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1	Cavity-expansion approximation for projectile impact and penetration into sand
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#### Abstract:

2 The shock adiabats for dry and wet sand were obtained in our plane-wave shock 3 experiments and earlier in the inverse impact experiment technique by using a measure bar with 4 a flat end. A one-dimensional problem of a spherical cavity expanding at a constant velocity 5 from a point in an infinite soil medium, which has a first-kind self-similar solution is considered. 6 Elastic-plastic deformation of the soil is described in a barotropic approximation, using a shock 7 adiabat and Mohr-Coulomb-Tresca limit yield criterion. The medium is assumed to be 8 incompressible behind the shock wave front propagating through the unperturbed medium. The 9 problem in this formulation was solved analytically. Besides, a generalized solution of the 10 problem was obtained numerically, which involves transition of a continuous elastic-plastic 11 wave into a plastic shock wave when pressure grows with the cavity expansion velocity. 12 Comparison of the analytical and numerical solutions shows that a linearized analytical solution 13 is a good approximation of the pressure along the boundary of the cavity as a function of its expansion, except for low velocities. A formula for determining minimal pressure required for 14 the nucleation of a cavity has been obtained, accounting for internal friction, in the framework of 15 16 Mohr-Coulomb yield criterion, which generalizes a known solution for an ideally plastic medium 17 with Tresca yield criterion. Lower and upper bounds for the ratio of the discontinuity surface 18 velocity (the elastic-plastic interface) to the cavity expansion velocity have been obtained. The 19 linearized solution can be used for analyzing resistance to a rigid sphere penetrating into the soil. 20 The computational results are compared with known experimental relations for resistance to spherical projectiles penetrating dry and water-saturated sand. Good agreement between the 21 22 numerical and experimental results is obtained without introducing any correcting coefficients.

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Key words: shock adiabat; impact; spherical projectile; sand; cavity expansion;

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3 The expanding cavity problem is a classical problem of mechanics of deformable solids. 4 There exist many formulations of this problem differing in: a) the way compressibility of the 5 material is accounted for – linearly compressible media [1], incompressible media or media with 6 limited ultimate strain [2, 3]; b) the assumed yield criterion – Tresca [1, 4], Mohr-Coulomb [2], 7 Mohr-Coulomb with Tresca-limit [3]. There is a solution of the problem obtained using Drucker-8 Prager's model and the non-associated yield law [5]. For brittle media (ice, ceramics, concrete), 9 the notion of the material failure zone is introduced [1, 6]. There also exists an improved 10 formulation of the problem, based on accounting for the dependence of the material properties on 11 strain rate [7, 8].

12 Papers [9-11] give approximations of cavity wall pressure as a function of its expansion 13 taking into account the induced variation of mechanical properties of the material as well as parametric analysis of the obtained solutions. Alongside with dynamic formulations, static 14 formulations of the problem are also considered [12], which are especially popular in soil 15 mechanics [13 - 15]. Analytical solutions have been obtained for an incompressible elastic-16 17 plastic medium, using different types of yield criterion; for compressible media, some effective 18 numerical algorithms [1] was proposed, based on the iterative method of numerical analysis of a 19 boundary-value problem for a system of two first-order ordinary differential equations, which 20 makes it possible to obtain an exact solution of the problem for the equation of state of a medium 21 with nonlinear relations [16, 17].

The expanding cavity problem has numerous applications in impact dynamics. The approximate approach, in which pressure at every point of the lateral surface of the projectile is identified with the pressure at the internal surface of a spherical cavity expanding in an infinite medium from zero radius – the Cavity Expansion Method (CEM), is extensively used in analyzing problems of impact and penetration into continua [18 - 22]. Analytic solutions of the problem of a spherical cavity expanding in an incompressible elastic-plastic medium [4, 23 – 25]
using CEM are used in evaluating resistance forces and depths of penetration of rigid and
deformable strikers into metals, concrete and soils. It was noticed [25] that accounting for
incompressibility in metals at impact velocities up to 1 km/s produces insignificant effects. This
problem is still of scientific interest, as it is manifest in discussions [26 – 28] and [29 – 31].

6 The application of the approach based on solving the cavity expansion problem in the 7 dynamics of geological materials is presented in [1 - 3, 32, 33]. Along with these works, an 8 analysis of penetration of rigid and deformable projectiles at an angle to the free surface of a soil 9 medium, involving curvilinear motion trajectories, [34, 35] can be noticed. An experimental-10 computational analysis of projectiles with flat, conical and hemispherical heads penetrating dry 11 and water-saturated sand is presented in [36 - 41].

Generally, it can be noticed that the analysis of the cavity expansion problem and the methods of evaluating loads acting on the penetrator are well developed. Accounting for nonlinear material properties, when analyzing problems of penetration into compressible elasticplastic media, poses no problem in numerically analyzing the cavity problem either.

One special feature in the deformation of soft soils is the necessity to account for both continuous elastic-plastic and shock waves. The presence of spherical shock waves in sandy and clayey soils, as well as the dependence of the shock wave velocity on the pressure at its front, were shown experimentally [42 – 46]. It has to be noticed that the existing solutions of the cavity expansion problem do not account for the possible formation of a shock wave propagating through the unperturbed space.

The present article describes a formulation and analysis of a spherical cavity expansion problem, which can be applied for evaluating the force resisting a rigid penetrator in sandy soil. The deformational and shear properties of soil are assigned by a shock adiabat and Mohr-Coulomb's with Tresca-limit yield criterion. The numerically obtained generalized solution assumes the existence of an elastic region at subsonic propagation velocities of the elastic-plastic

1	interface and the formation of a single plastic shock wave at supersonic velocities. In the
2	assumption of incompressibility of the medium behind the shock wave front, an analytical
3	solution of the problem has been obtained. This solution is similar to the earlier obtained rigid-
4	plastic solution [1] of the cylindrical cavity expansion problem, where it was shown that use of a
5	rigid-plastic solution theoretically leads to overestimation of resistance to penetration. It should
6	be noticed, however, that the approach itself, based on using the analysis of the cavity expansion
7	problem in impact dynamics, is approximate; a solution is considered preferable, judging by its
8	results in comparison with the experimental data. By comparing the experimental and numerical
9	data the present authors have demonstrated that the model of a rigid penetrator in soil using a
10	simple linearized analytical rigid-plastic model, has a scope of applicability which is comparable
10	with models based on numerically analyzing the cavity expansion problem
11	with models based on numericarry analyzing the cavity expansion problem.
12	
13	2. Experimental methods of determining physical-mechanical properties of sand under
14 15	snockwave loading
16	2.1. The method of constructing a shock adiabat
17	
18	To study the dynamic compressibility of sand, the reflection method [47], also called the
19	impedance match technique, is used. In the method, the accelerated striker-plate hits a specimen
20	not directly, but through a screening plate. The shock adiabats of the materials of the striker and
21	the screen must be known. Besides, the initial density of the studied material must be determined
22	in advance. The measured parameters are shockwave front velocity $U_s$ and striker velocity $V$ ,
23	which (for equal properties of the striker and of the target) is equal to the double of particle mass
24	velocity $u_p$ in the target (Fig. 1). These parameters in the shock wave are related through the
25	pulse conservation laws with the thermal-dynamic characteristics – pressure $P = \rho_0 U_s u_n$ and
76	impact compression density $\rho = \rho I I / (II - II)$ Massuring the two independent compression
∠0	impact compression density $p_s - p_0 U_s / (U_s - u_p)$ . We as using the two independent parameters







The thicknesses of the plate-striker, of the screening plate and of the specimen were chosen such that unloading waves from the free surfaces could not distort the picture of onedimensional strain in the compression wave. Changing impact velocity V and propagation velocity  $U_s$  of the compression wave through the specimen in combination with known adiabats of the striker and the screening plate makes it possible to determine the point of the shock adiabat of the studied medium.

1

8 A 57mm-caliber gas gun was used to apply the loading. The propagation velocity of the 9 compressive wave in the specimen was measured with two dielectric pressure gauges located on 10 the specimen surfaces. Each gauge consisted of two 0.05mm-thick 20mm-diameter current 11 collectors made of copper foil and a 0.05mm-thick sensitive element of dielectric (PET film). The total thickness of the gauge is 0.2 mm. When a plane wave travels through the specimen 12 13 within the gauges, the compression pulse induces electric signals on the armature of the gauges, 14 which are registered in the memory of the oscillograph. The compression wave propagation 15 velocity through the soil specimen can be determined based on the distance between the gauges 16 and the travelling time of the pressure pulses relative to each other. In testing soil materials in 17 conditions of shockwave loading, special attention was paid to inducing a plane wave with one-18 dimensional strain. Inducing a plane wave is possible provided that warping is minimized, when 19 the striker hits the screening plate. Accordingly, the parallelism of the planes of the specimen 20 and the striker was thoroughly checked before each test.

To synchronize the time base of the oscillograph with the beginning of the process, an electro-contact gauge, in the form of a 0.05mm-thick copper foil stripe cemented on a 0.05mmthick insulating film, was secured on the surface of the screening plate impacted by the platestriker. When the projectile hit the plate, the gauge short-circuited and triggered the oscillograph to start recording.

1 The analysis of the measurements and of processing the test data in the plane-wave 2 experiments showed that the inaccuracies in determining the parameters of shock adiabats are 3 less than 7% [48].

Experimenting with different impact velocities makes it possible to obtain several points
of the shock adiabat of the material.

6

## 7 2.2. The inverse experiment technique using the measuring bar

8 The methodology of measuring the force resisting penetration of a projectile into sand 9 using a measuring bar [41] is schematically shown in Fig.3.



Fig. 3. Schematic representation of the setup for measuring forces resisting penetration in
 the inverse experiment.

13

10

A container filled with soil (sand) is accelerated up to the required velocities and impacted against a stationary striker fixed on a measuring bar. The impact velocity and the material properties are to be such that no plastic strains should occur in the bar. An elastic strain pulse  $\varepsilon(t)$  is formed in the bar. Registering this pulse on the surface of the bar makes it possible to determine the force *F*, acting on the striker upon its interaction with the medium, according to the known relation  $F(t) = E\varepsilon(t)S_0$ , where *E* is the elastic modulus of the bar and  $S_0$  is its crosssection area.

Thus, in this method, the task of measuring the forces is considerably simplified and reduced to registering an elastic strain pulse in the bar, using strain gauges. The setup implementing this method is schematically depicted in Fig. 3. In the present version of the inverse experiment, a soil container is accelerated using a 57 mm caliber gas-gun with a twodiaphragm breech mechanism, which makes it possible to provide stable and readily controlled impact velocities in the range from 50 to 500 m/s, using air compressed up to 15 MPa, and up to  $\sim 1000$  m/s, when using compressed helium.

6 The container is a thin-walled cartridge, filled with soil. To prevent the soil from spilling 7 in the process of preparation of the experiment and during acceleration of the container, the front 8 end of the container is sealed with 0.01 mm-thick PET film. The film is fixed and secured against 9 the surface of the soil with a vinyl-resin ring.

10 The impact velocity of the container was determined using two electric-contact 11 transducers located in the orifices of the barrel, made in the vicinity of the muzzle. A 1.5 m-long 12 20 mm-diameter steel rod with yield strength larger than 2000 MPa was used as a measuring bar. 13 The steel measuring bar had density  $\rho = 8050 \text{ kg/m}^3$ , Young's modulus E=186 GPa. One of the 14 ends of the measuring bar has a threaded orifice housing a cylindrical striker with a head of appropriate geometry. The bar is located at a certain distance from the barrel muzzle, so that the 15 16 impact occurs immediately after the container entirely leaves the barrel. The stand on which the 17 bar is located has adjusting supports, which are used to provide axisymmetric interaction. The 18 rear end of the bar rests against a special damper, preventing it from displacing and damping the 19 impact energy. Impact takes place inside a vacuum chamber, to which the gun barrel is 20 connected, and into which the measuring bar with its head is introduced. The cylindrical part of 21 the heads was 19.8 mm in diameter, with the radius of the hemisphere equal to 10 mm, and were 22 made of steel with a yield strength larger than 1800 MPa.

23

## 24 **2.3.** The conditions of the experiments

For the inverse tests, cylindrical containers made of aluminum alloy were used, which had the following dimensions: outer diameter 56.8 mm, inside diameter 54 mm, bottom thickness 2 mm, filling depth 65 mm. To prevent sand from strewing out during the test, the sand
surface in the container was fixed with a 0.01 mm thickness PET film.

Both experiments were conducted with dry and water saturated (wet) sandy mixture of a natural composition, from which particles larger than 1 mm and smaller than 0.1 mm had been removed. The particle size distribution of dry sand mixture is presented in Table 1.

6

- Mean particle The granules lie in the range between the size value shown in the given diameter (mm) column and the size value in the column immediately to the left of that. 0.63 0.4 0.315 0.2 0.16 0.1 % finer by mass 5.0 13.2 38.8 9.9 21.5 11.6
- 7 **Table 1.** Particle size distribution of the sand used in experiments.

8

9 The dry sand filled into the container was compacted layer-by-layer until reaching an 10 average density of about 1750±50 kg/m<sup>3</sup>. In the tests of water-saturated sand, the containers 11 filled with dry sand were poured with a certain amount of water until the sand was completely 12 saturated. Further saturation resulted in the formation of a water layer over the surface of the 13 sand, so the excess water was poured off. The containers were weighed again to determine the 14 density of the water-saturated sand and its moisture content relative to its initial density. The 15 average density of the water-saturated (wet) natural mixture was 2080±50 kg/m<sup>3</sup>.

16

# 17 **3.** Analyzing the problem of a spherical cavity expanding in a soft soil

18

19 3.1. Analytical solution in the assumption of incompressibility of the medium behind the20 shock wave front

3.1.1. Formulating an initial boundary-value problem for a system of partial differential
equations.

1 A mathematical model of a soil medium is described by a system of differential equations 2 expressing the laws of continuity and balance of linear momentum, which in spherical Eulerian 3 coordinates can be written as:

$$4 \qquad \rho\left(\frac{\partial\upsilon}{\partial r} + 2\frac{\upsilon}{r}\right) = -\left(\frac{\partial\rho}{\partial t} + \upsilon\frac{\partial\rho}{\partial r}\right), \quad \frac{\partial\sigma_r}{\partial r} + 2\frac{(\sigma_r - \sigma_\theta)}{r} = -\rho\left(\frac{\partial\upsilon}{\partial t} + \upsilon\frac{\partial\upsilon}{\partial r}\right), \quad (1)$$

5 where  $\rho$  is density in a deformed state,  $\upsilon$  is velocity,  $\sigma_r$  and  $\sigma_{\theta}$  are radial and circumferential 6 components of Cauchy stress tensor (which are assumed positive in compression), *r* is the radial 7 coordinate.

8 The system of partial differential equations (1) is closed by finite relations determined 9 from the experiment:

10 
$$\sigma_r = f_1(\theta), \ \sigma_r - \sigma_\theta = f_2(\theta) \tag{2}$$

11 where  $\rho_0$  is initial density of the medium,  $\theta = 1 - \rho_0 / \rho'$  is volumetric strain.

12 Functions  $f_1$  and  $f_2$  define the equation of state and the plasticity condition of the soil 13 medium.

Along the boundary of a cavity whose radius is  $R_0 = Vt$ , expanding from point ( $R_0|_{t=0} = 0$ ), the velocity V is assigned, the outer surface of spherical layer  $R_{\infty}$  is free from stresses, and at the initial time both velocity and stresses in the medium are equal to zero:

17 
$$\upsilon(R_0,t) = V, \ \sigma_r(R_\infty,t) = 0, \ \upsilon(r,0) = \sigma_r(r,0) = 0.$$
 (3)

The solution of the problem is constructed in the plastic yield region bounded by radii r = Vt and r = ct and adjacent to the region of unperturbed medium (the elastic damping of the soft soil medium is neglected).

3.1.2. Formulating a boundary-value problem for a system of first-order partial
 differential equations.

23 Following [1 - 9], self-similar variable  $\xi = r/ct$  is used in the solution of the problem.

24 The partial derivatives in time and space are defined as follows:

$$1 \qquad \qquad \frac{\partial \upsilon}{\partial r} = \frac{d\upsilon}{d\xi} \frac{\partial \xi}{\partial r} = \frac{1}{ct} \frac{d\upsilon}{d\xi}, \quad \frac{\partial \upsilon}{\partial t} = \frac{d\upsilon}{d\xi} \frac{\partial \xi}{\partial t} = -\frac{\xi}{t} \frac{d\upsilon}{d\xi}, \quad \frac{\partial \sigma_r}{\partial r} = \frac{1}{ct} \frac{d\sigma_r}{d\xi}, \quad \frac{\partial \sigma_r}{\partial t} = -\frac{\xi}{t} \frac{d\sigma_r}{d\xi}.$$

2 The system of partial differential equations (1) is transformed into a system of ordinary
3 differential equations (ODE):

4 
$$\rho\left(\frac{1}{ct}\frac{d\upsilon}{d\xi} + 2\frac{\upsilon}{\xi ct}\right) = -\left(-\frac{\xi}{t} + \frac{\upsilon}{ct}\right)\frac{\rho^2}{\rho_0}\frac{d\theta}{d\xi},\tag{4}$$

5 
$$\frac{1}{ct}\frac{d\sigma_r}{d\xi} + 2\frac{f_2(\theta)}{\xi ct} = -\rho \left(-\frac{\xi}{t} + \frac{\upsilon}{ct}\right)\frac{d\upsilon}{d\xi},$$

6 where  $\sigma_r = f_1(\theta)$ , as it follows from equation of state (2).

7 System (4) is transformed, assuming a time t > 0:

$$8 \qquad \qquad \frac{1}{c}\frac{d\upsilon}{d\xi} + 2\frac{\upsilon}{\xi c} = \frac{\left(\xi - \upsilon/c\right)}{\left(1 - \theta\right)}\frac{d\theta}{d\xi}, \qquad \frac{1}{\rho c^2}\frac{d\sigma_r}{d\xi} + 2\frac{f_2(\theta)}{\xi\rho c^2} = \left(\xi - \upsilon/c\right)\frac{1}{c}\frac{d\upsilon}{d\xi} \quad (4)$$

9

For  $\xi = 1$ , Rankine-Hugoniot's jump conditions are used on the shock wave:

10 
$$\left[\rho\right]c - \left[\rho\upsilon\right] = 0, \left[\rho\upsilon\right]c - \left[\rho\upsilon^2 + \sigma_r\right] = 0$$
 (5)

In (5), square brackets designate difference of the values to the left and to the right of the discontinuity, and c is the propagation velocity of the discontinuity. The values to the left and to the right of the discontinuity will be designated as  $\rho_s, v, \sigma$  and  $\rho_0, v_0, \sigma_0$ , respectively.

14 In view of its smallness the elastic precursor in a soft soil medium can be neglected. Then,

15 assuming 
$$v_0 = \sigma_0 = 0$$
, one has  $(\rho_s - \rho_0)c = \rho_S v$ ,  $\rho_s vc = \rho_s v^2 + \sigma$ ,

16 or 
$$1 - \rho_0 / \rho_s \equiv \theta_s = \upsilon / c$$
,  $\upsilon / c - (\upsilon / c)^2 = \sigma / \rho_s c^2$ 

17 It is also assumed that, at high cavity expansion velocities, the density of the soft soil is 18 low; then system (4) is considered in the assumption of incompressibility of the medium, i.e., 19 when equalities  $d\theta/d\xi = 0$  or  $\theta = const$  do hold. In equations (4), the value of the volumetric strain is taken to be  $\theta \equiv \theta_s$  and  $\rho \equiv \rho_s$ . The fact that density retains its value  $\rho_s$  on the shock wave amounts to the assumption of incompressibility of the medium behind the shock wave front. Values of  $\rho_s$  and  $\theta_s$  are determined for every value of cavity expansion velocity.

5 Having chosen U = v/c and  $S = \sigma/\rho_s c^2$  as dimensionless variables, one gets the

6 following system of ODE (the prime designates differentiation with respect to  $\xi$ )

7 
$$U'+2\frac{U}{\xi} = 0, \ S'+2\frac{\tilde{f}_2}{\xi} = (\xi - U)U', \ \varepsilon < \xi < 1$$
 (6)

8 and boundary conditions

9 
$$U(\xi = \varepsilon) = \varepsilon$$
, (7)

K

10 
$$U(\xi = 1) = \theta_s, \ S(\xi = 1) = U - U^2 = \theta_s - \theta_s^2,$$

11 where the following definitions are introduced:  $\varepsilon = V/c$  is a dimensionless coordinate, 12 corresponding to the cavity boundary,  $\tilde{f}_2() = f_2()/\rho_s c^2$  is a dimensionless function in the 13 plasticity condition.

3.1.3. Solution of the boundary-value problem for a system of ODE with Mohr-Coulomb
yield criterion.

16 To solve the boundary-value problem for a system of two first-order ordinary differential 17 equations, (6), (7), it is necessary to provide functions  $f_1$  and  $f_2$  of the equation of state of the 18 medium.

Experiments [45, 46] indicate that dynamic compressibility of soil media is characterizedby a shock adiabat in the form of a linear relation:

$$U_s = C_0 + su_p, \tag{8}$$

1 correlating plane shock wave velocity  $U_s$  and velocity of particles behind the wave front,  $u_p$ .

2 Here,  $C_0$  corresponds to sonic velocity in the medium at zero pressure.

Relations (8) were obtained experimentally in shock-wave experiments for the conditions of uniaxial strain. In the present formulation of the spherical cavity expansion problem, the shockwave velocity and the plastic wave velocity are taken to be equal to  $U_s \equiv c$ . This is another simplifying assumption, mentioned earlier in [1].

7 Substituting relation (8) into Rankine-Hugoniot's equations (5), one obtains  $v = \theta c$ ,  $\sigma_r = \rho_0 \upsilon c = \rho_0 \theta c^2$ ; relation (8) will become  $c = C_0 + s \theta c$ ,  $c = C_0 / (1 - s \theta)$ . The final 8 form of the relation between stress  $\sigma_r$  and volumetric strain heta9 will be  $f_1(\theta) \equiv \rho_0 C_0^2 \theta (1 - s\theta)^{-2}$  [45], which has been used earlier in computations [49, 50]. In this 10 formulation, parameter s characterizes compression strength of the soil. The barotropic 11 approximation applied to soil media is justified by the fact that, at pressures up to 10 GPa, the 12 13 relative thermal volumetric expansion is an order of magnitude smaller than the total relative 14 change of the volume.

15 Taking account of conditions (8) on the shock wave, boundary conditions (7) can be 16 rewritten as follows:

17

$$U(\xi = \varepsilon) = \varepsilon, \ U(\xi = 1) = (1 - C_0/c)/s, \tag{9}$$

18 The first equation of system (1.6) is an equation with branching variables 19  $dU/U = -2d\xi/\xi$ , the solution of which yields  $U = c_1/\xi^2$ . Constant  $c_1$  is determined from 20 the first boundary condition in (9) as  $c_1 = \varepsilon^3$ , and the dimensionless velocity has the following 21 form:

$$U = \varepsilon^3 / \xi^2 \tag{10}$$

4

To determine the unknown value  $\varepsilon$ , taking into account the second boundary condition in

2 relations (9)  $\varepsilon^3 = (1 - C_0/c)/s = (1 - C_0V/Vc)/s = (1 - \varepsilon/M)/s$ , the following cubic equation 3 is obtained:

$$\varepsilon^3 + \frac{\varepsilon}{sM} - \frac{1}{s} = 0, \tag{11}$$

5 where  $M = V/C_0$ . The coefficients in equation (11) depend only on the parameters of the shock 6 adiabat of the medium  $C_0$ , s and cavity expansion velocity V.

7 An exact solution of equation (11) according to Cardano's formula has the following8 form:

9 
$$\varepsilon = \sqrt[3]{1/(2s) + q} + \sqrt[3]{1/(2s) - q}$$
, (12a)

10 where the definition  $q = \sqrt{(2s)^{-2} + (3sM)^{-3}}$  has been introduced.

11 A linear approximation to  $\mathcal{E}$ , which follows from equation (11), when using Taylor's

12 expansion 
$$\mathcal{E} = \frac{1}{\sqrt[3]{s}} \left( 1 + \left( -\frac{\mathcal{E}}{M} \right) \right)^{1/3} \approx \frac{1}{\sqrt[3]{s}} \left( 1 - \frac{\mathcal{E}}{3M} \right)$$
, yields  
13  $\mathcal{E} \approx 3M / \left( 1 + 3M \sqrt[3]{s} \right)$  (12b)

14 Using the definition  $\varepsilon = V/c$ , one obtains

15 
$$c = V \left( \sqrt[3]{1/(2s) + q} + \sqrt[3]{1/(2s) - q} \right)^{-1},$$
(13a)

16 
$$c \approx \sqrt[3]{sV} + C_0 / 3 \tag{13b}$$

Earlier, a solution of the form of (13a) was obtained in the cylindrical cavity expansionproblem [1].

19 It is further assumed that the plasticity criterion of the medium is described by Mohr-20 Coulomb's law  $f_2() \equiv \tau_0 + \kappa p = \tau_0 + \mu \sigma_r$ , where  $\tau_0$  is cohesion,  $\kappa$  is internal friction 21 coefficient,  $p = (\sigma_r + 2\sigma_\theta)/3$  is pressure,  $\mu = \kappa/(1 + 2\kappa/3)$ . 1 The second equation of system (6) and the boundary condition when taking into account 2 solution (10) and the yield criterion will be written as:

3 
$$S'+2\frac{T_0+\mu S}{\xi} = -2\left(\xi - \frac{\varepsilon^3}{\xi^2}\right)\frac{\varepsilon^3}{\xi^3} = -2\frac{\varepsilon^3}{\xi^2} + 2\frac{\varepsilon^6}{\xi^5}, \qquad S(\xi=1) = \varepsilon^3 - \varepsilon^6$$
(14)

4 where  $T_0 = \tau_0 / \rho_s c^2$ .

5 Transferring the terms containing S in equation (14) into the left-hand side and the rest of 6 the terms into the right-hand side, and multiplying the left-hand and the right-hand sides of the 7 equation by  $\xi^{2\mu}$ :

8 
$$\left(S'+2\frac{\mu S}{\xi}\right)\xi^{2\mu} = \left(-2\frac{T_0}{\xi}-2\frac{\varepsilon^3}{\xi^2}+2\frac{\varepsilon^6}{\xi^5}\right)\xi^{2\mu},$$

9 one obtains, after some transformations, an equation with branching variables, 10  $d(S\xi^{2\mu}) = (-2T\xi^{2\mu-1} - 2\varepsilon^3\xi^{2\mu-2} + 2\varepsilon^6\xi^{2\mu-5})d\xi$ , the solution of which depends on

11 the arbitrary variable 
$$c_2$$

12 
$$S = -\frac{T_0}{\mu} - 2\frac{\varepsilon^3}{(2\mu - 1)\xi} + \frac{\varepsilon^6}{(\mu - 2)\xi^4} + c_2\xi^{-2\mu}$$

13 Taking into account boundary conditions (14), the dimensionless stress takes the form:

14 
$$S(\xi) = -\frac{T_0}{\mu} - 2\frac{\varepsilon^3}{(2\mu - 1)\xi} + \frac{\varepsilon^6}{(\mu - 2)\xi^4} + \left(\frac{T_0}{\mu} + 2\frac{\varepsilon^3}{(2\mu - 1)} - \frac{\varepsilon^6}{(\mu - 2)} + \varepsilon^3 - \varepsilon^6\right)\xi^{-2\mu}$$
(15a)

15 Equation (15a) is not defined for  $\mu=0$  and  $\mu=0.5$ . In these cases, a solution can be 16 obtained by passing to the limit in (15a) for  $\mu$  tending to 0 and 0.5, respectively

17 
$$S(\xi) = -2T_0 \ln \xi - \varepsilon^3 \left(1 - \frac{2}{\xi}\right) - \frac{\varepsilon^6}{2} \left(1 + \frac{1}{\xi^4}\right), \ \mu = 0$$
(15b)

18 
$$S(\xi) = -2T_0 \left( 1 - \frac{1}{\varepsilon} \right) - \varepsilon^3 \left( 2 \frac{\ln \xi}{\xi} - \frac{1}{\xi} \right) - \frac{\varepsilon^6}{3} \left( \frac{1}{\xi} + \frac{2}{\xi^4} \right), \ \mu = 0.5 \ (15c)$$

3

In a dimensional form, the stress as a function of the self-similar variable has the

2 following form:

$$\sigma_{r}(\xi) = \tau_{0} \left( \frac{\xi^{-2\mu} - 1}{\mu} \right) +$$

$$+ \frac{\rho_{0} V^{2} \varepsilon}{1 - \varepsilon^{3}} \left( \frac{2}{(2\mu - 1)} \left( \frac{\xi^{-2\mu + 1} - 1}{\xi} \right) + \frac{\varepsilon^{3}}{(\mu - 2)} \left( \frac{1 - \xi^{-2\mu + 4}}{\xi^{4}} \right) + \left( 1 - \varepsilon^{3} \right) \xi^{-2\mu} \right)$$
(16)

Equations (16) were derived using the equality  $\rho_s = \rho_0 / (1 - \varepsilon^3)$ . 4

5 The dimensionless stress on the cavity wall  $(\xi = \varepsilon)$ , depending on the values of internal 6 friction coefficient  $\mu$ , is defined as:

7 
$$S = T_0 \left( \frac{\varepsilon^{-2\mu} - 1}{\mu} \right) + \frac{3\varepsilon^2}{(2\mu - 1)(\mu - 2)} + \varepsilon^3 \left( \frac{2}{(2\mu - 1)} - \frac{\varepsilon^3}{(\mu - 2)} + 1 - \varepsilon^3 \right) \varepsilon^{-2\mu},$$

8

10

In a dimensional form, the stress  $\sigma_C$  on the boundary of the cavity expanding at a velocity V, has the following form:

9

$$\sigma_{C} \equiv \sigma_{r} (\xi = \varepsilon) = \tau_{0} \left( \frac{\varepsilon^{-2\mu} - 1}{\mu} \right) + \frac{\rho_{0} V^{2}}{1 - \varepsilon^{3}} \left( \frac{3}{(\mu - 2)(2\mu - 1)} + \frac{2\mu + 1}{2\mu - 1} \cdot \varepsilon^{1 - 2\mu} - \frac{\mu - 1}{\mu - 2} \cdot \varepsilon^{4 - 2\mu} \right),$$
(17a)

11 
$$\sigma_r(\xi = \varepsilon) = -2\tau_0 \ln \varepsilon + \frac{\rho_0 V^2}{1 - \varepsilon^3} (3/2 - \varepsilon - \varepsilon^4/2), \ \mu = 0$$
(17b)

12 
$$\sigma_r(\xi = \varepsilon) = 2\tau_0(\varepsilon^{-1} - 1) + \frac{\rho_0 V^2}{1 - \varepsilon^3} (1/3 - 2\ln\varepsilon - \varepsilon^3/3), \ \mu = 0.5 \quad (17c)$$

13 In equations (16), (17), the value of  $\mathcal{E}$  is determined based on equations (12). The 14 relations for stresses obtained by substituting equations (12a) and (12b) are in what follows called 15 *rigid-plastic* and *linearized*, respectively. Thus, final relations have been obtained, which make it 16 possible to determine the stress in a medium with Mohr-Coulomb's plasticity condition.

- 17 3.1.4. Solution of a boundary-value problem for a system of ODE with Mohr-Coulomb
  - 18 Tresca-limit yield criterion.

The plasticity function for Mohr-Coulomb's condition, with the account of the limitation
 for Tresca's maximal value of the yield strength has the following form:

3 
$$f_2 \equiv \begin{cases} \tau_0 + \mu \sigma_r, & 0 < \sigma_r \le \sigma_M, \\ \tau_M, & \sigma_r > \sigma_M \end{cases}$$

4 where  $\sigma_M = (\tau_M - \tau_0)/\mu$ . In a dimensionless form, this equation is:

5 
$$\widetilde{f}_2 = \begin{cases} T_0 + \mu S, & 0 < S \le S_M, \\ T_M, & S > S_M \end{cases},$$

6 where dimensionless values  $T_M = \tau_M / \rho_s c^2$ ,  $S_M = (T_M - T_0) / \mu$  are introduced.

Stress S monotonically decreases with the dimensionless coordinate  $\xi$  changing from  $\varepsilon$ to 1, i.e., the minimal stress value is achieved at  $\xi$ =1. The value of cavity expansion velocity  $V_M$ , at which  $S(\xi = 1) = S_M$ , is then determined.

10 From the relations on the shock wave for  $\xi=1$  it follows that  $\sigma_r = \rho_0 c \upsilon = \rho_0 c^2 \theta$ . 11 The values of shock wave velocity, volumetric strain and  $\varepsilon$ , corresponding to  $V = V_M$ , will be

12 designated as  $c_M$ ,  $\theta_M$  and  $\varepsilon_M$ , respectively. To determine  $c_M$ , formula (13b) will be used,

13 yielding

14 
$$c_M = \sqrt[3]{s}V_M + C_0/3, \ \theta_M = \varepsilon_M^3 = \frac{V_M^3}{c_M^3}, \ \sigma_M = \rho_0 c_M^2 \theta_M = \rho_0 \frac{V_M^3}{c_M}.$$

15 Then, to determine  $V_M$ , the following cubic equation is obtained:

16 
$$\left(\frac{\tau_M - \tau_0}{\mu}\right) \left(\sqrt[3]{s}V_M + C_0/3\right) = \rho_0 V_M^3$$
(18a)

In a similar way, the maximal stress value is achieved at  $\xi = \varepsilon$ . Symbol  $V_0$  will designate the value of cavity expansion velocity, at which equalities  $S(\xi = \varepsilon) = S_M$  or  $\sigma_r(\xi = \varepsilon) = \sigma_M$  hold. Substituting value  $V = V_0$  into equation (17),  $\sigma_M = \sigma_r(\xi = \varepsilon)|_{V=V_0}$ ,

20 the following nonlinear equation for determining  $V_0$  is obtained:

6

$$\frac{\tau_M - \tau_0}{\mu} = \frac{\tau_0}{\mu} \left( 1 - \varepsilon_0^{-2\mu} \right) + \frac{\rho_0 V_0^2}{1 - \varepsilon_0^3} \left( \frac{3}{(\mu - 2)(2\mu - 1)} + \frac{2\mu + 1}{2\mu - 1} \cdot \varepsilon_0^{1 - 2\mu} - \frac{\mu - 1}{\mu - 2} \cdot \varepsilon_0^{4 - 2\mu} \right),$$
(18b)

2 where definitions  $\varepsilon_0 = V_0/c_0$ ,  $c_0 = \sqrt[3]{s}V_0 + C_0/3$  have been introduced.

For a cavity expansion velocity varying in the interval  $V_0 < V < V_M$ , it is necessary to find the value of the dimensionless coordinate  $\xi = \xi_M$ , at which the value of the dimensionless stress is  $S(\xi = \xi_M) = S_M$ .

Finally, the dimensionless stress will be defined as:

/

$$7 \qquad S(\xi) = \begin{cases} -\frac{T_0}{\mu} - 2\frac{\varepsilon^3}{(2\mu - 1)\xi} + \frac{\varepsilon^6}{(\mu - 2)\xi^4} + \begin{pmatrix} 0 < \varepsilon < \varepsilon_0, \\ \varepsilon \le \xi \le 1 \end{pmatrix} \cup \\ + \left(\frac{T_0}{\mu} + 2\frac{\varepsilon^3}{(2\mu - 1)} - \frac{\varepsilon^6}{(\mu - 2)} + \varepsilon^3 - \varepsilon^6\right) \xi^{-2\mu}, & \begin{pmatrix} \varepsilon_0 < \varepsilon < \varepsilon_M, \\ \xi_M \le \xi \le 1 \end{pmatrix}; \\ S_M + T_M \ln\left(\frac{\xi_M}{\xi}\right)^2 + 2\varepsilon^3 \left(\frac{1}{\xi} - \frac{1}{\xi_M}\right) - \frac{\varepsilon^6}{2} \left(\frac{1}{\xi^4} - \frac{1}{\xi_M^4}\right), & \varepsilon_0 \le \varepsilon \le \varepsilon_M, \\ \varepsilon \le \xi \le \xi_M; \\ - 2T_M \ln\xi - \varepsilon^3 \left(1 - \frac{2}{\xi}\right) - \frac{\varepsilon^6}{2} \left(1 + \frac{1}{\xi^4}\right), & \varepsilon < \varepsilon_M, \\ \varepsilon \le \xi \le 1. \end{cases}$$
(19)

Dimensional stress along the cavity boundary  $\sigma_C \equiv S(\xi = \varepsilon)\rho_s c^2$ 

$$\sigma_{r}(V) = \begin{cases} \frac{\tau_{0}}{\mu} \left( \varepsilon^{-2\mu} - 1 \right) + & 0 < V < V_{0}; \\ + \frac{\rho_{0}V^{2}}{1 - \varepsilon^{3}} \left( \frac{3}{(\mu - 2)(2\mu - 1)} + \frac{2\mu + 1}{2\mu - 1} \cdot \varepsilon^{1 - 2\mu} - \frac{\mu - 1}{\mu - 2} \cdot \varepsilon^{4 - 2\mu} \right), \\ \sigma_{M} + \tau_{M} \ln \left( \frac{\xi_{M}}{\varepsilon} \right)^{2} + \frac{\rho_{0}V^{2}}{1 - \varepsilon^{3}} \left( \frac{3}{2} - \frac{2\varepsilon}{\xi_{M}} + \frac{\varepsilon^{4}}{2\xi_{M}^{4}} \right), \\ - 2\tau_{M} \ln \varepsilon + \frac{\rho_{0}V^{2}}{1 - \varepsilon^{3}} \left( \frac{3}{2} - \varepsilon - \frac{\varepsilon^{4}}{2} \right), \\ V > V_{M}. \end{cases}$$

$$(20)$$

9

- In equations (19), (20) the value of ε is determined in the same way, using equations (12).
   Thus, the final relations are obtained, which make it possible to determine the stress in a medium
   with Mohr-Coulomb Tresca-limit yield criterion.
- 4

### **3.2.** Numerical solution of the problem in a complete formulation

6 3.2.1. Formulating an initial boundary-value problem for a system of partial differential
7 equations.

8 A mathematical model of a soil medium is described by differential equation system (1), 9 which is closed by an invariant relation between pressure and volumetric strain:

10 
$$p = f_3(\theta) \equiv K\theta + O(\varepsilon^2), \qquad (21)$$

11 where *K* is the elastic bulk modulus (i.e. the modulus of volumetric compression).

It is assumed that in the region bounded by radii  $R_0 = Vt$  and r = ct, the medium is plastically deformed. In the adjacent region of elastic deformation, which is bounded by coordinate  $r = c_e t$ , the stress tensor components are related to strains by Hooke's law with elastic moduli K and G, where  $c_e = \sqrt{(K + 4G/3)/\rho_0}$  is the plane shock wave propagation velocity, and G is the shear modulus.

A solution of a one-dimensional problem of spherical cavity expansion in the region of plastic deformation will be now constructed. In view of the spherical symmetry and of the fact that the first invariant of the deviator tensor is equal to zero,  $s_r + 2s_\theta = 0$ , and if yield condition  $\sigma_r - \sigma_\theta = f_2(\theta)$  holds, one obtains  $s_r = 2f_2(\theta)/3$ , where  $s_r$  and  $s_\theta$  are radial and circumferential components of the stress deviator tensor.

System of partial differential equations (1) for  $\sigma_r$  and v will be written as follows:

23 
$$\frac{1}{K_1} \left( \frac{\partial \sigma_r}{\partial t} + \upsilon \frac{\partial \sigma_r}{\partial r} \right) + \left( 1 - \theta \right) \left( \frac{\partial \upsilon}{\partial r} + \frac{2\upsilon}{r} \right) = 0, \quad \frac{\partial \sigma_r}{\partial r} + \frac{2f_2(\sigma_r)}{r} = -\frac{\rho_0}{1 - \theta} \left( \frac{\partial \upsilon}{\partial t} + \upsilon \frac{\partial \upsilon}{\partial r} \right), \quad (22)$$

24 where  $1 - \theta = 1 - f_1^{-1}(\sigma_r)$ ,  $\sigma_r = f_1(\theta) \equiv f_3(\theta) + \frac{2}{3}f_2(\theta)$ ,  $K_1 = \frac{\partial f_1(\theta)}{\partial \theta}$ 

1 On the boundary of the expanding cavity of radius  $R_0 = V_0 t$ , velocity V is assigned, outer 2 surface of the spherical layer  $R_{\infty}$  is free from stresses, the velocity and stresses in the medium at 3 the initial time are equal to zero:

4 
$$\upsilon(R_0,t) = V, \ \sigma_r(R_\infty,t) = 0, \quad \upsilon(r,0) = \sigma_r(r,0) = 0$$
. (23)

5 3.2.2. Formulation of a boundary-value problem for a system of first-order ordinary differential
6 equations.

7

A self-similar solution of the system for variable  $\xi = \frac{r}{ct}$  will be considered, and

8 dimensionless variables  $U = \frac{v}{c}$ ,  $S = \frac{\sigma_r}{\rho_0 c^2}$  will be introduced together with the following

9 notations: 
$$\widetilde{f}_1() = \frac{f_1()}{\rho_0 c^2}$$
,  $\widetilde{f}_2() = \frac{f_2()}{\rho_0 c^2}$ ,  $\widetilde{K}_1 = \frac{\partial \widetilde{f}_1(\theta)}{\partial \theta}$ . The partial derivatives in time and

10 space are defined similarly to Section 3.1.2.

11 As a result of the substitution, the system of partial differential equations (22) is 12 transformed into a system of ordinary differential equations:

13 
$$U'+2\frac{U}{\xi} = \frac{(\xi - U)}{(1 - \theta)\tilde{K}_1}S', \ S' + 2\frac{\tilde{f}_2}{\xi} = \frac{(\xi - U)}{1 - \theta}U',$$
(24)

14 where  $1 - \theta = 1 - \tilde{f}_1^{-1}(S)$ , and prime denotes differentiation with respect to  $\xi$ .

Boundary conditions (23) for equation system (24) are defined depending on the relation of velocities c and  $c_e$ , and value  $\alpha = c/c_e$ . For  $0 < \alpha < 1$ , the boundary conditions are defined by an elastic solution (Appendix A). For  $\alpha \ge 1$ , Hugoniot's jump conditions (5) are used, from which it follows that  $\theta = v/c$ ,  $v/c = \sigma/\rho_0 c^2$  or, in dimensionless variables,  $U = S = \theta$ .

Finally, the boundary value problem for a system of two first-order ordinary differential
equations, written in a normal form, is as follows:

1 
$$U' = \frac{2\left(U\widetilde{K}_1 + \widetilde{f}_2\varphi\right)}{\xi\left(\varphi^2 - \widetilde{K}_1\right)}, \quad S' = \frac{2\widetilde{K}_1\left(\widetilde{f}_2 + U\varphi\right)}{\xi\left(\varphi^2 - \widetilde{K}_1\right)}, \quad \mathcal{E} < \xi < 1, \tag{25}$$

2 
$$U(\xi = \varepsilon) = \varepsilon$$

3 
$$U(\xi = 1) = \begin{cases} U^e, & \alpha < 1 \\ \theta_1, & \alpha \ge 1 \end{cases}, \quad S(\xi = 1) = \begin{cases} S^e, & \alpha < 1 \\ \theta_1, & \alpha \ge 1 \end{cases},$$

4 where  $\varphi = (\xi - U)/(1 - \theta)$ , and  $\theta_1$  is the solution of equation  $\tilde{f}_1(\theta) = \theta$ .

Equation system (25) includes the unknown parameter c, propagation velocity of the
interface between the elastic and plastic regions or of the plastic shock wave front. The unknown
velocity c is determined iteratively, as long as boundary condition |U(ξ = ε) - ε| < δ is</li>
satisfied to an assigned accuracy δ. At each iteration step, the fourth-order-accurate RungeKutta numerical method is used for self-similar variable ξ changing from elastic-plastic interface
(ξ=1) to cavity boundary (ξ=ε).

11

# 12 4. Analyzing the accuracy of the approximate solution

13

# 14 4.1. Parametric analysis of the cavity problem solution with Mohr-Coulomb yield criterion

15 The problem of spherical cavity expanding at a constant velocity from a zero radius in an 16 infinite nonlinearly compressible medium is considered. Compressibility of the medium is 17 characterized by linear relation (8) between shock wave velocity and mass velocity of the 18 particles of the medium. Resistance of the medium to shear is assigned by Mohr-Coulomb's 19 linear relation. Cavity expansion velocity, internal friction coefficient  $\mu$ , and parameter of 20 dynamic compression strength *s* are varied.

#### 21 *4.1.1. Formation of a plastic shock wave.*

As it was noticed in Section 3.2, the solution of the problem in the plastic region is continuous at the transition to the elastic region, for  $c > c_e$  a plastic shock wave is formed, which propagates through the unperturbed space. Further, two choices of the parameters of the equation of state (EOS) of a medium with known stress-volumetric strain (shock adiabat)
 relations and Mohr-Coulomb's yield criterion are considered as an illustration, which are listed
 in the Table 2. In what follows, media with EOS1 and EOS2 will be considered.

4

N⁰	$ ho_0,$	К,	<i>G</i> ,	$c_e$ ,	<i>C</i> <sub>0</sub> ,	S	$\sigma_0,$	k	μ
	kg/m <sup>3</sup>	MPa	MPa	m/s	m/s		MPa		
1	1730	220	115	465	460	2.3	0.042	1.0	0.6
2	2080	4570	1147	1713	1700	3.4	0.021	0.5	0.375

#### 5 **Table 2.** Parameters of the EOS of media 1 and 2.

6

The curves in Fig. 4 correspond to the results of the solution of the boundary-value
problem for a system of ODE (Section 3.2, Eqs. (25)), using the fourth-order-accurate RungeKutta method. In what follows, this solution will be called 'exact solution'.

10 It is found that a shock wave is formed at cavity expansion velocities  $V = V_s$  (marked in 11 dotted lines),  $V_s = 182$  m/s and 550 m/s for media with EOS1 and EOS2, respectively. In the 12 vicinity of these values, the solution curves have second-order tangency.

In Fig. 5, velocity is represented on a logarithmic scale, the dashed line corresponds to the value of the dimensionless cavity expansion velocity  $M_s \equiv V_s/C_0$ , at which a single plastic shock wave is formed ( $M_s$ =0.395 and  $M_s$ =0.340 for the media with EOS1 and EOS2, respectively).



3

4

Fig. 4. Stress at the boundary of a cavity expanding at velocity V for the media with EOS1 (a) and EOS2 (b): elastic-plastic solution for  $V \le V_s$  (solid line) and the solution indicating the formation of a single plastic shock wave propagating through the unperturbed medium (the crossed solid line) for  $V > V_s$ .



Fig. 5. Dimensionless parameter  $\mathcal{E}$  as a function of cavity expansion velocity in relation to elastic wave velocity for the media with EOS1 (a) and EOS2 (b): exact generalized solution including elastic-plastic interface (the solid blue line) at  $M \le M_s$  and formation of a plastic shock wave (the solid red line with a cross) at  $M > M_s$ , approximated *rigid-plastic* solution (12a) (the dotted line) and *linearized* solution (12b) (the dot-dash line).

11 The exact solution in Fig. 5 demonstrates the limitation of parameter  $\varepsilon$  when the cavity 12 expansion velocity tends to infinity ( $\varepsilon < 0.758$  and  $\varepsilon < 0.663$  for EOS1 and EOS2 respectively) 13 and to zero ( $\varepsilon > 0.066$  and  $\varepsilon > 0.023$  again for media with EOS1 and EOS2, respectively). The 14 approximate solutions according to formulas (12) are limited from above ( $\varepsilon < 1/\sqrt[3]{s}$ ). The dimensional stress value on the cavity wall also remains limited at velocities close to zero: for media with EOS1, the stress does not exceed 2.3 MPa, and for a medium with EOS2 it does not exceed 1.13 MPa. The value of  $\varepsilon$ , obtained using formula (13a), is close enough to the exact solution, except for the region with M<0.1, the solution according to linearized formula (13b) tends to the exact solution only at M>0.4.

6 The evaluation of the minimal stress on the cavity wall, required for its propagation 7 (critical pressure  $P_c$  [10]) is now considered. Earlier, an equation for a critical pressure in a 8 compressed linearly elastic medium with Tresca's plasticity condition was obtained:

9 
$$P_{c} = \frac{2}{3}\tau_{0} \left( 1 + \ln \frac{E}{3(1-\nu)\tau_{0}} \right)$$
 (26)

10 where E is Young's modulus, and  $\nu$  is Poisson's coefficient.

11 To determine the critical pressure in a medium with Mohr-Coulomb's plasticity criterion, 12 the second equation of system (24) is transformed into an equation for the dimensional pressure. 13 Taking into account that elastic-plastic interface velocity c = O(V), at  $V \rightarrow 0$  yields Cauchy's 14 problem:

15 
$$\frac{d\sigma_r}{d\xi} + 2\frac{\tau_0 + \mu\sigma_r}{\xi} = 0, \ \varepsilon \le \xi \le 1, \ \sigma_r(\xi = 1) = 2\tau_0/3, \tag{27}$$

16 where the boundary conditions are defined based on the second equation in (A9) for  $\alpha \ll 1$ .

17 The solution of Cauchy's problem (27) is a function  $\sigma_r(\xi)$  which, for  $\xi = \varepsilon$ , defines

18 the critical pressure

19 
$$P_{c} = \frac{2}{3}\tau_{0}\varepsilon^{-2\mu} + \tau_{0}\left(\frac{\varepsilon^{-2\mu} - 1}{\mu}\right).$$
 (28a),

20 The value of such critical pressure for  $\mu = 0$  can be obtained by passing to the limit 21  $\mu \rightarrow 0$  in (28a):

22 
$$P_c = \frac{2}{3}\tau_0 \left(1 + \ln \varepsilon^{-3}\right)$$
(28b)

1 Considering that value  $\varepsilon$  weakly depends on the change in internal pressure, equation (26) will 2 be used for determining  $\varepsilon$ , assuming  $\varepsilon = \sqrt[3]{3(1-\nu)\tau_0/E}$ . Thus, equation (28a) will be a 3 generalization of the known equation (26) for the case of Mohr-Coulomb's yield criterion.

Fig. 6 presents the values of critical pressure on the cavity wall, obtained numerically for various levels of the initial value of yield strength in a medium where a logarithmic scale is used for the yield stress. Good applicability of equation (28a) is noticed for  $\tau_0$  changing by three orders of magnitude, as well as the growth of critical pressure with an increasing internal pressure.

9



Fig.6. Critical pressure  $P_c$  for a medium with EOS1 as a function of the initial value of the yield strength  $\tau_0$ : exact numerical solution (the solid lines) and solution according to formulas (28) (the markers).



Fig.7. Normalized pressure on the cavity wall as a function of cavity expansion velocity in a medium with EOS1: exact numerical solution (the markers) and an approximation using the least squares method (the solid lines).

Fig. 6 The polynomial approximations functions of the form in are  $(\sigma_C - P_C)/K_0 = -A_3(V/C_0)^3 + A_2(V/C_0)^2$ for 7  $\mu = 0$ the case and  $(\sigma_C - P_C)/K_0 = -B_3(V/C_0)^3 + B_2(V/C_0)^2 + B_1(V/C_0)$  for the case  $\mu = 0.6$ . It can be 8

9 noticed that a linear member appearing in the approximating polynomial is determined by10 accounting for the internal pressure in the framework of Mohr-Coulomb's yield criterion.

11 The *linearized* solution (13b) in Fig. 8 appears to be closer to the exact solution for 12 M > 0.4, than the *rigid-plastic* solution according to Cardano's formula (13a).

13





Fig. 8. Normalized propagation velocities of the elastic-plastic interface as a function
 of cavity expansion velocity for the media with EOS1 (a) and EOS2 (b): (the definition of the
 curves is the same as in Fig. 5).

4

5 It has to be noticed that solving the problem without accounting for the formation of a 6 plastic shock wave results in the limitation of the elastic-plastic interface at high cavity 7 expansion velocities (the solid line in Fig.8), which agrees with the results earlier obtained in 8 [16]. The continuation of the solution, concentrating on the single plastic shock wave (the solid 9 line with a cross in Fig. 8) is closer to the physical picture of wave propagation.

10 The approximate solutions in Fig. 9 were obtained using formulas (12a), (17a) and (12b), 11 (17a), respectively. The approximate linearized solution agrees well with the exact solution in a 12 complete formulation over a fairly wide range of cavity expansion velocities up to M=0.1. Like 13 the exact solution, the dimensionless linearized solution has a nonlinear character at M<0.4, and 14 at M>0.4 can be represented by a linear relation.

15 The good agreement between the approximate linearized and the exact solutions can be 16 explained if stress distributions in the medium as a function of the dimensionless coordinate is 17 considered.



Fig. 9. Dimensionless relations between stress on the cavity wall expanding at velocity
V for the media with EOS1 (a) and EOS2 (b): (the definition of the curves is the same as in Fig.
5).



Fig. 10. Stress distribution in the medium as a function of similarity variable  $\xi$  at the dimensionless cavity expansion for velocities M=0.5 and M=1.0 for a medium with EOS1 (a), M=0.125 and M=0.250 for the medium with EOS2 (b): the solid, dotted and dot-dash lines correspond to the exact generalized solution, the *rigid-plastic* and *linearized* rigidplastic solutions, respectively.

7 It is evident in Fig. 10 that the computations of stress using equation (12a) for 8 determining  $\mathcal{E}$  and equation (13a) for determining shock wave velocity generally give closer 9 results than those obtained using the linearized formula (13b). However, maximal stress values 10 in the vicinity of the cavity, obtained using formulas (12b), (17b) (the dot-dash line in Fig. 10), 11 tend to be closer to the exact value. The formal continuation of solution (13) into the region with 12  $M \le 0.4$  for a medium with EOS2 leads to an error of 13% and 16% in defining the stress at the 13 cavity boundary. Errors in determining stresses using formulas (12b), (17b) substantially 14 decrease with an increasing cavity expansion velocity.

15

## 4.1.2. Evaluation of stress at the cavity wall.

In what follows, the normalized stresses obtained in the framework of the problem of spherical cavity expansion, by varying the internal friction coefficient, are compared; the remaining parameters correspond to the material with EOS1.

19 It follows from Fig. 11 that the difference between the stresses obtained exactly and 20 approximately decreases with the cavity expansion velocity. This corroborates the earlier 21 conclusion that linear relation (13b) of shock wave velocity as a function of cavity expansion

- 1 velocity is more accurate in determining normal stress at the cavity wall than the exact solution
- 2 using Cardano's formulas (13a).



Fig. 11 Normalized stresses at the cavity wall as a function of dimensionless
expansion velocity, obtained for the internal friction coefficient values μ=0; μ=0.5; μ=0.75.
(definitions are the same as in Figs. 9, 10).

7



8 Fig. 12. Relative error in determining the shock wave front velocity (a) and the stress 9 at the cavity wall (b) for  $\mu=0$ ,  $\mu=0.5$  and  $\mu=0.75$ .

10

This is connected with a summation of errors of opposite sign. The difference between the approximate and exact solutions increases with µ, the character of the curves remaining the same.
The minimal error of the approximate solutions is observed for a value of the internal friction coefficient close to 0.



Fig. 13. Relative errors in determining the cavity wall stress for various values of compression strength coefficient s of the soil for internal friction coefficient  $\mu = 0$  (a) and  $\mu$ = 0.6 (b) as a function of relative cavity expansion velocity  $M = V/C_0$ .

6 Fig. 12(a)depicts relative errors in determining the plastic shock wave velocity for various values of internal friction coefficient  $\delta_c = (c - c_{a,b})/c \cdot 100\%$ , where c is the exact solution, and 7 8  $c_a$  and  $c_b$  are determined from formulas (13a) and (13b). The relative errors for the stress at the 9 cavity wall depicted in Figs.12(b), are determined in a similar way. The relative errors for 10 approximate linearized formulas (17b), (12b) are significantly lower than those using Cardano's 11 formulas (12a). The errors are also observed to decrease with an increasing cavity expansion 12 velocity. Thus, velocities and stresses at the wall of a spherical cavity, expanding at a constant 13 velocity from zero radius in a soil medium with a known shock adiabat, are determined using 14 formulas (13b) and (17), (12b) with an error less than 5% at relative cavity expansion velocities  $M = V/C_0 > 0.4$  and when the variation of the internal friction coefficient is in the range [0; 15 16 0.75].

17 The error in Fig. 13 was determined in a standard way:  $\delta_{\sigma} = (\sigma_C - \sigma_b)/\sigma_C \cdot 100\%$ , 18 where  $\sigma_C$  is the exact solution, and  $\sigma_b$  is determined using formulas (17), (12b). It can be seen 19 that the errors in determining the stress at the wall of the spherical cavity, expanding at a 1 constant velocity in a soft medium, using linearized formula (12b) and formula (17b) do not 2 exceed 5% at relative cavity expansion velocities  $M = V/C_0 > 0.5$  and compression strength 3 parameter *s* changing in a fairly wide range [1; 4] for admissible values of the internal friction 4 coefficient.

5 Determining the plastic shock wave velocity using formula (13b) and the stress at the 6 cavity wall using equations (12b), (17b) can formally be extended onto the range M < 0.5.

It is evident from Fig. 14 that at relative cavity expansion velocity M<0.5 the error in determining the shock wave velocity increases up to 50%. For stresses calculated at the cavity wall, the errors do not exceed 5% over the entire velocity range, and only for large values of the internal friction coefficient ( $\mu$ =0.75) and small relative cavity expansion velocities, the errors increase up to 10%. The obtained estimations show that equation (17) and the linearized equations (12b), (13b) can be further used for approximating stresses at the cavity wall when analyzing problems of penetration into soil media.



Fig. 14 Error in determining shock wave front velocity (a) and cavity wall stress (b) using the linearized rigid-plastic solution for the values of  $\mu=0$ ,  $\mu=0.5$  and  $\mu=0.75$ .

14

## 15 5. Determining the force resisting penetration of a rigid sphere into sand

16

#### 17 **5.1.** Parameters of the equation of state of the soil medium

As it is known, the equation of state of a soft soil includes a shock adiabat, a plasticity
 condition, as well as elasticity moduli K and G of the initial part of the deformation curve.
 Representing the shock adiabat in the form of linear relation (8) makes it possible to correlate
 stress σ<sub>r</sub> and volumetric strain θ in conditions of uniaxial deformation:

5 
$$f_1(\theta) \equiv \rho_0 C_0^2 \theta (1 - s \theta)^{-2}$$
(29)

Resistance of the medium to shear is defined by a bilinear relation between yield stress
and pressure:

8 
$$f_2(p) \equiv \sigma_0 + kp/(1 + kp/\Delta\sigma), \qquad \Delta\sigma = \sigma_1 - \sigma_0 \tag{30}$$

9 Coefficients  $\sigma_0$ ,  $\sigma_1$  and k in (30) characterize cohesion, maximal value of yield stress and 10 tangent of the internal friction angle of the soil.

11 For the "pressure-volumetric strain" relation,  $f_3(\theta)$ , the following function of the form 12 (29) is used:

13 
$$p = f_3(\theta) \equiv \rho_0 a^2 \theta (1 - b\theta)^{-2}, \qquad (31)$$

14 where the unknown parameters a and b are found by using the least squares method.

The shock adiabats parameters  $C_0$  and s for dry and wet sand were obtained in our plane-wave shock experiments. These parameters were close to those obtained earlier in the inverse impact experiment technique by using a measure bar with flat end [41]. The following Table 3 lists the parameter values of the equation of state of dry and water-saturated sands

19

#### 20 **Table 3.** Parameters of EOS for sand of different water saturation.

N⁰	<i>w</i> ,	$ ho_0,$	<i>C</i> <sub>0</sub> ,	S	а,	b	$\sigma_0$ ,	k	$\sigma_{ m l}$ , MPa
	%	kg/m <sup>3</sup>	m/s		m/s		MPa		
1	0	1730	460	2.3	340	2.6	0.1	1.2	275
2	20	2080	1700	3.4	1620	3.6	0.1	0.5	50



Fig. 15. Bilinear relations between yield stress and pressure for dry (a) and wet soils(b) and Mohr-Coulomb-Tresca's bilinear approximation.

2

1

Fig. 15 presents curves of nonlinear yield criterion (30) as approximated by the bilinear
relations of Mohr-Coulomb's with Tresca's-limit yield criterion

7 
$$f_2 = \begin{cases} \tau_0 + kp = \tau_0 + \mu\sigma_r, & 0 < \sigma_r \le \sigma_M, \\ \tau_M, & \sigma_r > \sigma_M \end{cases}$$
(32)

8

9 **Table 4.** Parameters of yield criterion (32) for dry and wet sand.

N⁰	$\tau_0$ ,	k	μ	$ au_M$ ,	$\sigma_M$ ,
	MPa			MPa	MPa
1	0.042	1.0	0.6	180	300
2	0.021	0.3	0.25	25	1000

10

11 The parameters of the elastic portion of the deformation diagram and the shock adiabat of

12 dry and wet sands are those previously presented in Table 2.

13

## 14 5.2. Analytical solution of the cavity problem with Mohr-Coulomb Tresca's limit yield

15 criterion

In Fig. 16, the cavity expansion velocities are 400 and 250 m/s for dry (a) and wet (b) sands, respectively. The values of parameters  $V_0$  and  $V_M$ , determined using formulas (18), and the values of other parameters are summarized in the Table 5:

4

N⁰	$V_0$ ,	$V_M$ ,	$\mathcal{E}_0$	$\varepsilon_M$	V,	$\xi_M$	$S_M$
	m/s	m/s			m/s		
1	296	528	0.544	0.607	400	0.795	0.298
2	150	380	0.19	0.305	250	0.58	0.053

5 **Table 5.** Limits of the chosen ranges in equations (19) and (20).

6

7

In Table 5, the first and second lines correspond to dry and wet sand, respectively.

8 In Fig. 17, the markers represent normalized cavity wall stresses as a function of 9 normalized expansion velocities under high pressures. Using Mohr-Coulomb's yield criterion 10 (a), the stresses were found according to formula (17a) for M > 0.4; the parameters of the 11 equation of state are listed in Table 2. With Mohr-Coulomb-Tresca's yield criterion (b), formula 12 (17b) was used with  $\tau_0 = \tau_M$ ,  $V > V_M$ ; the parameters of the equation of state are listed in 13 Table 3.



Fig. 16. Distribution of dimensionless stresses as a function of self-similar coordinate
 in dry (a) and wet (b) sand for Mohr-Coulomb-Tresca's yield criterion (the solid line) and
 Mohr-Coulomb's criterion (the dotted line).

4

9



5 **Fig. 17.** Normalized cavity wall stresses as a function of normalized cavity expansion 6 velocities when using Mohr-Coulomb's (a) and Mohr-Coulomb Tresca's limit (b) yield 7 criterions in dry and wet sand (squares and diamonds, respectively) and linear 8 approximations using the least squares method (solid lines).

10 It can be seen that when using Mohr-Coulomb's yield criterion, the relation between 11 stresses acting on the cavity wall and the velocity is  $\sigma_r/\rho_0 = a_2V^2 + b_1V$  (see also [51]), 12 whereas when Tresca's yield criterion is used for  $\tau_0 = \tau_M$ ,  $V > V_M$ , the relation is 13  $\sigma_r/\rho_0 = a_2V^2 + a_0$ ; besides,  $a_0 \approx 0$  for the wet soil.



Fig. 18. Approximation of the cavity wall stress as a function of cavity expansion velocity in dry (a) and wet (b) sands: the solid line, the dotted line with triangles, the dashdot lines and the dashed line with squares correspond to the results obtained using equations (20), (17a), (17b) and (17b) for  $\tau_0 = \tau_M$ .

5

6 It can be seen in Fig. 18 that the cavity wall stresses in the medium with Mohr-Coulomb-7 Tresca's yield criterion can, accurately enough for engineering purposes, be determined without 8 using equations (19), (20), by employing interpolation over the cavity expansion velocity range 9  $V_0 \le V \le V_M$ .

10

## 11 5.3. Comparing the numerical and experimental results

12 The force acting on a spherical head projectile penetrating into soil at constant velocity 13  $U_0$ , equal to impact velocity, is determined by integrating stresses over the contact surface and 14 is related with the form and penetration velocity of the projectile as

15 
$$F = -2S \int_{-1}^{z} \left(\sigma_n z + \sigma_\tau \sqrt{1 - z^2}\right) dz$$
(33a)

16 where  $S = \pi R^2$  is the cross-section area of the sphere,  $z = -1 + U_0 t/R$  is the current penetration 17 depth, related with projectile radius R, axis z is directed along the symmetry axis of the 18 projectile and against its motion,  $-1 \le z \le 0$ , t is current penetration time.

19 Angle  $\varphi$  is now introduced, which is counted from the apex of the sphere in the direction 20 of the free surface corresponding to dimensionless penetration depth  $z = \cos \varphi$ . Equation (33a) 21 can be transformed as follows:

22 
$$F = 2S \int_{0}^{\varphi} (\sigma_n \cos \varphi + \sigma_\tau \sin \varphi) \sin \varphi \, d\varphi \,. \tag{33b}$$

According to the Cavity Expansion Model (CEM), normal stress acting on the surface of
 the projectile is identified with pressure acting on the wall of the expanding spherical cavity. As
 it was shown above, this pressure can be represented in the form of a quadratic relation

$$\sigma_n / \rho_0 = a_2 u^2 + b_1 u \,, \tag{34}$$

5 where  $u = -U_0 z$  is the normal component of the penetration velocity vector,  $a_2$ ,  $b_1$  are 6 constant coefficients depending on the physical-mechanical properties of the medium, the 7 geometry of the striker and other components.

8 Tangent stresses on the surface of the body moving through the medium will be defined
9 according to Coulomb's friction model

10 
$$\sigma_{\tau} = k_f \sigma_n, \tag{35}$$

11 where  $k_f$  is a constant coefficient of surface friction.

4

After integrating equation (33), taking into account (34), (35), resistance to a spherical
penetrator as a function of impact velocity will have the following form:

14 
$$F = AU_0^2 + BU_0, A = a_2(A_1 + k_f A_2)\rho_0 S, B = b_1(B_1 + k_f B_2)\rho_0 S,$$

15 
$$A_1 = \frac{(1 - \cos^4 \varphi)}{2}, \ A_2 = \frac{(\varphi - 2\sin\varphi\cos^3\varphi + \sin\varphi\cos\varphi)}{4}, \ B_1 = \frac{2(1 - \cos^3\varphi)}{3}, \ B_2 = \frac{2\sin^3\varphi}{3}$$
 (36)

16 Substituting into (36) the values of  $\varphi$ , equal to a flow separation angle,  $\varphi^*$ , yields the 17 maximum values of the force resisting penetration in the framework of CEM, taking into account 18 surface friction.

19 The flow separation angle was taken to be  $\varphi^* = \pi/3$ , the friction coefficient for dry 20 sand was  $k_f = 0.4$ , and for wet sand  $k_f = 0.2$ . These values were assumed to be proportional to 21 the internal friction coefficient, i.e., remained constant at  $u < V_0$ . At  $u > V_M$ , the values of the 22 sliding friction coefficient were assumed to be equal to  $k_f = 0$ , over the interval  $V_0 < u < V_M$  a 23 linear interpolation was used. Fig. 19 demonstrates good agreement between the approximation results and the experimental data for both dry and water-saturated sand without introducing any correcting coefficients. In the velocity range, for which the experimental data for dry sand were obtained (impact velocities up to 400 m/s), Mohr-Coulomb's yield criterion is true, whereas Tresca's limit comes to produce significant effects at impact velocities over 500 m/s.



Fig. 19. Resistance force to penetration of a rigid spherical striker into dry (a) and wet
(b) sand as a function of impact velocity: the inverted experiment [41] (the dark and light
dots) and the approximation using the solution of the cavity expansion problem (the
definition of the curves is the same as in Fig. 18).

10

The use of Mohr-Coulomb's with Tresca's-limit yield criterion is more justified for wet sand. At low impact velocities (up to 200 m/s), internal friction plays an important role, whereas at higher velocities the limitation of the yield strength in the framework of Tresca's yield criterion manifests itself.

Indeed, high-speed penetrators are here considered, where resistance to shear of the soil medium can be neglected. Normal pressure on the surface moving at velocity  $U_0$  will be expressed by equation (17b) without the first addend:  $\sigma_r = \rho_0 U_0^2 (3/2 - \varepsilon - \varepsilon^4/2)/(1 - \varepsilon^3)$ . The stress on the contact surface will be written as  $\sigma_r = \frac{1}{2}C_D\rho_0 U_0^2$ , where  $C_D$  is resistance coefficient. Then, assuming that  $\varepsilon = 1/\sqrt[3]{s}$  (upper bound), the evaluation of coefficient  $C_D$  as a 1 function of shock adiabat parameter *s* will be:  $C_D = (3 - (2 + 1/s)/\sqrt[3]{s})/(1 - 1/s)$ . With *s* varying 2 in the range 1 < s < 4, the resistance coefficient changes in the range  $2 < C_D < 2.11$ , which is 3 close enough to the results of [52], where the values of the coefficient of resistance to a flat-4 nosed penetrator,  $C_D \approx 2$ , were obtained.

5

#### 6 6. Conclusions

1. The linear shock adiabats  $U_s - u_p$  for both dry and wet sand were obtained in our plane-wave shock experiments. These data were close to those obtained earlier in the inverse impact experiment technique by using a measure bar with a flat end.

2. As applied to the problem of the penetration of rigid bodies into soft soils, a selfsimilar analytical solution has been obtained for a one-dimensional problem of the expansion of a spherical cavity from a point in an infinite soil medium. The solution was obtained under the assumption of elastic-plastic deformation of the soil with the Mohr-Coulomb-Tresca's-limit yield criterion.

15 3. It is shown numerically that when solving the problem of cavity expansion in a soft 16 soil, it is necessary to take into account the formation of a plastic shock wave propagating 17 through the undisturbed portion of the soil.

4. The parametric analysis of the linearized rigid-plastic solution showed that it is a good
approximation of the dependence of pressure at the boundary of the cavity on the speed of its
expansion as applied to a wide class of soft soils.

5. On the basis of the proposed analytical solution, a method has been developed for calculating the resistance force of a rigid body to penetrate into soft soil. The dependence of the maximum value of the resistance force to the introduction of a rigid sphere into dry and watersaturated sand, when the impact velocity varies in the range from 50 to 400 m/s, has been obtained. 6. Comparison of the results for determining penetration resistance forces obtained analytically, numerically and experimentally showed their qualitative and quantitative good correspondence to each other. In this regard, when solving problems of penetration into soft soils, a simple analytical solution can be successfully applied.

5

2 The equation of motion in system (1) is written, neglecting the convective components of
3 the time derivative of velocity:

4 
$$\frac{\partial \sigma_r}{\partial r} + 2 \frac{(\sigma_r - \sigma_\theta)}{r} = -\rho \left(\frac{\partial \upsilon}{\partial t}\right), \ \upsilon = \frac{\partial u}{\partial t}.$$

5

6

9

The radial and circumferential small strains in an elastic medium in conditions of spherical symmetry are defined in terms of displacements as  $\varepsilon_r = \frac{\partial u}{\partial r}$ ,  $\varepsilon_{\theta} = \frac{u}{r}$ . The stress-strain

7 relation is described by Hooke's law:  $\sigma_r = \lambda \left(\frac{\partial u}{\partial r} + 2\frac{u}{r}\right) + 2G\frac{\partial u}{\partial r} = (\lambda + 2G)\frac{\partial u}{\partial r} + 2\lambda \frac{u}{r}$ 

8 
$$\sigma_r - \sigma_\theta = 2G\left(\frac{u}{r} - \frac{\partial u}{\partial r}\right)$$
.

As before, stresses in compression are assumed to be positive.

The dynamic equation of an elastic medium in terms of displacements, in the case of
spherical symmetry, is transformed into the following form:

12 
$$\frac{\partial^2 u}{\partial r^2} + \frac{2}{r} \frac{\partial u}{\partial r} - \frac{2u}{r^2} = \frac{1}{c_e^2} \frac{\partial^2 u}{\partial t^2},$$
(A1)

13 where  $c_e = \sqrt{(\lambda + 2G)/\rho_0} = \sqrt{(K + 4G/3)/\rho_0}$  is the velocity of propagation of the longitudinal 14 wave front in an elastic medium.

Following [3], it is assumed that  $\xi = r/ct$ ,  $\tilde{u} = u/ct$  are, respectively a dimensionless coordinate and a dimensionless displacement, *c* is the plastic wave front velocity (i.e the velocity of the elastic-plastic interface), then the derivatives are transformed as follows, accounting for these changes of variables:

19 
$$\frac{u}{r} = \frac{\widetilde{u}}{\xi}, \ \frac{\partial u}{\partial r} = \widetilde{u}', \ \frac{\partial^2 u}{\partial r^2} = \frac{1}{ct}\widetilde{u}'', \ \frac{\partial^2 u}{\partial t^2} = \frac{c}{t}\xi^2\widetilde{u}''$$

20 where primes denote differentiation with respect to  $\xi$ .

The conditions on the boundary of the elastic deformation region are then considered. On the boundary with the unperturbed region, the displacement is equal to zero. On the elastic1 plastic interface  $\xi = 1$  the condition of plastic yield  $(\sigma_r - \sigma_\theta)|_{\xi=1} = f_2(\theta)$  holds, as well as

2 Hooke's law: 
$$\sigma_r - \sigma_\theta = 2G\left(\frac{u}{r} - \frac{\partial u}{\partial r}\right)$$
, whence, due to the continuity of the stress components, one

3 has:  $\left(\frac{u}{r} - \frac{\partial u}{\partial r}\right) = \frac{f_2(\theta)}{2G}, \ \theta = -\left(\frac{\partial u}{\partial r} + 2\frac{u}{r}\right)$ . Transformation of the derivatives and substitution into

4 equation (A1) and boundary conditions yield the following boundary-value problem for a
5 second-order ordinary differential equation in terms of the dimensionless displacement:

$$6 \qquad \left(1 - \alpha^2 \xi^2\right) \widetilde{\mu}'' + \frac{2\widetilde{\mu}'}{\xi} - \frac{2\widetilde{\mu}}{\xi^2} = 0, \qquad \alpha = c/c_e, \ \widetilde{\mu}(\xi = 1/\alpha) = 0 \tag{A2}$$

7 
$$\left(\frac{\widetilde{u}}{\xi} - \frac{\partial \widetilde{u}}{\partial \xi}\right)_{\xi=1} = \frac{f_2(\theta)}{2G}, \ \theta = -\left(\frac{\partial \widetilde{u}}{\partial \xi} + 2\frac{\widetilde{u}}{\xi}\right)_{\xi=1}.$$

8 To find a general solution to the differential equation, several transformations are done. 9 Substituting  $z = \alpha \xi$ , one obtains the following equation:

10 
$$\left(1-z^2\right)\frac{d^2\widetilde{u}}{dz^2} + \frac{2}{z}\frac{d\widetilde{u}}{dz} - \frac{2\widetilde{u}}{z^2} = 0.$$

11 Substitutions  $\tilde{u} = z\varphi$ ,  $F = d\varphi/dz$  yield a first-order equation for F(z):

12 
$$z(1-z^2)F'+2(2-z^2)F=0$$
 or  $\frac{dF}{F}=-\frac{2(2-z^2)}{z(1-z^2)}dz$ , a general solution for which is function

13 
$$F(z) = \frac{A}{z^2} - \frac{A}{z^4}$$
 depending on an arbitrary constant A. Then the series of transformations:

14 
$$\frac{d\varphi}{dz} = \frac{A}{z^2} - \frac{A}{z^4}, \quad \varphi(z) = \frac{A}{3z^3} - \frac{A}{z} + B, \quad \widetilde{u} = z\varphi: \quad \widetilde{u} = \frac{A}{3z^2} - A + Bz, \quad z = \alpha\xi, \text{ result in the following}$$

15 expression for the dimensionless displacement:  $\tilde{u} = A(1/3\alpha^2\xi^2 - 1) + B\alpha\xi$ , where *B* is another 16 integration constant.

17 To define constants *A*, *B*, the boundary conditions in problem (A2) are used. From the 18 first boundary condition it follows that B = 2A/3, thus,

19 
$$\widetilde{u} = A \left( \frac{1}{3\alpha^2 \xi^2} + \frac{2}{3}\alpha \xi - 1 \right) = A \frac{(1 - \alpha \xi)^2 (1 + 2\alpha \xi)}{3\alpha^2 \xi^2}$$
(A3)

1 Now by an expression for determining the dimensionless velocity

2 
$$U = \widetilde{u} - \xi \frac{d\widetilde{u}}{d\xi}, \ U(\xi) = A \left( \frac{1 - \alpha^2 \xi^2}{\alpha^2 \xi^2} \right),$$
 (A4)

3 the radial component of the stress tensor and the volumetric strain will be derived:

4 
$$\sigma_r(\xi) = 2A \frac{(1-\alpha\xi)}{\alpha^2 \xi^3} \left( K\alpha^2 \xi^2 + \frac{2G}{3} (1+\alpha\xi) \right)$$
(A5)

5 
$$\theta = -\left(\frac{\partial \widetilde{u}}{\partial \xi} + 2\frac{\widetilde{u}}{\xi}\right) = 2A\left(\frac{1-\alpha\xi}{\xi}\right).$$
 (A6)

6 Employing now equality (A6), equations for both dimensionless velocity (A4) and stress
7 (A5) can be transformed into the following form:

8 
$$U(\xi) = \left(\frac{1+\alpha\xi}{2\alpha^2\xi}\right)\theta(\xi), \ \sigma_r(\xi) = \left(K + \frac{2G\left(1+\alpha\xi\right)}{3\alpha^2\xi^2}\right)\theta(\xi).$$
(A7)

9 To define the integration constant *A*, the second boundary condition of the boundary-  
10 value problem (A2) is considered. Strain difference for 
$$\xi = 1$$
 is defined as  
11  $(\tilde{u}/\xi - \partial \tilde{u}/\partial \xi)|_{\xi=1} = A(\alpha^{-2} - 1)$ . Thus, constant *A* is determined by solving the equation  
12  $2GA((1-\alpha^2)/\alpha^2) = f_2(2A(1-\alpha))$ .

13 This problem was solved earlier in [3], using Tresca's yield condition. Consider Mohr-14 Coulomb-Tresca's plasticity condition; in this case function  $f_2$  becomes:

15 
$$f_2 = \begin{cases} \tau_0 + kK\theta, & 0 < \theta \le \theta_M, \\ \tau_M, & \theta \ge \theta_M \end{cases}, \ \theta_M = \frac{\tau_M - \tau_0}{kK}.$$

16 So, for  $\xi = 1$  one has:

17 
$$\theta = \begin{cases} \tau_0 / (G(\alpha) - kK), & G(\alpha) > G^*, \\ \tau_M / G(\alpha), & G(\alpha) \le G^* \end{cases}$$
(A8)

18 where:  $G(\alpha) = (1 + \alpha)G/\alpha^2$ ,  $G^* = \tau_M kK/(\tau_M - \tau_0) = \tau_M/\theta_M$ .

19 Expressions (A7), (A8) for  $\xi = 1$  will define the boundary conditions for the problem from

20 Section 3.2. as a function of the value of  $\alpha$ :

1 
$$U^{e} = U(\xi = 1) = \frac{(1+\alpha)}{2\alpha^{2}}\theta, \qquad S^{e} = S(\xi = 1) = (\widetilde{K} + 2/3\widetilde{G})\theta, \qquad (A9)$$

2 where  $\theta$  is defined by expression (A6),  $\tilde{K} = K/r_0c^2$ ,  $\tilde{G} = G(\alpha)/r_0c^2$ .

In the limiting case, for  $\alpha = 1$ , which corresponds to equality  $c = c_e$ , equations (A9) take

4 the form defined by Hugoniot's relations at the jump:  $U^e = \theta$ , 5  $S^e = (\tilde{K} + 4/3\tilde{G})\theta = \frac{c_e^2}{c_2}\theta = \alpha^2\theta = \theta$ .

6

3

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5	
6	
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