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Petrollese M., Cau G., Cocco D., The Ottana solar facility: dispatchable power from small-scale CSP plants based on ORC system, Renewable Energy, Vol. 147 part 3, 2020, pagg. 2932-2943.

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**The publisher's version is available at:**

<https://doi.org/10.1016/j.renene.2018.07.013>

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30 **The Ottana solar facility: dispatchable power from small-scale CSP plants based**  
31 **on ORC systems**

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37

38 **Abstract**

39 The Ottana solar facility aims to demonstrate the capabilities of concentrating solar technologies to provide  
40 dispatchable power and ancillary services at distribution level. The facility includes a 630 kW Concentrating  
41 Solar Power (CSP) plant with thermal storage coupled with a 400 kW Concentrating Photovoltaic (CPV) plant  
42 with electrochemical storage. The CSP+CPV plant aims to study the ability of the integrated concentrating  
43 solar systems to deliver scheduled power profiles for the following day on the basis of weather forecasting  
44 data. The CSP section is based on linear Fresnel collectors using thermal oil as heat transfer fluid and a two-  
45 tank direct Thermal Energy Storage (TES) system with a storage capacity of about 15 MWh. The power  
46 generation is carried out by a 630 kW Turboden 6HR Special ORC unit. This paper focuses on a description  
47 of the CSP plant section and on the analysis of its expected performance. In particular, the control strategy  
48 developed for determining the daily power profiles starting from the weather forecasting data is presented.  
49 Moreover, the first operating results and the expected performance of the CSP plant are reported and discussed.

50

51 **Keywords:** Solar ORC; Concentrating solar power; Linear Fresnel collectors; Thermal energy storage

52

53

## NOMENCLATURE

### *Symbols*

$A_{SF}$	Solar field collecting area [m <sup>2</sup> ]	$t_{ON}$	ORC start-up time [h]
$E_{DEF}$	Annual defocusing losses [MWh <sub>t</sub> /year]	$\eta_{OPT}$	Solar field optical efficiency
$E_{EL}$	Annual electricity production [MWh/year]	$\eta_{ORC}$	Organic Rankine cycle efficiency
$E_{HT}$	Stored energy in the hot tank [MWh <sub>t</sub> ]	$\tau_{CPV}$	CPV delivery period [h]
$\tilde{E}_{IN}$	Expected overall daily thermal energy input [MWh <sub>t</sub> /day]	$\tau_{ORC}$	ORC delivery period [h]
$E_{SF}$	Actual daily solar field energy production [MWh <sub>t</sub> /day]	$\tau_{ORC,MIN}$	Minimum ORC delivery period [h]
$E_{UN}$	Annual undelivered electrical energy [MWh/year]	<b>Acronyms</b>	
$\tilde{E}_{SF}$	Expected daily solar field energy production [MWh <sub>t</sub> /day]	CPV	Concentrating photovoltaic
$FL_{HT}$	Hot tank filling level	CSP	Concentrating solar power
$FL_{MIN}$	Minimum filling level	DNI	Direct normal irradiance
$M_{HT}$	Oil mass stored in hot tank [kg]	LFC	Linear Fresnel collector
$M_{HT,MAX}$	Maximum oil mass stored in hot tank [kg]	HTF	Heat transfer fluid
$P_{EL}$	ORC net electrical power [MW]	ORC	Organic Rankine cycle
$P_{EL,MIN}$	Minimum ORC net electrical power [MW]	PB	Power block
$\dot{Q}_{DEF}$	Solar field defocusing losses [MW]	PTC	Parabolic trough collector
$\dot{Q}_{L,TH}$	Solar field thermal losses [MW]	PB	Power block
$\dot{Q}_{PB}$	Power block thermal power input [MW]	PV	Photovoltaic
$\dot{Q}_{SF}$	Solar field thermal power production [MW]	RES	Renewable energy source
$T_{AMB}$	Ambient temperature [°C]	SF	Solar field
$T_{HT}$	Hot tank average temperature [°C]	TES	Thermal energy storage

54

## 55 1 Introduction

56 Nowadays, two mature and commercial technologies are available for the electricity generation from solar  
57 energy: (1) Photovoltaic (PV) systems, which are the most widespread technology (176 GW of installed  
58 capacity in 2014), and (2) Concentrating Solar Power (CSP), with 5 GW of overall electrical capacity in 2014  
59 [1]. Generally, CSP systems are characterized by higher capital costs compared to PV system. Furthermore,  
60 only the direct component of the solar radiation can be exploited by CSP system, making this technology  
61 profitable and competitive only in locations with high Direct Normal Irradiance (DNI) availability [2]. On the  
62 other hand, the inclusion of a Thermal Energy Storage (TES) system makes the operability of CSP plants  
63 comparable with conventional and dispatchable power plants [3]. In fact, thermal energy can be stored for later  
64 use at relatively low costs compared to batteries (commonly used in PV systems) [4]. Moreover, the  
65 introduction of a TES section allows to partially separate the electrical power generation phase and the solar  
66 thermal power production [5], coping uncertainty in solar energy availability, mitigating short load fluctuations  
67 and shifting or extending the electricity supply period [6]. Accordingly, the role of CSP plants is different and  
68 partly complementary to PV systems, especially in a future perspective, where a high penetration of Renewable  
69 Energy Source (RES) technologies in the electric power grid is expected [7]. In this scenario, CSP technologies

70 may deliver a flexible power generation and provide several electricity ancillary services at distribution level,  
71 such as frequency and voltage support to stabilize the power grid [8].

72 CSP technology is mainly adopted in large-scale plants: worldwide, solar power plants in operation are  
73 characterized by an average power output of 33 MW, which increases to 126 MW for plants in project [9]. The  
74 most common and competitive power generation cycle adopted for the solar thermal energy conversion into  
75 electricity is the Rankine cycle. Water is the most suitable and chosen working fluid for large-scale power  
76 plants operating with high temperature energy sources ( $>370^{\circ}\text{C}$ ). However, the use of steam for exploiting low  
77 temperature energy sources and/or low power outputs results in an inefficient and unprofitable solution, since  
78 the steam thermodynamic properties lead to the use of multistage turbines and complex plant schemes as well  
79 as to liquid phase formation during the expansion [10]. Conversely, the use of Organic Rankine Cycle (ORC)  
80 power plants, employing organic working fluids with low boiling points, leads to higher efficient and  
81 economically attractive solutions for power generation from low-grade heat sources. For this reason, ORC  
82 power systems should be considered the most suitable solution to convert solar thermal energy into electricity  
83 at a distributed scale, which usually require low-concentration collectors and power outputs from a few kW to  
84 a few MW [11].

85 The integration of concentrating solar collectors with ORC plants is largely studied in literature [12]. Most of  
86 these scientific works concern design optimization, selection of proper working fluids, energy and exergy  
87 analyses under design conditions [13–19]. However, the operating conditions of the ORC system are often far  
88 from the design performance, in particular in solar applications where the availability of solar energy fluctuates  
89 in time and season. For this reason, off-design performance analyses of ORC power plants are also present in  
90 literature [20–25]. Related to solar applications, He et al. [20] developed a simulation model of a parabolic  
91 trough solar thermal power generation system integrated with an ORC plant and system performance were  
92 analysed considering four typical days. Wang et al. [22] investigated the performance of a 250 kW ORC  
93 coupled with compound parabolic collectors under off-design conditions due to the fluctuations of ambient  
94 temperature and mass flow rate of Heat Transfer Fluid (HTF). Calise et al. [23] simulated the off-design  
95 performance of an ORC fed by medium-temperature heat sources ( $155\text{--}185^{\circ}\text{C}$ ) using n-butane as working  
96 fluid and, after a design optimization of some geometrical parameters of the shell and tube heat exchangers.  
97 However, the operating strategy of the overall CSP-ORC power system is often a neglected aspect, although  
98 it greatly influences the reliability and profitability of the plant. In case of small-scale solar ORC systems, as  
99 those analysed in [26–28], the ORC power generation is completely devoted to cover the corresponding load  
100 demand, and the TES system, if present, is used to face some perturbations such as a cloud passing or a low  
101 temperature of the HTF loop. By referring to medium-size systems, the main objective is generally related to  
102 the maximization of the energy production, the HTF is directly sent to the power block, which often operates  
103 in off-design conditions, and only the surplus is diverted toward the storage system [29]. In alternative, as  
104 proposed in [30], the thermal storage could be privileged and the power block operation is postponed when  
105 the TES is completely charged. The simplicity in the standard practises adopted in operating strategy is mainly  
106 due to a limited baggage of operational experience and knowledge of system potentiality.

Table 1 – CSP-ORC power plants with a power output in the range 50kW-5MW.

Plant (date)	Country	Collector type	Collecting area [m <sup>2</sup> ]	HTF	Operating temperature [°C]	ORC system (backup)	Power output [MW]	TES type (capacity)	Ref.
Saguaro Power Plant (2006)	USA (AZ)	PTC	10340	Xceltherm 600	300/248	Ormat (solar only)	1	None	[31]
Lafayette Plant (2012)	USA (LA)	PTC	1051	Water	121/93	ElectraTherm (solar only)	0.05	Buffer	[32]
Airlight Energy Baha Plant (2014)	Morocco	PTC	6160	Air	570/270	Turboden 18 HR (solar+waste heat)	2	Packed-bed of rocks (5 h)	[33]
Rende-CSP Plant (2014)	Italy	LFC	9780	Mineral oil	280	n.a. (solar-biomass)	1	None	[34]
Tampa Plant (2014)	USA (FL)	PTC	1021	Glycol	116/77	ElectraTherm (solar only)	0.05	PCM	[35]
Archimede (2015)	Italy	PTC	8000	Thermal oil	305/204	Turboden 12 HRS (Gas boiler)	1	Direct (1 h)	[36]
Stillwater Geo-Solar Plant (2015)	USA (NV)	PTC	24778	Water	n.a.	Isobutane units (Solar-Geothermal)	2 of 35	None	[37]
Aalborg CSP-Brønderslev (2016)	Denmark	PTC	26929	n.a.	312/252	Turboden 40 CHPRS split (solar-biomass)	3.8	None	[38]
Ottana solar facility (2017)	Italy	LFC	8592	Thermal oil	275/165	Turboden 6HR Special (solar-only)	0.6	Direct (4.92 h)	[39]
IRESEN (Under construction)	Morocco	LFC	11400	Mineral oil	300/180	Exergy Organic EPS 150 (solar only)	1	Buffer (20 min)	[40]
eCare Solar Thermal Plant (n.a.)	Morocco	LFC	10000	Water	280/160	n.a.	1	Steam Drum (2 h)	[41]

110 As reported in Table 1, very few CSP-ORC power plants with a power output higher than 50 kW are currently  
 111 operating in the world. On the other hand, solar ORC integrated with TES systems may have a role to play in  
 112 meeting energy needs as a dispatchable power source in the future. The achievement of this goal requires the  
 113 study and development of suitable operational strategies, able to produce electricity from solar energy  
 114 following scheduled profiles and to provide ancillary services at distribution level.

115 In this framework, this paper focuses on the ongoing studies at the Ottana solar facility, a pilot power plant  
 116 owned by ENAS (Ente Acque della Sardegna) in operation by September 2017 in Sardinia (Italy), based on a  
 117 630 kW CSP plant and a 400 kW Concentrating Photovoltaic (CPV) plant. After a detailed description of the  
 118 main sections of the plant, with particular focus on the ORC unit, the novel operational strategy adopted for  
 119 determining the ORC daily power profiles is introduced. It is based on a scheduling procedure, which defines  
 120 one-day ahead the ORC power output profile in function of CSP state and weather forecast for the following

121 day. Preliminary operating results and the expected performance of the CSP plant are finally presented and  
122 discussed.

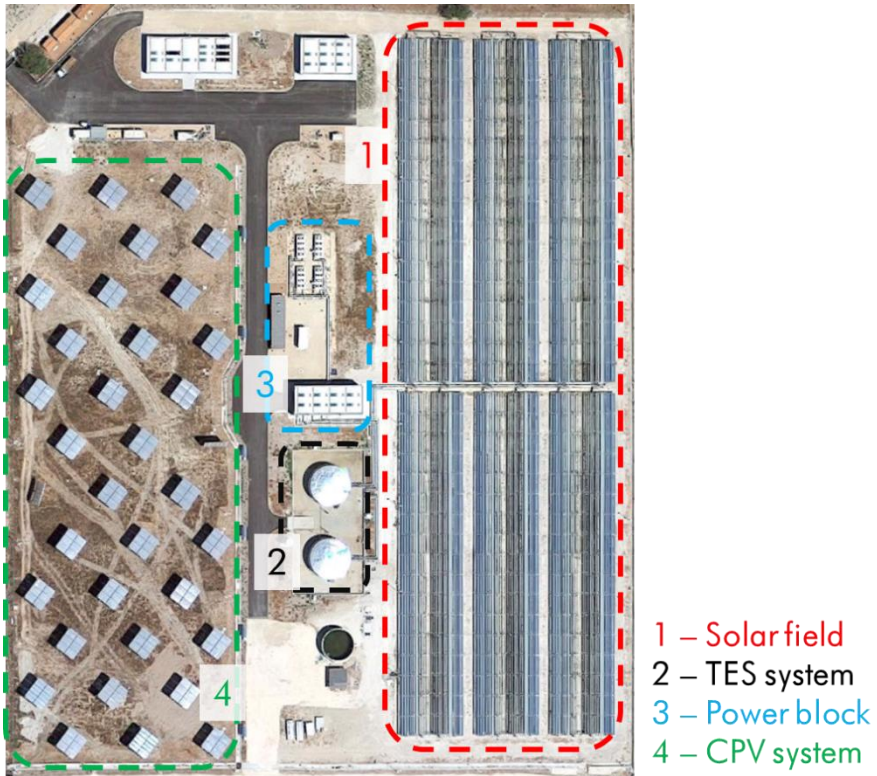
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## 124 **2 The Ottana solar facility**

125 The Ottana solar facility (Figure 1) is an experimental solar power plant with an overall power output of about  
126 1 MW located in the industrial district of Ottana, Italy (40°14'18.9"N 8°59'37.7"E). The facility integrates a  
127 630 kW CSP-ORC plant, including a thermal energy storage system, with a 400 kW CPV plant equipped with  
128 a molten-salt battery system. The electricity generation of the CSP and CPV sections will be primarily used  
129 for supplying the demand of the ENAS pumping stations. The Ottana pilot solar plant has also an experimental  
130 and demonstration purpose. In particular, the facility pursues the main goal of integrating effectively two  
131 different solar concentrating technologies and energy storage systems for enhancing the dispatch capabilities  
132 of solar power plants. In other words, the CSP+CPV plant does not only aim to maximize the energy production  
133 (as in typical commercial solar power plants) but also to study the ability of the integrated solar system to  
134 deliver scheduled profiles in accordance with the weather forecasting [39].

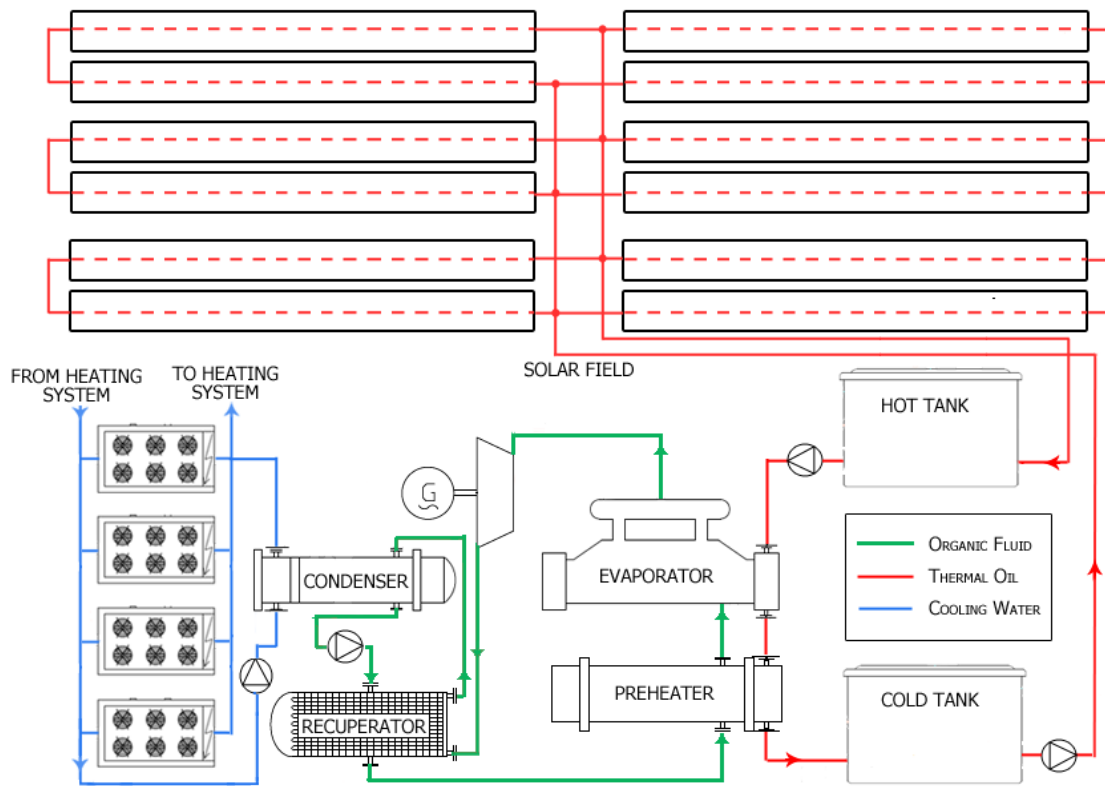
135 As shown in Figure 2, the CSP plant includes three main sections: the Solar Field (SF), where the solar energy  
136 is concentrated to heat up the HTF, a two-tank direct TES section, where the HTF is stored, and a Power Block  
137 (PB), where the collected thermal energy is converted into electricity. The Solar Field is composed of six lines  
138 of linear Fresnel collectors connected in parallel and aligned along the North-South direction. Each collector  
139 loop includes 34 modules for an overall length of 200 m and a net collecting area of 1432 m<sup>2</sup>.

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Figure 1 – Aerial view of the Ottana solar facility.



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Figure 2 - Schematic view of the CSP section of the Ottana solar facility.

147 The primary mirrors (AGC Float type) concentrate the solar radiation onto the fixed receiver (7 m above the  
148 mirrors plane) that includes a secondary reflector and the evacuated receiver tube (Archimede HCEOI12 type).  
149 The heat transfer fluid is a commercial Therminol SP-I thermal oil, with a design inlet/outlet temperature of  
150 165/275°C. Under nominal conditions (DNI of 900 W/m<sup>2</sup>, incident angle of 0°, ambient temperature of 20°C),  
151 the optical efficiency is 65.6%, which drops to 64.0% by considering also thermal losses in the receiver tube  
152 and piping. The thermal power produced by the solar field is about 5 MW, with a corresponding solar multiple  
153 of 1.6. The nominal HTF mass flow rate is 18 kg/s, while two circulating pumps controlled by a specific control  
154 system adjust its value under real conditions. The thermal energy storage section includes two storage tanks  
155 designed to store about 195 tonnes of thermal oil at a maximum temperature of 275°C. The capacity of the  
156 storage system is equal to 15.2 MWh<sub>t</sub>, corresponding to 4.9 equivalent hours of the ORC operation at nominal  
157 conditions. The hot tank collects the thermal oil heated by the solar field and supplies it to the ORC unit; the  
158 cold tank receives the oil coming from the power block and supplies it to the solar field. Each tank includes a  
159 thermal insulation of mineral wool (walls), foam glass (bottom), calcium silicate (roof) and the upper volume  
160 of the storage tanks, above the oil, is filled with nitrogen to avoid oil oxidation.

161 The ORC unit is a Turboden 6HR Special, a 629 kW turbogenerator based on a regenerative Rankine cycle  
162 operated by an organic fluid (hexamethyldisiloxane, C<sub>6</sub>H<sub>18</sub>OSi<sub>2</sub>). The latter is preheated and vaporized in an  
163 economizer (shell and tubes heat exchanger) and an evaporator (kettle reboiler type) respectively, both fed by  
164 the thermal oil from the hot tank. The working fluid is then sent to a 3-stage axial turbine (rotational speed of  
165 3000 rpm) coupled with an electric generator (asynchronous type, air cooled), where the thermal energy is  
166 converted into electricity. Owing to the molecular complexity of the organic fluid, a small enthalpy drop occurs  
167 in the expansion phase, resulting in a large thermal power availability at the turbine discharge. To increase the  
168 cycle efficiency, a heat recovery unit (finned tubes heat exchanger) between the turbine and the condenser is  
169 introduced for a regenerative preheating. The exhaust working fluid is then sent to a water cooled condenser  
170 where it returns to saturated liquid conditions and, finally, it is pressurized in a multistage centrifugal feed  
171 pump coupled with an inverter controlled electric motor. The water from the condenser is cooled in turn by  
172 dry coolers and, in case, used for underfloor heating of control rooms and offices.

173 Besides the CSP section, the solar facility includes a CPV section coupled with an electrical energy storage  
174 system. This section is composed of 36 two-axis solar trackers, where six panels Soitec CX-M500 are arranged  
175 in each tracker. The optics are based on the Fresnel “silicon on glass” technology for a geometric concentration  
176 factor of 500 suns. Each panel is characterized by a nominal power of 1.985 kW<sub>p</sub> and a nominal efficiency of  
177 29.8% under standard conditions. Owing to the high variability and poor predictability of the power produced  
178 by CPV modules, a battery bank FIAMM Spring based on Sodium–Nickel batteries is introduced for short-  
179 term energy storage (storage capacity of 430 kWh).

180 The facility includes a single connection point with the national grid and, therefore, the CSP and CPV sections  
181 are not completely independent of each other but they constitute a hybrid and integrated power plant. The  
182 hybridization of the CSP and CPV sections mainly occurs during the daily operation, where the different  
183 dynamic response of the two systems to DNI fluctuations (very fast for the CPV and relatively slow for the



184 CSP) allows to obtain an effective regulation of the scheduled profile. A support of the batteries to the ORC  
 185 system even occurs during the start-up phases to cover the ORC ancillary consumptions. The structure of the  
 186 control system is based on a three-level hierarchical model: the first level is related to a scheduling procedure  
 187 for the determination of the one-day ahead CSP+CPV power profile, a real-time control algorithm is  
 188 implemented in the second level for the power profile tracking according to actual meteorological data and the  
 189 last level involves the control systems of each component of the plant.

190

191 *2.1 ORC performance*

192 As mentioned, the Turboden 6HR Special is designed to produce a net electrical power of 629 kW with a net  
 193 efficiency of 20.3% under nominal conditions. Table 2 reports the ORC unit performance at reference  
 194 conditions, but the ORC often works far from nominal conditions, as confirmed by the first experimental  
 195 results.

196 Depending on the operational strategy, the ORC unit can operate at part-load conditions and the control system  
 197 meets this requirement by reducing the oil mass flow rate with a consequent decrease of the organic fluid mass  
 198 flow rate circulated by the pump. During high partial loads, the turbine adapts to the actual flow conditions  
 199 with a decrease of the input pressure (sliding-pressure control) and a consequent decrease of the enthalpy drop.  
 200 However, a combination of sliding pressure and throttling is applied at low partial loads to avoid premature  
 201 evaporation of the organic fluid in the regenerator. Obviously, the use of the sole sliding pressure control leads  
 202 to a lower decrease of the isentropic efficiency of the expander.

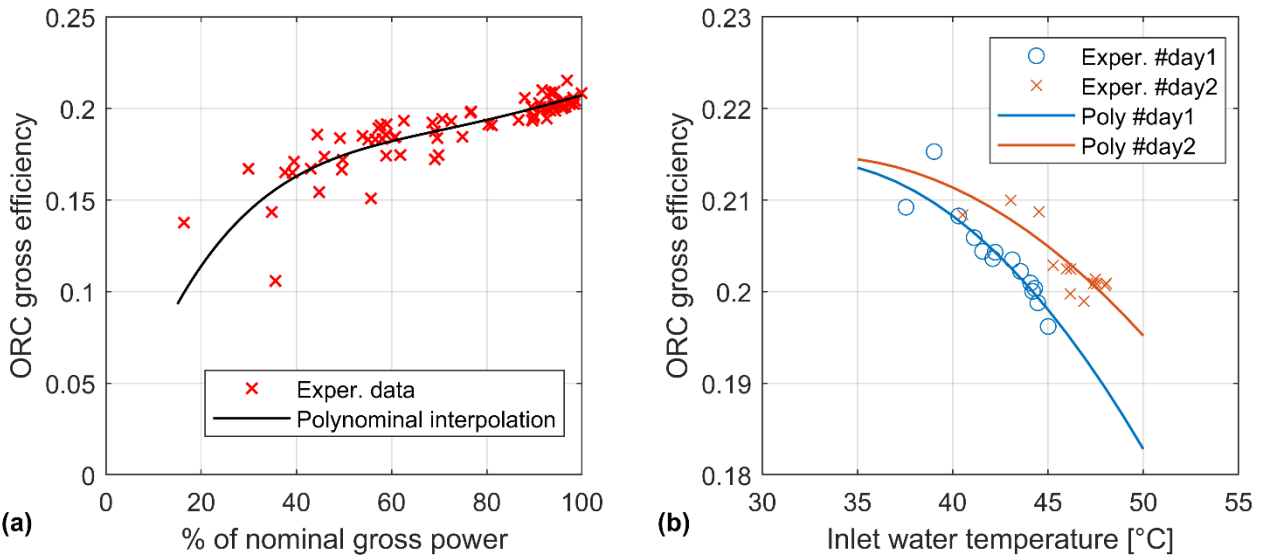
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*Table 2 - ORC performance at reference conditions.*

<b>INPUT – Thermal oil</b>	
Thermal oil inlet temperature	275°C
Thermal oil outlet temperature	165°C
Thermal oil mass flow rate	11.05 kg/s
Thermal power input	3100 kW <sub>t</sub>
<b>OUTPUT – Cooling water</b>	
Cooling water inlet temperature	25°C
Cooling water outlet temperature	35°C
Thermal power to condenser	2436 kW <sub>t</sub>
<b>PERFORMANCE</b>	
Gross electrical power	664 kW
Gross electrical efficiency	21.4%
Captive power consumption	35 kW
Net electrical power	629 kW
Net electrical efficiency	20.3%
Electric generator	50Hz/400 V

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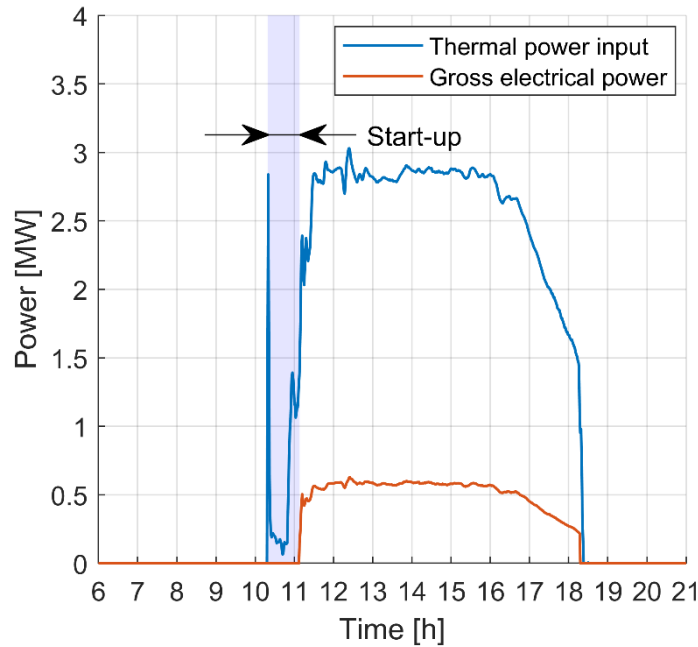
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Figure 3 – (a) ORC performance at part-load conditions and (b) Effect of the water temperature at the condenser inlet side on the ORC gross efficiency.

209

210 This fact is highlighted in Figure 3(a), where is depicted the ORC efficiency at part-load conditions: the  
 211 degradation of the ORC performance from 100% up to 50% of the nominal gross power is lower than 3  
 212 percentage points, while the performance drop becomes more evident with part-load conditions lower than  
 213 40%. In Figure 3(a), experimental data measured during the first operating period of the CSP plant are also  
 214 reported. The high dispersion of experimental data is mainly due to different conditions in terms of ambient  
 215 temperature and thermal oil inlet temperature. As mentioned, the condenser waste heat is removed by dry  
 216 coolers. Consequently, the water temperature at the condenser inlet side depends on the ambient temperature.  
 217 Obviously, an increase of the inlet water temperature leads to an increase of the minimum pressure of the ORC  
 218 thermodynamic cycle with a consequent degradation of the cycle efficiency. Conversely, a decrease in the  
 219 maximum cycle pressure compared to the nominal one occurs with the decrease of the thermal oil inlet  
 220 temperature due to the hot tank heat losses. Figure 3(b) shows the influence of the inlet water temperature and  
 221 inlet thermal oil temperature on the ORC performance during two different operating days. During these days,  
 222 an increase of the water temperature at the condenser inlet side has been detected due to a rise in the ambient  
 223 temperature along the day (about 7°C for both days). On the other hand, a different thermal oil inlet temperature  
 224 has been measured (about 15°C on average), causing a different trend of the ORC gross efficiency between  
 225 the two days. Finally, an important feature of the solar-ORC system is the unavoidable daily start-up and shut-  
 226 down phases of the ORC turbogenerator due to the limited solar energy availability. To allow a fast start-up  
 227 time during the following day, the organic fluid and the hot components are kept in temperature during the  
 228 shut-down phase by a minimal thermal oil mass flow that continuously feeds the ORC unit. Figure 4 shows  
 229 the main energy flows measured during a test-day, highlighting the thermal power input required during the  
 230 so-called hot start-up. This phase has a duration of 50 minutes with a thermal energy consumption of about  
 231 550 kWh<sub>t</sub>. On the other hand, an ORC stop longer than one day may occur during some periods of the year,  
 232 especially in winter and mid-season. In this case, the feeding of a minimal thermal power input during the

233 shut-down phase can be stopped. A longer ORC start-up (the so-called cold start-up) occurs and higher amount  
234 of energy for warming up the metallic parts of the turbogenerator and for vaporizing the working fluid is  
235 required.  
236



237  
238 *Figure 4 – Thermal power input and gross electrical power of the ORC unit during an operative day, with evidence of*  
239 *the energy flows during the start-up phase.*

### 240 241 **3 Operational strategy: determination of the daily power profile**

242 As mentioned, the operational strategy adopted for the CSP plant is based on an optimal scheduling procedure  
243 that determines the daily power profile one-day ahead by taking into account forecasted CSP+CPV power  
244 production profile, storages status and availability. The development of a novel and integrated control logic is  
245 therefore required for the definition of the set-point of the CSP+CPV power production for the following day.  
246 In particular, the control strategy adopted for the ORC unit has a dual purpose. The first objective aims at  
247 maximizing the energy production, promoting the use of the ORC unit close to design conditions with a starting  
248 time scheduled after the complete filling of the hot tank (similar to the control strategy of the large-size CSP  
249 plants in operation today). The second objective pursues the hybridization of the two solar systems, that is,  
250 ensuring the matching between CPV and ORC power delivery periods both to exploit the CSP peculiarities of  
251 “semi-dispatchability” and to minimize the fluctuations in the production of CPV. Unlike the previous scope,  
252 the operation at part load conditions is often required in this case, especially during partly cloudy days.  
253 The algorithm proposed for the definition of daily ORC profiles determines the best trade-off between this two  
254 conflicting goals by considering the expected energy production and fluctuations for the following day.  
255 In particular, two main inputs are required: the plant status at the end of the previous day and the weather  
256 forecast data delivered by a specific service. In particular, the expected DNI and the ambient temperature

257 ( $T_{AMB}$ ) are used for calculating of the expected solar field energy production ( $\tilde{E}_{SF}$ ). The latter is evaluated by  
 258 using the simulation model presented in [42] and can be summarized by the following relationship:

$$\tilde{E}_{SF} = \sum_{t=1}^{24} [D\tilde{NI}(t) \cdot A_{SF} \cdot \eta_{OPT}(t) - \dot{Q}_{L,TH}(\tilde{T}_{AMB}(t))] \quad (1)$$

259 where  $A_{SF}$  is the solar field collecting area,  $\eta_{OPT}$  is the optical efficiency and  $\dot{Q}_{L,TH}$  are the thermal losses in  
 260 the receiver tubes and piping calculated as a function of the ambient temperature. The tilde sign is added to the  
 261 parameters based on forecast data, which are subjected to uncertainty. The storage status is described in terms  
 262 of average oil temperature  $T_{HT}$  and stored oil mass  $M_{HT}$  and is represented by the Filling Level ( $FL_{HT}$ ), which  
 263 is defined as the ratio between the current  $M_{HT}$  and the maximum mass storable in the hot tank  $M_{HT,MAX}$ . The  
 264 stored energy in the hot tank ( $E_{HT}$ ) is therefore calculated as:

$$E_{HT} = (FL_{HT} - FL_{MIN}) \cdot M_{HT,MAX} \cdot c_{HTF} \cdot (T_{HT} - T_{CT,NOM}) \quad (2)$$

265 where  $c_{HTF}$  is the specific heat of the thermal oil,  $T_{CT,NOM}$  is the nominal cold tank temperature (165°C) and  
 266  $FL_{MIN}$  is the minimum filling level. The latter is a control parameter introduced to ensure a minimum amount  
 267 of HTF inside the hot tank suitable to cover fluctuations in thermal energy production and eventual  
 268 overestimations of expected solar field energy production. Therefore, the overall thermal energy input  $\tilde{E}_{IN}$   
 269 available for the ORC unit for the following day is the sum of the stored energy already available in the hot  
 270 tank and the expected daily energy production of the solar field:

$$\tilde{E}_{IN} = E_{HT} + \tilde{E}_{SF} \quad (3)$$

271  
 272 The main output of the control algorithm is the ORC delivery profile for the following day, simply evaluated  
 273 by assuming a constant ORC power output. As shown in Figure 5, four parameters are required to define this  
 274 profile: the ORC on/off state, the net electrical power output  $P_{EL}$ , the corresponding duration period  $\tau_{ORC}$  and  
 275 the start-up time  $t_{ON}$ .

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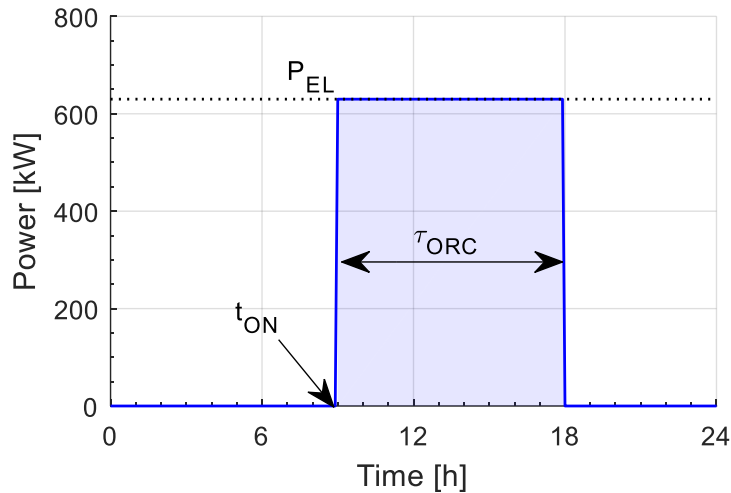


Figure 5 – Example of a daily power output determined by the adopted control strategy.

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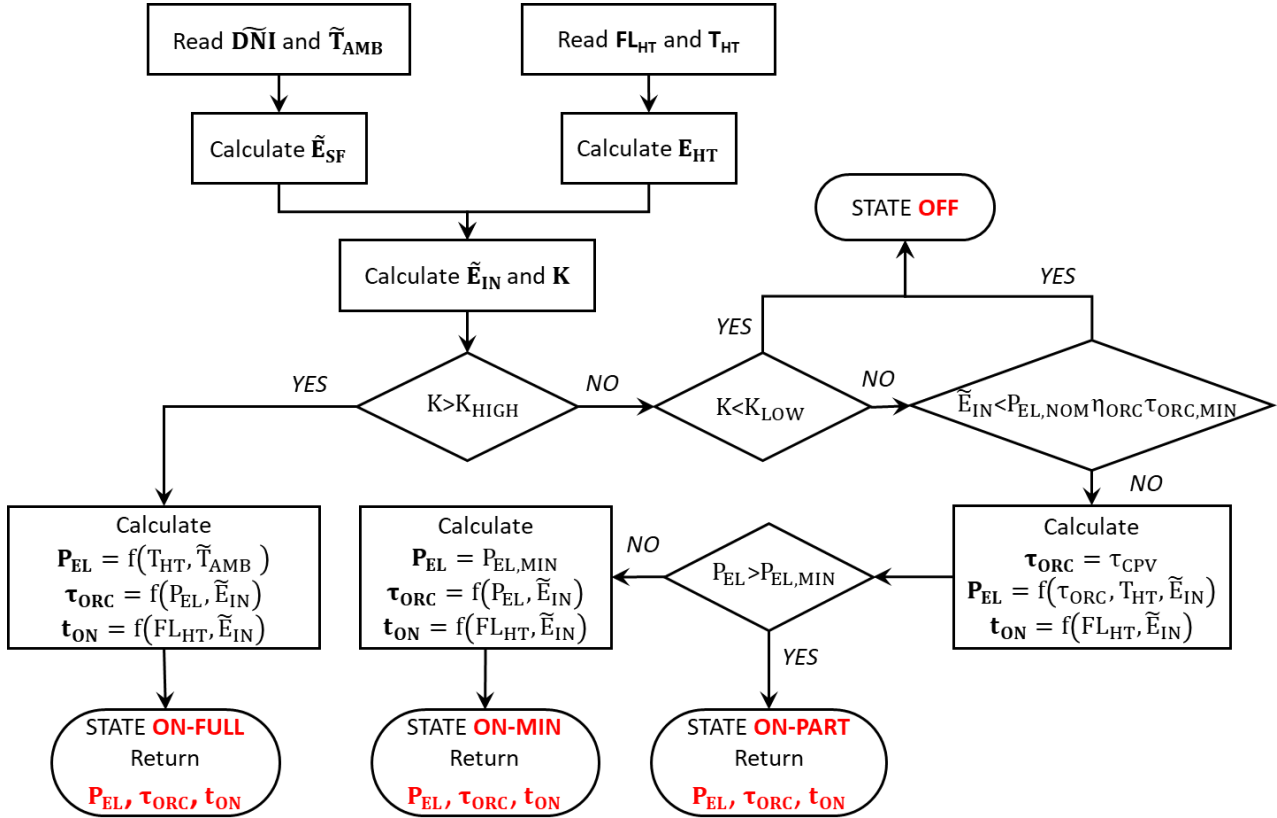
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280 An index  $K$  is introduced as the main control parameter that determines the trade-off between the two  
 281 objectives. It is defined as the ratio between the expected solar field energy production  $\tilde{E}_{SF}$  and the  
 282 corresponding solar field energy production in clear-sky conditions  $E_{SF,MAX}$ . The expected  $K$  index for the  
 283 following day determines the priority assigned to the optimization of ORC performance or to the matching  
 284 between CPV and ORC power delivery periods, through the introduction of two threshold values ( $K_{HIGH}$  and  
 285  $K_{LOW}$ ). High  $K$  values ( $K > K_{HIGH}$ ) result from stable atmospheric conditions and marginal fluctuations on the  
 286 CPV power production, limiting the role of the CSP section in the supporting of CPV production. In these  
 287 cases, the maximization of the ORC performance is preferred and the ORC state is set to ON-FULL. The  
 288 electrical power output is calculated in function of the expected average oil temperature in the hot tank and of  
 289 the foreseen maximum ambient temperature for the following day, by assuming a thermal oil mass flow rate  
 290 at nominal conditions. Accordingly, the duration period is evaluated by considering the expected thermal  
 291 energy availability  $\tilde{E}_{IN}$  and the calculated  $P_{EL}$ . Vice versa, since low  $K$  values ( $K < K_{LOW}$ ) result in very low  
 292 solar energy availability, the ORC unit is kept off (state OFF) and the eventual solar field energy production  
 293 is stored in the hot tank. For intermediate values of  $K$  ( $K_{LOW} < K < K_{HIGH}$ ), the decision about the on/off state of  
 294 the ORC unit strongly depends on  $\tilde{E}_{IN}$  (in particular on the energy stored  $E_{HT}$  in the hot tank at the end of the  
 295 previous day). If the expected  $\tilde{E}_{IN}$  is not sufficient to guarantee a minimum number of operating hours  $\tau_{ORC,MIN}$   
 296 at nominal conditions (here imposed equal to 2 hours) the ORC unit is kept off, otherwise the start-up of the  
 297 ORC unit is scheduled. In this case, the support of the ORC unit to the CPV power production becomes  
 298 fundamental and, in order to ensure the operation of the ORC during the production period of the CPV system,  
 299  $\tau_{ORC}$  is set equal to the CPV delivery period ( $\tau_{CPV}$ ). The ORC state is set to ON-PART and the ORC power  
 300 level is subsequently calculated according to the overall energy availability and the imposed duration period.  
 301 However, to avoid a drop in the ORC performance, a constraint in the minimum ORC power output ( $P_{EL,MIN}$ )  
 302 is introduced and set to 50% of the nominal power. In the latter case, the ORC state is set to ON-MIN and the  
 303 delivery period  $\tau_{ORC}$  is adjusted in order to satisfy this constraint.

304 Finally, the start-up time  $t_{ON}$  is determined based on the energy stored in the hot tank. As said, a minimum  
 305 filling level ( $FL_{MIN}$ ) is required to guarantee the oil supply during the start-up phase, as well as to absorb the  
 306 fluctuations in the thermal energy production during the day. If the  $FL_{HT}$  at the end of the previous day is lower  
 307 than  $FL_{MIN}$ , the ORC start up is postponed until a safe level of stored energy is achieved with the solar field  
 308 energy production in the first sunshine hours. Otherwise,  $t_{ON}$  is set equal to the first hour of the day where a  
 309 share of solar field production is expected. The flowchart of the procedure for the determination of the ORC  
 310 delivery profile is shown in Figure 6.

311



312

313

Figure 6 – Operational strategy for scheduling the ORC daily power profile.

314

#### 315 4 Results and discussion

316 The expected performances of the CSP section of the Ottana solar facility are presented and analysed in this  
 317 section. The operational strategy presented in the previous section and based on weather forecast data is used  
 318 for the determination of the day-ahead power generation profile of the ORC unit. The latter and the actual  
 319 weather conditions are then used as main inputs for simulating the actual daily operation of the CSP section.  
 320 A dedicated simulation model developed in Matlab is used for evaluating the actual thermal power production  
 321 of the solar field  $\dot{Q}_{SF}$  and the corresponding thermal oil mass flow rate [42]. On the other hand, the thermal  
 322 power input  $\dot{Q}_{PB}$  and the corresponding mass flow rate required by the power block are calculated according  
 323 to the ORC scheduled profile, the actual water inlet temperature and the current oil temperature in the hot tank.  
 324 The oil mass stored in the hot tank  $M_{HT}$  is therefore used to compensate the mismatch between the thermal

325 energy produced by the SF and that required by the ORC unit. If the hot tank is fully charged, some mirrors  
326 are defocused to maintain the thermal power balance. This originates an excess power neither used nor stored,  
327 which leads to the so-called defocusing power losses  $\dot{Q}_{DEF}$ . Conversely, if the hot tank is completely empty  
328 and the mass flow rate produced by the solar is lower than that required by the ORC unit, the actual ORC  
329 power output is reduced compared to the scheduled one or the turbogenerator is shut down if the SF mass flow  
330 rate is unable to guarantee the minimum part-load ratio. This lack of energy production compared to the  
331 scheduled one results in a share of undelivered power.

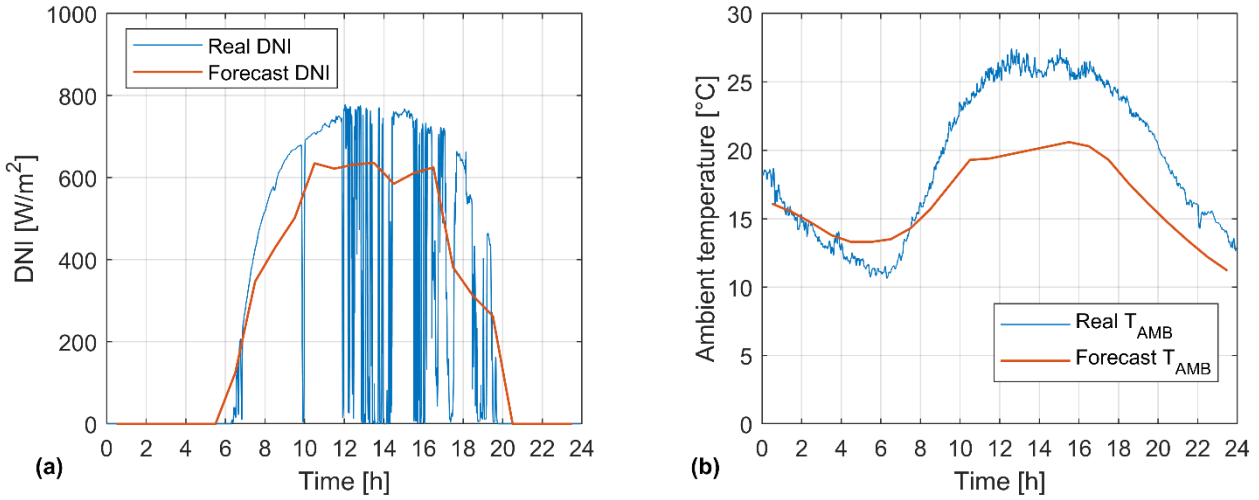
332 In this section, the ability of the solar ORC plant to follow scheduled profiles, the effect of the uncertainty in  
333 weather forecast and the influence of the main control parameters on the ORC state and efficiency are  
334 investigated. The forecast data provided by a weather forecast service and the measured data of the main  
335 meteorological parameters are used. Firstly, the plant performance during a typical daily operation is presented.  
336 The analysis is then extended to a yearly basis for the evaluation of the expected annual performance.

337

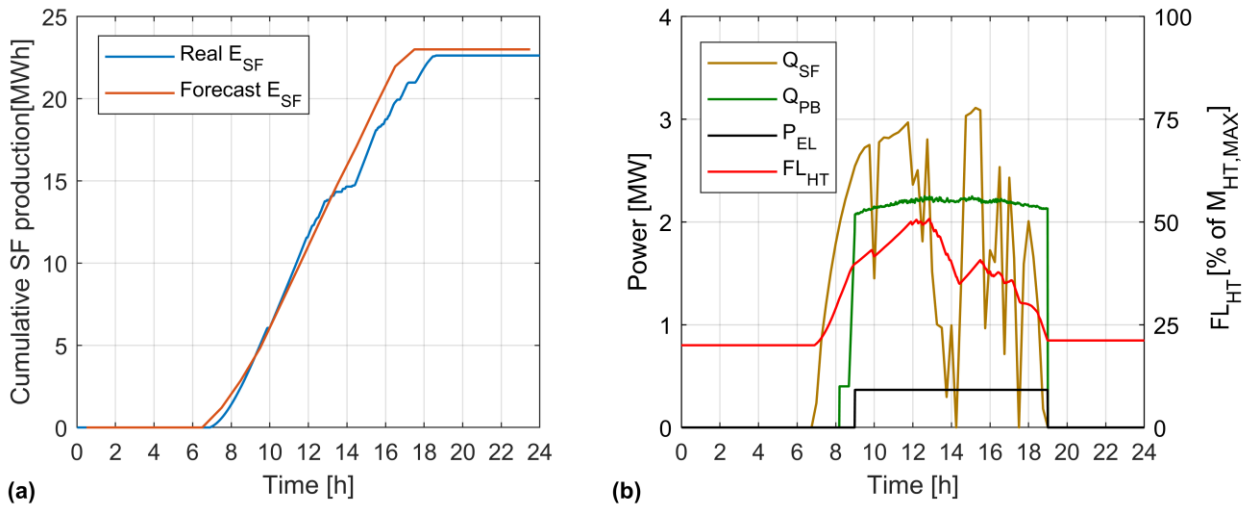
#### 338 4.1 Daily performance

339 The weather conditions occurring in Ottana on 19/05/2017 (a day of testing during commissioning) are selected  
340 as case study. Figure 7 shows the expected and measured values of the DNI and ambient temperature. The  
341 uncertainty on expected DNI trend is highlighted in Figure 7(a), since the weather forecast service  
342 overestimates the daily DNI ( $6.71 \text{ kWh/m}^2$  instead of  $6.24 \text{ kWh/m}^2$  actually measured on the daily basis). In  
343 addition, the forecast trend is unable to detect the high fluctuations on the beam solar radiation. A deviation in  
344 the ambient temperature trend is also observed during the considered day (Figure 7(b)). In particular, a mean  
345 difference of  $+5^\circ\text{C}$  is detected between measured and expected values. This deviation leads to a wrong  
346 estimation of both the water temperature at the condenser inlet side and the thermal losses of the receiver tube  
347 (although the influence on this parameter is marginal). The effect of the weather forecast uncertainty on the  
348 solar field energy production is shown in Figure 8(a). The overestimation of the solar energy availability leads  
349 to a difference between the expected SF thermal energy production ( $\tilde{E}_{SF}$ ) and the actual one ( $E_{SF}$ ) of  $0.4 \text{ MWh}_t$ ,  
350 although a maximum difference of  $2.1 \text{ MWh}_t$  in the cumulative SF production is observed during the day.  
351 Starting from a stored oil mass in the hot tank ( $FL_{HT}$ ) equal to the minimum allowed value ( $FL_{MIN}$  set equal  
352 to 20% of the overall capacity), the expected K value is equal to 0.69. Since this is an intermediate value  
353 between  $K_{HIGH}$  (imposed equal to 0.8) and  $K_{LOW}$  (set equal to 0.2), the operational strategy ON-PART is by  
354 the proposed control strategy. The ORC delivery profile is characterized by a net power output of 330 kW, a  
355 duration time of 12 h and a start-up time established at 9 a.m. The corresponding thermal power input ( $\dot{Q}_{PB}$ )  
356 including start-up thermal demand is shown in Figure 8(b), together with the thermal power produced by the  
357 solar field ( $\dot{Q}_{SF}$ ) and the evolution of the hot tank filling level ( $FL_{HT}$ ). It is worth noting the important role of  
358 the TES system for compensating both the fluctuations on the SF thermal energy production and the  
359 uncertainty in weather forecast. Although the scheduled power output profile was set to achieve no difference  
360 between the initial and final filling level of the hot tank, the oil mass stored into the hot tank at the end of the

361 day is equal to 11.9% of the overall capacity (instead of 20%). This mismatch is mainly due to the expected  
 362 and actual SF energy production and the higher ORC thermal power input. Consequently, the SF energy  
 363 production of the following day is required to restore the minimum filling level of the hot tank and the start-  
 364 up time is postponed until the minimum  $FL_{HT}$  is reached.  
 365



366  
 367 *Figure 7 – Comparison between the forecasted and real weather data occurring in Ottana on 19/05/2017 in terms of (a)*  
 368 *Direct Normal Irradiance and (b) ambient temperature.*



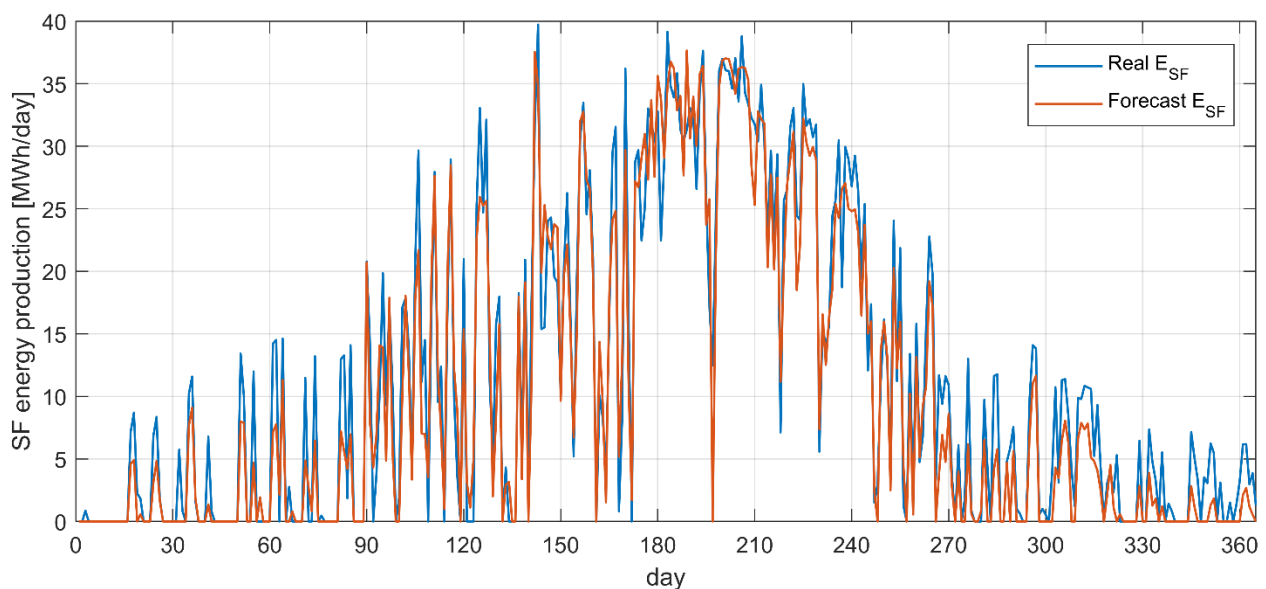
370  
 371 *Figure 8 – (a) Comparison between expected and real cumulative solar field production and (b) main energy flows*  
 372

#### 373 4.2 Annual performance

374 In this section, the proposed operational strategy is tested in a yearly-based analysis, with the aim of assessing  
 375 the expected performance and evaluating the effect of the main control parameters. Because of the  
 376 unavailability of annual meteorological data for the Ottana site, weather forecast data and real weather data  
 377 referred to Rome (Italy) are used in this analysis, as if the plant was located in the Rome area. On the other  
 378 hand, because of the two locations (Ottana and Roma) have similar latitude ( $40^{\circ}14'$  for Ottana and  $41^{\circ}53'$  for



379 Rome), no important variations on the annual meteorological conditions and, thus, on the main plant  
 380 performance should occur. The annual solar energy availability for the considered site is about 15 GWh.  
 381 However, due to the optical and thermal losses, the annual SF energy production is about 4.75 GWh (the  
 382 eventual defocusing losses are not considered here), resulting in an average efficiency of about 32%. As  
 383 mentioned, the definition of the daily power output profile depends on the expected solar field energy  
 384 production, which usually differs from the actual one due to weather forecast uncertainty. Figure 9 shows the  
 385 difference between the expected daily SF energy production and the real one detected during the period  
 386 analysed. A mean absolute deviation of 2.9 MWh/day is found and the weather forecast often underestimates  
 387 the actual solar energy availability (the mean bias error is -1.9 MWh<sub>t</sub>).  
 388



389  
 390 *Figure 9 – Annual trend of the expected and real daily SF energy production.*

391  
 392 This leads to the risk of a complete filling of the hot tank and the subsequent defocusing of the solar field or a  
 393 complete emptying of the hot tank and the consequent non-fulfilment of the power output profile. For this  
 394 reason, the adoption of a suitable operational strategy for the management of the energy flows from/to the TES  
 395 system becomes fundamental. The effect of weather forecast inaccuracy on the main plant performances is  
 396 reported in Table 3, where the main results obtained by the weather forecast case (use of weather forecast data)  
 397 are compared with those obtained by the ideal case, in which weather forecast data and real data coincide. In  
 398 both cases, the main control parameters are set as  $K_{LOW}=0.2$ ,  $K_{HIGH}=0.8$  and  $FL_{MIN}=0.2$ . The underestimation  
 399 of the SF energy production by using weather forecast leads a decrease of the operating days and a rise in the  
 400 annual defocusing losses compared to those obtained in the ideal case. Consequently, a reduction of about 7%  
 401 of the annual electricity production is expected for the weather forecast case with respect to that obtained for  
 402 the ideal one.

403

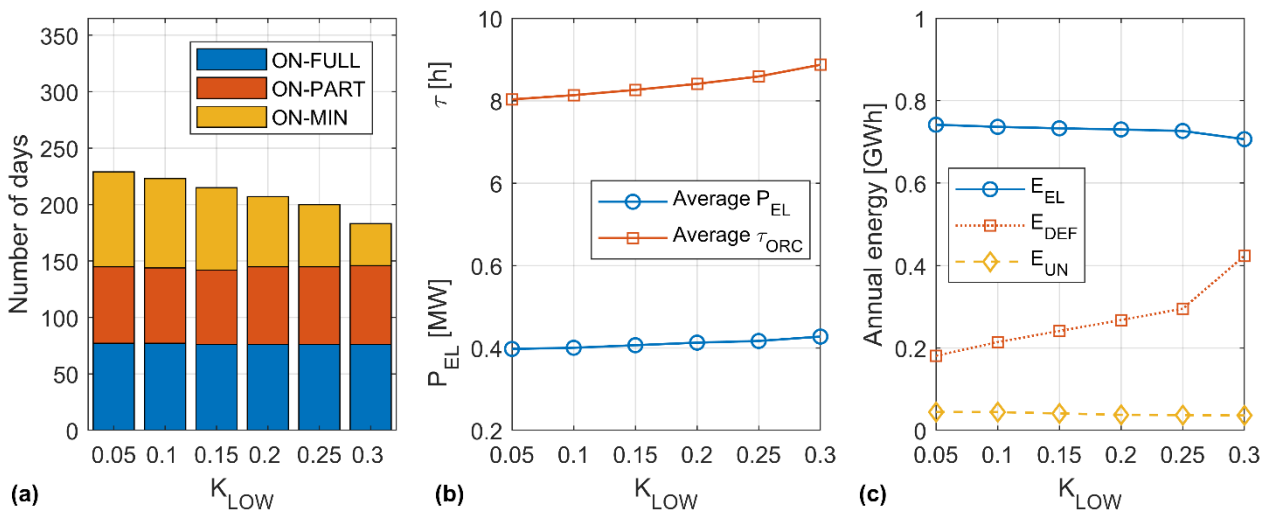
Table 3 – Effect of weather forecast inaccuracy on the main plant performance

	Weather forecast case	Ideal case
Average electrical power output [MW]	413	411
Delivery duration period [h]	8.37	7.11
Number of operating days	212	254
Annual electricity production [GWh/y]	0.731	0.784
Defocusing losses [GWh/y]	0.269	0
Undelivered electrical energy [GWh/y]	0.06	0.05

405

406 As already mentioned, the operational strategy proposed uses three threshold values that largely influence the  
 407 performance of the system:  $K_{LOW}$ , which determines the off state of the ORC unit during cloudy days,  $K_{HIGH}$ ,  
 408 which sets the working point of the ORC unit and  $FL_{MIN}$ , which represents the minimum HTF level in the hot  
 409 tank for a safe start-up. For this reason, starting from the previous weather forecast case where  $K_{LOW}=0.2$ ,  
 410  $K_{HIGH}=0.8$  and  $FL_{MIN}=0.2$ , the influence of each parameter on the annual performance of the plant has been  
 411 evaluated. The effect of  $K_{LOW}$  on the performance of the CSP section is shown in Figure 10. In particular, the  
 412 influence of  $K_{LOW}$  on the ORC operating states during the annual simulation are depicted in Figure 10(a). This  
 413 figure proves a decrease of the number of ORC start-ups and a reduction in the ORC operating hours at  
 414 minimum load with the increase of  $K_{LOW}$ . Consequently, as shown by Figure 10(b), a rise in  $K_{LOW}$  results in a  
 415 daily power profile characterized by higher values of both the average electrical power output and delivery  
 416 duration and therefore an increment in the average ORC efficiency. As shown by Figure 10(c), the effect on  
 417 the annual performance is the reduction of the annual electricity production ( $E_{EL}$ ) due to the decrease of the  
 418 thermal power input required by the PB, the consequent increase of the annual defocusing thermal losses  
 419 ( $E_{DEF}$ ), and the reduction in the overall undelivered electrical energy ( $E_{UN}$ ).

420

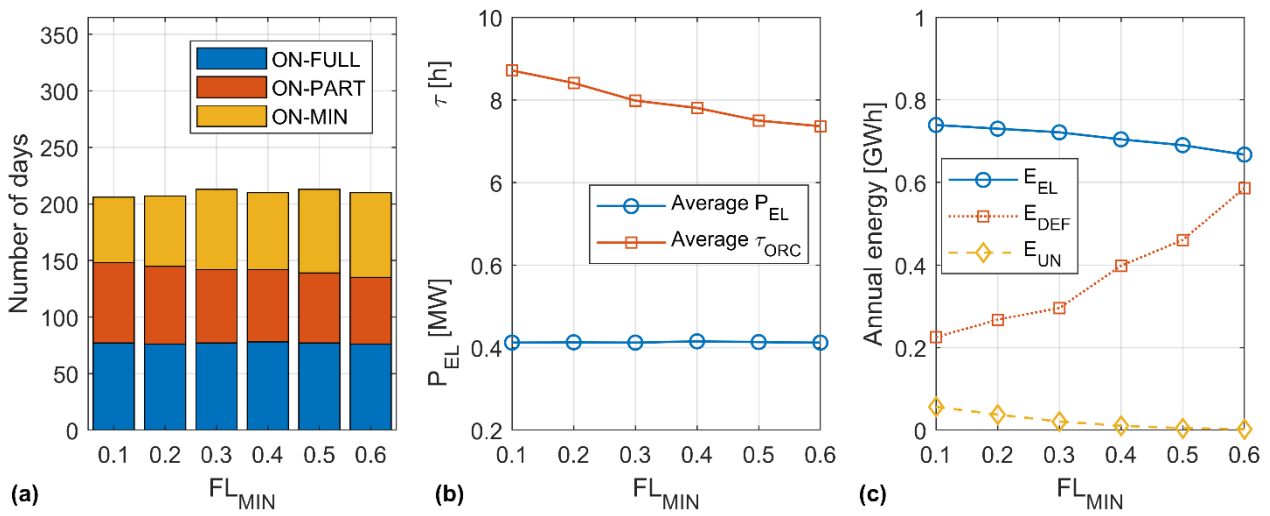


421

422 Figure 10 - Influence of the  $K_{LOW}$  on (a) the ORC state, (b) the average electrical power output and delivery duration  
 423 period, (c) annual electricity production, defocusing losses and undelivered energy.

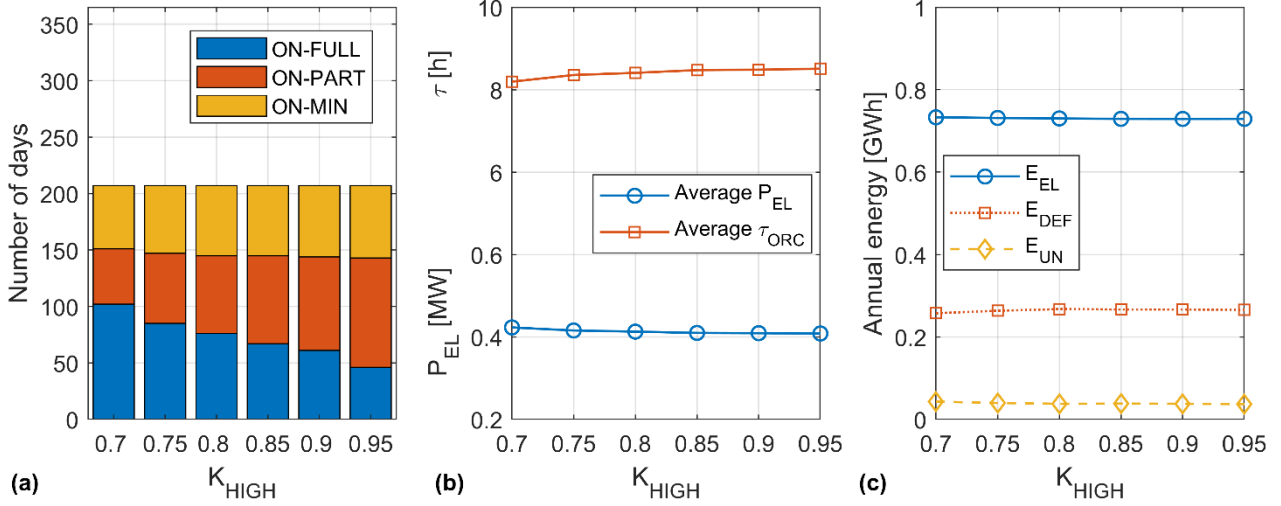
424

425 Another important parameter is the minimum filling level of the hot tank. As demonstrated by Figure 11, the  
 426 effect of this control parameter on the ORC state is marginal and the number of ORC start-up is almost  
 427 constant. On the other hand, the daily start-up time is often postponed to guarantee a safe start-up and even the  
 428 stored energy in the hot tank available for the scheduling procedure is reduced. In other words, the rise in the  
 429  $FL_{MIN}$  favours a more conservative approach with a lower average delivery duration period (the average  
 430 electrical power output remains almost constants). Consequently, a reduction of the annual electricity  
 431 production is observed, with a lower requirement of the ORC thermal power input and the rise in the occurrence  
 432 of full charge states of the hot tank and the defocusing of the solar field. At the same time, an important  
 433 reduction of the undelivered power is observed, since the eventual overestimation of the available thermal  
 434 energy for the following day is completely covered by the energy stored in the hot tank.  
 435



436  
 437 *Figure 11 - Influence of the  $FL_{MIN}$  on (a) the ORC state, (b) the average electrical power output and delivery duration*  
 438 *period, (c) annual electricity production, defocusing losses and undelivered energy.*

439  
 440 Finally, the influence of the  $K_{HIGH}$  control parameter on the main expected performance of the CSP section is  
 441 shown in Figure 12. Since this parameter is not involved in the determination of the ORC state during days  
 442 characterized by low  $K$  values, the number of annual ORC start-up and percentage of occurrence of ON-MIN  
 443 states remain almost constant. On the other hand, low values of this parameter increase the percentage of  
 444 occurrence of ORC operating states at full load conditions. However, the effect on the ORC performance is  
 445 rather marginal both in shape of the ORC power profile and annual energy performance.  
 446



447

448 *Figure 12 - Influence of the  $K_{HIGH}$  on (a) the ORC state, (b) the average electrical power output and delivery duration*  
 449 *period, (c) annual electricity production, defocusing losses and undelivered energy.*

450

## 451 5 Conclusions

452 This paper reported the first operating results and the expected annual performance of the CSP-ORC plant at  
 453 the Ottana solar facility, a new experimental power plant located in Sardinia (Italy).

454 The first operating results demonstrated that the ORC performance significantly depend on the thermal oil inlet  
 455 temperature and on the ambient temperature. In particular, due to the use of dry coolers, the increase of the  
 456 ambient temperature leads to a corresponding increase of the condensing temperature of the working fluid with  
 457 a corresponding reduction of the ORC cycle efficiency. Moreover, the first operating data highlighted the  
 458 important role of the daily start-up and shut-down phases of the ORC unit. The latter are of minor importance  
 459 in conventional ORC applications (biomass, geothermal, etc.), but in case of solar energy as unique heat source,  
 460 the daily start-up and shut-down phases require the development of a suitable management strategy in order to  
 461 minimize the corresponding thermal energy requirements.

462 The integration of a CSP plant with a CPV system, with the aim of producing dispatchable power from solar  
 463 energy requires the development of a proper control strategy able to define the daily power profile for the  
 464 following day starting from weather forecasting data. The analysis of the expected performance of the CSP  
 465 plant carried out in the paper demonstrated that the average ORC efficiency, the annual electrical production  
 466 and the ability of the plant to follow scheduled power profile minimizing the undelivered energy remarkably  
 467 depend on the control parameters assumed to set the on/off status of the ORC plant. Finally, it is worth noting  
 468 that the effort in offering dispatchable electrical power from a not-programmable source (solar energy) is not  
 469 currently recognized in monetary terms, despite the important advantages for the grid operator arising from  
 470 the application of these scheduling procedures. On the other hand, the introduction of future government  
 471 incentives for energy dispatchability from non-programmable renewable sources will be required to enhance  
 472 the RES penetration into the grid.

473

474

## 475 **6 Acknowledgements**

476 The Ottana solar facility is founded by the Regional Government of Sardinia (Italy) in the framework of the  
477 operational program ERDF 2007-2013. The institutional supervisor of the project is the Industry and Energy  
478 Office of Sardinian Regional government. The design and the building of the plant were entrusted to ENAS,  
479 the Sardinian Water Company. Sardegna Ricerche and the University of Cagliari are developing the research  
480 activities on the facility. ENAS will be in charge of the operation and management of the pilot plant.

481

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