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1 *CO₂ hydrogenation to methanol with an* 2 *innovative Cu/Zn/Al/Zr catalyst: experimental* 3 *tests and process modeling*

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12 **ABSTRACT**

13 In this study, an innovative Cu/Zn/Al/Zr catalyst for the conversion of CO₂ and H₂ into methanol is tested at
14 laboratory scale (0.5 g of catalyst into a cylindrical fixed bed reactor, with 9.1 mm internal diameter). Fourteen
15 experimental tests are performed under isothermal conditions (T = 250 °C), covering a range of pressure (3.0-
16 7.0 MPa), Gas Hourly Space Velocity (4,000-13,000 h⁻¹) and H₂/CO₂ molar ratio (between 3 and 6) relevant to
17 industrial applications, with or without CO in the feed mixture, with flow-rates ranging between 200-650
18 NmL/min. Based on the established Graaf's kinetic model, new kinetic parameters are calibrated and a plug-
19 flow model of the isothermal reactor is implemented and simulated in Aspen Plus. A reasonable agreement
20 between experimental data and calibrated model is achieved, with deviations lower than 10% of the measured
21 flow rates for each species in the product stream. CO₂ conversion up to 26% and methanol yields up to 13%
22 are obtained during the test campaign (test run #12). The model represents a valid tool for future research or
23 engineering studies targeting the design and performance assessment of demo/full-scale CO₂-to-methanol
24 synthesis processes based on the Cu/Zn/Al/Zr catalyst introduced in this paper.

25 **KEYWORDS:**

26 CO₂ utilization; Methanol synthesis; Process Modeling; CO₂ hydrogenation; Cu/Zn/Al/Zr catalyst; Experimental
27 test.

28 1. Introduction

29 Methanol (MeOH) is an important building block in chemical industry, since it is widely employed as
30 an intermediate through which a lot of materials and everyday products are manufactured. It is mainly used
31 for the production of olefins and as precursor in the synthesis of formaldehyde, that is at the base of the
32 production process of some resins and various plastics [1]. Methanol also plays an important role in the
33 transport fuels industries, not only for its use as gasoline blending, but also for its use in the production of
34 biodiesel and in the synthesis of dimethylether (DME). Besides being a key and versatile molecule for the
35 chemical industry, methanol takes advantage from its high energy density and liquid state at ambient
36 conditions, which open the field to several new applications, such as directly as a fuel in heavy transport
37 sectors (for example naval) or as an energy carrier [2]. In 2019 around 98 million tonnes (Mt) of methanol was
38 produced with a worldwide annual demand nearly doubling over the past decade [3]. The future outlook points
39 towards a further growth in methanol global demand: it is estimated that methanol production will reach more
40 than 120 Mt by 2025 and 500 Mt in 2050 [3]. Nowadays, about 65% of methanol is industrially produced from
41 natural gas reforming and subsequent catalytic conversion of syngas, while the remaining 35% is mainly based
42 on coal gasification [3]. In industrial applications, the conversion of syngas into methanol is supported by
43 commercial catalysts based on copper (Cu), zinc oxide (ZnO) and alumina (Al₂O₃) and occurs according to
44 three simultaneous reactions: the carbon monoxide hydrogenation (Eq. (1)), the Reverse Water-Gas Shift
45 (RWGS) reaction (Eq. (2)) and the carbon dioxide hydrogenation (Eq. (3)).



46 Some side reactions can occur and lead to the formation of several byproducts, as for example light
47 hydrocarbons [4], however the formation of by-products is usually limited thanks to the high selectivity of the
48 catalyst and the choice of suitable operating conditions. The operating conditions of the industrial scale
49 catalytic reactors for the methanol synthesis are typically around 220–270 °C and 5.0–10.0 MPa [4].

50 The increasing demand of renewable fuels and the need to substitute the fossil sources with raw
51 materials featuring a low or zero-carbon footprint, encourages the research of alternative non-fossil pathways
52 for the production of methanol. For this reason, there is a growing interest around the direct CO₂ hydrogenation
53 to methanol process [5,6], where the feedstocks are either captured or biogenic CO₂, which supplies the carbon
54 content, and “green” H₂ (for example produced from decarbonized pathways such as electrolysis fed by
55 renewable sources) which provides not only the hydrogen atoms specified by the reaction stoichiometry, but

56 also the significant chemical energy input required to convert the highly stable carbon dioxide molecule [7].
57 Although CO₂ is a stable and inert molecule, which makes it very challenging and energy-intensive to be
58 converted into more useful reduced forms, CO₂ hydrogenation is a particularly attractive process when CO₂ is
59 not generated on purpose but captured from industrial [8–10] or biogenic [11–13] flue gases. Methanol
60 production from CO₂, made available by capture technologies, and renewable-derived hydrogen is one of the
61 most interesting CO₂ utilization applications, with a non-negligible potential in terms of greenhouse gas
62 mitigation contribution [14], since the estimated CO₂-to-methanol potential market is of the order of 5-50
63 Mt_{CO₂}/y in 2030 [15]. Currently, there is only one commercial CO₂-to-methanol plant in operation, the George
64 Olah plant [16]. It operates in Iceland since 2012, managed by Carbon Recycling International (CRI), and it
65 produces approximately 4000 t/y of methanol by combining CO₂ captured from the exhaust of a geothermal
66 power plant and H₂ generated from water electrolysis using geothermal electricity [17]. Moreover, as reported
67 by IRENA study about Renewable Methanol [3], CRI is designing for the near future new CO₂-to-methanol
68 production plants in China and Norway and many facilities are planned around the world from other technology
69 providers. In addition, several R&D (Research and Development) projects, recently reviewed by Dieterich et
70 al. [18], are ongoing, in order to demonstrate and optimize the production of methanol via direct CO₂
71 hydrogenation, aiming at increasing catalyst productivity while also reducing methanol production costs, which
72 are still the main barrier to the commercial development of this technology compared to the fossil fuel reforming
73 or gasification-based route.

74 In the methanol synthesis through CO₂ hydrogenation the H₂/CO₂ stoichiometric ratio is equal to 3; a
75 ratio higher than 3 indicates that there is an excess of H₂ in the feed gas, while a lower value means that there
76 is an excess of carbon. Otherwise, the methanol synthesis starting from CO-based-syngas requires a CO/H₂
77 ratio equal to 2 for stoichiometric conditions. Compared to the conventional syngas-to-methanol process, the
78 CO₂ hydrogenation requires more hydrogen for unit of carbon, resulting in a larger amount of water formed as
79 by-product of the methanol synthesis reaction. Moreover, the direct CO₂ hydrogenation route results in lower
80 methanol yield; in presence of higher amount of CO₂, the RWGS reaction produces larger amounts of water
81 (see Eq. (2)), thereby forcing the equilibrium of the hydrogenation reaction towards lower amounts of methanol
82 (see Eq. (3)), which is more shifted towards the reactants side. A consequence of the greater water production
83 during the CO₂ hydrogenation compared to the syngas-to-MeOH process is the possible deactivation of the
84 traditional Cu/ZnO-based catalysts [19], mainly due to the agglomeration of ZnO species and the oxidation of
85 metallic Cu [20]. To the deactivation obstacle it is also added the low activity and methanol selectivity (due to

86 the RWGS reaction) [21] of the commercial catalyst of methanol synthesis in presence of direct CO₂
87 hydrogenation.

88 In order to increase the stability of the catalyst for methanol synthesis, scientific research focuses on
89 replacing traditional Cu/ZnO/Al₂O₃ catalysts with new catalysts presenting at the same time good activity
90 towards CO₂ and high selectivity to methanol [22,23]. The Cu/ZnO-based catalysts remain the most studied
91 materials [22], [24,25], with the addition of different selected oxides, such as ZrO₂, Ga₂O₃, In₂O₃, PdO, or a
92 combination of more than one oxides [21,26,35,36,27–34]. Particularly, copper-based zirconia-containing
93 catalysts exhibit promising results [22], [32], also thanks to its high stability [37]. The catalyst stability during
94 CO₂ hydrogenation was investigated by Li et al. [30], that compared the performances of a traditional
95 Cu/ZnO/Al₂O₃ catalyst and two Zr-doped catalysts; over almost 100 h of operation the Cu/ZnO/ZrO₂/Al₂O₃
96 catalyst maintained a constant activity, by contrast, conventional Cu/ZnO/Al₂O₃ showed gradual decrease in
97 methanol yield. Finally, the three catalysts performances were studied via experimental tests in a tubular fixed
98 bed reactor (inner diameter of 8 mm) for the methanol synthesis at 230 °C and 3.0 MPa, in once-through
99 configuration. The Cu/ZnO/ZrO₂/Al₂O₃ catalyst, with a CO₂ conversion of 23.2% and a selectivity of 60.3,
100 showed a better catalytic activity than the Cu/ZnO/ZrO₂ (CO₂ conversion=19.3% and CH₃OH
101 selectivity=49.6%) and Cu/ZnO/Al₂O₃ (CO₂ conversion=18.7% and CH₃OH selectivity=43%) catalysts. Also
102 Lim et al. [38] studied the performance of a Cu/ZnO/Al₂O₃/ZrO₂ catalyst, in an isothermal tubular fixed bed
103 reactor (with a diameter of 10.2 mm and a catalyst loading of 1 g), at 5.0 MPa and temperatures ranging
104 between 230 and 280 °C, with space velocity (SV) equal to 2,000–6,000 mL g_{cat}⁻¹ h⁻¹; during experimental
105 tests, a maximum CO₂ conversion of around 30% was achieved. Mureddu et al. [39] investigated the effect of
106 zirconium and/or ceria in Cu/Zn/Al based catalytic performance. Tests were carried out at 250 °C, 3.0 MPa
107 and with a Gas Hourly Space Velocity (GHSV) of 12,000 NmL g_{cat}⁻¹ h⁻¹; results showed that Cu/Zn/Al/Zr material
108 had the best performance in terms of CO₂ conversion (18.7 %), and methanol space time yield (250
109 mg_{CH₃OH}g_{cat}⁻¹h⁻¹).

110 On the basis of the above-mentioned experimental outcomes, the Cu/Zn/Al/Zr catalyst prepared by
111 Mureddu et al. [39] has been chosen for a more detailed study, focusing on the analysis of the catalytic activity
112 for a wide range of operating conditions, including also tests with CO as input, and aiming at calibrating the
113 kinetic model parameters to support future process simulation studies. The experimental and modeling
114 activities reported in this paper represents an original contribution to this area and could be useful to enable
115 the selection of optimized reactors and process conditions for CO₂ hydrogenation to MeOH based on the
116 Cu/Zn/Al/Zr catalyst from this study. Most of the literature studies about new catalysts for CO₂ hydrogenation

117 are of experimental nature, however for few catalysts only modeling activities and kinetic parameters
118 calibration are carried out [35,40]. Moreover, several experimental studies are limited to the catalyst
119 performance analysis under fixed operating conditions or by investigating the impact of only temperature or
120 pressure [21,27,30,41]. Only a very limited number of cases evaluate the behavior of the catalyst both with
121 $\text{CO}_2 + \text{H}_2$ or with a mixture of $\text{CO} + \text{CO}_2 + \text{H}_2$ in the reactants [35,38]. Tests with CO in input are significant as
122 CO is produced in the methanol synthesis reactor from CO_2 reduction and in a full-scale design a significant
123 fraction of the effluent gases is recirculated at the reactor inlet in order to increase the yield of the process.
124 Experimental data covering a wide range of operating conditions with both CO_2 and CO in input are required
125 to characterize the activity of the catalyst and develop a calibrated model able to describe methanol reactor
126 performance. The definition of a calibrated kinetics model describing the catalytic activity in the expected range
127 of operation is crucial to support process designs, simulations and Techno-Economic Assessments (TEA) of
128 methanol synthesis technologies for up-scaling of large-scale technology development [19].

129 In the present study the innovative Cu/Zn/Al/Zr catalyst for methanol synthesis via CO_2 hydrogenation
130 is tested at laboratory scale and its kinetic behavior is modeled. The catalyst performances are investigated
131 through fourteen experimental tests at different conditions: pressure, composition of the inlet reactants and
132 Gas Hourly Space Velocity. The tests results are used for the calibration of a plug-flow reactor model, suitable
133 to carry out future process studies for up-scaling and technology benchmarking purposes with commercial
134 simulation software such as Aspen Plus.

135 2. Experimental methods

136 2.1. Catalyst formulation and characterization

137 The catalyst preparation method and physicochemical characterization in terms of composition, texture,
138 structure, surface acidity and basicity, and reducibility is reported in detail in a previous paper by Mureddu et
139 al. [39] and it is briefly summarized in the following. For the Cu/Zn/Al/Zr catalyst an aqueous solution (100 cm^3)
140 with a total concentration equal to 1.5 M (molar) containing appropriate amounts of $\text{Cu}(\text{NO}_3)_2$, $\text{Al}(\text{NO}_3)_3$,
141 $\text{Zn}(\text{NO}_3)_2$ and $\text{ZrO}(\text{NO}_3)_2$ was first prepared. A second solution containing 7.15 g of Na_2CO_3 and 13.95 g of
142 NaOH in 100 cm^3 of distilled water, was then slowly added to the former one, at room temperature and under
143 stirring, by using a peristaltic pump, which allowed the flow rate to be adjusted in order to maintain the pH
144 constant and equal to 11. The solution was kept at $60 \text{ }^\circ\text{C}$ for 20 h, the resulting hydrotalcite was dried at $80 \text{ }^\circ\text{C}$

145 overnight and finally calcined at 500 °C for 4 h in order to obtain the corresponding mixed oxide composition:
146 $2\text{Cu}_1\text{Zn}_{0.7}\text{Al}_{0.3}\text{Zr}$.

147 2.2. Experimental setup and tests

148 Catalytic tests were carried out in a customized Microactivity Effy (PID Eng&Tech) bench-scale plant
149 reported in *Figure 1*, where the schematic diagram of the lab-scale facility and a photo of the reactor box are
150 depicted. The facility (*Figure 1 a*) includes gaseous reactants feeding and mixing area, a thermostated reactor,
151 collection area and separation between condensable and non-condensable products and the zone of
152 depressurization and measure of the outgoing gaseous flow subsequently sent to the analyzer. Feed
153 mixture preparation (including both pure gases and mixtures) is carried out with six independent gas lines with
154 dedicated mass flow controllers: Bronkhorst "Mini Cori Flow" for CO_2 and mixture stream with an accuracy of
155 $\pm 0.2\%$ of reading and Bronkhorst "EI-Flow" for CO , H_2 and N_2 with an accuracy of $\pm 0.5\%$ of reading. The
156 reaction zone, located inside a hot-box (*Figure 1 b*), comprises the reactor, the gas supply lines and the
157 discharge line of the products stream. The oven is heated and thermostated for temperature control purposes.

158 Pressure control is based on a high-speed precision servo-controlled valve with an accuracy of ± 0.1 bar.
159 Downstream the hot-box there is the collection and separation area where the unreacted gaseous products
160 are separated from hydrophilic and hydrophobic liquid products. The unreacted gaseous products and inert
161 gases that may be present are depressurized and their flow rate is measured. Between the pressure controller
162 and regulator and the volumetric flow meter, a coalescing filter is located to protect the outgoing flow meter
163 and the gas chromatograph.

164 The high-pressure fixed bed stainless steel reactor (9.1 mm internal diameter, an external diameter of
165 14.3 mm and a total external length of 304.8 mm) already described by Mureddu et al. [42] is used. A porous
166 plate (made of Hastelloy C, 20 μm) and quartz wool were used to support the catalytic bed inside the isothermal
167 temperature zone of the reactor (catalyst bed of 50 mm in length and 3.1 cm^3 in volume). The reactor, inserted
168 in a vertical electric oven that allows it to operate in quasi-isothermal conditions, was loaded with 0.5 g of
169 Cu/Zn/Al/Zr catalyst diluted with 2.5 g of $\alpha\text{-Al}_2\text{O}_3$. Before the tests, the catalyst is reduced *in-situ* by flowing a
170 H_2/N_2 mixture (H_2 , 15%vol) at 250 °C for 2 h under atmospheric pressure. Then, the reactants mixture (with
171 composition, defined according to Table 1) is sent to the reactor and the temperature is kept constant at 250
172 °C for all the experiments. Catalyst activity was measured at pressures ranging from 3.0 to 7.0 MPa. Each run
173 was held for 6 h in the same operating condition in order to reach a stationary catalytic behavior.

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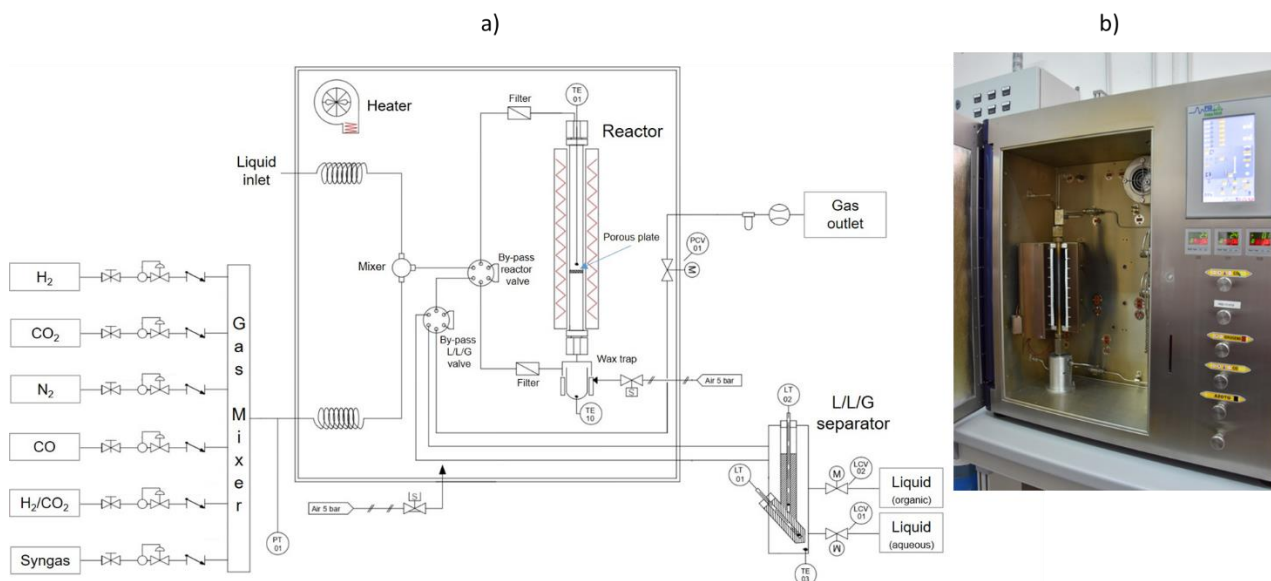


Figure 1: Scheme of the experimental facility (a) and photo of the reactor hot-box (b).

The products stream leaving the reactor box is analyzed by means of a gas chromatograph (Agilent 7890B, Santa Clara, California, CA, USA) equipped with a flame ionized detector (FID) for carbon-containing compounds and with a thermal conductivity detector (TCD) for permanent gases. Two columns connected in series are used to identify the components of the outlet gas mixture. In particular, CO₂, methanol, dimethyl ether, ethane, and propane are separated by a HP-Plot Q (Agilent) column (length 30 m, inner diameter 0.53 mm, film thickness 40 μm), while a HP-PLOT Molesieve (Agilent) column (length 30 m, inner diameter 0.53 mm, film thickness 50 μm) is used for H₂, N₂, CH₄, and CO. To avoid condensation of condensable products, the connection lines between the plant gas outlet and gas chromatograph inlet are heated at 180 °C.

A wide range of operating conditions are covered in order to investigate the effect of different gas mixtures on the catalytic performance and to calibrate the kinetic model. As shown in Table 1, the H₂/CO₂ molar ratio was fixed to stoichiometry value of 3 mol mol⁻¹, except for tests #6 and #12 where a ratio of 3.9 and 6.0 mol mol⁻¹ was used, respectively. Another exception are tests #13 and #14 also including CO in input and for which a H₂/(CO₂+CO) molar ratio equal to 2.2 and 3.1 mol mol⁻¹ is chosen. Pressures between 3.0 and 5.5 MPa are tested and Gas Hourly Space Velocity ranges between 4,000 and 13,000 h⁻¹ (with fixed catalyst loading and by varying the inlet flowrate). In order to ensure the repeatability of the analysis, all the catalytic tests are repeated three times under the same conditions and the estimated relative standard deviations for the conversion of CO₂ is in the range of 2-5%.

Table 1: Operating conditions of the experimental tests performed at 250 °C.

Test ID #	Pressure (MPa)	GHSV (h ⁻¹)	Flow rate of reactants (NmL min ⁻¹)	Reactants composition				Stoichiometric ratio at reactor inlet: H ₂ /(CO+CO ₂)
				H ₂ (%vol)	CO ₂ (%vol)	CO (%vol)	N ₂ (%vol)	
1	3.0	4,000	200	50.1	16.8	0	33.1	3.0
2	3.0	7,008	350	67.4	22.6	0	10.0	3.0
3	3.0	7,020	351	50.0	16.7	0	33.3	3.0
4	3.0	6,960	348	33.1	11.0	0	55.9	3.0
5	3.0	7,000	350	50.0	16.7	0	33.3	3.0
6	3.0	7,000	350	49.9	12.9	0	37.2	3.9
7	3.0	10,000	500	49.9	16.7	0	33.5	3.0
8	3.0	12,980	649	50.2	16.9	0	32.9	3.0
9	5.0	7,004	350	39.3	13.2	0	47.5	3.0
10	5.0	6,544	327	60.2	20.3	0	19.5	3.0
11	5.4	6,544	327	60.2	20.3	0	19.5	3.0
12	6.8	6,990	350	50.4	8.4	0	41.2	6.0
13	6.5	7,000	350	61.1	11.8	15.4	11.7	2.2
14	6.5	10,000	500	66.6	8.7	12.9	11.8	3.1

198 3. Modeling

199 The laboratory reactor presented in section 2.2 is modeled as an isothermal pseudo-homogeneous
200 one-dimensional Plug Flow Reactor (PFR), according to the same methodology proposed by Lim et al. [28],
201 Portha et al. [30], Atsonios et al. [34] and Battaglia et al. [35]. The following assumptions are considered along
202 the reactor: isothermal conditions, no pressure drop, stationary conditions, uniform conditions on each cross
203 section (no radial gradients) and negligible mass-transfer limitations.

204 The reactor is modeled with the process simulation software Aspen Plus v10.0, using the RPlug unit
205 operation block, and adopting the Peng-Robinson Equation of State to calculate the fugacities of the chemical
206 species involved. A single tube reactor with the same geometry and catalyst loading as from the experimental
207 apparatus is simulated. The key chemical reactions involved in methanol synthesis, reported in Eqs. (1), (2)
208 and (3), are computed according to the kinetic model proposed by Graaf [45] and recently applied by Portha
209 et al. [40] and Nestler et al [19]. This approach is consistent with other recent works focused on the kinetic
210 modeling of other innovative catalysts for CO₂ hydrogenation in fixed-bed reactors [35,40,46], which confirmed
211 the applicability of Graaf's kinetic model [45], provided that its kinetic parameters, such as the pre-exponential
212 factors and the activation energies, are tuned according to the experimental data of the catalyst under

213 investigation. The Graaf's kinetic model was originally developed to describe the methanol synthesis over a
 214 commercial Cu/ZnO/Al₂O₃ catalyst from synthesis gas and it is based on a dual-site Langmuir-Hinshelwood-
 215 Hougen-Watson mechanism (LHHW), simultaneously considering CO and CO₂ hydrogenation and the water-
 216 gas shift reactions [47]. The mathematical formulation for the computation of the rate of reactions for CO
 217 hydrogenation ($r_{CH_3OH,CO}$), reverse water-gas shift (r_{H_2O}), and CO₂ hydrogenation (r_{CH_3OH,CO_2}) are reported in
 218 Eq. (4), Eq.(5) and Eq.(6), where k_{ps1} , k_{ps2} , k_{ps3} are the kinetic constants of the reactions, K_{CO} , K_{CO_2} ,
 219 $K_{H_2O}/K_{H_2}^{1/2}$ the adsorption equilibrium constants of CO, CO₂, H₂O and H₂, K_{p1} , K_{p2} , K_{p3} the equilibrium
 220 constants and f the fugacity (linked to the partial pressure through the fugacity coefficient) of the components
 221 involved in the reactions [45].

$$r_{CH_3OH,CO} = \frac{k_{ps1}K_{CO}[f_{CO}f_{H_2}^{3/2} - f_{CH_3OH}/(f_{H_2}^{1/2}K_{p1})]}{(1 + K_{CO}f_{CO} + K_{CO_2}f_{CO_2})[f_{H_2}^{1/2} + (K_{H_2O}/K_{H_2}^{1/2})f_{H_2O}]} \quad (4)$$

$$r_{H_2O} = \frac{k_{ps2}K_{CO_2}[f_{CO_2}f_{H_2} - f_{H_2O}f_{CO}/K_{p2}]}{(1 + K_{CO}f_{CO} + K_{CO_2}f_{CO_2})[f_{H_2}^{1/2} + (K_{H_2O}/K_{H_2}^{1/2})f_{H_2O}]} \quad (5)$$

$$r_{CH_3OH,CO_2} = \frac{k_{ps3}K_{CO_2}[f_{CO_2}f_{H_2}^{3/2} - f_{CH_3OH}/(f_{H_2}^{3/2}K_{p3})]}{(1 + K_{CO}f_{CO} + K_{CO_2}f_{CO_2})[f_{H_2}^{1/2} + (K_{H_2O}/K_{H_2}^{1/2})f_{H_2O}]} \quad (6)$$

222 This kinetic model is implemented in Aspen Plus v10.0 where the mass and energy balances are
 223 calculated at steady-state for the isothermal isobaric reactor. The kinetic constants are formulated according
 224 to the classical Arrhenius type eq. (7), where A_{ps} is the pre-exponential term, E_a in the activation energy, T the
 225 absolute temperature and R is the ideal gas constant.

$$k_{ps} = A_{ps} \exp\left(-\frac{E_a}{RT}\right) \quad (7)$$

226 The values of these constants are strictly related to the catalytic activity as well as to the specific
 227 operating conditions of the catalytic reactor, therefore they must be determined from experimental tests, in
 228 order to properly model the kinetic behaviour of the innovative catalyst proposed in this work [19,40]. For this
 229 reason, the pre-exponential term and the activation energies for the three reactions are calibrated and tuned
 230 to the specific catalyst studied in this work by minimizing the differences between experimental and modeling
 231 results according to the numerical methodology described in section 3.1. The equilibrium constants and the
 232 adsorption equilibrium constants are kept unchanged compared to those fitted by Graaf [8,48] and are
 233 expressed as a function of temperature according to the form $\ln K = A + \frac{B}{T}$. This is in line with the approach

234 followed by other studies [38,40,47,49], since they depend on temperature only but not on the catalytic activity.
 235 The assumed values are reported in Table 2.

236

237 *Table 2: Values of the constant A and B in the equilibrium constants and adsorption equilibrium constants for*
 238 *the reaction of CO₂ hydrogenation, RWGS and CO hydrogenation.*

Constants	A	B	Ref.
K_{p1} [Pa ⁻²]	- 52.087 [Pa ⁻²]	11833 [K]	[48]
K_{p2} [-]	4.672 [-]	- 4773 [K]	
K_{p3} [Pa ⁻²]	- 47.415 [Pa ⁻²]	7060 [K]	
K_{CO} [Pa ⁻¹]	- 22.256 [Pa ⁻¹]	5629 [K]	[8]
K_{CO2} [Pa ⁻¹]	- 25.678 [Pa ⁻¹]	7421 [K]	
$K_{H2O}/K_{H2}^{1/2}$ [Pa ^{-0.5}]	- 24.628 [Pa ^{-0.5}]	10103 [K]	

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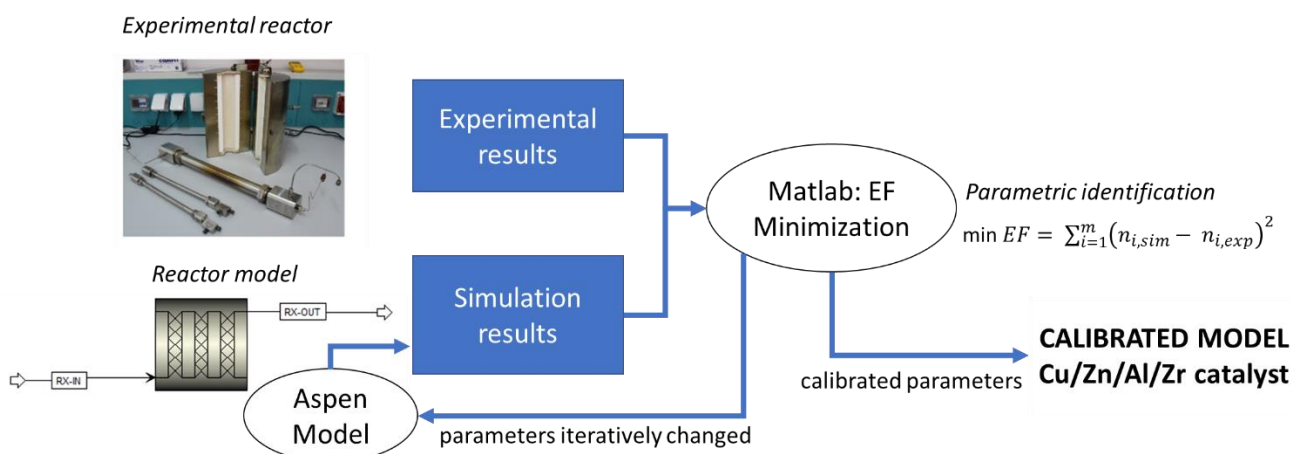
240 3.1. Model calibration procedure

241 The numerical model developed in Aspen Plus was calibrated in order to fit the simulation results to
 242 the experimental data. Based on the kinetic expressions described in section 3, six parameters of the model
 243 were calibrated: the pre-exponential factor A_{ps} and activation energy E_a in the kinetic rate constants (eq. (7))
 244 for the three reactions involved in methanol synthesis. The calibration was achieved by minimizing the
 245 discrepancy between the results of the fourteen experimental tests and the numerical simulation [47]. The
 246 Error Function (EF) that is minimized during calibration is a total sum of square as defined in Eq. (8), where m
 247 is the number of tests, $n_{i,exp}$ are the molar flow rates of CO₂, CO and CH₃OH at the outlet of the lab-scale
 248 reactor during the experimental tests and n_{sim} are the corresponding flow rates calculated from the simulation.
 249 The flow rates of each species (i) at the outlet of the reactor, $n_{i,exp}$, is calculated from experimental data
 250 according to Eq. (10) where n_{in} is the total molar flow rate entering the reactor, $x_{i,out}$ is the molar concentration
 251 of components (i) measured in the outlet flow (Table 3), $x_{N2,in}$ is the concentration of N₂ at reactor inlet (Table
 252 1). Nitrogen is present in all cases and, although acting as an inert, is used for accurate flow-rates reconciliation
 253 purposes (as from Eq. (10) the flow rate of each species is normalized to the flow-rate of N₂ which is constant
 254 across the reactor), according to the internal standard method [50].

$$EF = \sum_{i=1}^m (n_{CO2,sim} - n_{CO2,exp})^2 + (n_{CO,sim} - n_{CO,exp})^2 + (n_{CH3OH,sim} - n_{CH3OH,exp})^2 \quad (8)$$

$$n_{i,exp} = n_{in} \cdot \frac{x_{N2,in}}{x_{N2,out}} \cdot x_{i,out} \quad (9)$$

255 Model calibration is performed by coupling an *ad hoc* Matlab R.2020b error minimization routine with
 256 Aspen Plus simulations. The minimization algorithm, schematized in *Figure 2*, works as follows: for a given set
 257 of model parameters, Matlab calls Aspen Plus to simulate the mass and energy balances for each test
 258 conditions, then the Aspen Plus simulation results (n_{sim}) are processed and compared by Matlab against the
 259 experimental data (n_{exp}), and the error function (EF) is computed. The model parameters were iteratively
 260 changed by the Matlab routine until the minimum error was obtained. For the EF minimization procedure in
 261 Matlab, the *fmincon* function based on the numerical algorithm 'interior-point' was used.



262
 263 *Figure 2: Numerical model calibration procedure.*

264 4. Results and discussion

265 4.1. Experimental Results

266 During each test run, the composition of the outlet flow is measured by gas chromatographs as described
 267 in section 2.2. The molar compositions of CO₂, CO, CH₃OH, H₂ and N₂ from fourteen experimental tests are
 268 reported in Table 3. The presence of other hydrocarbons (methane, propane, ethane, dimethyl-ether) detected
 269 via GC is negligible (of the order of magnitude of 10 ppmv). Tests #1 to #12 are focused on CO₂ hydrogenation
 270 at different values of pressure, GHSV and H₂/CO₂ ratio. Tests #13 and #14 concern methanol synthesis with
 271 recycle or from a syngas stream including CO/CO₂/H₂. The overall tests duration varies in the range 5 - 22
 272 hours.

273

274

275 *Table 3: Experimental results from methanol synthesis tests at lab scale (input conditions reported in Table*
 276 *1, T=250 °C; P=3.0 - 7.0 MPa; GHSV=7,000-13,000 h⁻¹): composition measured by gas chromatograph*
 277 *(average on the whole time on stream) at reactor outlet.*

Test ID#	CO ₂ (%mol)	CO (%mol)	CH ₃ OH (%mol)	H ₂ (%mol)	N ₂ (%mol)	H ₂ O (%mol)
1	14.5	1.8	0.77	46.7	33.6	2.63
2	20.6	1.4	1.04	64.2	10.2	2.56
3	15.1	1.2	0.67	47.5	33.7	1.83
4	9.7	1.0	0.32	31.4	56.3	1.28
5	15.0	1.3	0.65	47.4	33.7	1.95
6	11.3	1.1	0.61	47.5	37.7	1.79
7	15.4	0.9	0.55	47.9	33.8	1.45
8	15.9	0.7	0.48	48.6	33.2	1.12
9	11.4	1.2	0.73	36.5	48.2	1.97
10	17.9	1.5	1.36	56.3	20.0	2.94
11	17.9	1.5	1.44	56.1	20.0	3.06
12	6.3	1.1	1.16	47.0	42.1	2.34
13	11.4	14.6	1.47	59.5	12.0	1.03
14	8.0	12.3	1.11	65.5	11.9	1.19

278
 279 Starting from the experimental results and test conditions summarized in *Table 1* and *Table 3*, the
 280 conversion of CO₂ and methanol yield are computed. Carbon dioxide conversion (X_{CO_2}) and methanol yield
 281 (Y_{CH_3OH}) are calculated according to equation (10) and (11), where $x_{CH_3OH,out}$, $x_{CO_2,out}$ and $x_{N_2,out}$ are the
 282 concentration of methanol, CO₂ and N₂ measured in the outlet flow (*Table 3*) and $x_{CO_2,in}$, $x_{CO,in}$ and $x_{N_2,in}$ are
 283 the concentration of CO₂, CO and N₂ at the reactor inlet (*Table 1*). This approach, called internal standard
 284 method [50,51], takes advantage of the fact that the molar flow of nitrogen does not change between reactor
 285 inlet and outlet and that molar concentrations are measured with a greater accuracy (by the GC) than molar
 286 flow rates.

$$X_{CO_2} = \frac{x_{CO_2,in}/x_{N_2,in} - x_{CO_2,out}/x_{N_2,out}}{x_{CO_2,in}/x_{N_2,in}} * 100 \quad (10)$$

$$Y_{CH_3OH} = \frac{x_{CH_3OH,out}/x_{N_2,out}}{x_{CO_2,in}/x_{N_2,in} + x_{CO,in}/x_{N_2,in}} * 100 \quad (11)$$

287 The experimentally derived values of carbon dioxide conversion and methanol yield are reported in
 288 *Table 4* and *Figure 3*. Test #12, carried out at the highest pressure (7.0 MPa) and with a H₂/CO₂ ratio equal to
 289 6, hence with large hydrogen excess, reports the greatest CO₂ conversion (26%) and CH₃OH yield (13.5%).
 290 For all the remaining test conditions, CO₂ conversion ranges between 6 and 15%, while the methanol yield is

291 comprised between 2.8 and 6.9%. These are all results in line with typical literature ranges for similar catalysts
 292 for methanol synthesis from pure CO₂, with once-through conversion values reported by the modeling work of
 293 Nestler et al. [19] (at 250 °C, P = 5 MPa, GHSV= 20000 h⁻¹, stoichiometric number = 2) close to 15% at the
 294 equilibrium and ranging between 7 and 13% for commercial catalysts. Test results can be interpreted by
 295 highlighting the following impact of parametric variations:(i) the GHSV increase from test #1 to #2 and from #6
 296 to #7 and #8 causing a decrease in methanol yield; (ii) the CO₂ partial pressure increases from test #2 (p_{CO2}=
 297 0.7 MPa) and to #3 and #4 (p_{CO2}= 0.5 and 0.3 MPa) and from test #10 to #11 (with a total pressure increase
 298 of 5 bar) which enhances methanol yield; (iii) the H₂/CO₂ ratio increases from test #3 to #6 causing an increase
 299 in methanol yield.

300 Tests #3 and #5 were conducted under the same operating conditions, in order to prove the replicability
 301 and reliability of tests. Test runs #13 and #14 are carried out at higher pressures (65 bar) and by adding CO
 302 to the inlet stream, which is never present in the reactant streams of trials #1 to #12.

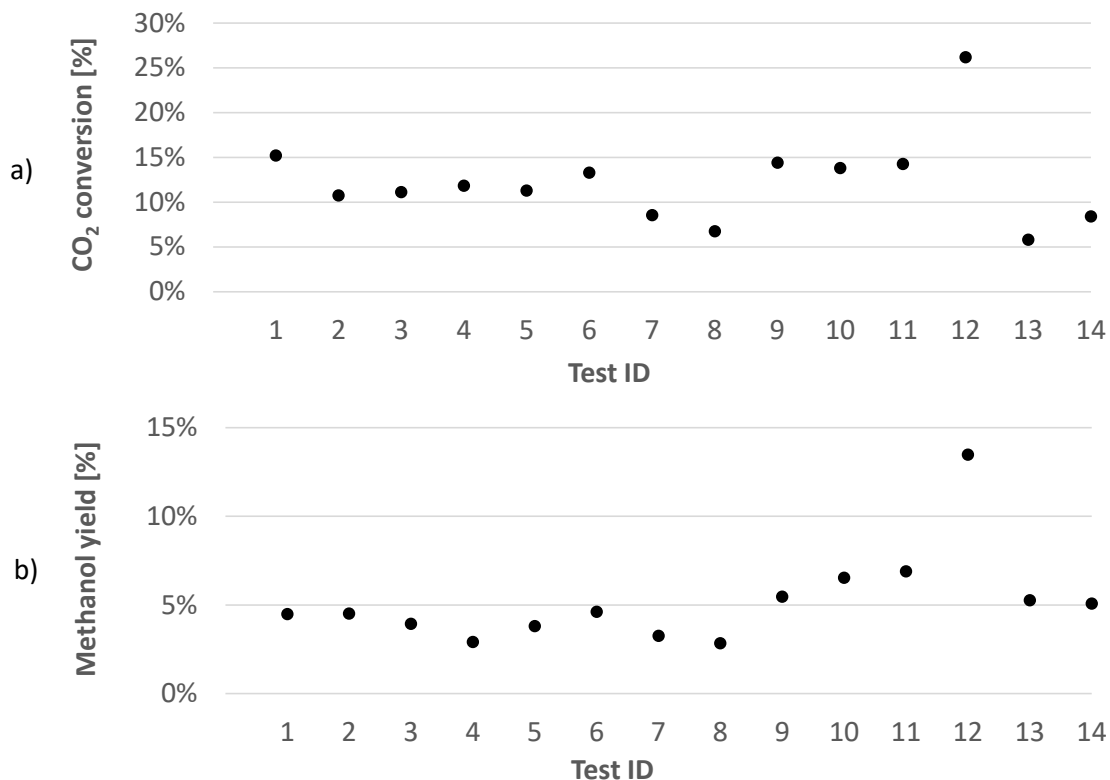
303

304 *Table 4: Key performance indicators calculated from test results: Carbon dioxide conversion (X_{CO2}) and*

305

methanol yield (Y_{CH3OH}).

Test ID #	X _{CO2} (%)	Y _{CH3OH} (%)
1	15.2	4.5
2	10.8	4.5
3	11.1	3.9
4	11.8	2.9
5	11.3	3.8
6	13.3	4.6
7	8.6	3.3
8	6.8	2.8
9	14.4	5.5
10	13.8	6.5
11	14.3	6.9
12	26.2	13.5
13	5.8	5.3
14	8.4	5.1



307

308

Figure 3: The conversion of carbon dioxide (a) and the methanol yield (b) resulting from experimental tests.

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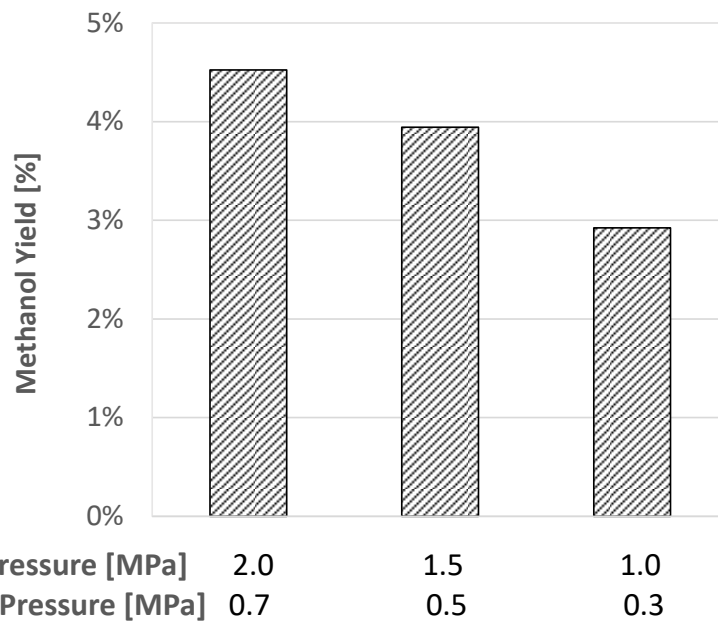
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Figure 4, Figure 5 and Figure 6 highlight of the behavior of methanol yield as a function of key test conditions and comparison against the maximum theoretical conversion value predicted by the equilibrium for each case is reported. As expected, high total pressures, high H₂ to CO₂ ratios and low GHSV lead to increased methanol yields. To gain insight into the relationship between the performance of the catalyst and the operating conditions, the effects of the following process variables are highlighted: (i) pressure, (ii) the H₂/CO₂ ratio and (iii) GHSV. Figure 4 shows the pressure influence from tests #2, #3 and #4, since the partial pressure of H₂ and CO₂ is decreased by increasing the amount of N₂ in the input flow at given total pressure (3 MPa). When CO₂ partial pressure is reduced from 0.7 to 0.3 MPa under the same H₂/CO₂ ratio equal to 3, the methanol yield decreases by 30% as shown in Figure 4.

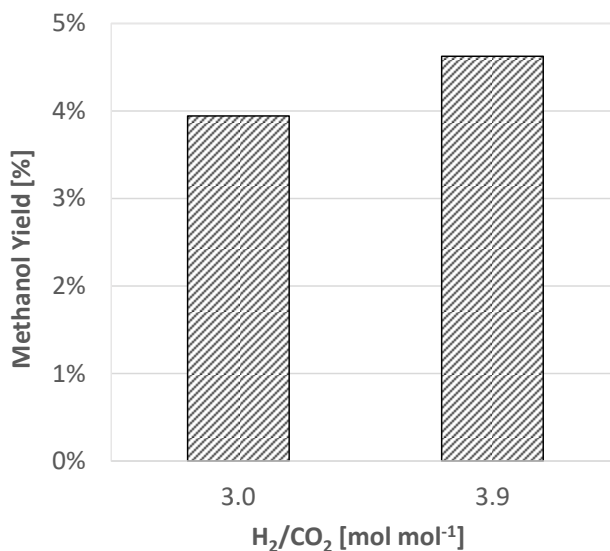


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320 *Figure 4: Methanol yield resulting from experimental tests #2, #3 and #4 for different values of H₂ and CO₂*
 321 *partial pressure (T=250 °C; GHSV=7,000 h⁻¹; H₂/CO₂=3).*

322

323 *Figure 5 shows the positive effect on the catalytic performance following an increase in the H₂ excess,*
 324 *since if the H₂/CO₂ ratio grows from 3 to 3.9 under the same operating conditions the yield increases by 15%*
 325 *(from 4% to 4.6%) thanks to the shift of the equilibrium towards the formation of the products, as foreseen by*
 326 *Le Chatelier's principle.*

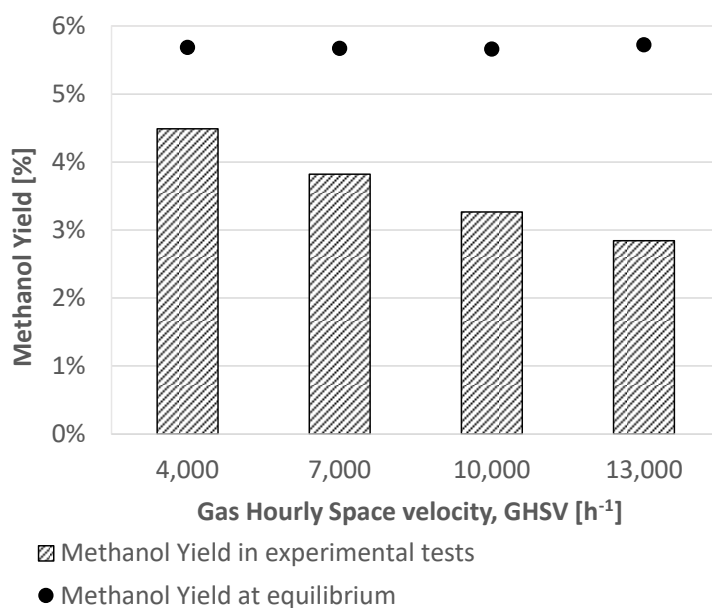


327

328 *Figure 5: Methanol yield carried out from experimental tests 3 and 6 for different values of H₂/CO₂ ratio*
 329 *(T=250 °C; P=3.0 MPa; GHSV=7,000 h⁻¹).*

330

331 *Figure 6* reports the effect of a GHSV change as investigated in tests #1, #5, #7 and #8. while also
 332 highlighting the expected theoretical yield under equilibrium condition. The value of the methanol yield at
 333 equilibrium is obtained via chemical equilibria simulation with the “RGibbs” Aspen Plus model based on Gibbs
 334 free energy minimization at the same inlet conditions from the analyzed test runs. It is worth noting that GHSV
 335 does not affect the equilibrium conditions and for this reason a horizontal equilibrium profile is reported in
 336 *Figure 6*. A variation of the GHSV from 4,000 to 13,000 h⁻¹ leads to a decrease of the residence time in the
 337 experimental reactor and therefore a decrease of the methanol yield, varying in the range 4.5% - 2.8%, with
 338 respect to the equilibrium value equal to 5.6%.



339
 340 *Figure 6: Methanol yield Y at equilibrium and resulting from experimental tests #1, 5, 7 and 8 as function of*
 341 *the Gas Hourly Space Velocity (T=250 °C; P=3.0 MPa; H₂/CO₂=3).*

343 4.2. Model Calibration Results

344 The proposed numerical plug-flow model of the reactor, under steady-state conditions,
 345 isothermal/isobaric conditions, no axial dispersion, is calibrated on the basis of the results of fourteen
 346 experimental tests. The kinetic model parameters are determined by minimizing the sum of square Error
 347 Function, in Matlab, between experimental and simulations flow rates for the following species: CO₂, CO and
 348 CH₃OH. *Table 5* summarizes the calibrated values of the pre-exponential factor (A_{ps}) and the activation energy
 349 (E_a) for the synthesis reactions (1), (2) and (3). Numerical values of both parameters are of the same order of
 350 magnitude of the ones reported by Graaf for a commercial catalyst and similar results are found in the literature
 351 with other innovative catalysts for CO₂ hydrogenation (as for example in Portha et al. [40]). Concerning the

352 CO₂ hydrogenation reaction, a slight increase of the kinetic parameter was observed between this new catalyst
 353 and Graaf's one: as shown in *Figure 7* the increase of the pre-exponential term and a marginal reduction of
 354 the activation energy lead to an increased activity of the innovative catalyst in CO₂ hydrogenation compared
 355 to the Graaf catalyst. On the other hand, concerning the reverse Water Gas Shift reaction, the increase of the
 356 pre-exponential term and limited decrease of the activation energy seems indicative of an increased production
 357 of CO from CO₂ compared to a conventional catalyst.

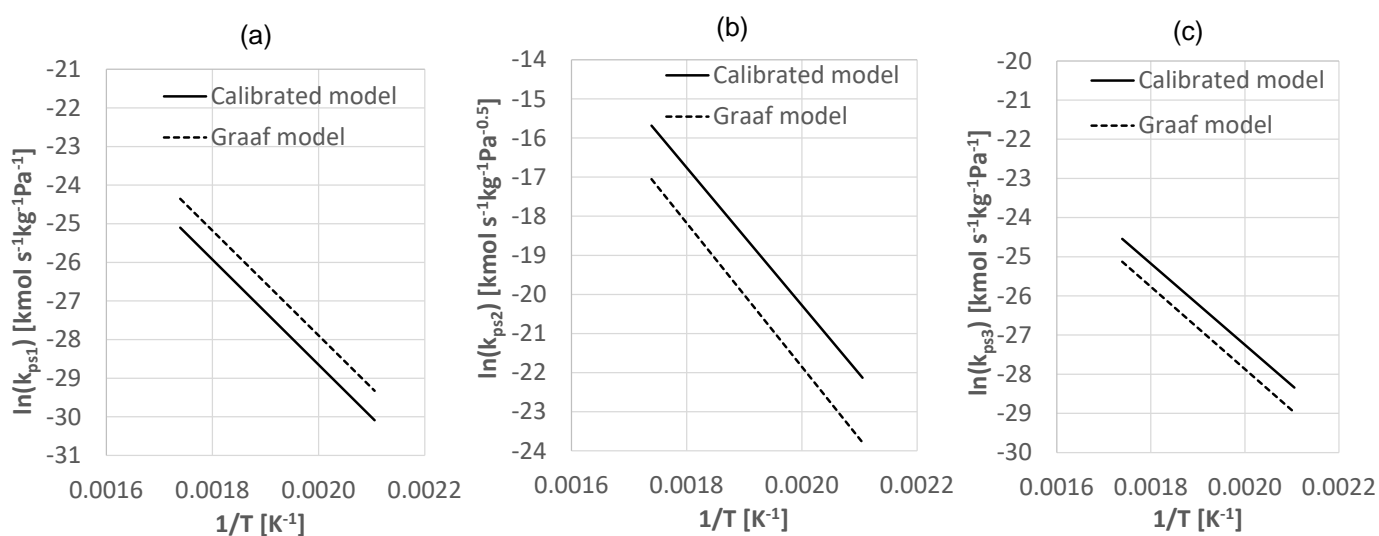
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359 *Table 5: Calibrated pre-exponential term and activation energy of the reaction rate constants of the reactions*
 360 *of CO hydrogenation, RWGS and CO₂ hydrogenation.*

Reaction	Parameter	A _{ps}	E _a [kJ kmol ⁻¹]
CO hydrogenation (Eq. (1))	k_{ps1} [kmol s ⁻¹ kg ⁻¹ Pa ⁻¹]	0.247	1.133 * 10 ⁵
RWGS (Eq. (2))	k_{ps2} [kmol s ⁻¹ kg ⁻¹ Pa ^{-0.5}]	3.054 * 10 ⁶	1.464 * 10 ⁵
CO ₂ hydrogenation (Eq. (3))	k_{ps3} [kmol s ⁻¹ kg ⁻¹ Pa ⁻¹]	1.484 * 10 ⁻³	8.620 * 10 ⁴

361

362



363

364 *Figure 7: Arrhenius plot of the kinetic constants k_{ps1} (a), k_{ps2} (b) and k_{ps3} (c) for the calibrated model in*
 365 *comparison to those calculated with the Graaf model between 200 °C and 300 °C.*

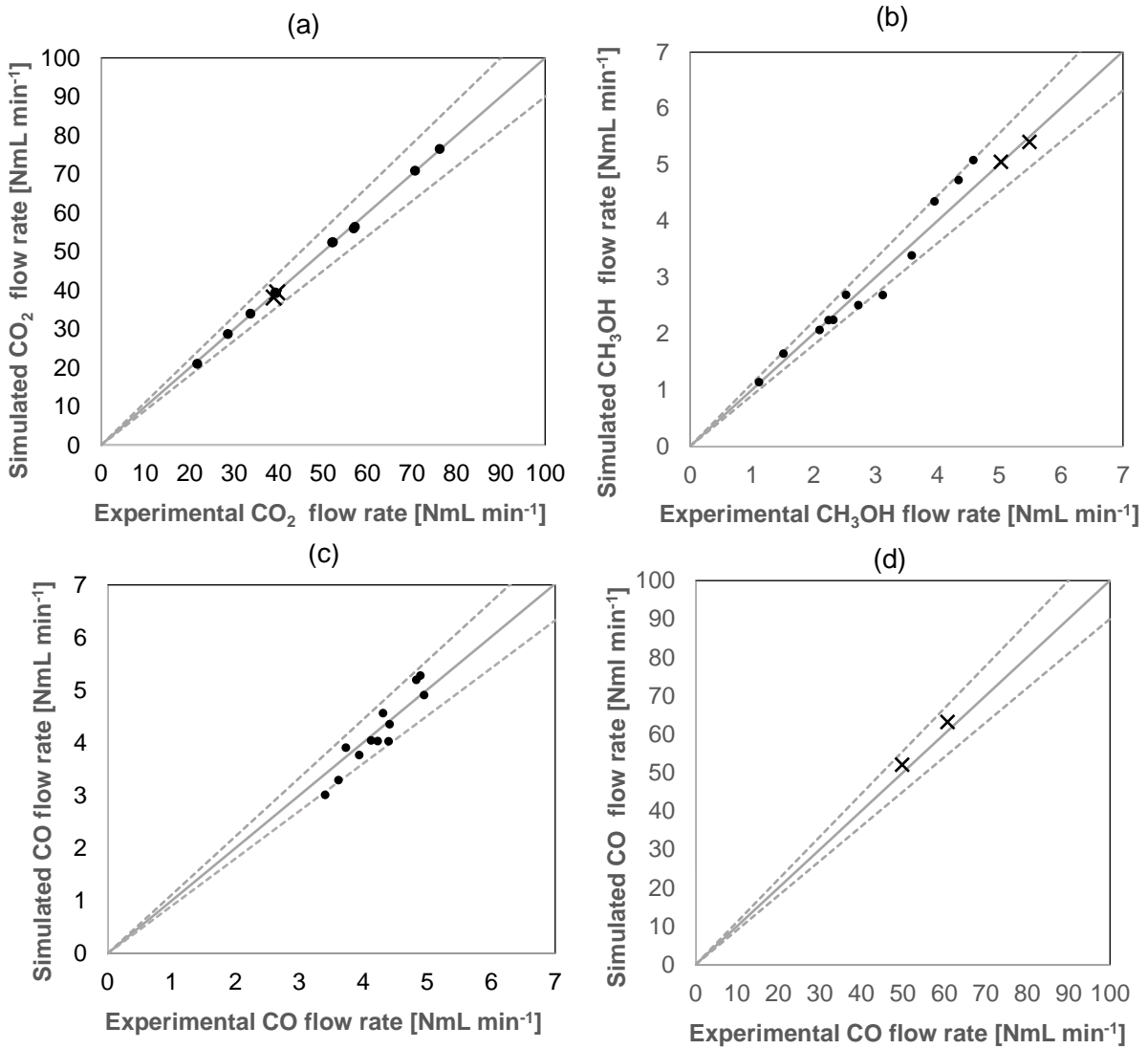
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367 The agreement between experimental results and the calibrated model output can be assessed, also
 368 from a graphical point of view, by means of the parity plots reported in *Figure 8*, where the flow rates of CO₂,
 369 CO and CH₃OH are compared. The experimental flow rates have been calculated from two measured
 370 quantities: the gas-chromatographic composition of each species in the product stream and the gas flow rates

371 of each component in the inlet stream; the combined uncertainty of the experimental flow rates, evaluated by
372 propagating the uncertainty of each measured quantity, is estimated to be lower or equal to $\pm 2\%$ of the
373 measured value.

374 The parity plots show that the percentage errors between the simulation and experimental results are
375 less than 10% for all except point #8 (specifically concerning the predicted methanol flow rate, $n_{\text{CH}_3\text{OH},\text{exp}} = 3.12$
376 NmL min^{-1} , which is 14% lower than the measured value, $n_{\text{CH}_3\text{OH},\text{sim}} = 2.68 \text{ NmL min}^{-1}$) of the fourteen
377 experimental points for all the assessed quantities. As highlighted in *Table 6*, the deviations in terms of molar
378 concentrations between calibrated model predictions and experimental data are limited and ranging between
379 0 and 0.72 % points; in terms of CO_2 conversion and methanol yields are in the range 0 – 2 % points.

380 The good agreement between simulations and experimental data reflects the accuracy of the model in the
381 calculation of the mass and energy balances of the synthesis process. Given the reasonable matching between
382 simulations and measured data, the calibrated model represents a valid starting point for future process
383 simulation studies and techno economic analyses of methanol synthesis with the Cu/Zn/Al/Zr catalyst. The
384 model is based on the macro-kinetic approach described by Graaf [45] which, similarly to other well-known
385 models such as Van den Bussche and Froment [52] and Park et al. [49], is widely used for process design and
386 techno-economic assessment purposes (see for instance the study by Kiss et al. [53]). On the other hand, this
387 model is not developed and not suitable for detailed kinetic simulations, where microkinetic models are instead
388 required (see for example the study by Grabow and Mavrikakis [54]).



389

390 *Figure 8: Parity plot of simulated vs. experimental flow rates of CO₂ (a), CH₃OH (b) and CO (c-d) for the*
 391 *twelve tests of CO₂ hydrogenation (•) and two tests of CO₂/CO hydrogenation (x).*

392

393 *Table 6: Comparison between experimental and simulation results: concentration of CO₂, CO and CH₃OH at*
 394 *reactor outlet, CO₂ conversion (X_{CO2}) and methanol yields (Y_{CH3OH}).*

Test ID#	Experimental results					Calibrated model results				
	CO ₂ (%mol)	CO (%mol)	CH ₃ OH (%mol)	X _{CO2} (%)	Y _{CH3OH} (%)	CO ₂ (%mol)	CO (%mol)	CH ₃ OH (%mol)	X _{CO2} (%)	Y _{CH3OH} (%)
1	14.5	1.8	0.77	15.2	4.5	14.6	1.7	0.84	14.7	4.9
2	20.6	1.4	1.04	10.8	4.5	20.6	1.4	0.99	10.5	4.3
3	15.1	1.2	0.67	11.1	3.9	15.1	1.2	0.65	10.7	3.8
4	9.7	1.0	0.32	11.8	2.9	9.8	0.9	0.33	10.9	3.0
5	15.0	1.3	0.65	11.3	3.8	15.2	1.2	0.65	10.7	3.8
6	11.3	1.1	0.61	13.3	4.6	11.4	1.1	0.60	12.9	4.6
7	15.4	0.9	0.55	8.6	3.3	15.5	0.9	0.51	8.2	3.0
8	15.9	0.7	0.48	6.8	2.8	15.9	0.7	0.42	6.6	2.4
9	11.4	1.2	0.73	14.4	5.5	11.4	1.2	0.78	14.6	5.8
10	17.9	1.5	1.36	13.8	6.5	17.8	1.6	1.49	15.0	7.1
11	17.9	1.5	1.44	14.3	6.9	17.7	1.7	1.60	15.6	7.7
12	6.3	1.1	1.16	26.2	13.5	6.2	1.1	1.28	28.2	14.8
13	11.4	14.6	1.47	5.8	5.3	11.2	15.3	1.49	7.7	5.3
14	8.0	12.3	1.11	8.4	5.1	8.1	12.9	1.10	8.9	5.0

395

396 5. CONCLUSIONS

397 In this work, lab-scale tests on an innovative Cu/Zn/Al/Zr catalyst for methanol synthesis are reported, in order
 398 to study the catalyst behavior under different operating conditions typical of CO₂ hydrogenation with or without
 399 the presence of CO in the feed stream (crucial to simulate the effect of recycle ratio). Fourteen experimental
 400 tests covering a wide range of operating conditions relevant to technological application are carried out:
 401 temperature always equal to 250 °C, pressure between 3.0 and 7.0 MPa, Gas Hourly Space Velocity in the
 402 range 7,000-13,000 h⁻¹ and H₂/CO₂ molar ratio between 3 and 6. Experiments, performed in an isothermal
 403 fixed-bed reactor with gas chromatographic analysis of the product stream, confirm the improved activity of
 404 the catalyst in CO₂ hydrogenation compared to a conventional catalyst, reporting methanol yields between 3
 405 and 13% (the latter corresponding to the case with 7.0 MPa and H₂/CO₂ molar ratio equal to 6).

406 Moreover, a kinetic model is developed and calibrated on the basis of experimental results. The laboratory
 407 reactor is modeled in Aspen Plus as an isothermal pseudo-homogeneous one-dimensional Plug Flow Reactor
 408 (PFR) and the reaction rates of the methanol synthesis reactions are described based on a LHHW mechanism
 409 as reported in the Graaf's kinetic model. The optimal parameters of the kinetic model are determined with
 410 Matlab. The Matlab error minimization routine is coupled with Aspen Plus for the simulation of the reactor

411 thermo-chemical behavior in order to calculate the mass and energy balance. The calibrated kinetic
 412 parameters show an increase of the pre-exponential term and a reduction of the activation energy for the CO₂
 413 hydrogenation reaction compared to the Graaf values, confirming a slightly increased activity of the innovative
 414 catalyst in CO₂ hydrogenation. On the other hand, the slight decrease of the activation energy for the reverse
 415 Water Gas Shift reaction compared to Graaf catalyst suggests increased selectivity to CO with respect to
 416 conventional syngas-to-methanol catalysts.

417 The calibrated model shows a good agreement between experimental data and simulations, with discrepancies
 418 in terms of molar flow rates of CO, CO₂ and CH₃OH lower than 10% of the measured values. Therefore, the
 419 identified kinetic parameters represent a valid starting point for future process simulations studies and
 420 techno-economic analyses focusing on methanol production from CO₂-rich flows over the novel Cu/Zn/Al/Zr
 421 catalyst characterized in this study.

422

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430 Nomenclature

A	A parameter in equilibrium and adsorption equilibrium constants
A _{ps}	Pre-exponential term in rate constants
B	B parameter in equilibrium and adsorption equilibrium constants
E _a	Activation energy [kJ kmol ⁻¹]
EF	Error function
f _j	Fugacity of component j [Pa]
GHSV	Gas hourly space velocity [h ⁻¹]
K _{CO}	Adsorption equilibrium constants of CO [Pa ⁻¹]

K_{CO_2}	Adsorption equilibrium constants of CO ₂ [Pa ⁻¹]
$K_{H_2O}/K_{H_2}^{1/2}$	Adsorption equilibrium constants of H ₂ O/H ₂ [Pa ^{-0.5}]
K_{p1}	Equilibrium constants of the CO hydrogenation reaction [Pa ⁻²]
K_{p2}	Equilibrium constants of the reverse water-gas shift reaction [-]
K_{p3}	Equilibrium constants of the CO ₂ hydrogenation reaction [Pa ⁻²]
k_{ps1}	Rate constant of the CO hydrogenation reaction [kmol s ⁻¹ kg ⁻¹ Pa ⁻¹]
k_{ps2}	Rate constant of the reverse water-gas shift reaction [kmol s ⁻¹ kg ⁻¹ Pa ^{-0.5}]
k_{ps3}	Rate constant of the CO ₂ hydrogenation reaction [kmol s ⁻¹ kg ⁻¹ Pa ⁻¹]
$n_{j,exp}$	flow rate of component j at the outlet of the reactor in experiment results [mmol/s]
$n_{j,sim}$	flow rate of component j at the outlet of the reactor in simulation results [mmol/s]
R	Ideal gas constant = 8.314 [J mol ⁻¹ K ⁻¹]
$r_{CH_3OH,CO}$	Rate of reaction of CO hydrogenation [kmol s ⁻¹ kg ⁻¹]
r_{CH_3OH,CO_2}	Rate of reaction of CO ₂ hydrogenation [kmol s ⁻¹ kg ⁻¹]
r_{H_2O}	Rate of reaction of RWGS [kmol s ⁻¹ kg ⁻¹]
$x_{j,out}$	Concentration of component j at the outlet of the reactor [-]
$x_{j,in}$	Concentration of component j at the inlet of the reactor [-]
X_{CO_2}	CO ₂ conversion [%]
Y_{CH_3OH}	Methanol yield [%]
$\Delta H^0_{R(298K)}$	Enthalpy of reaction at 298 K and 1 bar (kJ mol ⁻¹)

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