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Carotid Stenosis and Cryptogenic Stroke: The Evidence from the Imaging-based Studies Carotid stenosis and Cryptogenic Stroke

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1 **Carotid Stenosis and Cryptogenic Stroke: The Evidence from the**

2 **Imaging-based Studies**

3 **Carotid stenosis and Cryptogenic Stroke**

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1 **Abstract**

2 **Objectives:** Cryptogenic stroke represents a type of ischemic stroke with an unknown origin,
3 presenting a significant challenge in both stroke management and prevention. According to the Trial
4 of Org 10172 in Acute Stroke Treatment (TOAST) criteria, a stroke is categorized as being caused
5 by large artery atherosclerosis only when there is more than 50% luminal narrowing of the ipsilateral
6 internal carotid artery. However, non-stenosing carotid artery plaques can be an underlying cause of
7 ischemic stroke. Indeed, emerging evidence documents that some features of plaque vulnerability
8 may act as an independent risk factor, regardless of the degree of stenosis, in precipitating
9 cerebrovascular events.

10 This review, drawing from an array of imaging-based studies, explores the predictive values of carotid
11 imaging modalities in the detection of non-stenosing carotid plaque (<50%), that could be the cause
12 of a cerebrovascular event when some features of vulnerability are present.

13
14 **Methods:** Google Scholar, Scopus, and PubMed were searched for manuscripts on cryptogenic stroke
15 and those reporting the association between cryptogenic stroke and imaging features of carotid plaque
16 vulnerability.

17
18 **Results:** Despite extensive diagnostic evaluations, the etiology of a considerable proportion of strokes
19 remains undetermined, contributing to the recurrence rate and persistent morbidity in affected
20 individuals. Advances in imaging modalities, such as including Magnetic Resonance (MR),
21 Computed Tomography (CT) and Ultrasound (US), facilitate more accurate detection of non-
22 stenosing carotid artery plaque, allow to better stratify stroke risk leading to more tailored treatment
23 strategy.

24
25 **Conclusion:** Early detection of non-stenosing carotid plaque with features of vulnerability through
26 carotid imaging techniques impacts the clinical management of cryptogenic stroke, resulting in

1 refined stroke subtype classification and improved patient management. Additional research is
2 required to validate these findings and recommend the integration of these state-of-the-art imaging
3 methodologies into standard diagnostic protocols to improve stroke management and prevention.

4

5

6 **KEYWORDS:** Cryptogenic stroke; Carotid imaging; CT; MRI; plaque vulnerability.

7

8 **ABBREVIATIONS**

9 CE: Contrast-enhancement

10 CEUS: Contrast Enhanced Ultrasound

11 CT: Computed Tomography

12 CTA: Computed Tomography Angiography

13 DCE-MRI: Dynamic Contrast Enhancement Magnetic Resonance Imaging

14 DECT: Dual Energy Computed Tomography

15 DSA: Digital Subtraction Angiography

16 DWI: Diffusion Weighted Imaging

17 FC: Fibrous Cap

18 IPH: Intra-plaque Haemorrhage

19 IPN: Intra-plaque Neovascularization

20 LRNC: Lipid-rich Necrotic Core

21 MRA: Magnetic Resonance Angiography

22 MR: Magnetic Resonance

23 MPT: Maximum Plaque Thickness

24 NASCET: North American Symptomatic Carotid Endarterectomy Trial

25 NM: Nuclear Medicine

1 PET: Positron Emission Tomography

2 TIA: Transient Ischemic Attack

3 US: Ultrasound

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22 **1.Introduction**

23 Acute ischemic stroke poses a significant global burden, leading to substantial morbidity and
24 mortality¹. It contributes to about 5% of the world's disability-adjusted life years and is responsible
25 for over 10% of global deaths². In Europe and the USA, the current incidence of stroke is

1 approximately 200 per 100,000 population per year. Multinational epidemiologic studies have
2 indicated an increasing trend in stroke incidence, especially in developing nations³⁻⁵.

3 Among the various subtypes of stroke, cryptogenic stroke remains a challenging category.
4 This form of stroke refers to cases where the precise cause of the cerebrovascular event cannot be
5 ascertained despite a comprehensive evaluation⁶. In this context, a crucial aspect to consider is carotid
6 stenosis, which has been regarded as a leading parameter for stratifying the risk of cerebrovascular
7 events for the past four decades⁷. The degree of stenosis is commonly considered a reliable surrogate
8 marker for atherosclerotic risk of cerebrovascular disease, assuming that vessel narrowing result from
9 plaque accumulating in the artery lumen. However, recent scientific evidence emphasizes that other
10 factors may predict the clinical behavior of atherosclerotic plaques. Specifically, some features of
11 plaque vulnerability could be independent risk factors, irrespective of the degree of stenosis, in
12 triggering cerebrovascular events⁸.

13 Hence, a critical question arises: how reliable is the conventional approach of relying solely
14 on the degree of carotid stenosis to stratify cerebrovascular risk, given the emerging scientific
15 evidence highlighting the significance of carotid plaque vulnerability? The accumulating research
16 suggests that the mere assessment of stenosis may not fully capture the underlying pathophysiology
17 associated with cryptogenic stroke and the potential risk of embolic events.

18 In this context, it becomes imperative to explore and clarify the role of carotid plaque
19 characteristics and vulnerability as key determinants of cerebrovascular events, beyond the traditional
20 stenosis-based stratification. Understanding the intricate relationship between carotid plaque features
21 and the risk of embolic events has the potential to revolutionize our approach to cryptogenic stroke
22 management and risk assessment.

23 In this paper, our aim is to explore the evolving understanding of cryptogenic stroke etiology
24 and highlight the pivotal role of carotid plaque vulnerability in shaping cerebrovascular risk.

25

26 **2. Definitions and workup**

1 *Definitions*

2 Ischemic stroke is an acute neurologic event resulting from the interruption of cerebral blood
3 supply. This interruption can be attributed to either a thromboembolic event or to the occlusion of a
4 cerebral vessel. Despite a comprehensive investigation, the cause of the stroke can remain
5 unidentified. In medical terms, strokes with an unknown cause are classified as 'cryptogenic strokes'.⁹

6 A cryptogenic stroke is classified as such when the causative etiology of the stroke remains
7 elusive despite thorough diagnostic investigations. The term 'cryptogenic' is derived from the Greek
8 terms 'kryptos' and 'genic,' which, when combined, translate to 'of obscure or unknown origin.'

9 *Etiologies*

10 Cryptogenic stroke is a diagnosis of exclusion, used only after all other possible etiologies
11 have been eliminated^{6,9}. The potential etiologies of cryptogenic stroke are heterogeneous in origin,
12 encompassing (1) cardioembolism due to congenital heart disease (e.g., patent foramen ovale), and
13 acquired heart diseases (e.g., cardiomyopathy, endocarditis, atrial cardiopathy, valve disease); (2)
14 vascular diseases (e.g., vasculitis, arterial dissection, non-stenosing carotid plaque, aortic arch
15 atheroma); (3) thrombophilia; (4) occult malignancy¹⁰.

16 Embolic Stroke of Undetermined Source (ESUS) represents a subtype of cryptogenic stroke.
17 The term 'ESUS' is employed to characterize strokes that are thought to have an embolic origin, yet
18 the source of the embolus remains elusive even after comprehensive investigation¹¹.

19 The distinction between a cryptogenic stroke and ESUS lies in the hypothesized mechanism
20 of the stroke. An embolic cause is proposed in ESUS, while in cryptogenic stroke, the cause is entirely
21 undetermined. The concept of ESUS was introduced to identify patients who might benefit from
22 anticoagulant treatment, in contrast to antiplatelet therapy, which is typically administered for strokes
23 of unidentified etiology¹¹.

24 Therefore, although all cases of ESUS are cryptogenic strokes, not all cryptogenic strokes are
25 ESUS. Other cryptogenic strokes might be due to etiologies other than embolism, such as
26 unrecognized small vessel disease or even non-vascular causes. ESUS is not synonymous with

1 cryptogenic stroke, as cryptogenic stroke also includes patients with multiple potential etiologies,
2 e.g., patients with atrial fibrillation and significant atherosclerotic plaque ipsilateral to the infarct, as
3 well as patients with incomplete diagnostic work-up.

4 In essence, cryptogenic stroke is a broader term encompassing all strokes of undetermined
5 source, whereas ESUS pertains to a specific subset of cryptogenic strokes in which an embolic source
6 is suspected but remains unidentified⁶.

7 *Diagnostic work-up*

8 Determining the exact cause in a patient with cryptogenic stroke can frequently be an intricate
9 process, as various pathologies of heart or vessel could underlie the etiologies of the stroke. Moreover,
10 in the majority of cryptogenic stroke cases, several potential conditions often overlap, adding an
11 additional layer of complexity in the search for the source and subsequently casting uncertainty on
12 clinical decisions. It frequently becomes a matter of debate whether a cryptogenic stroke in a specific
13 patient can be ascribed to a carotid atherosclerotic plaque with low-grade stenosis, a moderately sized
14 patent foramen ovale, a significantly dilated left atrium, a regionally akinetic enlarged left ventricle,
15 a calcified aortic valve, or even an underlying metastatic cancer⁹. The difficulty of firmly linking
16 these potential sources to the stroke event heightens the complexity of managing cryptogenic strokes.

17 Given the potential heterogeneous etiologies, a comprehensive clinical and laboratory data
18 evaluation is required. This evaluation should include an assessment for atherosclerotic and non-
19 atherosclerotic vascular diseases^{6,10}, cardiac sources of embolism (including structural and rhythm
20 abnormalities)¹²⁻¹⁴, and disturbances of coagulation¹⁵.

21

22 *Limitation of current stroke classification system*

23 To optimize patient treatment and improve outcomes, it is imperative to discriminate between
24 stroke etiologies. According to existing classification systems for stroke, it can be attributed to large-
25 artery atherosclerosis (LAA) only if it results in a luminal stenosis of 50% or more^{16,17}. However, this

1 definition is arguably outdated as it is based on data and studies conducted over 40 years ago that
2 linked the risk of cerebrovascular events to the presence of high-grade carotid stenosis.
3 Tradition has considered the degree of carotid artery stenosis the main criterion to judge the severity
4 of stroke risk, mostly based on the results of a number of trials released between the 1980s and 1990s
5 demonstrating the benefit of CEA in patients with moderate to severe stenosis (70% to 99%), namely:
6 the European Carotid Surgery Trial (ECST), the North American Symptomatic Carotid
7 Endarterectomy Trial (NASCET), the Asymptomatic Carotid Atherosclerosis Study (ACAS), and the
8 Asymptomatic Carotid Surgery Trial (ACST-1)^{18,19,20,21}. These studies used the degree of stenosis as
9 a parameter to stratify risk because, at the time they were conducted, other types of information
10 obtainable with imaging techniques that could speak to the plaque itself, rather than the degree of
11 stenosis (which is an effect of the plaque), were not available.

12 In recent years, a significant body of evidence has emerged, showing that even in cases with
13 low degrees of stenosis (less than 50%), there exist a potentially high risk of cerebrovascular events
14 when plaques with characteristics of vulnerability are present¹¹.

15 In 2017, the European Society of Cardiology (ESC) highlighted the need to target revascularization
16 in asymptomatic patients with imaging features of carotid plaque vulnerability²² and the impact of
17 plaque vulnerability has been emphasized in the 2020 recommendations of the American Society of
18 Echocardiography²³ and in the clinical practice guidelines of the European Society for Vascular
19 surgery^{24,25}. This newer evidence has shifted our understanding of the potential causes of stroke re-
20 shaping and re-discussing the types of cryptogenic stroke. In a recent paper by Kamel et al ²⁶ was
21 demonstrated that the inclusion of vulnerable plaques could reclassify the etiologies of up to 15% of
22 cases. In addition, defining LAA stroke solely based on a 50% percent stenosis, according to the Trial
23 of Org 10172 in Acute Stroke Treatment (TOAST) classification system, does not take into account
24 some important aspects, including the compensatory enlargement of atherosclerosis vessels²⁷ and
25 total carotid plaque burden. Specifically, classifying LAA based on a stenosis > 50% results in
26 overlooking 79% of patients with a high plaque burden as identified in the Subtypes of Ischaemic

1 Stroke Classification System (SPARKLE)²⁸. The inclusion of high plaque burden in the definition of
2 LAA allows for the reclassification of stroke etiologies, identifying more patients with LAA and a
3 lower proportion of patients with ESUS than the TOAST classification²⁹.

4

5

6 **3. Vulnerability of carotid plaques in cases with mild degrees of stenosis**

7 The prevalence of carotid plaques with features of vulnerability in patients with cryptogenic
8 stroke is notably higher on the side ipsilateral to the infarct in comparison to the contralateral side³⁰
9 as demonstrated by Kamtchum-Tatuene et al,. In this study, the prevalence of carotid stenosis < 50%
10 with high-risk features in the ipsilateral carotid was 32.5% (95% CI, 25.3-40.2) compared to 4.6%
11 (95% CI, 0.1-13.1) in the contralateral carotid. The odds ratio of finding a plaque with high-risk
12 features in the ipsilateral versus the contralateral carotid was 5.5 (95% CI, 2.5-12.0)³⁰. Furthermore,
13 the prevalence of such complex carotid artery plaques in patients experiencing cryptogenic strokes is
14 significantly higher compared to patients with cardioembolic or small vessel strokes.

15 Other publications have emphasized the association of non-stenosing carotid artery plaques in an
16 unrecognized percentage of cryptogenic strokes^{31,32}. For instance, a study conducted by Kopczak et
17 al. involving 234 patients showed that the prevalence of complicated carotid artery plaques in patients
18 with cryptogenic stroke was significantly higher ipsilateral than contralateral to the infarct side (31%
19 versus 12%, $P = 0.0005$). Complicated carotid artery plaques had a higher prevalence in cryptogenic
20 stroke compared to cardioembolic/small vessel stroke (31% versus 15%, $P = 0.02$)³³. In a subsequent
21 study, the same authors demonstrated that patients with cryptogenic stroke and a complicated non
22 stenosing carotid plaque ipsilateral to the ischemic territory had a 5.6-fold increased risk of recurrent
23 stroke or TIA compared to cryptogenic stroke patients without a complicated plaque at presentation
24 (HR5.6; 95%CI 1.43-21.83) with an incidence rate of TIA/stroke [3-year interval] of 10.92 vs 1.82
25 per 100 patient-years ($P = 0.003$)³⁴.

1 These studies emphasize the correlation between the presence of complex plaques and the risk
2 of ischemic episodes. However, there are multiple plaque features that are associated with the
3 occurrence of cerebrovascular events^{35,36}, and it is possible to explore the specific association and
4 risk of each one.

5 **Table 1** summarized previous studies regarding non-invasive imaging features and
6 attributable risk for symptom development.

7

8 ***3.1 The role of carotid artery imaging***

9 Contemporary imaging modalities, including ultrasound, CT, and MRI, enable detailed
10 visualization of carotid plaque composition and morphology, facilitating the identification of features
11 of vulnerability as potential causes of ischemic stroke. In clinical practice, all patients with acute
12 neurologic symptoms undergone immediate cerebrovascular imaging using CT or MRI upon arrival
13 for the assessment of ischemic stroke presence and to exclude any evidence of hemorrhage.
14 Furthermore, imaging of the carotid bifurcation is crucial for all patients exhibiting symptoms of
15 cerebral ischemia. Carotid ultrasound represents the most-commonly used modality to evaluate
16 carotid plaque atherosclerosis enabling the assessment of some morphological and compositional
17 features of vulnerability. The use of ultrasound can outline some sonomorphological characteristics
18 associated with plaque vulnerability³⁷, including a large juxtaluminal hypoechogenic area³⁸,
19 heterogeneous echotexture^{39,40}, and plaque echogenicity⁴¹. In addition, transcranial Doppler
20 ultrasound can detect circulating emboli that appears as short-duration, high-intensity embolic signals
21 accompanied by a distinctive chirping sound^{36,42,43}. Detection of symptomatic embolization on
22 transcranial Doppler ultrasound is an independent predictors of future stroke risk⁴⁴.

23 Although carotid ultrasound is widely available, cost-effective, and radiation-free, CT and
24 MRI are often required in clinical practice to assess extracranial and intracranial vessels for
25 atherosclerosis diseases^{6,10}. In clinical practice, the choice of an imaging modality should depend on

1 the available technology and the inherent pros and cons of each specific imaging method, as well as
2 local expertise.

3

4 **3.2 Intra-plaque Hemorrhage**

5 Intra-plaque hemorrhage (IPH) is characterized by an extravasation of blood constituents
6 within the atherosclerotic plaque, and it is recognized as a critical feature of plaque vulnerability.

7 In recent years, several longitudinal and cross-sectional studies have shown that IPH is a strong risk
8 factor for developing symptoms. A longitudinal study of 1190 patients with asymptomatic carotid
9 stenosis, followed for a mean period of 53 months, showed that IPH detected by MRI was
10 significantly associated with subsequent cerebrovascular ischemic events (HR 4.2, 95% CI 1.0-17.1,
11 $P=0.04$)⁴⁵.

12 In some cases, the impact of IPH in patients with mild carotid stenosis has been explored: A recently
13 published meta-analysis performed on 7 cohort involving 560 patients with symptomatic carotid
14 stenosis and 136 asymptomatic carotid stenosis patients showed that IPH was a more potent predictor
15 of stroke than any established clinical risk factor. The annualized event rates of stroke on the
16 ipsilateral side were 9.0% for patients with IPH and 0.7% for those without, in cases of stenosis less
17 than 50%.

18 In the recent prospective Plaque At RISK (PARISK) study of 244 patients with a recent
19 symptomatic mild-to- moderate carotid stenosis who were followed up for a mean period of 5.1 years
20 using Transcranial Doppler, US, MRI and CT, the presence of IPH was associated with recurrent
21 cerebrovascular events (HR 2.12, 95% CI 1.02-4.44) with an improvement of predictive performance
22 by adding these imaging markers to the European Carotid Surgery Trial (ECST) risk score (C-statistic
23 increase from 0.67 to 0.75, $P = 0.001$)⁴⁶.

24 An important point is related to the IPH according to the time of occurrence, either as
25 recent/acute (less than a week), intermediate (1-6 weeks), or chronic/old (more than 6 weeks). The

1 likelihood of cerebrovascular events is at its highest with recent/acute IPH, however, it continues to
2 be raised for over 18 months.

3 MRI is largely considered the most sensitive modality for IPH detection, since its appearance
4 depends on the oxidative state of hemoglobin: in particular, strongly T1-weighted images with an
5 inversion pre-pulse to suppress the signal of blood show IPH as a focus of hyperintense signal in the
6 bulk of the plaque⁴⁷ (**Figure 1**). CT is generally considered less sensitive because of a substantial
7 overlap in the HU of fibrous, lipid and IPH components. US is generally considered unsuitable,⁴⁸
8 although recent studies suggest otherwise with experienced operators^{49,50}.

9 It is important to remember that among the different imaging techniques, MRI allows for
10 classification of IPH according to the time of occurrence.

12 **3.3 Intraluminal Thrombus**

13 Another feature of vulnerability, that is associated with cryptogenic stroke is the presence of
14 carotid *intraluminal thrombus*, an uncommon condition that was shown to present with neurologic
15 symptoms in up to 92% of cases⁵¹. In a retrospective cross-sectional study, published in 2015
16 performed on 726 carotid-brain MRI examinations, the strongest predictor of carotid-source stroke
17 was intraluminal thrombus⁵². In another CT-angiography based study on 674 patients, the presence
18 of intraluminal thrombi was highly predictive of the symptomatic side in carotid disease⁵³. CT-
19 angiography is a sensitive modality, which may demonstrate a filling defect within the lumen
20 surrounded by contrast material⁵⁴ (**Figure 2**). Contrast enhanced US and MRI are also accurate non-
21 invasive imaging modalities to delineate intraluminal thrombus⁵⁴.

23 **3.4 Rupture of Fibrous Cap**

24 The *fibrous cap* (FC), a layer of fibrous connective tissue that separates the core of the plaque from
25 the arterial lumen and its disruption, with the resultant exposure of thrombogenic subendothelial

1 plaque constituents, can precipitate thromboembolic complications in the atherosclerotic carotid
2 plaque.

3 Currently, MRI is the most effective non-invasive imaging modalities for investigating the status of
4 the FC⁵⁵. Promising results are emerging with Photon Counting CT scanners in accurately delineating
5 FC thickness⁵⁶⁻⁵⁹.

6 A longitudinal prospective study that investigated 126 patients with symptomatic carotid stenosis
7 using MRI for a mean follow-up of one year, showed that LRNC (HR 3.2, 95%CI 1.1-9.5, P=0.036),
8 a thin and/or ruptured FC (HR 5.8, 95%CI 1.9-17.3, P=0.002), and IPH (HR 3.5, 95%CI 1.1-11.9,
9 P=0.040) were associated with recurrent cerebrovascular events. In addition, the authors reported that
10 the degree of carotid stenosis was not associated with recurrent events (HR for 50–69% versus 30–
11 49% stenosis, 1.2, 95%CI 0.4-3.7, P=0.756)⁶⁰.

12 Also, a thin and/or ruptured fibrous cap is highly associated with a recent history of stroke or transient
13 ischemic attack (TIA): in an MRI-based study, patients with ruptured fibrous cap were 23 times more
14 likely to have had a recent stroke/TIA compared with patients with thick fibrous cap⁶¹.

15 Another prospective longitudinal study published by Sadat et al. showed that FC disruption (HR 7.4,
16 95%CI 1.6-33.8, P=0.009) and IPH (HR 5.9, 95%CI 1.3-26.8, P=0.02) were risk factors for the
17 development of subsequent cerebrovascular events during a median follow-up duration of 514 days⁶².

18

19 ***3.5 Lipid Rich Necrotic Core***

20 The lipid-rich necrotic core (LRNC) is a heterogeneous tissue formed by the death of lipid-laden
21 macrophages, cholesterol crystal, smooth muscle foam cells and the accumulation of plasma-derived
22 lipids tethered by the intimal extracellular matrix macromolecules. LRNC plays a key role in the
23 progression and vulnerability of atherosclerotic plaques. A meta-analysis of 16 studies, published in
24 2017 showed that patients with CTA evidence of low attenuation plaque had a risk of ipsilateral
25 cerebrovascular events by almost 3 times higher, regardless of the degree of stenosis⁶³. A large LRNC
26 size correlates with future ipsilateral cerebrovascular events as reported in a longitudinal MRI study

1 of 120 asymptomatic patients with carotid plaque. The authors reported that patients with a LRNC
2 greater than 40% wall area were prone to rupture of the FC in comparison with patients with a LRNC
3 less than 40%⁶⁴. A systematic review and meta-analysis published in 2013 showed that LRNC
4 predicted stroke/TIA in asymptomatic subjects (HR 3.00, 95%CI 1.46- 22.5, P=0.012)⁶⁵. MRI is
5 considered the most sensitive modalities for LRNC evaluation due to its superior soft tissue contrast.
6 Conversely, CT is generally considered less sensitive due to significant overlap in the Hounsfield unit
7 of IPH and LRNC. Similarly, US is regarded less suitable for discriminate between hemorrhage and
8 lipid components⁵⁵. **Figure 3.**

10 ***3.6 Plaque Morphology***

11 In the years prior to the advancement of technology that enabled detailed observation of
12 carotid plaque structure, the surface morphology of the plaque was a key parameter of interest. The
13 plaque surface can be characterized as smooth, irregular (where surface variation ranges from 0.3 mm
14 to 0.9 mm), or ulcerated (a term specifically designated for cavities measuring at least 1 mm).
15 Irregularities in the luminal surface, especially the occurrence of ulceration, are seen as potential
16 stroke risk factors⁶⁶. Evaluations of the surface of carotid plaques can be conducted through a range
17 of imaging methods but CT and MRI appear to provide superior diagnostic accuracy in ulcer
18 detection, significantly outperforming US (with a sensitivity rate exceeding 90% for CT, compared
19 to less than 40% for US)⁶⁷. The diagnostic accuracy provided by US can be enhanced using three-
20 dimensional US improving plaque visualization^{68,69}.

22 ***3.7 Plaque Thickness and carotid plaque burden***

23 Another feature of plaque vulnerability is the maximum plaque thickness. In 6584 asymptomatic
24 individuals who participated in the Tromsø Study, maximum plaque thickness was associated with
25 the occurrence of stroke both in males (HR 1.23, 95% CI 1.10-1.38, P=0.0009) and females (HR 1.19,

1 95% CI 1.01-1.41, $P=0.04$)⁶⁸. In a prospective longitudinal study of 6102 subjects with asymptomatic
2 carotid stenosis, maximum plaque thickness (HR 1.96, 95%CI 0.91–4.25, $P=0.015$) was associated
3 with the occurrence of major cardiovascular adverse events⁶⁹. But maximum plaque thickness seems
4 to play a role also in patients with cryptogenic stroke: in a study performed on 85 patients with
5 cryptogenic stroke, >3 mm plaque thickness of the non-calcified component was present ipsilateral
6 to stroke in 35% of patients (versus 15% of the contralateral)⁷⁰. In the Chinese Atherosclerosis Risk
7 Evaluation II Study performed on 1072 subjects, the MPT was more strongly associated with cerebral
8 ischemic symptoms than the degree of stenosis⁷³.

9 Another well-known parameter of plaque vulnerability is the measurement of carotid plaque burden⁷².
10 In the PARISK study, the measurement of carotid plaque volume exhibited independent associations
11 with recurrent ipsilateral cerebrovascular ischemic events (HR: 1.07 per 100 mL increase for plaque
12 volume; 95% CI 1.00–1.22)⁷³.

13 Plaque thickness and carotid plaque burden may be relatively easily assessed with US, CT, and MR
14 images. In clinical practice, US is the preferred initial imaging modality for evaluating carotid plaque
15 thickness due to its widespread availability and feasibility⁵⁵.

16

17 ***3.8 Intraplaque neovascularization***

18 Intraplaque neovascularization is characteristic of advanced atherosclerotic lesions^{74–76} and it can be
19 detected with contrast enhanced US, CT and MRI⁷⁷. A study performed in 2012 showed that
20 neovascularization, was associated with cerebrovascular events (OR 51.7; 95%CI 3.40-469.80,
21 $P=0.004$)⁷⁸ and another prospective study performed on 155 symptomatic patients showed that
22 intraplaque neovascularization is associated with recurrent cerebrovascular events (HR 4.5, 95 % CI
23 1.9-10.90, $P=0.001$) independent of the severity of carotid stenosis (HR 3.5, 95 % CI 1.4-8.6,
24 $P=0.007$)⁷⁹. This feature seems to be promising but further studies are necessary to confirm and adopt

1 it because currently the routine clinical practice has not adopted non-invasive imaging assessment of
2 plaque inflammation and neovascularization.

3

4 ***3.9 Specific type of calcium configuration***

5 While there's ongoing debate about the function of calcium in atherosclerosis, it's broadly accepted
6 that factors like the size, shape, and location of calcifications can influence the progression of plaque
7 formation and some recent papers have showed that in some cases are associated with the plaque
8 vulnerability and sub-sequent risk of stroke⁸⁰. In particular, it appears that some calcification patterns
9 correlate with a higher degree of plaque instability. In particular, the positive rim sign strongly
10 associates with plaque inflammation, leakage of the vasa vasorum and IPH formation. A prospective
11 cohort study of 329 patients from the population-based Rotterdam Study with asymptomatic carotid
12 plaque reported that a higher calcification load was associated with the presence of IPH (OR 2.65;
13 95%CI 1.94-3.64)⁸¹. In particular the positive rim sign (defined as the presence of thin, < 2 mm thick,
14 adventitial calcifications) seems to be associated with the presence of IPH^{82,83}. Recently, Saba et al.
15 showed that a positive rim sign had a higher prevalence of cerebrovascular events in comparison to
16 other types of carotid calcifications⁸⁴. CT is regarded as the reference standard for detecting
17 calcification within plaques (**Figure 4**). However, it's worth noting that plaque calcification can also
18 be identified with US, where it appears as a hyperechogenic area, and MRI, where it presents as a
19 hypointense region on all contrast sequences. Nonetheless, it's important to acknowledge that the
20 sensitivity and specificity of US and MRI for detecting calcification are generally lower compared to
21 CT⁵⁵.

22 **Table 2** summarized imaging features associated with the occurrence of cerebrovascular events and
23 their preferred non-invasive imaging modalities.

24

25 ***3.10 Carotid Web***

1 Carotid webs were first described in 1973 in a study of catheter angiograms at the Massachusetts
2 General Hospital⁸⁵ and in the last years these have been considered an underappreciated risk factor
3 for stroke. Carotid web identifies a shelf-shaped filling defect arising from the posterolateral wall of
4 the carotid bulb⁸⁶, because its protrusion into the lumen of the carotid artery, it can altered blood flow
5 and lead to blood stasis, resulting in thrombus formation and subsequent ischemic stroke⁸⁷. **Figure 5.**
6 Carotid web represents between 9.4% and 37% of ischemic strokes that were initially misclassified
7 as cryptogenic⁸⁸⁻⁹⁰.

8 This condition seems to be more frequently associated to younger female subjects: Among the cases
9 with webs in the study of Coutinho, 80% of patients were women, and the age range was 34 to 57
10 years⁹⁰. In another paper by Zelada-Rios the prevalence of female patients was 60% with an age range
11 from 37 to 43⁹¹.

12

13 **4. Future direction**

14 ***4.1 Artificial intelligence in cryptogenic stroke***

15 The field of artificial intelligence (AI) in cardiovascular imaging has experienced substantial
16 expansion in recent years and offers exciting prospects for revolutionizing clinical practice^{92,93}. AI,
17 with its subset namely machine learning and deep learning, has the potential not only to help in
18 assessing carotid plaques with their features of vulnerability but also in the early detection of the
19 mechanisms underlying acute ischemic stroke⁹⁴. Buckler et al developed an AI-based model for the
20 classification of atherosclerotic plaque vulnerability on CT images using histological specimens as a
21 ground truth⁹⁵. The overall cohort enrolled consisted of 53 patients with carotid atherosclerosis
22 randomly assigned to the derivation cohort (n = 30) and to the validation cohort (n = 23). The
23 proposed convolutional neural network model demonstrated strong agreement with pathological
24 classification (kappa 0.82) and high accuracy for identification of unstable plaque, stable plaque, and
25 minimal disease according to the modified AHA histological definition (AUC of 0.97, 0.95, and 0.99,

1 respectively)⁹⁵. Kamel et al. developed a machine-learning algorithm, using data from the Cornell
2 Acute Stroke Academic Registry, to discriminate between cardioembolic and non-cardioembolic
3 ESUS determining the proportion of patient with an occult cardioembolic source⁹⁸. The AI-based
4 model proposed was trained with demographic, clinical, echocardiographic, and laboratory data and
5 achieved excellent accuracy in distinguish cardioembolic from non-cardioembolic cases (AUC of
6 0.85). Then, the authors applied the final model to an independent set of 580 ESUS cases, reporting
7 that 44% (95% credibility interval, 39%–49%) resulted from cardiac embolism and the predicted
8 probability of occult cardiac embolism was associated with the diagnosis of atrial fibrillation (OR per
9 10% increase, 1.27 [95% CI, 1.03–1.57]; c-statistic, 0.68 [95% CI, 0.58–0.78])⁹⁸.

10 In addition, AI can merge a large amount of imaging data with clinical characteristics and
11 demographic data, representing a new horizon in ischemic stroke assessment^{98–100}.

12

13 ***4.2 The introduction of a novel stroke risk classification system: the Plaque-RADS***

14 Given the ever-growing number of publications emphasizing the significance of atherosclerotic
15 plaque composition and morphology in determining stroke risk^{33,99}, the implementation of a
16 standardized, universal, and cross-modality reporting system undeniably benefits clinical practice.
17 Recently, the Plaque-RADS (Reporting and Data System) classification has been introduced with the
18 aim of establishing a consistent lexicon and structured reporting system for carotid atherosclerosis
19 diseases, enhancing communication between radiologists, referring clinicians, and researchers by
20 providing a transparent and reproducible method for personalized patient risk stratification¹⁰⁰.

21 The Plaque-RADS provides a morphological and compositional plaque assessment additionally to
22 the currently sole quantitative descriptor "stenosis", ranging from Plaque-RADS 1 (indicating the
23 complete absence of carotid plaque) to Plaque-RADS 4 (indicating complicated plaque with features
24 such as intraplaque hemorrhage, a ruptured fibrous cap, and/or thrombus)¹⁰⁰.

25 The application of a scoring system that take into account plaque morphology and composition, in
26 addition to the degree of carotid stenosis. may offer a deeper understanding of ischemic stroke

1 etiologies. Furthermore, it has the potential to reclassify certain cryptogenic strokes based on imaging
2 features related plaque vulnerability.

3 Future multicenter trials are necessary to assess the utility of the Plaque-RADS score in cases of
4 cryptogenic stroke and its ability to reclassify stroke etiologies.

5

6

7 **5. Conclusion**

8 The pathophysiology of cryptogenic stroke is of increased importance as different therapeutic
9 strategies are available. Non-stenosing carotid artery plaques with vulnerability features are an
10 increasingly widely recognized component of cerebrovascular risk. Advanced imaging techniques
11 could significantly enhance the diagnosis of cryptogenic stroke. Further studies are needed to
12 corroborate these findings and recommends the incorporation of such cutting-edge imaging
13 methodologies into routine diagnostic protocols to enhance stroke management and prevention.

14

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Tables

Table 1: overview of studies examining non-invasive imaging features and attributable risk for symptom development.

<i>Authors</i>	<i>Type of study</i>	<i>Patient Population</i>	<i>Modalities</i>	<i>Features</i>	<i>Risk of cerebrovascular events</i>
<i>Kurosaki et al.25</i>	Retrospective	1190	MRI	IPH	HR 4.2, 95%CI 2.48-4.71
<i>Van Dam-Nolen et al.26</i>	Prospective	244	TCD, US, CT, MRI	IPH	HR 2.12, 95%CI 1.02-4.44
<i>McNally et al. 27</i>	Retrospective	726	MRI	IPH	OR 25.2, 95%CI 10.1-57.0.
<i>McNally et al.27</i>	Retrospective	726	MRI	Intraluminal thrombi	OR 103.6, 95%CI 8.64-710.8.
<i>Eesa et al. 33</i>	Retrospective	674	CT	Intraluminal thrombi	OR 4.33, P=0.01
<i>Kwee et al 34</i>	Prospective	126	MRI	Thin/ruptured FC	HR 5.76, 95%CI 1.91-17.32
<i>Yuan et al 35</i>	Prospective	53	MRI	Thin/ruptured FC	Thin FC (OR 10, 95%CI 1-104) Ruptured FC (OR 23, 95%CI 3-210)
<i>Sadat et al 36</i>	Prospective	61	MRI	Ruptured FC	HR 7.39, 95%CI 1.61-33.82
<i>Baradaran et al.37</i>	Meta-analysis	2624	CT	LRNC	OR 2.92, 95%CI 1.41-6.04

<i>Xu et al 38</i>	Prospective	120	MRI	LRNC	LRNC greater than 40% wall area were prone to rupture of the FC in comparison with patients with a LRNC less than 40%
<i>Gupta et al 39</i>	Meta-analysis	403	MRI	LRNC	OR 3, 95%CI 1.51-5.95
<i>Sajedi et al 43</i>	Retrospective	33	CTA	Carotid WEB	OR = 16.7; 95% CI, 2.78-320.3; P = 0.01
<i>Coutinho et al 44</i>	Retrospective	62	CTA	Carotid WEB	OR = 8.0, 95% confidence interval = 1.2-67, p = 0.032
<i>Mathiesen et al 48</i>	Retrospective	6584	US	MPT	(95% CI, 1.09-1.38; P=0.0009) in men and 1.19 (95% CI, 1.01-1.41; P=0.04) in women
<i>Sillesen et al 49</i>	Prospective	5808	US	MPT	After adjusting for risk factors, hazard ratios for maximum plaque thickness and carotid plaque volume with primary major ASCVD events as an end point were 1.96 [95% CI 0.91-4.25, P = 0.015] for primary MACE and 3.13 (95% CI 1.80-5.51, P < 0.001) for secondary MACE.
<i>Coutinho et al 50</i>	Retrospective	85	CTA	MPT	>3 mm plaque thickness of the non-calcified component was present ipsilateral to stroke in 35% of patients (versus 15% of the contralateral), p = 0.01
<i>Zhao et al 51</i>	Prospective	1047	MRI	MTP	Maximum wall thickness was found to be a stronger discriminator than stenosis for HRP (AUC: 0.93 versus 0.81, P<0.0001).

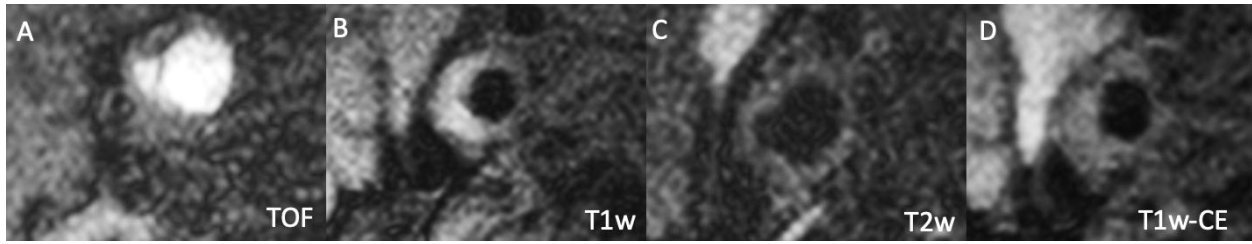
<i>Qiao et al.56</i>	Retrospective	47	MRI	Intraplaque neovascularization	neovascularization, associated with cerebrovascular events (OR 51.7; 95% CI 3.40-469.80, P=0.004) after controlling for age, sex, cardiovascular risk factors, wall thickness, and stenosis
<i>Song et al.57</i>	Prospective	155	US	Intraplaque neovascularization	Intraplaque neovascularization is associated with recurrent cerebrovascular events (HR 4.5, 95 % CI 1.9-10.90, P=0.001) independent of the severity of carotid stenosis (HR 3.5, 95 % CI 1.4-8.6, P=0.007)

Table 2: Overview of imaging features associated with the occurrence of cerebrovascular events and their preferred non-invasive imaging modalities.

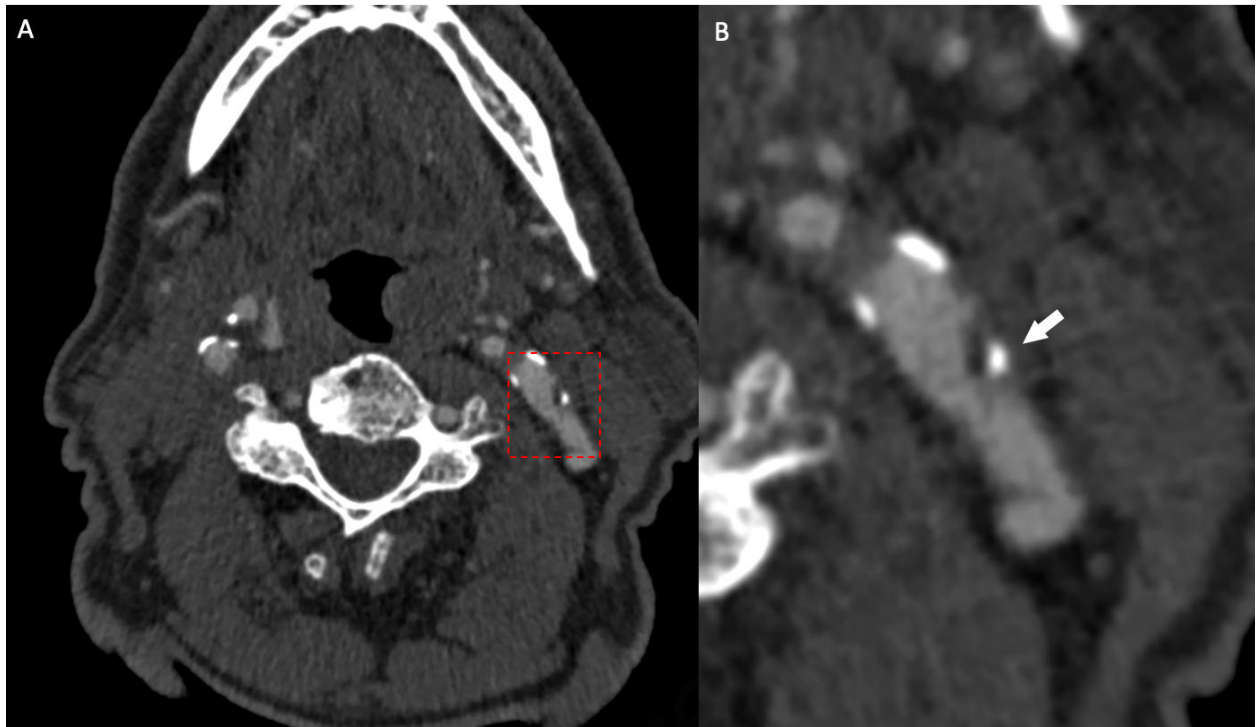
Carotid imaging features	Non-invasive imaging choice	Key imaging characteristics
Intraplaque hemorrhage	MRI	Hyperintense region area within the plaque, with or without association to the carotid lumen on T1w and TOF sequences. T2w sequence allows to further characterize IPH as early subacute (iso-/hypointense) and late sub-acute (hyperintense)

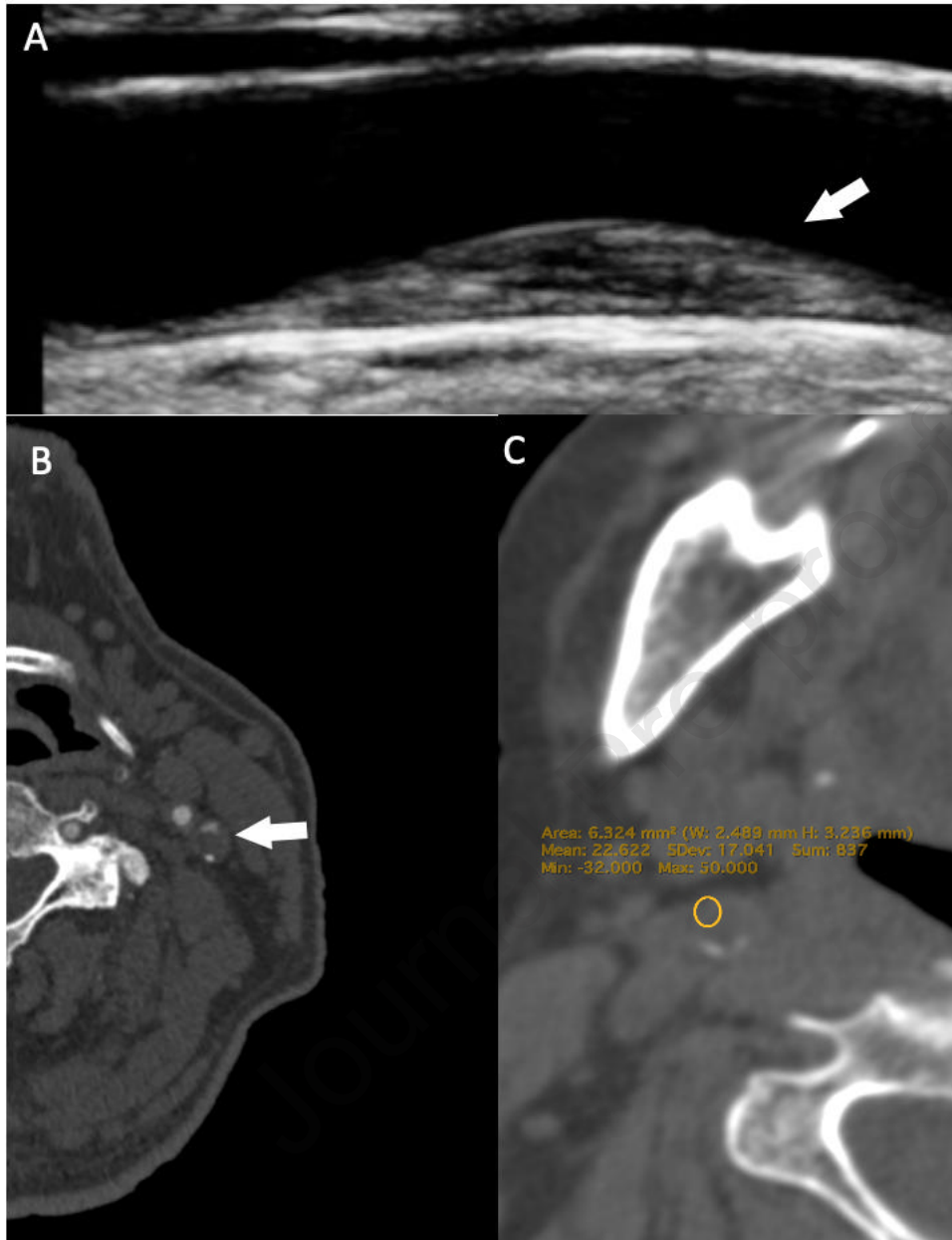
Intraluminal thrombus	CT	Intraluminal filling defect surrounded by the contrast agent.
Rupture of Fibrous cap	MRI	Disrupted hypointense band on T1w-CE with an irregular luminal surface on all images
Lipid rich necrotic core	MRI	Hypointense region on T2w sequences without enhancing region in the bulk of the plaque on T1w-CE .
Carotid web	CT	Shelf-shaped, linear, thin filling defect located along the posterolateral wall of the carotid bulb
Plaque ulcerations	CT	Contrast material that extends from the vascular lumen into the plaque, typically covering a distance of at least 1 mm
Plaque thickness	US, CT, MRI	All imaging modalities suitable. US represents first-line imaging modality due to its availability and feasibility
Intraplaque neovascularization	Routine clinical practice has not yet adopted non-invasive	Contrast-enhanced US represent the most validate non-invasive imaging

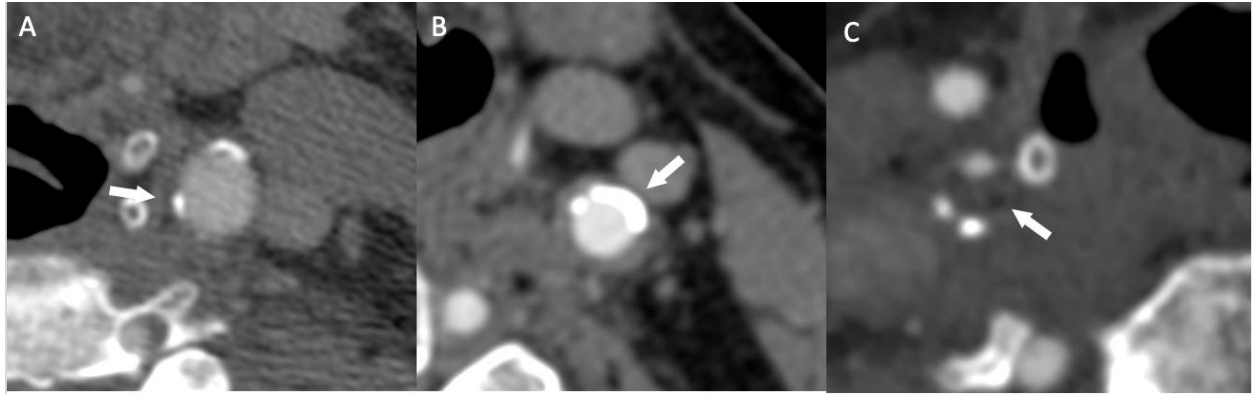
	imaging assessment of plaque neovascularization (CEUS)	modalities, showing intraplaque neovascularization as the presence of microbubbles within the plaque.
Calcifications	CT	High-attenuation Plaque, usually > 130 HU



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Figure legends

Figure 1: Example of IPH in the right internal carotid artery of a 67-years man with an ipsilateral ischemic stroke.

Panel A-D demonstrate non stenosing carotid atherosclerotic plaque with ulceration within the plaque (white arrow in panel A and panel C) and bright signal in T1w images (panel B) indicative of intraplaque hemorrhage, in particular, early subacute as highlight in T2w images (panel C).

Figure 2: Intraluminal thrombus observed in the left carotid artery of an 82 years old man with an ipsilateral ischemic stroke. CT shows an intraluminal filling defect in the left carotid artery indicative of a clot (panel A and B)

Figure 3: Examples of lipid rich necrotic core using US (panel A) and CT (Panel B-C). Panel A shows a non-stenosing carotid plaque with LRNC and thick fibrous cap in the left common carotid artery of a 62-years old woman with an ipsilateral ischemic stroke. Panel B demonstrates a low-attenuation, sub-occlusive plaque with a mean HU value of 43 HU in the left internal carotid artery resembling a LRNC, in a symptomatic 82-years old man. Panel C illustrates a low-attenuation, sub-occlusive plaque with a mean HU value of 22 HU in the right internal carotid artery of a 72-years old man with an ipsilateral ischemic stroke. However, due to the HU overlap between lipid and hemorrhage components, intraplaque hemorrhage cannot be excluded.

Figure 4: Examples of different types of carotid calcifications as demonstrated on CT.

Panel A demonstrates a carotid plaque with superficial calcifications (white arrow).

Panel B shows a carotid plaque with bulky calcification (white arrow)

Panel C displays a carotid plaque with positive rim sign (white arrow)

Figure 5: Example of a carotid web on CT and digital subtraction angiography before and after stent placement. Panel A shows the right internal carotid web near the bifurcation on CT coronal views. Panel B demonstrates the carotid web at the bifurcation with a filling defect and turbulent flow on the anteroposterior digital subtraction angiography view. Panels C and D exhibit the right carotid artery after stent placement in anteroposterior (C) and lateral (D) views

Table Legends

Table 1: overview of studies examining non-invasive imaging features and attributable risk for symptom development.

Table 2: Overview of imaging features associated with the occurrence of cerebrovascular events and their preferred non-invasive imaging modalities.