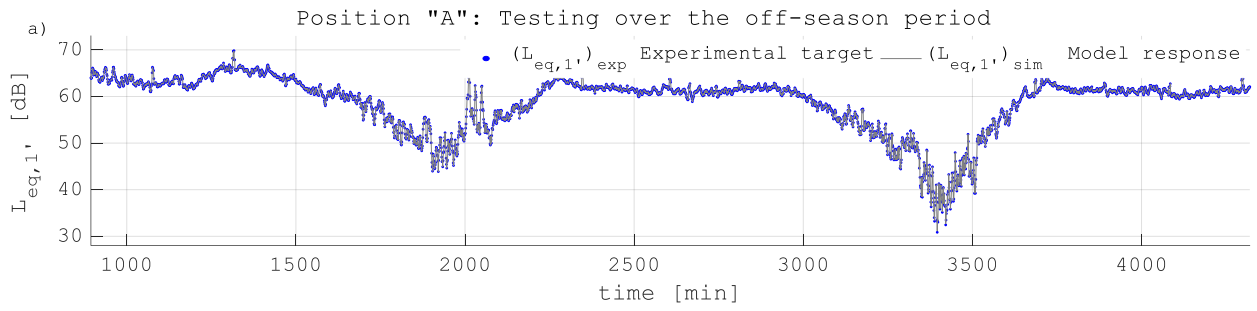
568 Figures 11, 12 and 13, provide a representative picture of the ability of the neural model to generalize the  
569 traffic - noise relationship across the entire measurement periods and for traffic inputs not used to train the  
570 network.

571 The numerical results, represented by the solid grey curves in Figures 11b-13b, are obtained using the  
572 model for generating multistep-ahead predictions of the test set samples. In particular, any point at a given  
573 instant of the grey curves is a simulated predicted value and is generated by using, as input regressors, the  
574 noise level predictions generated at previous instants in combination with the experimental values of the traffic  
575 data.

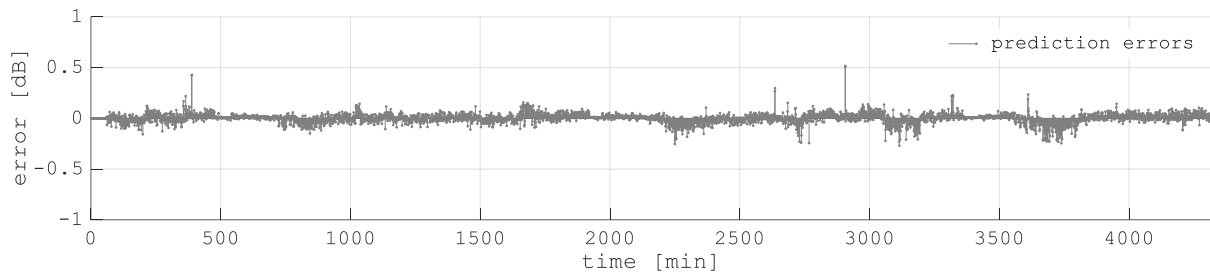
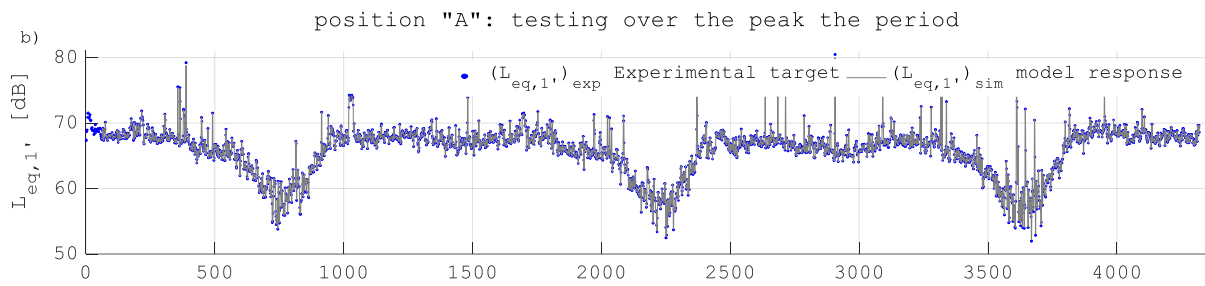
576 Therefore, the experimental values of the noise level are not used by the model for predicting the grey  
577 curves, but rather are depicted, with the dotted blue curves in the graph, only for comparison with the simulated  
578 values.

579 The prediction error between the response of the model  $L_{eq,1',sim}$  and the experimental target  $L_{eq,1',exp}$   
580 for vehicle traffic input data not included in the training set is shown in the sub-plots of figs. 11, 12 and 13.  
581 Figs. 11 - 13 show how the model is well adapted over the complete measuring periods. Moreover, since  
582 satisfactory generalization performances of the learning process have been attained on a large size of the test  
583 subset size, in spite of the relatively small size of the training set, the achieved generalization capability could  
584 be considered reliable.

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Fig. 11. Simulated and experimental evolution of  $L_{eq,1'}(\tau)$ , at measurement point A. In a) during off- season (March) and in b) during peak season (August) traffic flow rates. The comparison is performed across the measurement period of the test datasets sequence.

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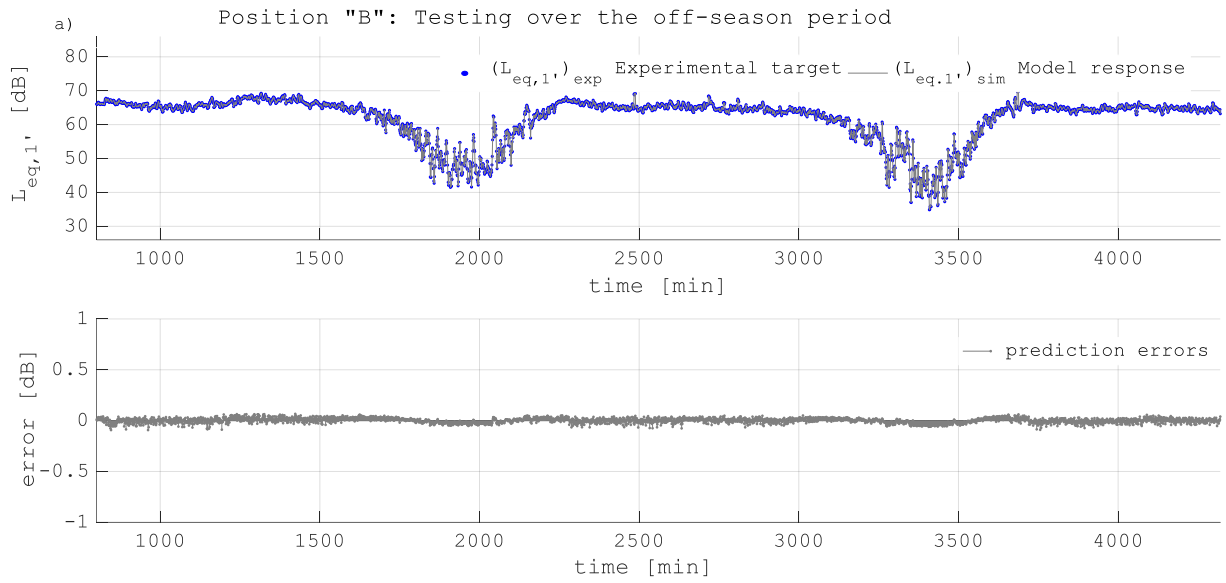
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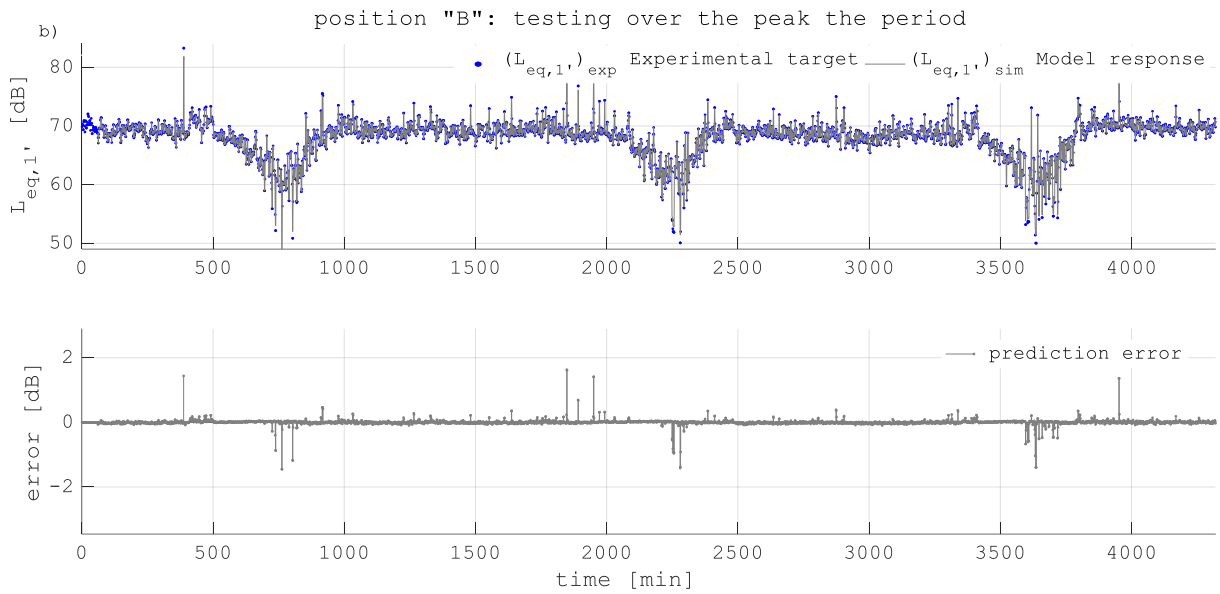
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Fig. 12. Simulated and experimental evolution of  $L_{eq,1}'(\tau)$ , at measurement point **B**. In a) during off- season (March) and in b) during peak season (August) traffic flow rates. The comparison is performed across the measurement period of the test datasets sequence.

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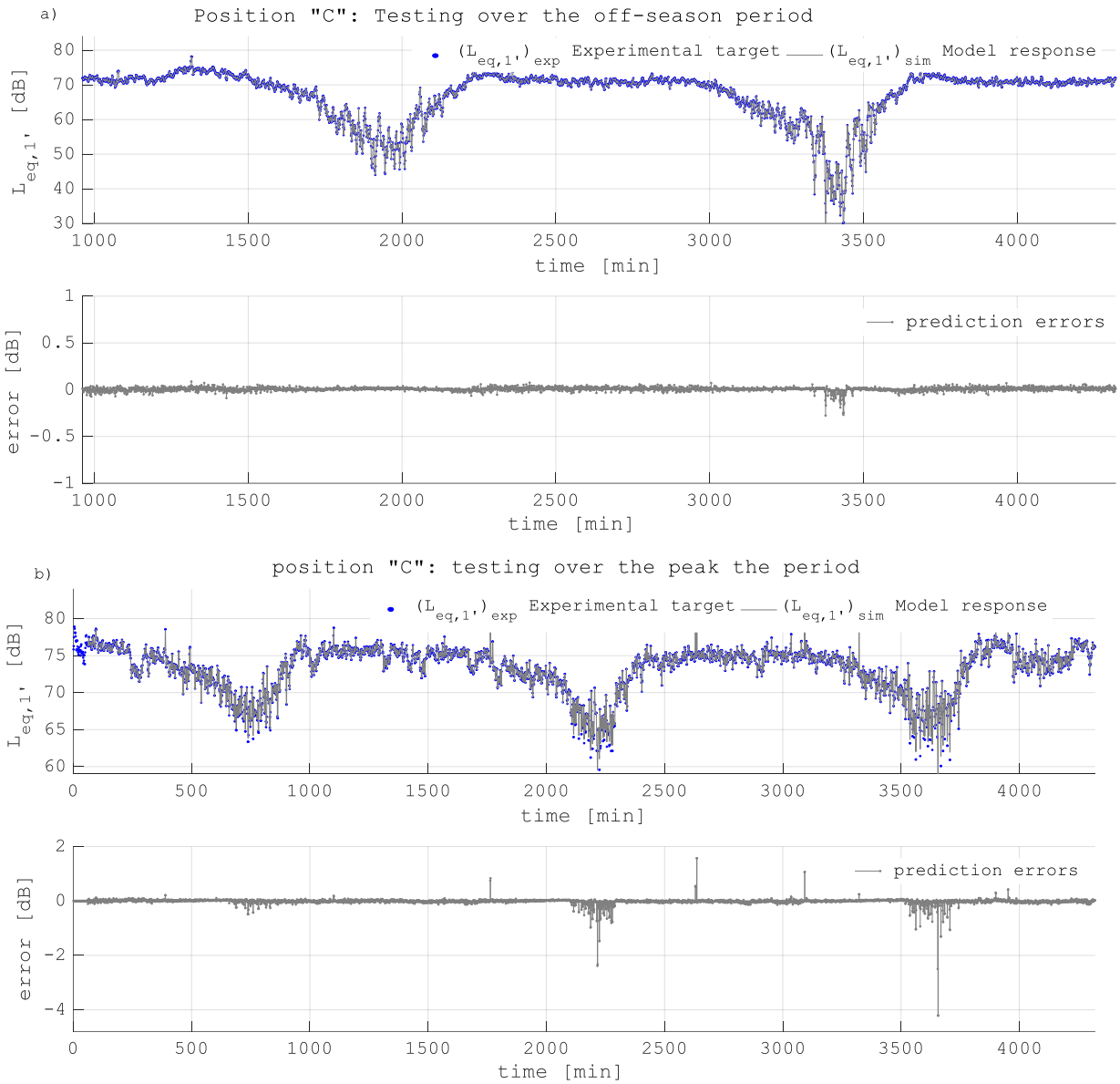
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Fig. 13. Simulated and experimental evolution of  $L_{eq,1'}(\tau)$ , at measurement point C. In a) during off- season (March) and in b) during peak season (August) traffic flow rates. The comparison is performed across the measurement period of the test datasets sequence.

629 Table 1 provides insight into the model’s performances. Different measures of the noise level prediction  
 630 error at the measurement points A, B and C are shown for both measurement periods. The most widely used  
 631 key performance indicators (KPI) have been considered, namely the mean absolute error (MAE), the mean  
 632 absolute percentage error (MAPE) and the root mean squared error (RMSE).

633

634 Table 1.

635 Different measures of the prediction error of the noise level at the measurement points A and C: mean absolute error (MAE), mean  
 636 absolute percentage error (MAPE) and root mean squared error (RMSE)

Position	Season	MAE	MAPE	RMSE
A	off-season	1,727E-02	3,151E-04	2,772E-02
	peak period	3,250E-02	5,123E-04	4,559E-02
B	off-season	1,971E-02	3,308E-04	2,483E-02
	peak period	2,883E-02	4,518E-04	6,512E-02
C	off-season	1,624E-02	2,484E-04	2,322E-02
	peak period	5,962E-02	8,671E-04	1,092E-01

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639 Table 1 reflects the overall behavior of the prediction error curves represented in Figs 11 – 13. Indeed,  
 640 the RMSE indicator displays greater values than the other KPI because it emphasizes the most significant  
 641 errors, while the others give the same importance to each error value.

642 Anticipating the results discussed in the next section, it is clear from table 1 that the model exhibits small  
 643 mean prediction errors and during the off-season period of the traffic flow rate it performs consistently better  
 644 for all indicators of the prediction error.

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## 646 6. Test results and discussion

647 As can be observed from the graphs depicted in Figs. 8a - 10a, and 11 – 13, the model performs well in  
 648 predicting the temporal evolution of the sound pressure levels generated by road traffic at the three points A,  
 649 B and C. Indeed, the temporal evolution of  $L_{eq,1'}(\tau)$  predicted by the neural model fits the experimental data,  
 650 measured across the entire timeline of the measurement periods, fairly well.

651 The training and the generalization processes were performed on a training and a test set containing from  
 652 800 to 950 records and from 7840 to 7690 records respectively, corresponding to 9% - 11% (for the training  
 653 set) and 91% – 89% (for the test set) of the experimental observations. Clearly, the test set records were not  
 654 included in the training process but were subsequently considered for verifying the ability to generalize training  
 655 on other configurations.

656 Figures 11, 12 and 13 demonstrate the good prediction ability, during validation of the testing stage, across  
 657 all the reference time intervals considered (day, evening, and nighttime) for both the off-season (Figs 11a, 12a  
 658 and 13a) and peak season traffic conditions (Figs 11b, 12b and 13b) and at all the “measurement points A”, B  
 659 and C. In particular, the prediction error profile entirely lies within the range  $\pm 0.5$ dB throughout the off-season  
 660 traffic period at all the measurement points but only at A during the peak season traffic conditions.

661            Though during peak season traffic the prediction error at “measurement points B and C falls largely within  
662 the range  $\pm 0.5$ dB, in several instances the error increases, especially at nighttime. The discrepancy observed  
663 between experimental and simulated values may be attributed to the additional components of non-traffic  
664 related noise events, or anomalous noise events (ANEs). Indeed, the substantial increase in evening and  
665 nighttime noise levels can likely be explained by the influx of tourists in the port city, while intermittent  
666 average reductions in traffic flows are observed. The trends of the autocorrelation function of the prediction  
667 error sequence and the cross-correlation function between the input traffic data and the prediction error  
668 sequences shown in Figures 8, 9 and 10 (boxes c and d), warrant consideration. The autocorrelation function  
669 reveals a negligible (close to zero) correlation for all non-zero lags at the three measurement points, whereas  
670 the cross-correlation function takes negligible values for all lags. This behavior suggests that the identified  
671 prediction model is an appropriate candidate for explaining the functional dependence of the traffic-noise-  
672 relationship. As concerns the measurement points the results can be summarized as follows:

673 *Off-season measurement period at point A, Figs. 8-11, boxes a):*

674            *training set performance:* the prediction error between the experimental and computed values is always  
675 lower than 0.5 dB

676            *test set performance:* the prediction error between the experimental and computed values is always lower  
677 than 0.5 dB.

678 *Peak traffic measurement period at point A, Fig. 11, box b)*

679            *test set performance:* the prediction error between the experimental and computed values is lower than  
680 0.5 dB almost everywhere except for few records where the error is slightly higher than 0.5 dB.

681 *Off-season measurement period at point B, Figs. 9-12, boxes a)*

682            *Training set performance:* the prediction error between the experimental and computed values is always  
683 lower than 0.2 dB.

684            *Test set performance:* the prediction error between the experimental and computed values is always lower  
685 than 0.15 dB.

686 *Peak traffic measurement period at point B, Fig. 12, box b)*

687            *Test set performance:* the prediction error between the experimental and computed values is lower than  
688 0.5 dB almost everywhere, except during certain time periods when the error occasionally slightly exceeds  
689 1.5 dB.

690 *Off-season measurement period at point C, Figs. 10-13, boxes a)*

691            *Training test performance:* the prediction error between the experimental and computed values is always  
692 lower than 0.5 dB.

693            *Test set performance:* the prediction error between the experimental and computed values is always lower  
694 than 0.5 dB.

695 *Peak traffic measurement period at point C, Fig. 13, box b)*

696            *Test set performance:* the prediction error between the experimental and computed values is lower than  
697 0.5 dB almost everywhere, except for few records where the error slightly exceeds 1, 2 and 4 dB.

698        Though the model results are satisfactory for all three measurement points and for the two periods  
699 considered, a slight deterioration in the prediction error can be observed for all three points during the peak  
700 season. This trend can be reasonably explained by the increase in the number of people around in the evening  
701 and at nighttime in August compared to March. Indeed, during the height of the tourist season pedestrians  
702 flock to the shops, restaurants etc. along and near the waterfront that stay open until late. Thus, the noise  
703 sources associated with the flow of pedestrians to/in outdoor meeting places become superimposed on traffic  
704 noise. The above considerations are confirmed by the poorer performance in the saddle points between evening  
705 and nighttime when the city's waterfront attracts crowds of tourists. However, the deterioration in performance  
706 is only marginal if compared to the overall generalization ability of the model and the amount of perturbations  
707 generated by humans that cannot be ascribed to traffic. This aspect confirms the ability of the model to single  
708 out, to a certain extent, vehicle traffic even in the presence of additional environmental background noise.

709        The feedback input of the acoustic noise regressors and a training sequence that covers a portion of the  
710 nighttime period could have played a key role in accounting for the presence of the additional stationary noise  
711 across the measurement period. The model was able to predict  $L_{eq,1'}$  values close to the noise levels recorded  
712 in the three measurement points under different environmental, traffic and background noise conditions. This  
713 behavior was observed both in the off-season and peak tourist season and for signals recorded in quasi-  
714 stationary and highly variable conditions. Further, traffic behaves differently depending on the measurement  
715 point. At point C traffic moves smoothly with vehicles traveling at practically constant speed, while at the  
716 roundabout at point A vehicle flow is intermittent on the approach road and in congested traffic. Lastly, at the  
717 signalized intersection at point B traffic is discontinuous and other sources of noise are caused by the  
718 restaurants, bars and shops in the vicinity.

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## 721        **7. Conclusions**

722        Areas in the vicinity of port cities are often exposed to traffic noise fluctuations, associated with access  
723 to and from the port of large volumes of vehicular traffic, especially during the tourist season. This has an  
724 adverse impact on the livability of the neighbouring urban areas.

725        The acoustical context that is under consideration in the present study, is strongly influenced by the tourist  
726 traffic flows and port activities whose large variability cannot be easily delineated by a priori characterization.

727        The present study describes an adaptive identification neural network model developed for dynamically  
728 predicting the acoustic noise from vehicular traffic in a port city waterfront. The model is based on a NARX  
729 architecture and has been trained and successfully tested using experimental acoustic noise levels and traffic  
730 composition data measured during off-season and peak tourist season. Traffic in fifteen road lanes and acoustic  
731 pressure levels at three measurement points, representative of the acoustic field in the urban waterfront area,  
732 are considered for identifying the prediction model.

733        Clearly, one important point needs to be clarified. The model we developed is not based on a particular  
734 modelling technique of specific structural aspects of waterfronts in port cities and cannot therefore be

735 construed as an approach used exclusively for solving road noise issues in those contexts. Actually, the  
736 experimental noise levels and traffic data used in the training phase means the model is tailored to the specific  
737 context of the case study. However, this does not mean that the modeling framework can be implemented  
738 solely in waterfront contexts. Rather, the architecture of the NARX model proved a valid choice for our context  
739 where dynamics, accuracy, small time refinement and computation efficiency are decisive, and did not require  
740 incorporating specific aspects of waterfronts in port cities into the model. It is precisely for this reason that the  
741 methodological framework can be easily extended to contexts other than waterfronts, where in any case these  
742 features prove to be essential for solving road noise issues.

743 The following results, worthy of note, can be drawn from this exploratory investigation.

744 • The model developed here provides simulations with a short time refinement of one minute while  
745 exhibiting smaller prediction errors than those obtained in earlier studies. Indeed, the discrepancy range  
746 between the predicted and the experimental temporal profile of the noise level lies almost everywhere within  
747 the range  $\pm 0.5$  dB, almost everywhere, except for few instances at nighttime in the peak traffic period at  
748 measurement points B and C, where the prediction error is occasionally slightly larger. However, the identified  
749 model exhibits a good quantitative agreement with the experimental values since the predicted time series  
750  $L_{eq,1',sim}$  generated by the NARX model, broadly matches the experimental profile  $L_{eq,1',exp}$  of the measured  
751 noise levels, over both the characterizing periods and for the training as well as the test sets, during daytime,  
752 evening and nighttime, seamlessly.

753 • The good prediction power of the developed model (in generalizing what has been learned from the  
754 training subset) has been demonstrated on a large test set size, despite the relatively small size of the training  
755 set. Specifically in the present investigation, a training sequence of  $L_{eq,1'}(\tau)$  measured during transient  
756 conditions, composed of about 900 minutes (~11%) and strictly synchronized with detailed traffic data, proved  
757 to be sufficient for predicting, with a good quantitative agreement, the evolution of  $L_{eq,1'}$  measured in three  
758 different positions over a time span ten times larger, (~7700 minutes corresponding to ~89% of the whole  
759 experimental data set, spanning from off-season to peak traffic period). This result suggests that the  
760 generalization ability of the model is reliable and rests on a training set representative of the experimental  
761 observations. In other words, the experimental observations incorporated in the training set, being  
762 characterized by an ample transitoriness, are fairly representative of the sample space generable by the traffic-  
763 noise event at the selected measurement points in the waterfront area.

764 This feature deserves to be not under evaluated as achieving small prediction errors on a statistically  
765 consistent test set size is an attractive overarching objective that dominates the development procedure of a  
766 prediction model. In many earlier studies the prediction ability has been validated on a small portion, at the  
767 most 30%, of the entire data set. In many others, the overall consistency of the generalization ability of the  
768 proposed model cannot be assessed since no information about the composition of the training, validation and  
769 test sets is provided.

770 • The appropriateness of the identification model is also borne out by the profile of the autocorrelation  
771 and cross-correlation functions of the prediction error and the traffic data sequences. The behavior of these

772 functions supports the hypothesis that the model identified is able to explain the functional dependence, such  
773 that the same data generation mechanism exhibited by the actual traffic noise event can be re-proposed.

774 The model developed in this exploratory investigation, is a preliminary step for defining short term  
775 strategies, responsive in a short time scale, aiming to reduce traffic noise emissions in port cities' waterfronts.  
776 The study is focused on identifying a cause-effect model that is able to describe the action exerted by the traffic  
777 variables (causal exogenous input variables) on the output effect variables (noise level), with a sub hourly  
778 temporal refinement.

779 The model can be employed for achieving two different and possibly complementary tasks: a)  
780 optimization / simulation and b) monitoring purposes.

781 a) In the first case the model could be used as a simulation tool for designing a series of optimal traffic  
782 management strategies and for predicting their impact on noise level reduction.

783 In effect, if different patterns of the traffic flow explanatory variables are used as model input, the  
784 corresponding output can be analyzed for capturing how different simulated traffic scenarios impact on the  
785 corresponding simulated noise level.

786 Therefore, by iterating and evaluating alternative configurations of simulated input traffic flow, until the  
787 desired noise abatement is achieved, acoustic engineers and transport planners can drive the model with  
788 optimized input configurations to satisfy specific optimization criteria. The formulation of optimized  
789 management strategies that recommend driving behavior and routes, for optimizing traffic flow and  
790 prioritization and consequently alleviating noise in specific susceptible areas will be addressed in a future  
791 study.

792 b) Once a set of optimal traffic flow configurations has been defined the model can be used in the field  
793 for monitoring purposes. Simply using traffic flow measurements, the model can be used for monitoring road  
794 traffic noise as traffic flows change over time. The temporal profile of the predicted noise level together with  
795 the experimental traffic data provide the information source from which specific features can be extracted for  
796 driving the decision-making process to evaluate if and how the traffic should be reorganized on the basis of  
797 the previously designed optimal configurations. In this phase sound pressure level measurements can be  
798 omitted so the measurement campaign can be minimized and used simply for tuning the model. Note that in  
799 monetary terms the savings are appreciable, as the cost per unit of noise level measurements is higher than for  
800 traffic counts and what is more many cities are already equipped with traffic volume counters for traffic flow  
801 planning and management.

802 The results presented here concern the acoustic environment and traffic scenarios in a specific city and  
803 they cannot be generalized to other localities. An interesting development would be to extend the methodology  
804 to other waterfront cities.

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