



UNICA

UNIVERSITÀ  
DEGLI STUDI  
DI CAGLIARI



Università di Cagliari

UNICA IRIS Institutional Research Information System

**This is the Author's *accepted* manuscript version of the following contribution:**

Carla Caddeo, Morena Gabriele, Amparo Nácher, Xavier Fernández-Busquets, Donatella Valenti, Anna Maria Fadda, Laura Pucci, Maria Manconi

Resveratrol and artemisinin eudragit-coated liposomes: a strategy to tackle intestinal tumors

International Journal of Pharmaceutics 592 (2021) 120083

**The publisher's version is available at:**

<https://doi.org/10.1016/j.ijpharm.2020.120083>

**When citing, please refer to the published version.**

27 **Resveratrol and artemisinin eudragit-coated liposomes: a strategy to tackle intestinal tumors**

28 Carla Caddeo <sup>a,§,\*</sup>, Morena Gabriele <sup>b,§</sup>, Amparo Nácher <sup>c,d</sup>, Xavier Fernàndez-Busquets <sup>e,f</sup>,  
29 Donatella Valenti <sup>a</sup>, Anna Maria Fadda <sup>a</sup>, Laura Pucci <sup>b,\*</sup>, Maria Manconi <sup>a</sup>

30

31 <sup>a</sup> Dept. of Scienze della Vita e dell’Ambiente, Sezione di Scienze del Farmaco, University of  
32 Cagliari, Via Ospedale 72, 09124 Cagliari, Italy

33 <sup>b</sup> Institute of Agricultural Biology and Biotechnology, CNR Pisa, Via Moruzzi 1, 56124 Pisa, Italy

34 <sup>c</sup> Dept. of Pharmacy and Pharmaceutical Technology, Faculty of Pharmacy, University of Valencia,  
35 Vicente Andrés Estellés s/n, 46100 Valencia, Spain

36 <sup>d</sup> Instituto Interuniversitario de Investigación de Reconocimiento Molecular y Desarrollo  
37 Tecnológico (IDM), Universitat Politècnica de València, Universitat de València, Valencia, Spain

38 <sup>e</sup> Nanomalaria Group, Institute for Bioengineering of Catalonia (IBEC), The Barcelona Institute of  
39 Science and Technology, Baldiri Reixac 10-12, Barcelona E08028, Spain

40 <sup>f</sup> Barcelona Institute for Global Health (ISGlobal), Hospital Clínic-Universitat de Barcelona,  
41 Rosselló 149-153, Barcelona E08036, Spain

42 <sup>§</sup> These authors contributed equally to this work

43

44

45 \* Corresponding authors:

46 Carla Caddeo

47 Dept. of Scienze della Vita e dell’Ambiente, Sezione di Scienze del Farmaco, University of  
48 Cagliari, Via Ospedale 72, 09124 Cagliari, Italy

49 Tel.: +39 0706758582; fax: +39 0706758553; e-mail address: [caddeoc@unica.it](mailto:caddeoc@unica.it)

50

51 Laura Pucci

52 Institute of Agricultural Biology and Biotechnology, CNR Pisa, Via Moruzzi 1, 56124 Pisa, Italy

53 Tel.: +39 0503153084; e-mail address: [laura.pucci@ibba.cnr.it](mailto:laura.pucci@ibba.cnr.it)

54

55 **ABSTRACT**

56 **Resveratrol and artemisinin, two naturally occurring compounds with a wide range of biological**  
57 **activities, have been reported to exert antitumor effects against several types of cancer. In this work,**  
58 Eudragit-coated liposomes were developed to safely transport resveratrol and artemisinin through  
59 the gastrointestinal tract and target the intestine. The physico-chemical properties of the Eudragit-  
60 coated liposomes were assessed by light scattering and cryogenic transmission electron microscopy.  
61 Nanosized (around 100 nm), spherical or elongated, unilamellar vesicles were produced. The  
62 protective effect of the Eudragit coating was confirmed by assessing the physical stability of the  
63 vesicles in fluids mimicking the gastrointestinal environment. Furthermore, the vesicles were found  
64 to exert a pro-oxidant activity in intestinal adenocarcinoma cells, which resulted in a marked  
65 mortality due to the generation of reactive oxygen species (ROS). A time- and dose-dependent cell  
66 growth inhibitory effect was detected, with elevated ROS levels when resveratrol and artemisinin  
67 were combined. Therefore, the proposed formulations may represent a valuable means to counteract  
68 intestinal tumor growth.

69

70

71

72

73

74 **Keywords:** resveratrol; artemisinin; eudragit; liposomes; intestinal delivery; antitumor.

75

## 76 **1. Introduction**

77 The development of new drug therapies still remains time-consuming and costly. Hence, new  
78 strategies, approaches and technologies are needed to develop safe and successful therapies (Sun et  
79 al., 2016). Combinations of two or more compounds are an alternative approach to increase the  
80 success of a therapy, especially for cancer treatment (Li et al., 2014). The potentially favorable  
81 outcomes for synergism include: minimizing or slowing down the development of drug resistance,  
82 providing selective synergism against cancer target versus host, increasing the efficacy of the  
83 therapy, and reducing the dose of the drugs to avoid toxicity (Chou 2006). A large number of  
84 untapped and potentially therapeutic molecules with reduced side effects can be provided by  
85 traditional herbal medicines and the diet (Firestone and Sundar, 2009). Natural compounds, such as  
86 resveratrol and artemisinin, have gained great interest in the pharmaceutical research area due to  
87 their numerous health-promoting effects, coupled with safety profile and natural origin (Efferth,  
88 2007; Caddeo et al., 2015). In particular, resveratrol was demonstrated to exert potent antitumor  
89 activity against several types of cancer by using numerous experimental models, including cell  
90 lines, animal models, and even clinical trials (Khan et al., 2013). Several studies have demonstrated  
91 that resveratrol can act as either an antioxidant or pro-oxidant, depending on the specific  
92 microenvironment, type of cells used and their basal redox state, treatment conditions, and  
93 concentration used (Alarcón de la Lastra and Villegas, 2007; Khan et al., 2013; Martins et al., 2014;  
94 Shaito et al., 2020). Such antioxidant/pro-oxidant activities seem to be responsible for the  
95 chemopreventive and anticancer properties of resveratrol (Alarcón de la Lastra and Villegas, 2007).  
96 Indeed, biphasic hormetic dose-dependent effects have been described: at low concentrations,  
97 resveratrol acts as an antioxidant that can protect from DNA damage and oxidative stress, and at  
98 high concentrations, it acts as a pro-oxidant promoting DNA damage while increasing oxidative  
99 stress. Low and high concentrations offer beneficial effects in the prevention of cancer formation

100 (chemopreventive) and in the treatment of cancer (cytotoxic), respectively (Calabrese et al. 2010;  
101 Shaito et al., 2020).

102 Artemisinin, a sesquiterpene lactone from *Artemisia annua*, is widely used worldwide to combat  
103 otherwise drug-resistant *Plasmodium* strains, cerebral malaria, and malaria in children, but it also  
104 exhibits potent anticancer effects in a variety of human cancer cell model systems and in animal  
105 models (Efferth, 2007; Firestone and Sundar, 2009; Ferreira et al., 2010). Artemisinin contains an  
106 endoperoxide group that is essential for its activities. Artemisinin reacts with ferrous iron ( $\text{Fe}^{2+}$ ) to  
107 generate short-lived radical species (ROS), which have been linked to both the antiparasitic and  
108 anticancer activities (Ferreira et al., 2010; Slezakova and Ruda-Kucerova, 2017). Although the  
109 generation of ROS is one of the main mechanisms for the anticancer activity, there are many  
110 cellular signaling pathways affected, which lead to growth inhibition by cell cycle arrest, apoptosis,  
111 inhibition of angiogenesis, disruption of cell migration, and modulation of nuclear receptor  
112 responsiveness (Firestone and Sundar, 2009).

113 The synergistic effect of resveratrol and artemisinin has been previously assessed in cancer cells  
114 (HepG2 and HeLa cells) by Li et al. (2014). The combination of the two compounds was found to  
115 markedly reduce cell viability and migration and to induce apoptosis, which was correlated with an  
116 increase in intracellular ROS levels.

117 Differently from what has been proposed previously, in this work resveratrol and artemisinin were  
118 combined to target tumors, more specifically intestinal tumors, by taking advantage of a delivery  
119 system. Indeed, the two compounds were co-loaded in a phospholipid-based nanocarrier that is  
120 expected to enhance their oral bioavailability (since they are poorly soluble in water) and stability in  
121 physiological media, ensuring protection from gastrointestinal degradation and preventing  
122 premature release. To this purpose, Eudragit-coated liposomes were used (Caddeo et al., 2019).  
123 Eudragit<sup>®</sup> S100, a polyanionic copolymer of methacrylic acid and methyl methacrylate (1:1) that is  
124 insoluble at gastric pH and dissolves above pH 7.0, was used to coat cationic liposomes loaded with

125 resveratrol and artemisinin, thus serving two purposes: protecting the vesicles from acidic  
126 degradation, and allowing the release of the payload in the region of the intestinal tract with near-  
127 neutral pH (i.e., the large intestine or colon).

128 The vesicular formulation was optimized and characterized to assess the main physico-chemical  
129 properties and the ability to resist the harsh conditions of the gastrointestinal tract. Additionally, the  
130 anti-proliferative activity of the formulation was investigated in human colonic adenocarcinoma  
131 HT-29 cells, with a focus on whether ROS overproduction might be a key factor in tumor cell  
132 growth inhibition.

133

## 134 **2. Materials and methods**

### 135 *2.1. Materials*

136 Phospholipon90G (>90% phosphatidylcholine; P90G) was purchased from Lipoid GmbH  
137 (Ludwigshafen, Germany). Eudragit® S100 (Eu) was a gift from Evonik Industries AG (Essen,  
138 Germany). Resveratrol (RSV), artemisinin (ART), stearylamine (SA), phosphate buffered saline  
139 (PBS), and all other reagents, if not otherwise specified, were purchased from Sigma-  
140 Aldrich/Merck (Milan, Italy).

141

### 142 *2.2. Vesicle preparation and characterization*

143 P90G, stearylamine, resveratrol and/or artemisinin (i.e., alone or in combination at the ratio of 1:1)  
144 were weighed in a glass vial and dispersed in PBS (Table 1). To obtain liposomes, the dispersions  
145 were sonicated (5 sec on and 2 sec off, 30 cycles; 13 microns of probe amplitude) with a Soniprep  
146 150 (MSE Crowley, London, UK). To produce Eudragit-coated liposomes, 1 ml of the liposome  
147 dispersion was added dropwise to an equal volume of an Eudragit aqueous solution (0.1% w/v)  
148 under gentle stirring (Caddeo et al., 2019).

149 For comparative purposes, empty liposomes and empty Eudragit-coated liposomes (i.e., without  
150 resveratrol and/or artemisinin) were also prepared.

151 All the samples were prepared and kept in the dark during the experimental time.

152 Vesicle formation and morphology were examined by cryogenic-transmission electron microscopy  
153 (cryo-TEM). For the analysis, a thin aqueous film was formed by placing 5  $\mu$ l of the vesicular  
154 dispersion on a glow-discharged holey carbon grid, and then blotting the grid against filter paper.

155 The resulting thin sample film spanning the grid holes was vitrified by plunging the grid (kept at  
156 100% humidity and room temperature) into ethane, maintained at its melting point with liquid  
157 nitrogen, using a Vitrobot (FEI Company, Eindhoven, The Netherlands). The vitreous film was  
158 transferred to a Tecnai F20 TEM (FEI Company) using a Gatan cryo-transfer (Gatan, Pleasanton,  
159 CA), and the sample was observed in a low-dose mode. Images were acquired at 200 kV, at a  
160 temperature of  $-170/-175$  °C, using low-dose imaging conditions not exceeding  $20 \text{ e}^-/\text{\AA}^2$ , with a  
161  $4096 \times 4096$  pixel CCD Eagle camera (FEI Company).

162 The average diameter, polydispersity index (P.I., a measure of the width of size distribution), and  
163 zeta potential of the vesicles were determined by dynamic and electrophoretic light scattering using  
164 a Zetasizer nano-ZS (Malvern Instruments, Worcestershire, UK). Samples ( $n \geq 6$ ) were diluted with  
165 PBS (1:100) and analyzed at 25 °C.

166 The vesicles were purified from the non-incorporated resveratrol/artemisinin by dialysis. Each  
167 sample (2 ml) was loaded into Spectra/Por<sup>®</sup> tubing (12–14 kDa MW cut-off; Spectrum Laboratories  
168 Inc., DG Breda, The Netherlands), previously rinsed in water, and dialyzed against PBS (1 l) for 2 h  
169 to allow the removal of the non-incorporated compounds. The entrapment efficiency (EE),  
170 expressed as the percentage of the amount of resveratrol/artemisinin initially used, was determined  
171 by high performance liquid chromatography (Alliance 2690, Waters, Milan, Italy) after disruption  
172 of unpurified and purified vesicles with methanol. Resveratrol and artemisinin contents were  
173 assayed using a XSelect C18 column (3.5  $\mu$ m, 4.6 $\times$ 150 mm, Waters), with a mobile phase made of



174 methanol and water (85:15, v/v) and a flow rate of 0.5 ml/min. A<sub>305</sub> and A<sub>201</sub> were measured for  
175 resveratrol and artemisinin quantitation, respectively.

176

### 177 2.3. Stability of the formulations

178 Since Eudragit-coated liposomes are intended for oral administration, their behavior in the  
179 gastrointestinal environment was evaluated *in vitro*. The mean size, P.I. and zeta potential were  
180 measured immediately after dilution (1:100 v:v) of the vesicles with an acidic medium simulating  
181 the gastric fluid (0.1 M HCl, pH 1.2) or a neutral medium simulating the intestinal fluid (pH 7.0),  
182 and after 2 or 6 h of incubation, respectively, at  $37 \pm 1$  °C. 0.3 M NaCl was added to the media to  
183 regulate the ionic strength. Liposomes (i.e., without Eudragit coating) were tested as a reference.

184 Further, the stability of liposomes and Eudragit-coated liposomes was evaluated by long-term  
185 stability tests, i.e. by analyzing vesicle mean size, P.I. and zeta potential over three months at  $25 \pm 2$   
186 °C.

187

### 188 2.4. Human intestinal cell culture

189 Human colonic adenocarcinoma HT-29 cells (DSMZ, Germany) were cultured as previously  
190 described by Gabriele et al. (2018). Briefly, HT-29 were grown in Dulbecco's modified Eagle's  
191 medium/nutrient mixture F-12 (DMEM/F12) supplemented with 10% fetal bovine serum (FBS),  
192 100 units/ml penicillin, and 100 µg/ml streptomycin, and maintained at 37 °C in a humidified 5%  
193 CO<sub>2</sub> incubator. All treatments were carried out using DMEM/F12 medium without phenol red and  
194 FBS, but containing antibiotics. The cells were serum-starved for 1 h prior to exposure to the  
195 formulations, previously diluted to reach the required concentrations of resveratrol/artemisinin (0.1,  
196 1, 10, 20 µg/ml), for 6 or 24 h. For comparative purposes, ethanolic solutions of resveratrol and/or  
197 artemisinin were tested at the same concentrations as the liposomal formulations.

198 The MTT assay was performed to assess cell viability of cultured HT-29 upon different treatment  
199 conditions, as previously described by Gabriele et al. (2016). **In short, after 3 h of incubation with**  
200 **MTT, the cells were lysed with a dimethylsulfoxide/isopropanol solution and the formazan crystals**  
201 **were solubilized.** The amount of **formazan** released from the cells was quantified by measuring the  
202 optical density at 540 nm using a Victor™ X3 Multilabel Plate Reader (Waltham, MA), and  
203 correlated with the amount of metabolically active cells.

204

#### 205 *2.5. Cellular ROS determination*

206 The cellular ROS were detected using 2,7-dichlorofluorescein diacetate (DCFH-DA) fluorescent  
207 probe. After diffusion into viable cells, DCFH-DA is first deacetylated by cellular esterases to a  
208 non-fluorescent compound (DCFH), then oxidized to DCF, a highly fluorescent compound, by ROS  
209 activity (Gabriele et al., 2017). Briefly, HT-29 cells were seeded into a 96-well blackened  
210 fluorescence plate and exposed to the formulations previously diluted to reach the required  
211 concentrations of resveratrol/artemisinin (0.1, 1, 10, 20 µg/ml), for 6 and 24 h. For comparative  
212 purposes, ethanolic solutions of resveratrol and/or artemisinin were tested at the same  
213 concentrations as the liposomal formulations. Afterwards, the cells were rinsed with 1× PBS and  
214 incubated with DCFH-DA (15 µM/well) for 30 min at 37 °C in the dark. DCFH-DA solution was  
215 removed, HT-29 were rinsed with 1× PBS, and 2,2'-azobis(2-methylpropionamide)  
216 dihydrochloride (AAPH) was added to a final concentration of 1.2 mM/well. AAPH is a peroxy  
217 radical generator used as a positive control. ROS production was measured by fluorescence  
218 intensity measurement **by means of** a Victor X3 Multilabel Plate Reader ( $\lambda_{\text{ex}}$  485 nm,  $\lambda_{\text{em}}$  535 nm).

219

#### 220 *2.6. Statistical analysis of data*

221 Results are expressed as the mean  $\pm$  standard deviation (SD). Statistical analysis of data was  
222 performed using GraphPad Prism, version 6.00 for Windows (GraphPad Software, San Diego, CA)

223 by one-way analysis of variance (ANOVA). Unpaired Student's t-test was used for single  
224 comparisons. *P* values <0.05 were considered as statistically significant.

225

### 226 **3. Results and discussion**

#### 227 *3.1. Vesicle design and characterization*

228 The present study was aimed at developing a vesicular formulation for the delivery of co-loaded  
229 resveratrol and artemisinin **to the intestine**. Eudragit-coated liposomes were used to increase the  
230 physical stability of the system, providing protection from gastric degradation and allowing pH-  
231 driven intestinal targeting, and to enhance the antitumor activity of resveratrol and artemisinin at  
232 cellular level. Furthermore, the potential synergistic effect of the two natural compounds was  
233 evaluated.

234 Resveratrol and artemisinin Eudragit-**coated** liposomes were prepared, characterized and compared  
235 with Eudragit-liposomes loaded with either resveratrol or artemisinin, liposomes loaded with  
236 resveratrol and/or artemisinin, empty Eudragit-liposomes and empty liposomes.

237 Light scattering results, summarized in Table 2, showed that empty liposomes were small in size  
238 (~80 nm), with **adequate** homogeneity (P.I. 0.24), and positive zeta potential (+18 mV), due to the  
239 charge carried by stearylamine. The loading of resveratrol and/or artemisinin did not alter these  
240 values ( $p>0.05$ ), apart from the P.I. **values**, which **were** much lower (e.g., 0.17 for  
241 resveratrol+artemisinin liposomes;  $p<0.05$ ). The coating of liposomes with Eudragit led to an  
242 increase in size and polydispersity (~140 nm and P.I.>0.3;  $p<0.05$ ), and to a less positive zeta  
243 potential (+10 mV;  $p < 0.05$ ), due to the negative charge of the polymer. The co-loading of  
244 resveratrol and artemisinin in Eudragit-coated liposomes mitigated the vesicle enlargement (~100  
245 nm) induced by the polymer coating. **An** increase in vesicle size is closely related to the  
246 concentration of the polymer coating solution (Barea et al., 2010; Kim et al., 2018). In accordance

247 with previous works, a polymer concentration of 0.1% (w/v) was chosen to yield non-flocculating  
248 polymer-coated liposomes (Klemetsrud et al., 2018; Caddeo et al., 2019).

249 The entrapment efficiency was high for both resveratrol and artemisinin (~87 and 91%,  
250 respectively; Table 2), and the amount of the loaded compounds did not diminish during storage  
251 over the course of three months ( $p>0.05$ ).

252 Cryo-TEM observation of resveratrol+artemisinin Eudragit-coated liposomes confirmed the  
253 formation of small, spherical or elongated, unilamellar vesicles (Figure 1). No significant  
254 differences were observed in uncoated vesicles, loaded with either resveratrol or artemisinin, and  
255 there was no evidence of free resveratrol/artemisinin crystals.

256

### 257 *3.2. Stability of the formulations*

258 The stability of vesicle dispersions, which is dependent on both formulation and manufacturing  
259 method parameters, is critical to establish their safe and effective use. Therefore, the stability of the  
260 prepared formulations was evaluated by monitoring the mean size, P.I. and zeta potential of the  
261 vesicles over three months of storage, the results showing no significant variations ( $p>0.05$ ).

262 In addition, the stability of the formulations was assessed under pH and ionic strength conditions  
263 mimicking the gastrointestinal environment (Table 3). When uncoated liposomes were incubated at  
264 pH 1.2 for 2 h, a moderate increase in size was observed (~100 vs. 80 nm), along with a slightly  
265 lower polydispersity (P.I. ~0.21), regardless of the loaded compound. Under the same conditions,  
266 Eudragit-coated liposomes remained unaltered: ~100 nm and P.I. 0.36 for resveratrol+artemisinin  
267 Eudragit-coated liposomes and ~140 nm and P.I. 0.34 for resveratrol or artemisinin Eudragit-coated  
268 liposomes. When the formulations were incubated at pH 7.0 for 6 h, neither liposomes nor Eudragit-  
269 coated liposomes underwent any variations. Fluctuations of zeta potential values were detected as a  
270 function of the presence of protons or salts in the gastric or intestinal medium. These findings

271 indicated that the Eudragit coating increased the physical stability of the vesicle formulations that,  
272 differently from uncoated liposomes, resisted the harsh conditions of the stomach.

273

### 274 3.3. Cytotoxicity and intracellular ROS production

275 The inhibitory effect of resveratrol/artemisinin formulations on **colonic adenocarcinoma** HT-29 cell  
276 growth was evaluated in terms of cell viability following 6- and 24-h exposure to increasing  
277 concentrations of resveratrol and artemisinin (0.1-20 µg/ml), alone or in combination.

278 After 6 h of treatment, **resveratrol/artemisinin ethanolic solutions (used as references) did not affect**  
279 **HT-29 viability at any of the tested concentrations (Figure 2, panel A). Similarly,** none of the  
280 liposome formulations caused cytotoxicity except for resveratrol+artemisinin Eudragit-coated  
281 liposomes at 1 µg/ml, which reduced cell viability by about 11% relative to the control cells  
282 (**•••p<0.001**).

283 On the other hand, significant cell mortality levels were detected following 24 h of exposure to 20  
284 µg/ml of resveratrol and artemisinin ethanolic solution (~11-16%; **\*\*\*p<0.001** and **\*p<0.05** vs.  
285 control, respectively), and a similar effect was also caused by resveratrol+artemisinin **ethanolic**  
286 solution at 1 µg/ml (~14%; **\*\*p<0.01** vs. control) (Figure 2, panel B). Moreover, resveratrol  
287 Eudragit-coated liposomes negatively impaired HT-29 growth at the highest concentration (~33%  
288 viability reduction relative to control; **\*\*\*p<0.001**), **and** artemisinin Eudragit-coated liposomes  
289 caused a notable decrease in HT-29 viability at concentrations  $\geq 1$  µg/ml (~25-53%; **\*\*\*p<0.001** vs.  
290 control, for all the concentrations). As shown in Figure 2 (panel B), the incorporation **of both**  
291 **resveratrol and artemisinin** in Eudragit-coated liposomes caused about 16% and 37% **reduction in**  
292 HT-29 viability following 24 h of exposure to 10 and 20 µg/ml, respectively (**\*\*\*p<0.001** vs.  
293 control), leading to **a** higher cytotoxicity than resveratrol+artemisinin ethanolic solution. These  
294 findings **demonstrated a higher efficacy** of resveratrol+artemisinin incorporated in Eudragit-coated  
295 liposomes than resveratrol+artemisinin ethanolic solution. These results are probably linked to **a**

296 higher stability and controlled delivery of the two natural compounds, which led to a higher  
297 cytotoxic effect resulting in higher mortality levels and growth inhibition of intestinal HT-29 cells.  
298 As demonstrated by several studies, resveratrol can serve as either an antioxidant or pro-oxidant  
299 agent, depending on the concentration and the specific microenvironment, and the pro-oxidant  
300 activity seems to be responsible for the anticancer properties of resveratrol (Khan et al., 2013;  
301 Alarcón de la Lastra and Villegas, 2007). Similarly, artemisinin showed anti-proliferative properties  
302 on many cancer cell lines, such as colon, liver, prostate, and breast cancer, by operating through the  
303 impairment of cytokines, oxidative stress increase, and inhibition of tumor invasion and migration  
304 (Li et al., 2014). Moreover, as reported by Kim et al. (2015), artemisinin extracts from *Artemisia*  
305 *annua* displayed good anti-inflammatory, antioxidant, and antimicrobial properties; therefore, as in  
306 the case of resveratrol, the antitumor effect could be concentration-dependent.

307 In this study, we evaluated the effect of resveratrol/artemisinin formulations on the ROS production  
308 in HT-29 cells by using a cell-permeable dye sensitive to the cellular redox state (DCFH-DA).  
309 Intracellular ROS levels were determined following 6- and 24-h exposure to increasing  
310 concentrations of resveratrol/artemisinin formulations (0.1-20 µg/ml), alone or in combination, and  
311 the obtained results were normalized by viability values. As shown in Figure 3 A, following 6 h of  
312 exposure to resveratrol and artemisinin solutions and Eudragit-coated liposomes, a decrease in ROS  
313 levels was observed ( $p < 0.05$  vs. control, i.e. AAPH-treated cells), except for 1 µg/ml resveratrol,  
314 0.1 µg/ml resveratrol+artemisinin, 10 and 20 µg/ml resveratrol+artemisinin Eudragit-coated  
315 liposomes, where no significant differences were found vs. control cells.

316 A significant ROS reduction was also observed, following 24 h of exposure, at the higher doses of  
317 resveratrol and artemisinin in solution, alone or in combination ( $**p < 0.01$  vs. control) (Figure 3 B).  
318 Conversely, while low doses of resveratrol and artemisinin Eudragit-coated liposomes reduced the  
319 basal ROS level (antioxidant effect), the higher dose (20 µg/ml) caused a significant increase in the  
320 cellular ROS production (pro-oxidant effect) ( $*p < 0.05$  vs. control). Besides, significantly higher

321 ROS levels were detected in HT-29 exposed to 20 µg/ml resveratrol+artemisinin Eudragit-coated  
322 liposomes **in comparison with** the control and the higher dose of resveratrol+artemisinin solution  
323 ( $p<0.001$ ). Also, **a trend of increasing** ROS levels was observed following a 24-h exposure to 10  
324 µg/ml resveratrol+artemisinin Eudragit-coated liposomes, but these results did not differ from the  
325 control cells (Figure 3 B).

326 As shown in Figure 3 B, the combination of resveratrol and artemisinin in Eudragit-coated  
327 liposomes at 10 and 20 µg/ml raised intracellular HT-29 ROS production more than resveratrol and  
328 artemisinin used alone. Notably, this increase was strongly evident following an exposure to 10  
329 µg/ml resveratrol+artemisinin Eudragit-coated liposomes ( $p<0.01$ ), but ROS levels were lower than  
330 in cells treated with 20 µg/ml dose ( $p<0.01$ ). Our results are in agreement with those reported by Li  
331 et al. (2014), who demonstrated a synergistic anticancer effect of resveratrol and artemisinin  
332 combination via raised ROS production, cellular growth inhibition, and cell migration, as compared  
333 to the compounds used alone.

334 Taken together, our findings **showed a superior pro-oxidant activity** of resveratrol+artemisinin  
335 incorporated in Eudragit-coated liposomes than resveratrol+artemisinin in solution. **Similar** to what  
336 was supposed for HT-29 growth inhibition, **presumably** the incorporation of resveratrol and  
337 artemisinin in Eudragit-coated liposomes enhanced the stability and delivery of both compounds to  
338 the cells. **Furthermore, we can hypothesize a synergistic effect of resveratrol and artemisinin.**  
339 **Indeed, resveratrol+artemisinin incorporated in Eudragit-coated liposomes at a concentration of 20**  
340 **µg/ml exerted a significantly higher pro-oxidant effect than Eudragit-coated liposomes loaded with**  
341 **either resveratrol or artemisinin at a concentration of 10 µg/ml each ( $p<0.0001$ ). This result is**  
342 **confirmed by the viability assay: Eudragit-coated liposomes loaded with resveratrol and**  
343 **artemisinin, at a total concentration of 20 µg/ml, induced a higher cytotoxicity than the Eudragit-**  
344 **coated liposomes loaded with resveratrol at 10 µg/ml ( $p<0.0001$ ) or artemisinin at 10 µg/ml (not**  
345 **statistically significant).**

346

#### 347 **4. Conclusions**

348 The results of this work suggest that Eudragit-coated liposomes are an effective vesicle system for  
349 the incorporation, protection, and delivery of resveratrol and artemisinin. The vesicles showed  
350 stability under simulated gastrointestinal conditions, which confirms their feasible use for the oral  
351 delivery of the two natural compounds. Further, the *in vitro* results in human intestinal **cancer** cells  
352 **displayed** a time- and dose-dependent, synergistic growth inhibitory potential of the prepared  
353 formulations via an increase in ROS intracellular levels. **These findings highlight the need for**  
354 **further research on the mechanisms of action of Eudragit-coated liposomes by assessing the release**  
355 **kinetics of resveratrol and artemisinin and the modulation of the expression of genes involved in**  
356 **cell death induced by the two natural compounds. These investigations will allow us to validate the**  
357 **antitumor potential of the proposed formulation and confirm its efficacy against intestinal cancer.**

358

#### 359 **Acknowledgments**

360 This research was partially supported by grants RTI2018-094579-B-I00 (Spanish Ministry of  
361 Science, Innovation and Universities Ministerio de Economía y Competitividad, Spain), which  
362 included FEDER funds, and 2017-SGR-9082014-SGR-938 (Generalitat de Catalunya, Spain).

363



364 **References**

- 365 Sun, W., Sanderson, P., Zheng, W., 2016. Drug combination therapy increases successful drug  
366 repositioning. *Drug Discov. Today* 21, 1189–1195.
- 367 Li, P., Yang, S., Dou, M., Chen, Y., Zhang, J., Zhao, X., 2014. Synergic effects of artemisinin and  
368 resveratrol in cancer cells. *J. Cancer Res. Clin. Oncol.* 140, 2065–2075.
- 369 Chou, T.C., 2006. Theoretical basis, experimental design, and computerized simulation of  
370 synergism and antagonism in drug combination studies. *Pharmacol. Rev.* 58, 621–681.
- 371 Firestone, G.L., Sundar, S.N., 2009. Anticancer activities of artemisinin and its bioactive  
372 derivatives. *Expert Rev. Mol. Med.* 11:e32.
- 373 Efferth, T., 2007. Willmar Schwabe Award 2006: antiplasmodial and antitumor activity of  
374 artemisinin - From bench to bedside. *Planta Med.* 73, 299–309.
- 375 Caddeo, C., Manconi, M., Cardia, M.C., Díez-Sales, O., Fadda, A.M., Sinico, C., 2015.  
376 Investigating the interactions of resveratrol with phospholipid vesicle bilayer and the skin: NMR  
377 studies and confocal imaging. *Int. J. Pharm.* 484, 138-145.
- 378 Khan, A., Chen, H., Wan, X., Tania, M., Xu, A., Chen, F., Zhang, D., 2013. Regulatory effects of  
379 resveratrol on antioxidant enzymes: a mechanism of growth inhibition and apoptosis induction in  
380 cancer cells. *Mol. Cells* 35, 219-225.
- 381 Alarcón de la Lastra, C., Villegas, I., 2007. Resveratrol as an antioxidant and pro-oxidant agent:  
382 mechanisms and clinical implications. *Biochemical Society Transactions*, Volume 35, part 5.
- 383 Martins, L.A., Coelho, B.P., Behr, G., Pettenuzzo, L.F., Souza, I.C., Moreira, J.C., Borojevic, R.,  
384 Gottfried, C., Guma, F.C., 2014. Resveratrol induces pro-oxidant effects and time-dependent  
385 resistance to cytotoxicity in activated hepatic stellate cells. *Cell Biochem. Biophys.* 68, 247–257.
- 386 Shaito, A., Posadino, A.M., Younes, N., Hasan, H., Halabi, S., Alhababi, D., Al-Mohannadi, A.,  
387 Abdel-Rahman, W.M., Eid, A.H., Nasrallah, G.K., Pintus, G., 2020. Potential adverse effects of  
388 resveratrol: a literature review. *Int. J. Mol. Sci.* 21, 2084.

389 Calabrese, E.J., Mattson, M.P., Calabrese, V., 2010. Resveratrol commonly displays hormesis:  
390 occurrence and biomedical significance. *Hum. Exp. Toxicol.* 29, 980–1015.

391 Ferreira, J.F.S., Luthria, D.L., Sasaki, T., Heyerick, A., 2010. Flavonoids from *Artemisia annua* L.  
392 as antioxidants and their potential synergism with artemisinin against malaria and cancer.  
393 *Molecules* 15, 3135-3170.

394 Slezakova, S., Ruda-Kucerova, J., 2017. Anticancer activity of artemisinin and its derivatives.  
395 *Anticancer Research* 37, 5995-6003.

396 Caddeo, C., Gabriele, M., Fernández-Busquets, X., Valenti, D., Fadda, A.M., Pucci, L., Manconi,  
397 M., 2019. Antioxidant activity of quercetin in Eudragit-coated liposomes for intestinal delivery. *Int.*  
398 *J. Pharm.* 565, 64–69.

399 Gabriele, M., Pucci, L., Julius, Á., Longo, V., 2018. Anti-inflammatory and antioxidant effects of  
400 fermented whole wheat on TNF $\alpha$ -stimulated HT-29. *J. Funct. Foods* 45, 392-400.

401 Gabriele, M., Pucci, L., La Marca, M., Lucchesi, D., Della Croce, C.M., Longo, V., Lubrano, V.,  
402 2016. A fermented bean flour extract downregulates LOX-1, CHOP and ICAM-1 in HMEC-1  
403 stimulated by ox-LDL. *Cell. Mol. Biol. Lett.* 21:10.

404 Gabriele, M., Frassinetti, S., Caltavuturo, L., Montero, L., Dinelli, G., Longo, V., Di Gioia, D.,  
405 Pucci, L., 2017. Citrus bergamia powder: Antioxidant, antimicrobial and anti-inflammatory  
406 properties. *J. Funct. Foods* 31, 255-265.

407 Barea, M.J., Jenkins, M.J., Gaber, M.H., Bridson, R.H., 2010. Evaluation of liposomes coated with  
408 a pH responsive polymer. *Int. J. Pharm.* 402, 89–94.

409 Kim, J.H., Shin, D.H., Kim, J.-S., 2018. Preparation, characterization, and pharmacokinetics of  
410 liposomal docetaxel for oral administration. *Arch. Pharm. Res.* 41, 765–775.

411 Klemetsrud, T., Kjøniksen, A.-L., Hiorth, M., Jacobsen, J., Smistad, G., 2018. Polymer coated  
412 liposomes for use in the oral cavity – a study of the in vitro toxicity, effect on cell permeability and  
413 interaction with mucin. *J. Liposome Res.* 28(1), 62–73.

414 Kim, W.-S., Choi, W.J., Lee, S., Kim, W.J., Lee, D.C., Sohn, U.D., Shin, H.-S., Kim, W., 2015.  
415 Anti-inflammatory, Antioxidant and Antimicrobial Effects of Artemisinin Extracts from *Artemisia*  
416 *annua* L. Korean J. Physiol. Pharmacol. 19, 21-27.  
417

418 **Figure captions**

419 **Figure 1.** Cryo-TEM images of resveratrol and artemisinin Eudragit-coated liposomes.

420 **Figure 2.** Effect of 6- and 24-h exposure to increasing doses of **resveratrol/artemisinin ethanolic**  
421 **solutions vs.** the liposomal formulations on HT-29 cell viability evaluated by MTT assay.

422 **The concentration of resveratrol+artemisinin samples corresponds to the sum of equal**  
423 **concentrations of the two compounds.**

424 • symbol indicates values statistically different from 6-h untreated cells (Control): • $p<0.05$ ,  
425 •• $p<0.01$ , ••• $p<0.001$ ; \* symbol indicates values statistically different from 24-h untreated cells  
426 (control): \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ .

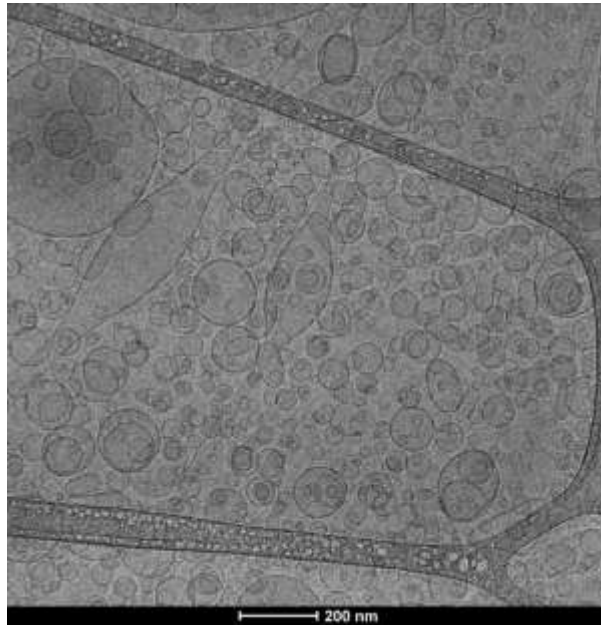
427 **Figure 3.** Effect of 6- and 24-h exposure to increasing doses of **resveratrol/artemisinin ethanolic**  
428 **solutions vs.** the liposomal formulations on ROS production in HT-29 cells.

429 **The concentration of resveratrol+artemisinin samples corresponds to the sum of equal**  
430 **concentrations of the two compounds.**

431 • symbol indicates values statistically different from 6-h untreated cells exposed to AAPH  
432 (Control): • $p<0.05$ , •• $p<0.01$ , ••• $p<0.001$ ; \* symbol indicates values statistically different from 24-h  
433 untreated cells exposed to AAPH (Control): \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ .

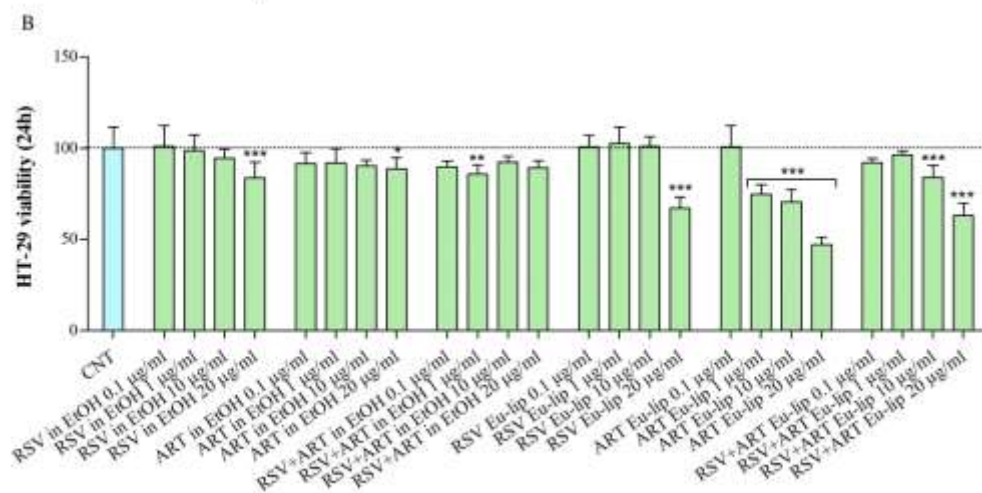
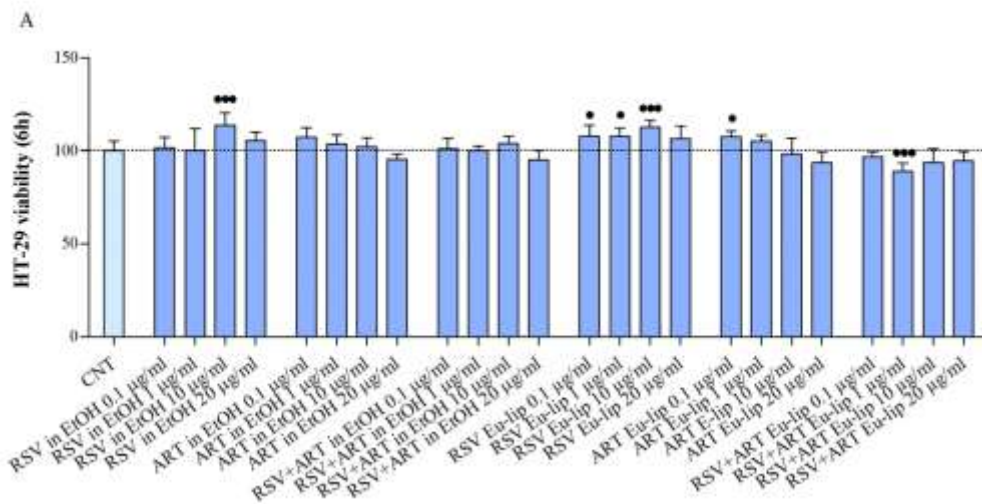
434

435

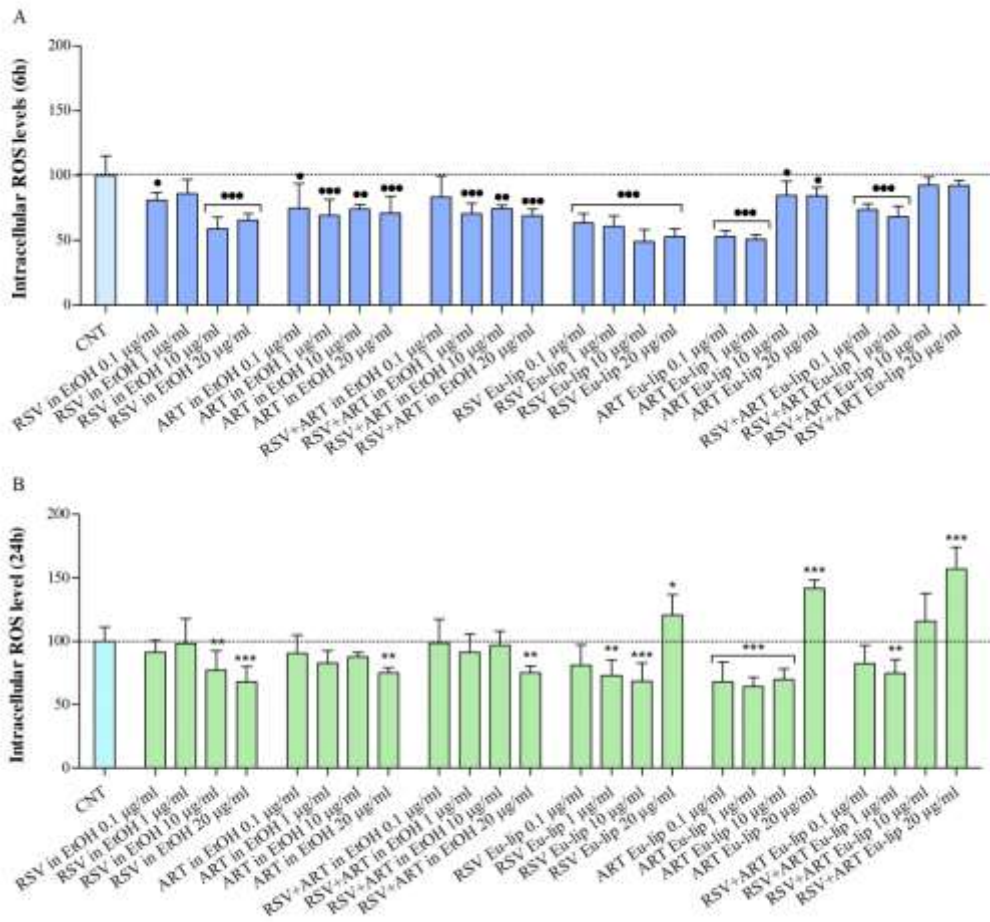


436

437



438



440

441

442

443

444

445

446

447

448

449

450

451 **Table 1.** Composition of the liposomal formulations.

<b>Formulation</b>	<b>P90G</b>	<b>SA</b>	<b>RSV</b>	<b>ART</b>	<b>PBS</b>	<b>Eu in H<sub>2</sub>O</b>
<b>Empty liposomes</b>	120 mg	6 mg			1 ml	
<b>RSV liposomes</b>	120 mg	6 mg	5 mg		1ml	
<b>ART liposomes</b>	120 mg	6 mg		5 mg	1 ml	
<b>RSV+ART liposomes</b>	120 mg	6 mg	2.5 mg	2.5 mg	1 ml	
<b>Empty Eu-coated liposomes</b>	120 mg	6 mg			1 ml	0.1% p/v
<b>RSV Eu-coated liposomes</b>	120 mg	6 mg	5 mg		1 ml	0.1% p/v
<b>ART Eu-coated liposomes</b>	120 mg	6 mg		5 mg	1 ml	0.1% p/v
<b>RSV+ART Eu-coated liposomes</b>	120 mg	6 mg	2.5 mg	2.5 mg	1 ml	0.1% p/v

452 P90G, phospholipid

453 SA, stearylamine

454 RSV, resveratrol

455 ART, artemisinin

456 PBS, phosphate buffered saline

457 Eu, Eudragit® S100

458

459

460

461

462

463

464

465

466

467

468

469

470 **Table 2.** Characteristics of empty, resveratrol-, artemisinin-, resveratrol+artemisinin liposomes and Eudragit-  
 471 coated liposomes: mean diameter (MD), polydispersity index (P.I.), zeta potential (ZP), and entrapment  
 472 efficiency (E). Each value represents the mean  $\pm$  SD ( $n \geq 6$ ). \* values statistically different ( $p < 0.05$ ) from  
 473 **uncoated liposomes**; # value statistically different ( $p < 0.05$ ) from empty liposomes.

<b>Formulation</b>	<b>MD nm <math>\pm</math> SD</b>	<b>P.I.</b>	<b>ZP mV <math>\pm</math> SD</b>	<b>E % <math>\pm</math> SD</b>
<b>Empty liposomes</b>	77 $\pm$ 5.9	0.24 $\pm$ 0.09	+18 $\pm$ 1.6	--
<b>RSV liposomes</b>	82 $\pm$ 8.2	0.19 $\pm$ 0.02	+17 $\pm$ 1.6	84 $\pm$ 1.1
<b>ART liposomes</b>	81 $\pm$ 4.9	0.19 $\pm$ 0.02	+16 $\pm$ 1.4	90 $\pm$ 5.3
<b>RSV+ART liposomes</b>	82 $\pm$ 4.7	#0.17 $\pm$ 0.02	+17 $\pm$ 1.0	RSV 87 $\pm$ 1.9 ART 89 $\pm$ 6.3
<b>Empty Eu-coated liposomes</b>	*143 $\pm$ 24.8	*0.33 $\pm$ 0.03	*+10 $\pm$ 1.3	--
<b>RSV Eu-coated liposomes</b>	*148 $\pm$ 13.9	*0.37 $\pm$ 0.05	*+10 $\pm$ 0.9	86 $\pm$ 1.7
<b>ART Eu-coated liposomes</b>	*138 $\pm$ 9.9	*0.32 $\pm$ 0.06	*+10 $\pm$ 1.1	94 $\pm$ 4.7
<b>RSV+ART Eu-coated liposomes</b>	*103 $\pm$ 8.3	*0.36 $\pm$ 0.05	*+10 $\pm$ 0.7	RSV 90 $\pm$ 2.2 ART 91 $\pm$ 8.5

474

475

476

477

478

479

480

481

482

483 **Table 3.** Mean diameter, polydispersity index (P.I.) and zeta potential (ZP) of resveratrol-, artemisinin-,  
 484 resveratrol+artemisinin liposomes and Eudragit-coated liposomes diluted and incubated with gastro-  
 485 intestinal media at 37 °C. The measurements were carried out immediately after dilution ( $t_0$ ) and after 2 ( $t_{2h}$ )  
 486 or 6 h ( $t_{6h}$ ) of incubation at pH 1.2 or 7.0 with high ionic strength (0.3 M NaCl). Mean values  $\pm$  SDs are  
 487 reported ( $n \geq 6$ ).



<b>Formulation</b>	<b>pH</b>	<b>Time</b>	<b>MD (nm)</b>	<b>P.I.</b>	<b>ZP (mV)</b>
<b>RSV liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	98 ± 4.1	0.22 ± 0.02	+13 ± 0.9
		<b>t<sub>2h</sub></b>	104 ± 6.0	0.22 ± 0.03	+14 ± 1.6
	<b>7.0</b>	<b>t<sub>0</sub></b>	84 ± 2.0	0.19 ± 0.02	+7 ± 1.0
		<b>t<sub>6h</sub></b>	83 ± 3.2	0.19 ± 0.02	+7 ± 0.8
<b>ART liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	100 ± 5.3	0.21 ± 0.02	+13 ± 1.1
		<b>t<sub>2h</sub></b>	105 ± 2.7	0.22 ± 0.04	+13 ± 0.6
	<b>7.0</b>	<b>t<sub>0</sub></b>	80 ± 0.9	0.19 ± 0.03	+7 ± 0.9
		<b>t<sub>6h</sub></b>	84 ± 2.8	0.20 ± 0.02	+6 ± 1.3
<b>RSV+ART liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	103 ± 2.1	0.22 ± 0.02	+14 ± 0.7
		<b>t<sub>2h</sub></b>	103 ± 4.2	0.21 ± 0.04	+13 ± 2.1
	<b>7.0</b>	<b>t<sub>0</sub></b>	82 ± 3.6	0.17 ± 0.02	+8 ± 1.7
		<b>t<sub>6h</sub></b>	81 ± 2.6	0.18 ± 0.02	+7 ± 1.1
<b>RSV Eu-coated liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	145 ± 4.8	0.36 ± 0.03	+13 ± 1.5
		<b>t<sub>2h</sub></b>	143 ± 5.8	0.36 ± 0.04	+13 ± 0.7
	<b>7.0</b>	<b>t<sub>0</sub></b>	140 ± 4.1	0.37 ± 0.02	+7 ± 1.2
		<b>t<sub>6h</sub></b>	146 ± 2.6	0.38 ± 0.02	+8 ± 1.3
<b>ART Eu-coated liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	135 ± 3.8	0.32 ± 0.02	+13 ± 0.2
		<b>t<sub>2h</sub></b>	140 ± 4.5	0.31 ± 0.05	+13 ± 0.5
	<b>7.0</b>	<b>t<sub>0</sub></b>	140 ± 4.2	0.33 ± 0.03	+7 ± 0.7
		<b>t<sub>6h</sub></b>	137 ± 3.9	0.32 ± 0.03	+7 ± 1.1
<b>RSV+ART Eu-coated liposomes</b>	<b>1.2</b>	<b>t<sub>0</sub></b>	105 ± 2.0	0.37 ± 0.03	+13 ± 0.6
		<b>t<sub>2h</sub></b>	106 ± 1.8	0.35 ± 0.02	+14 ± 0.7
	<b>7.0</b>	<b>t<sub>0</sub></b>	103 ± 2.7	0.36 ± 0.02	+7 ± 1.0
		<b>t<sub>6h</sub></b>	100 ± 4.0	0.35 ± 0.02	+8 ± 0.4

488

489

490