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Continuous fermentative hydrogen production from cheese whey – new insights into process stability, International Journal of Hydrogen Energy,

Volume 47, Issue 49, 2022, Pages 21044-21059, ISSN 0360-3199.

The publisher's version is available at:

<http://dx.doi.org/10.1016/j.ijhydene.2022.04.229>

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CONTINUOUS BIO-H₂ PRODUCTION FROM CHEESE WHEY. PART 2: FACTOR-BASED ASSESSMENT

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ABSTRACT

Keywords: *dark fermentation, continuous H₂ production, process stability, statistical analysis*

1. INTRODUCTION

Dairy industry residues are regarded as attractive substrates for H₂ production through dark fermentation (DF) due to their organic content, ranging largely between 0.8 and 102 g COD/L (Carvalho et al., 2013). While high concentrations of biodegradable organic matter in such residues may pose potential environmental hazards and may overload wastewater treatment plants, the exploitation of its energy content may open up large challenges for recovery.

The latest available statistical data on annual cheese production report values of ~24.7 million tons worldwide (OECD-FAO, 2021) and ~10.1 million tons in the EU-27 (Eurostat, 2020), with a constant increase over the last decades. It is evident that this also gives rise to large amounts of dairy residues being generated, with cheese whey (CW) production being estimated to reach ~9–10 L per kg of cheese manufactured (Carvalho et al., 2013).

DF of organic residues has been widely investigated over the past decades, but some big challenges still exist along the way to full-scale implementation. These include mainly the wide variability of H₂ production along with the poor stability of the biochemical process, and the thermodynamic and biochemical limitations to the H₂ yield attainable. Therefore, one of the main goals of the recent studies on DF has involved investigating the influence of the key factors on the H₂ yield and the feasibility of promoting hydrogenogenic pathways by adjusting the operating conditions. While considerable advance has been made to relate the process parameters and the metabolic routes, most of the studies have been conducted in a batch mode (Akhlaghi et al., 2017; Dareioti et al., 2014; Davila-Vazquez et al., 2008; Kargi et al., 2012; Lopez-Hidalgo et al., 2018; Muñoz-Páez et al., 2014; Patel et al., 2016; Vasmara et al., 2018; Ziara et al., 2019). On the other hand, in order to assess the process viability under continuous operation for industrial scale-up purposes, deeper understanding of some unresolved issues is mandatory. The main open questions in this regard include:

- defining strategies to limit substrate-competitive microbial pathways, which typically encompass solventogenesis (Antonopoulou et al., 2012), lactate fermentation (Castillo Martinez et al., 2013; Sikora et al., 2013) and heterotrophic homoacetogenesis (Ljungdahl et al., 1989)
- defining strategies to limit the competitive influence of hydrogenotrophic microbial pathways, the most common of which comprising autotrophic homoacetogenesis (Saady, 2013), propionate fermentation (Antonopoulou et al., 2008a) and methanogenesis
- optimizing the operating variables to drive the metabolic pathways towards the desired direction

- preventing process inhibition by either internal or external factors (such as excessive buildup of metabolic products, presence of toxic substances, etc. (Castelló et al., 2020)).

Properly addressing such issues is essential to ensure at the same time adequate stability of the biochemical reactions involved and satisfactory H₂ production yields. It is worth highlighting that different parameters, mainly involving analyzing the biogas volume and composition, are commonly used to monitor the performance of DF, while other indicators of the process evolution include measuring the production of metabolites and characterizing the microbial community in the fermentation system (Antonopoulou et al., 2008b; Azbar et al., 2009; Castelló et al., 2018, 2009; Davila-Vazquez et al., 2009; Venetsaneas et al., 2009; Yang et al., 2007). Such indicators are however mainly useful to monitor potential fluctuations in the process evolution, but, due to the numerous variables involved in the process and their potential mutual interactions, it is often hard to univocally identify trends that correlate the main features of the process (H₂ yield and rate, stability conditions) with the analytical characteristics of the fermentation system. In this regard, it may be useful, in order to describe the profile of the fermentation process, to derive suitable indicators to be adopted to explain and assess process performance. Ideally, it would be desirable to develop aggregate indicators capable of accounting for the complexity of the system and grasping the relevant characteristics of the process. To the best of the authors' knowledge, while attempts have been made in this regard, no such criteria are yet available for use in the interpretation of the fermentation process. In the present work, the results of an experimental campaign including 17 continuous fermentation tests are presented, and the nature of the underlying phenomena that led to different H₂ production patterns is investigated by means of statistical processing of the experimental data.

2. MATERIALS AND METHODS

2.1 Feedstock, inoculum and analytical methods

The substrate used was a synthetic cheese whey (SCW), which was diluted to preset concentrations in order to adjust the organic loading rate (OLR). The inoculum consisted of activated sludge (AS) from the aerobic unit of a municipal wastewater treatment plant and was used for the start-up phase of the continuous hydrogenogenic experiments (see below) after a heat-shock pre-treatment at 105 °C for 30 min. For further details about sample storage and preparation for the tests, please refer to previous work (Poletini et al., 2022).

The substrate and the inoculum were characterized for the content of total solids (TS), volatile solids (VS), total organic carbon (TOC) and soluble carbohydrates. For the determination of TS and VS the Standard Methods for the Examination of Water and Wastewater (APHA et al., 2017) were adopted. TOC was measured using a Shimadzu TOC analyzer (TOC-VCHS and SSM-5000 module, Shimadzu, Japan). The analysis of soluble carbohydrates was performed through the colorimetric phenol–sulfuric acid method using glucose as the standard (Dubois et al., 1956).

The results of the analytical characterization for SCW and AS are shown in Table 1.

Table 1. Characterization parameters for SCW and AS

Parameter	Unit of measure	SCW	AS
Total Solids, TS	g/L	1109 ± 10.4	20.5 ± 1.8
Volatile Solids, VS	g/L	1076 ± 6.8	16.0 ± 1.4
Total suspended solids, TSS	g/L	441 ± 26.3	18.2 ± 0.1
Total Organic Carbon (TOC)	g C/L	487 ± 8.3	9.0 ± 0.7
Soluble carbohydrates	g hexose/L	637 ± 2.5	0.7 ± 0.08

2.2 Continuous fermentation experiments

Seventeen continuous fermentation runs were performed in 1-L (working volume = 0.5 L) magnetically stirred lab-scale CSTRs operated in the mesophilic regime ($T = 39 \pm 1$ °C). The system pH was automatically controlled at 6.5 by means of 1 M NaOH addition.

The conditions for the process start-up were derived from previous work (Akhlaghi et al., 2017). In particular, the reactors were initially fed with SCW and pre-treated (see above) AS at concentrations of 10.8 g TOC/L and 16 ± 1.4 g VS/L, respectively, flushed with N₂ to draw off oxygen from the reactor headspace and maintained without further feeding (batch mode) for 40 h to allow for the growth of H₂-producing biomass. The final fermentate was then thickened upon settling for 24 h and retained after the discharge of the supernatant for the rest of the experimental run, switching to continuous operation conditions, with no further inoculum feeding.

The continuous fermentation tests were operated at different HRTs (6–20 h) and OLRs (16–129 g TOC/(L·d)) (see Table 2) in order to explore the features of fermentative H₂ production under a range of operating conditions and identify key factors of the process that may be used to explain differences in the observed yields.

The feed solution was kept at 4 °C in a refrigerator to prevent degradation before testing. The reactors were discharged and fed intermittently every hour through a peristaltic pump controlled by an automatic control system. The flowrate was adjusted so as to keep low feeding and discharge times compared to the HRT (between 6 and 9 minutes depending on the experimental conditions adopted) while avoiding system perturbations.

Instantaneous samples of fermentate were taken at selected time intervals and kept in a refrigerator at –15 °C until analytical characterization.

Table 2. Experimental conditions adopted in the semi-continuous tests

Run no.	Run code	HRT (h)	OLR (g TOC/(L·d))
1	R-6-32.5	6	32.5
2	R-6-65	6	65.0
3	R-6-97.5	6	97.5
4	R-6-129	6	129.0
5	R-8-32.5	8	32.5
6	R-8-65	8	65.0
7	R-8-129	8	129.0
8	R-12-65	12	65.0
9	R-12-97.5	12	97.5
10	R-16-16	16	16.0
11	R-16-33	16	33.0
12	R-16-52	16	52.0
13	R-16-65	16	65.0
14	R-16-129	16	129.0
15	R-20-32.5	20	32.5
16	R-20-52	20	52.0
17	R-20-65	20	65.0

2.2 Analytical methods

The fermentation process was studied through analytical characterization of both the fermentate and the biogas.

The volumetric amount of biogas produced was measured by means of a eudiometer connected to the fermentation reactor using the volume displacement principle, automatically recording the weight of the displaced aqueous solution (NaCl-saturated deionised water acidified with H₂SO₄ to pH = 2 to prevent gas dissolution) through an electronic load cell connected to the control system. The weight of the solution was converted to the corresponding biogas volume by accounting for the liquid and gas densities and the temperature and pressure conditions during the tests. All gas

volumes were converted to standard thermodynamic conditions ($T = 273.15 \text{ K}$, $P = 10^5 \text{ Pa}$).

The analytical characterization of biogas involved sampling with a gastight syringe and subsequent gas-chromatographic analysis. The gas chromatograph was equipped with a thermal conductivity detector and a 2-m stainless-steel packed column with an inner diameter of 1 mm. The operating temperatures of the injector and detector were 100 and 130 °C, respectively, with He as the carrier gas. The oven temperature was initially set at 80 °C and subsequently increased to 100 °C at 2 °C/min.

The fermentate was subjected to solid/liquid separation through centrifugation followed by 0.2 µm filtration, and subsequently analysed for TS, VS, TOC, dissolved organic and inorganic carbon (DOC and DIC) as well as soluble carbohydrates according to the analytical methods mentioned in section 2.1. In addition, the concentration of soluble metabolic products (SMPs), including volatile fatty acids (VFAs: acetic [HAc], propionic [HPr], butyric + iso-butyric [HBu], valeric + isovaleric [HVal], hexanoic + isohexanoic [HHex], heptanoic [HHep]) and ethanol [EtOH]) was determined in order to derive information about the prevalent metabolic pathways. The SMPs were analysed in HCl-acidified ($\text{pH} = 2$) samples with a gas chromatograph equipped with a flame ionization detector (FID) and a 30 m capillary column with an inner diameter of 0.53 mm. The temperatures of the detector and the injector were 270 and 250 °C, respectively. The oven temperature was initially set at 60 °C, held for 3 min at this value, subsequently increased to 180 °C at a rate of 10 °C/min and finally increased to 220 °C at a rate of 30 °C/min and held for 2 min.

For some samples additional metabolic products including lactic acid (HLA) and succinic acid (HSu) were also analysed through high-performance liquid chromatography (HPLC) using an UV-vis detector ($\lambda = 220 \text{ nm}$) and a refractive index detector. The column had sizes of $300 \times 7.8 \text{ mm}$. The analysis was performed at 40 °C under isocratic conditions using 0.008 N H_2SO_4 as the eluent with an elution rate of 0.6 mL/min.

All the analytical determinations were performed in duplicate.

2.3 Statistical analyses

The analytical data were processed to derive a set of variables that were considered to be relevant as process monitoring parameters. Three different types of variables were accounted for in the analysis: 1) independent operating variables (HRT and OLR); 2) process performance parameters (H_2 and CO_2 production yield); and 3) process monitoring variables (individual and total amounts of metabolites produced and the fractions of gasified, dissolved and particulate carbon). A supplementary variable was further added for the statistical analysis to account for the stability characteristics of the tests. The list and definition of the 14 variables adopted is reported in Table 3.

Table 3. Process variables adopted for the statistical analyses

Type of variable	Definition	Symbol	Unit of measure
Operating variables (independent variables)	Hydraulic residence time	HRT	h
	Organic loading rate	OLR	g TOC/(L·d)
Process performance indicators (response variables)	H_2 production yield	HPY	L H_2 /kg TOC_{feed}
	CO_2 production yield	CPY	L CO_2 /kg TOC_{feed}
Process monitoring variables	Metabolite production:		
	ethanol production	EtOH	% TOC_{feed}
	acetate production	HAc	% TOC_{feed}
	propionate production	HPr	% TOC_{feed}
	butyrate production	HBu	% TOC_{feed}
	total SMPs production	SMP	% TOC_{feed}
	Fraction of gasified carbon	GasC	% TOC_{feed}
	Fraction of dissolved inorganic carbon	DIC	% TOC_{feed}
Supplementary variable	Fraction of residual soluble carbon	Res_sol	% TOC_{feed}
	Fraction of residual particulate carbon	Res_part	% TOC_{feed}
	Stability index	SI	---

The dataset consisted of a ($m \times p$) matrix, with m = number of data points (63, corresponding to samples taken at different times during the 17 experimental runs – see Supplementary Information document) and p = number of variables (14). The data points (individuals) were processed through Principal Component Analysis (PCA), which is a statistical technique that allows extracting the relevant information from a certain dataset in the presence of multiple inter-correlated variables. The extracted information is expressed as a function of a reduced number of new mutually uncorrelated variables (the so-called principal components, PCs), that are obtained from a linear combination of the original variables by minimizing the loss of information associated to the reduction of the dataset dimensionality. PCA is a useful tool to group data on the basis of similarities (finding common patterns among the individuals in each group) and isolate data that display statistically significant differences. For the fermentation tests, the PCA was applied in order to explain the observed H₂ production performance and relate it to key factors of the process. The statistical analyses were carried out using the *FactoMineR* package (Lê et al., 2008) available in R.

3. RESULTS AND DISCUSSION

3.1 H₂ production and organic matter degradation

Our previous work on continuous H₂ production from SCW (Boni et al., 2021; Poletini et al., 2022) showed that the operating conditions adopted (HRT/OLR combination) significantly affected both the yield and rate of conversion of the organic substrate into H₂. Due to the high sensitivity of the hydrogenogenic biomass to the fermentation environment, the process performance also displayed a large variability over time. In some cases, the fluctuations of the H₂ volume produced tended to progressively dampen with the operation time reaching steady conditions. Conversely, in other tests the observed oscillations were as large as the average value (or higher), or the measured H₂ production gradually declined to almost zero as a result of progressive washout of the hydrogenogenic species. A discriminating criterion between stable and unstable operation was based on a dynamic stability index, derived as:

$$SI = 1 - \frac{st.dev.(H_2\ production)}{average(H_2\ production)} \quad (1)$$

using the moving average and standard deviation of the volumetric H₂ production measurements. The period for the calculation of the statistical parameters of the analytical data (recorded on an hourly basis) was assumed to be 1 HRT. Stability was considered to be achieved when SI was ≥ 0.75 for at least 3 consecutive periods until the end of the test (for further details please refer to (Boni et al., 2021; Poletini et al., 2022)).

While a detailed discussion of the criteria to identify the stability condition for H₂ production is provided in our previous work, it is worth mentioning here that only under specific operating conditions could steady-state operation be achieved. Furthermore, in several cases, prolonged timespans were required to attain the stability condition, pointing out at the existence of long transient periods for either biomass acclimation or competition among the different microbial species involved. Figure 1 reports a summary of the results obtained in terms of HPY and hydrogen production rate (HPR), showing the main statistical values of the distribution of hourly H₂ production data. For the stable runs, such parameters are reported separately for the initial transient period and the stable operation regime, while for the remaining tests the box plots refer to the whole duration of the experiments. Concerning the stable period, the lowest performance in terms of HPY was observed for the R-12-97.5 (16.2 L H₂/kg TOC) and R-8-129 (18.6 L H₂/kg TOC) runs and in terms of HPR for the R-20-32.5 run (0.8 L H₂/(L_{react}·d)). It was however evident that properly adjusting the operating conditions was able to produce a more than threefold increase in H₂ production. As a matter of fact, the best results, in terms of both HPY and HPR, were displayed by run R-6-97.5 (HPY = 49.5 L H₂/kg TOC; 4.8 L H₂/(L_{react}·d)), followed by run R-8-65 (HPY = 42.1 L H₂/kg TOC; 2.7 L H₂/(L_{react}·d)). The fact that short HRTs were generally associated to the region of higher HPY confirms the results of previous literature studies, which indicated that HRTs below

12 h are required to enhance the activity of hydrogenogenic microbial species (Davila-Vazquez et al., 2009; García-Depraect et al., 2020; Palomo-Briones et al., 2017)

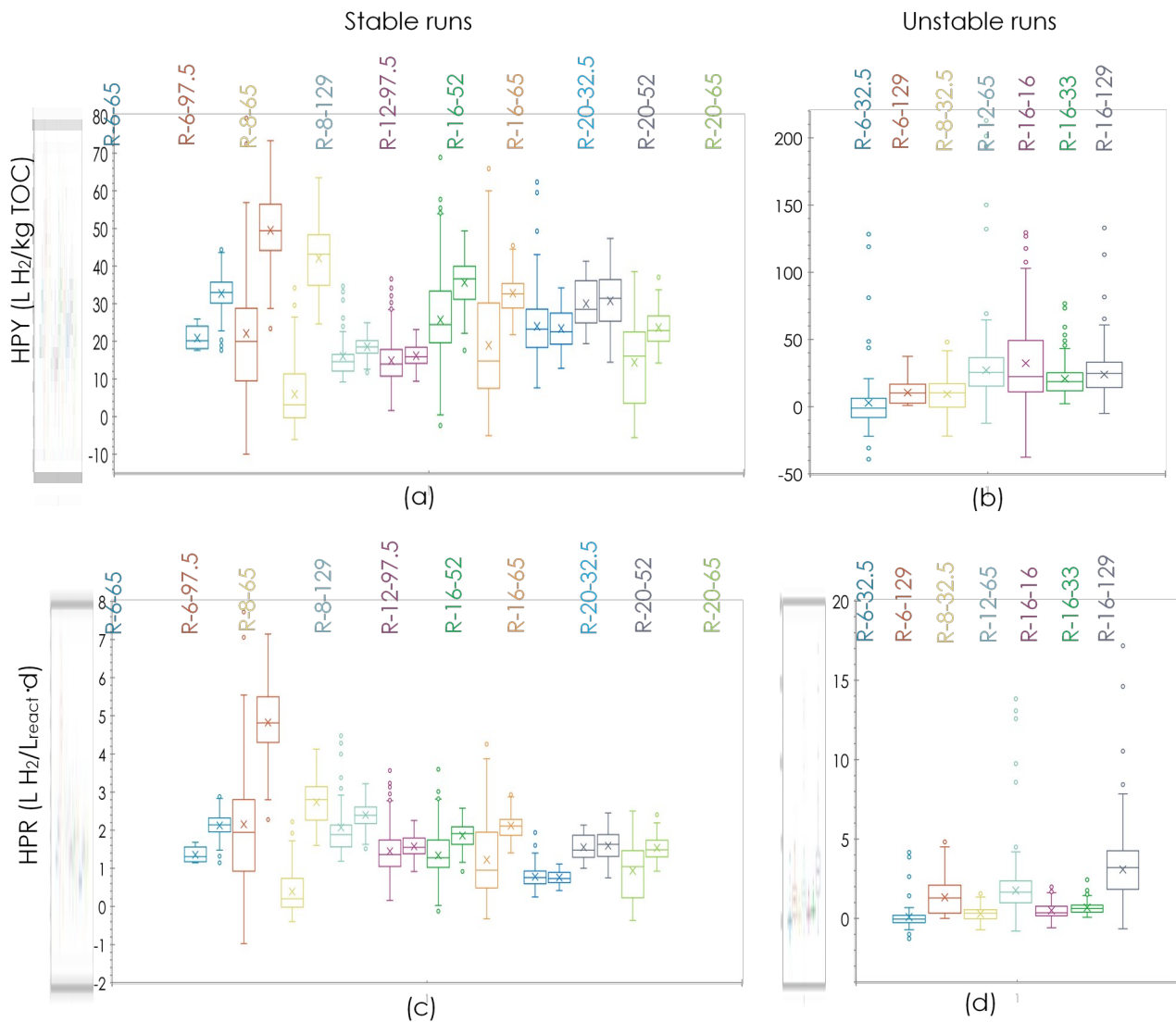


Figure 1. Average values (×) and range of variation (quartiles and min-max range) of the HPY (a, b) and HPR (c, d) for the individual experimental runs. Note: each box pair of the stable runs refers to the initial transient period (1st box) and the stable period (2nd box). Dots represent the outliers.

To explain the H₂ production performance observed in the different tests, the fate of carbon during the process was studied through the analysis of organic matter partitioning among the various products of the metabolic reactions. Assessing either the mass balance of carbon (see e.g. (Akhlaghi et al., 2019; Cai et al., 2010; Cooney et al., 2007; Hamilton et al., 2010; Sarma et al., 2017)) or the COD/electron balances (see e.g. (Cota-Navarro et al., 2011; Lee and Rittmann, 2009; Moat et al., 2002)) during fermentation can assist the interpretation of the pathways of substrate conversion into the end products and help explain the observed H₂ yield on the basis of the products obtained with a view to process optimization. Considering that in dark fermentation the amount of electrons directed to H₂ production is a comparatively low portion of the total amount of mobilized electrons, in the present study we used the carbon mass balance to describe the transformation of organic matter. The carbon balance was drawn from the analytical measurements of the species of concern in both the liquid (fermentate) and the gaseous phase; the only term that was derived indirectly was the mass of dissolved inorganic C (DIC) in the liquid phase, that was estimated from the CO₂ partial pressure in the gas phase and the pH and temperature of the liquid phase by applying the Henry's law. The analysis of organic matter partitioning included the following terms: 1) SMPs; 2) residual organic C, present as both soluble and particulate species (i.e., non-degraded compounds, other

metabolic products not directly analysed, and C associated to biomass cells); 3) DIC; 4) CO₂ in the biogas. A conceptual scheme showing the meaning of the different terms of the mass balance and the partitioning of the C species between the solid and gaseous phases is depicted in Figure 2. The term “balance” that appears in the figure is the amount of C required, due to experimental errors/uncertainties, to meet the mass conservation principle.

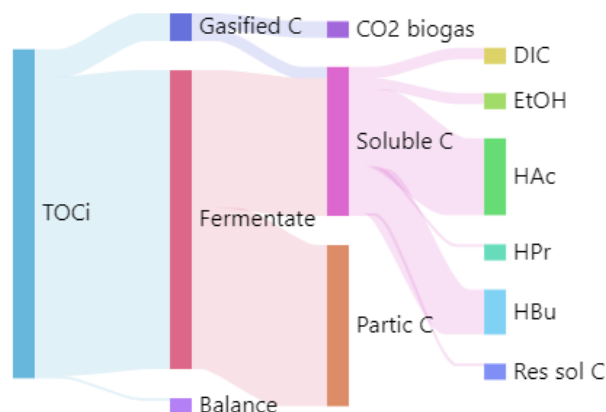


Figure 2. Conceptual layout of C partitioning among the different forms

The results of the C mass balance for a number of example runs are reported in Figure 3 in terms of percent distribution among the above mentioned forms relative to the input TOC and its partitioning among the individual SMPs. A number of distinguishing features can be derived that relate C speciation to the observed HPY. In general terms, it can be noticed that, as expected, a major portion ($88\pm 14\%$) of the input C was retained in the liquid phase during the fermentation process. Moreover, for this fraction of C, the proportions associated to the particulate and soluble forms were found to be of the same order of magnitude, although with some fluctuations across the tests. As shown in Figure 3, the amount of soluble carbon in the fermentate was likely mainly associated to the SMPs (see below for further details) rather than to non-degraded soluble molecules. It is also worth mentioning that for most of the fermentate samples the analysed metabolites explained more than 85% of DOC (as shown by the low values of the residual soluble C term in the plots), indicating that the pool of fermentation products was mainly made of HAc and HBu, followed by lower contributions of HPr and EtOH; on the other hand, the cumulative amount of HVal, HHex and HHep always accounted for less than 10% of TOC and in some cases was even undetectable. In some selected samples, additional metabolites were identified which included HLa and HSu, although this could not be systematically assessed for all tests due to analytical constraints.

As far as the fraction of particulate C was concerned, this could in principle be present in different forms, including either non-hydrolysed molecules from the original substrate or microbial cells. It has been shown in previous studies (Jung et al., 2010; Lay et al., 2010; Palomo-Briones et al., 2017) that in dark fermentation the biomass growth yield may range from ~ 0.2 up to 0.5 (on a COD basis), therefore the values obtained in the present work for the amount of particulate C are in line with other literature references and may be interpreted as being predominantly related to the contribution of biomass (Kim et al., 2006).

In more specific terms, comparing the two tests that displayed the highest H₂ production performance, it was noted that during the stability period run R-8-65 (with a HPY of 52–56 L H₂/kg TOC) displayed notably higher associated HBu (21.1–25.8% TOC) and HAc (17.1–23.5% TOC) concentrations compared to run R-6-97.5 (HPY = 53–64 L H₂/kg TOC, HBu = 9.5–13.2% TOC, HAc = 11.7–14.8% TOC). Based on the results of a metabolic model that was described in our previous study (Polettini et al., 2022), for run R-8-65 the analysed samples were found to display slightly higher HAc and HBu concentrations net of homoacetogenesis and other interfering

reactions, indicating some larger contribution of hydrogenogenic pathways compared to the other experiments. Conversely, the initial transient phase for run R-8-65 was characterised by remarkably lower SMPs concentrations (HAc = 14.0–17.8% TOC; HBU = 1.4–7.6% TOC) which explain the poor H₂ production performance observed in this period (HPY = 2–12 L H₂/kg TOC).

When looking at the metabolic products detected for run R-6-65 compared to R-8-65, HAc production during the stable period was much higher (average = 26.9% TOC vs. 18.1% TOC) while HBU was only slightly lower; in addition, some occurrence of alcoholic fermentation was observed (EtOH = 2.2–5.1% TOC). The lower H₂ production measured for run R-6-65 (HPY = 39–45 L H₂/kg TOC) was explained by the considerable contribution of homoacetogenic consumption (300–490 L H₂/kg TOC) that was estimated by the above mentioned metabolic model. Therefore, although having a higher gross H₂ production from the hydrogenogenic acetic and butyric pathways, the performance of run R-6-65 was negatively affected by the significant competitive role played by homoacetogenic microorganisms. It was also interesting to note that the contribution of the residual soluble C for run R-6-65 was negligible, indicating that HAc, HBU and to a much lesser extent EtOH were the only SMPs produced at appreciable levels. Conversely, for the two tests R-8-65 and R-6-97.5 the estimated values of the residual soluble C (5.4–29.2% TOC and 14.8–26.5% TOC, respectively) pointed out at a presumably more complex set of microbial reactions with the formation of an appreciable amount of additional metabolic products. Unfortunately, in these cases it was not possible to determine the nature of the products formed, however the results obtained for the other samples (see below) may suggest that lactic and succinic pathways were also taking place concomitantly with the previously mentioned reactions.

With regard to the tests in which additional metabolites were measured, run R-20-65 revealed a significant presence of HLA during the initial stages of the process, as already noted by previous studies for HRTs \geq 12 h (Palomo-Briones et al., 2017) that clearly indicated that long HRTs are favourable towards the selection of lactic acid bacteria. Other studies (Park et al., 2015) also suggested that HLA is formed at high concentrations during the perturbation phases and tend to disappear when these are recovered. In agreement with this observation, during run R-20-65 the whole transient phase was dominated basically by HLA and HAc (concentrations of 5.8–22.2% TOC and 6.9–9.6 % TOC, respectively), but the former was found to progressively decrease during this phase as the operating conditions approached stability. The lower HPY observed for this test compared to, for example, run R-8-65 was clearly related to a lower total amount of SMPs measured in the fermentate. Similar considerations also apply for other tests with high HRTs such as run R-16-65.

Compared to R-16-65, run R-16-33 was classified as unstable and displayed a gradual decline in the production of both HAc and HBU, with an accompanying reduction in HPY. Among the other unstable runs, R-6-129 can be taken as an example of the evidence of the progressive selection of competitive microbial species over the testing time. In this case, the only metabolic products measured at detectable concentrations were HAc and HBU, and the decline of H₂ production over the testing time was likely due to the gradual decrease in the relative proportion between the hydrogenogenic and competitive biomass species. As a matter of fact, while HAc production was approximately constant during the testing period and so was the ratio between the contributions of the hydrogenogenic and homoacetogenic pathways, HBU production was found to progressively decrease with time, from 14.0 to 6.7 % TOC, mirroring the declining trend of HPY.

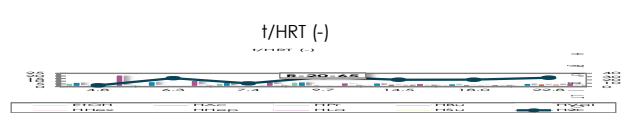
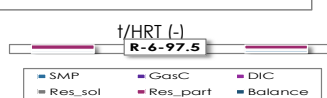
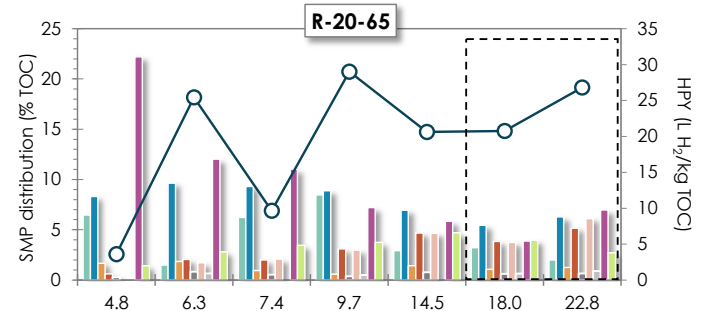
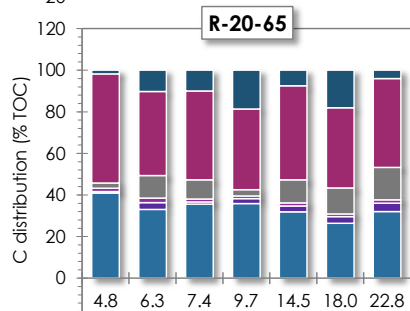
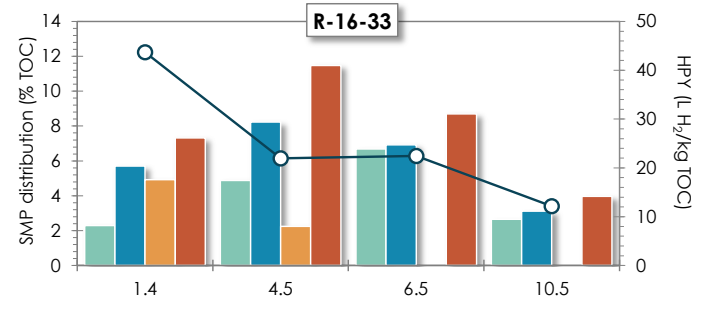
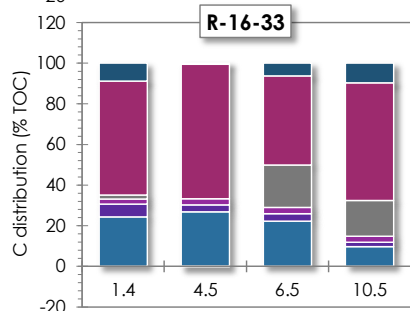
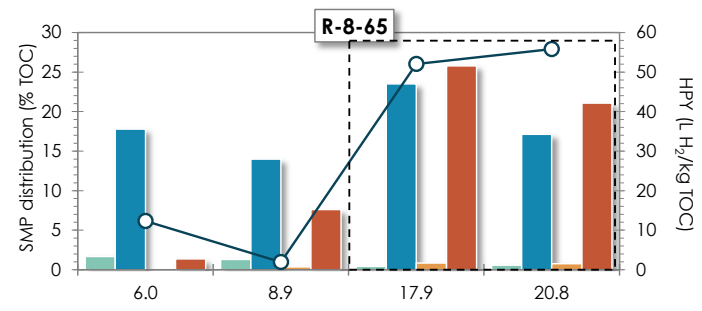
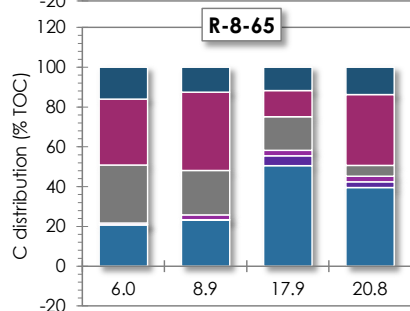
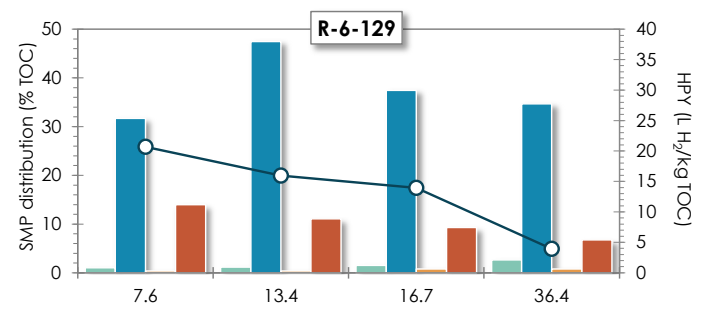
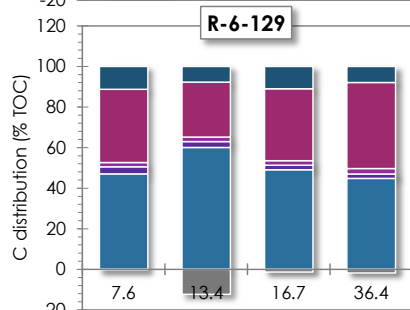
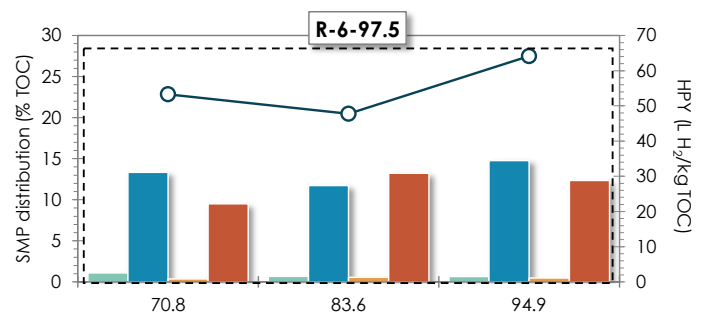
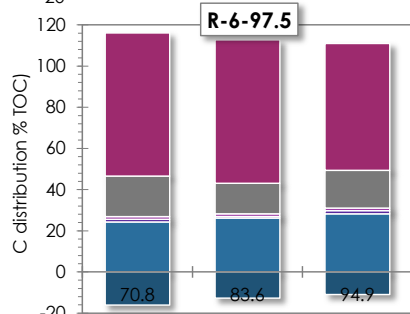
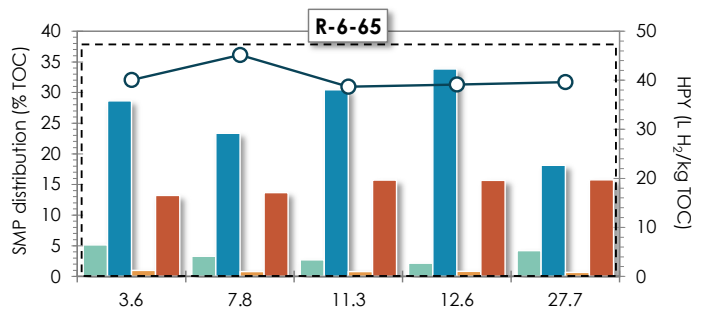
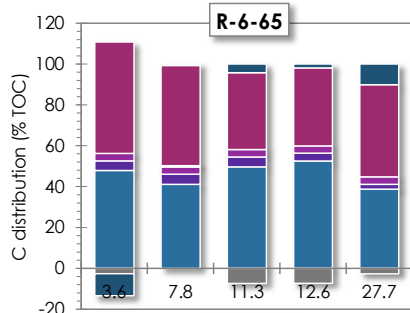


Figure 3. Carbon mass balance (left) and partitioning of the feed TOC among the individual SMPs (right) for selected experimental runs at specified sampling times (Notes: the HPY values indicated refer to the sampling times reported and may differ from the average values shown in Figure 1. The dashed areas indicate the stable period for each test)

3.2 Effects of the process variables

The PCA of the experimental data revealed that the first 5 PCs were required to explain ~81% of the overall variance. Nevertheless, the first 3 PCs were found to explain most of the variance, with a cumulative contribution of 65%.

The individual PCs allowed grouping the different variables related to the evolution of fermentation into a smaller set of parameters explaining specific features of the metabolic process while retaining most of the information associated to the original data. It is worth mentioning that the variables that were used for the statistical analyses were selected following a first screening based on Spearman's correlations (results not shown here) that allowed to exclude factors that were found to be mutually correlated.

The analysis of the correlations between the PCs and the original variables is reported in Figure 4 in terms of loading plots depicted in the planes of PCs couples. In loading plots both the distance of a given variable from the origin and the relative position of variables in the plot convey important information. Loadings that are close to -1 or 1 (variable lying close to the circle) indicate a strong correlation with the specific PC. Furthermore, variables grouped together in the plot are positively correlated, variables lying on opposed quadrants display a negative correlation, while orthogonal variables are non-correlated. The inspection of the loading plots reveals that PC₁ was correlated with total SMPs ($r = 0.96$), HAc ($r = 0.94$), HRT ($r = -0.80$) and the fraction of residual soluble C ($r = -0.74$). The observed correlation among the HRT and the amount of acetate and total metabolites confirms the findings of previous studies (Palomo-Briones et al., 2017). The second factor, PC₂, was correlated mainly with CO₂ production and the fraction of gasified TOC ($r = 0.92$, $r = 0.80$), HBU ($r = 0.70$) and H₂ production ($r = 0.60$). Although not specifically included in the PCA, it is emphasised here that HPR was found to be highly correlated ($r = 0.89$) with HPY, and similar considerations also apply for CO₂ production ($r = 0.80$). As a result, the considerations provided below regarding HPY and CPY are also valid for the corresponding rates. The third component, PC₃, displayed appreciable correlations with OLR ($r = -0.79$) and HPr ($r = 0.62$), while PC₄ was correlated with DIC ($r = 0.63$) and PC₅ with the fraction of particulate C ($r = 0.78$). On the basis of the calculated correlations, it was therefore inferred that the two independent parameters HRT and OLR were significantly associated to PC₁ and PC₃. Furthermore, PC₁ mainly accounted for the overall substrate conversion into SMPs (particularly HAc, which in most cases was the prevalent species detected), while PC₂ retained most of the information on biogas (H₂ and CO₂) production along with butyrate generation, which can be justified by the fact that the measured amount of HBU mainly derived from the hydrogenogenic butyrate pathway (see e.g. (Castelló et al., 2018; Ghimire et al., 2017; Kim et al., 2006; Lee et al., 2008)). In this regard, some authors have shown that butyrate production from hydrogenogenic fermentation cultures is thermodynamically favoured (Lee et al., 2008). However, other authors (Palomo-Briones et al., 2018), who worked on continuous fermentation of CW powder, found surprisingly no correlation between HPY and butyrate generation and, even more unexpectedly, a negative correlation between the latter and HPR.

In order to relate the PCA results to the stability features of the fermentation process, a supplementary variable was added to the analysis. In particular, stability was measured through the dynamic stability index (SI) defined by Eq. (1), which was calculated – depending on the specific test considered and the operating phase – during the transient period, the stable period or the whole test length as described for Figure 1. Since the SI was defined over 1-HRT periods while the

sampling interval was lower than 1 HRT, all data points for each test were assigned the same SI value on the basis of the operating regime. As visible in Figure 4, the SI was found to be correlated with PC₂ and in turn with biogas and butyrate production. As a result, the PCA conducted on the experimental data was capable of recognizing the stability characteristics of the analysed samples and associating them to one of the identified factors.

The individual contribution of the original variables to the PCs is shown in Figure 5, where the dashed lines indicate the value that would be expected if each variable were assumed to contribute uniformly to a specific PC (in this case = 100%/13 = 7.7%). Variables displaying contribution levels beyond this cut-off are interpreted as important variables for the PC of concern, since they contribute to it by more than the average. Specifically, the results of the analysis indicated that the most relevant contribution was provided by the following variables:

- for PC₁: total SMPs, HAc, HRT and residual soluble C
- for PC₂: CO₂ production + fraction of gasified C, HBU and HPY
- for PC₃: OLR, HPr, residual soluble C and residual particulate C
- for PC₄: DIC, HPY, HPr and EtOH
- for PC₅: residual particulate C and HPY

The predictions of the PCA model using the above mentioned five PCs as the relevant factors are reported in Figure 6 for the original variables that showed higher correlations with the PCs. It was found that the reduced set of factors was capable of providing a reasonably close description of the original data for most of the variables of concern. However, for HAc and total SMPs production, the estimations of the PCA model were found to be less accurate in the extreme regions of values, underestimating low concentrations and overestimating high concentrations of such metabolic products.

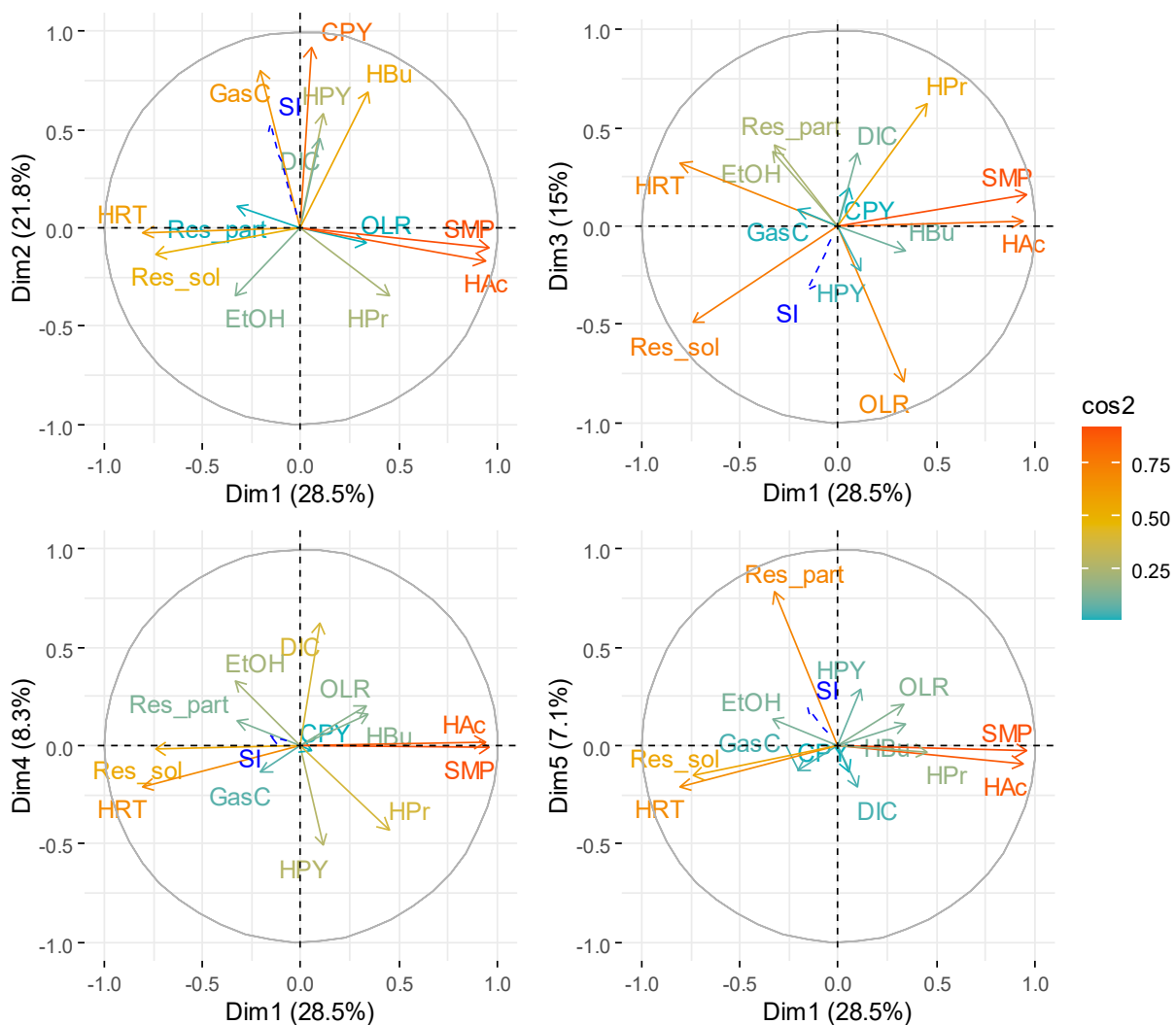


Figure 4. Loading plots in the first 5 PCs space

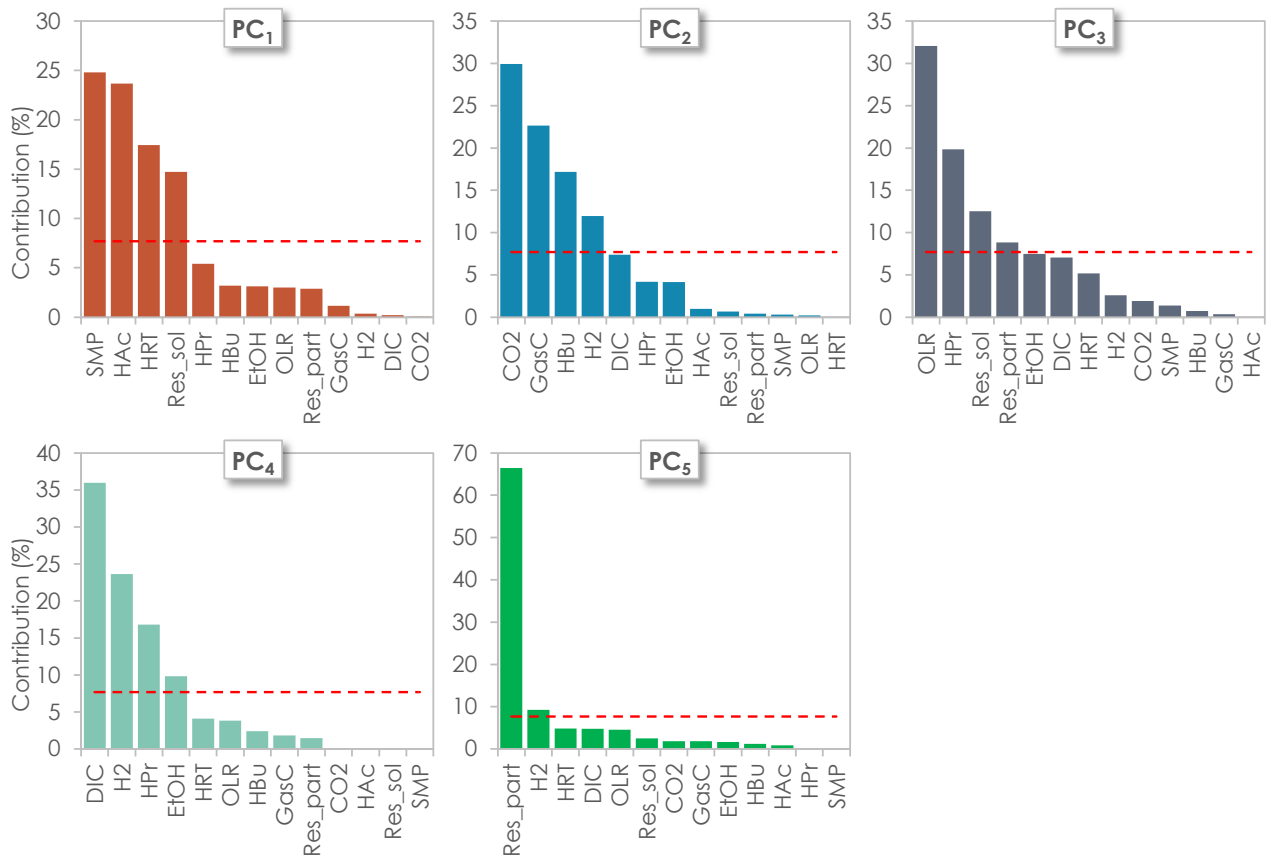


Figure 5. Contribution of the original variables to the first 5 PCs

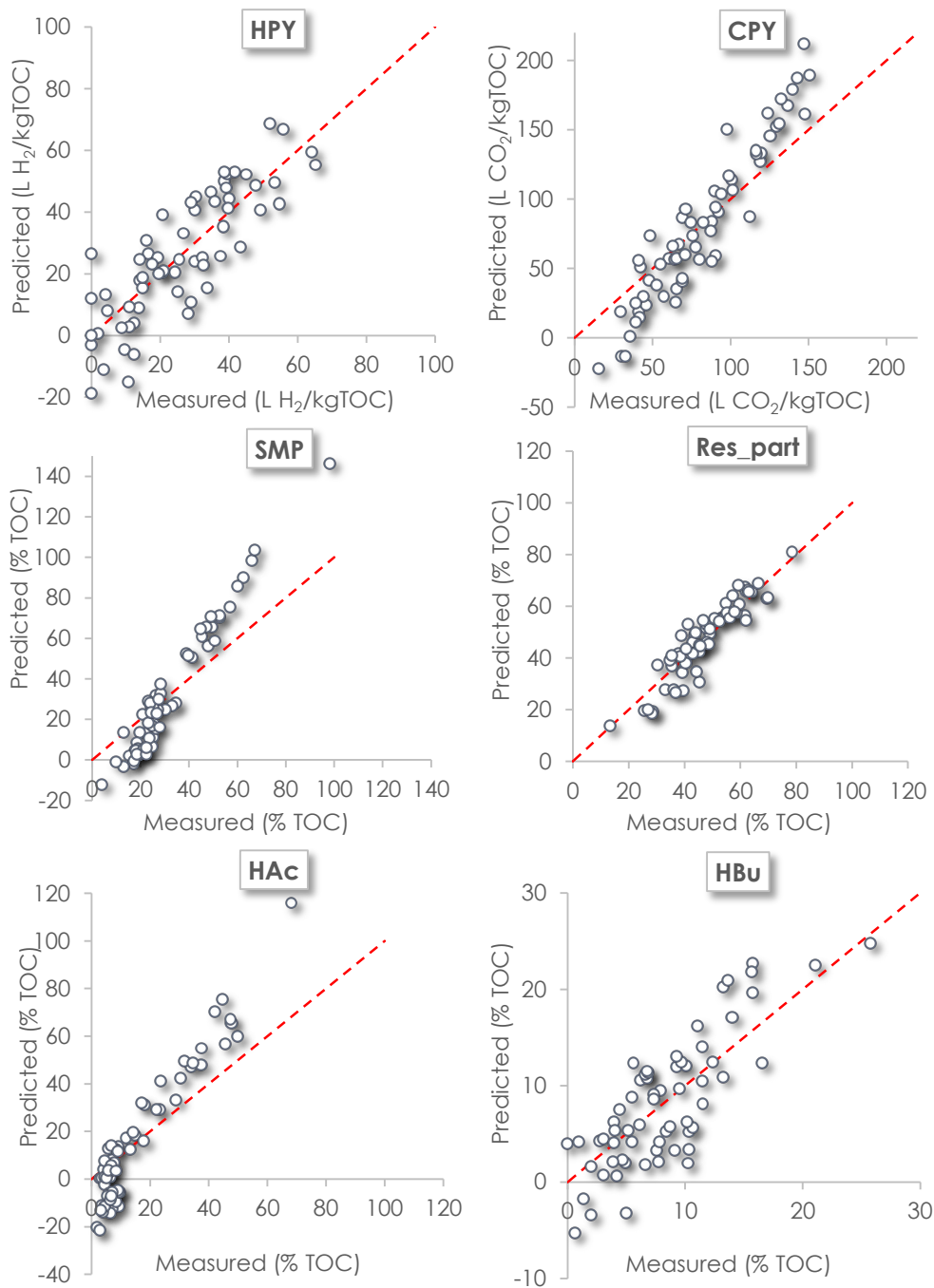


Figure 6. Predicted-vs.-fitted values of selected parameters (model: 5-PCs PCA)

Conclusions

In the present work, the results of continuous fermentation experiments for biohydrogen production from SCW were analysed based on carbon mass balance considerations and statistical processing. The H₂ yield was found to vary largely depending on the specific operating conditions adopted in the experiments, being related to both the amount and the relative distribution of the individual metabolites generated. In most cases, the pool of metabolic products was dominated by acetate and butyrate; however, they were found to derive not only from hydrogenogenic pathways but also from competing reactions and the contribution of the different metabolic routes was in turn a function of the specific operating conditions. For some experimental runs, lactate and – to a lesser extent – succinate were also detected in the fermentate, indicating the occurrence of a more complex set of metabolic reactions that had negative implications in terms of substrate conversion into H₂.

The statistical analysis of the experimental results also showed that ~80% of the information associated to the set of process parameters analysed could be described by five factors that individually explained specific features of the process itself. Among these, the first three components were able to explain cumulatively the largest share (65%) of the sample variance. In particular, the first principal component grasped the effect of retention time and total metabolic products formed, with shorter retention times being accompanied by higher total metabolites (and acetate) production and in turn decreased fractions of residual soluble carbon. The second factor mainly accounted for biogas (H₂, CO₂) generation and butyrate production, showing a correlation between the two despite the fact that H₂-neutral butyrate production from chain elongation reactions was also likely to play a role during the experiments. The third principal component mainly explained the effect of the organic loading rate and that of propionate production, which showed a mutual negative correlation.

The statistical analysis was also capable of grasping the stability features of the process, which were mainly explained by the second factor.

In summary, processing of the experimental data proved useful to group the performance and monitoring indicators of fermentation into a reduced set of variables, each representing a specific feature of the process.

As pointed out in the introduction, the production of biohydrogen through dark fermentation is a process that is very sensitive to a plurality of aspects, which also mutually influence each other. This complexity, although predictable for transitional biochemical processes, represents an obstacle to full-scale implementation, which is still a long way off despite the remarkable number of studies conducted so far on various substrates. It is hoped that studies such as the present, which aims at analysing, organizing and systematically understanding the results of the experimental activity, will contribute to confirm the main research outcomes and consolidate the knowledge, in turn providing the scientific community with reliable indicators that may represent a solid basis for the transition to full-scale application.

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