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## 5 **Pixel chamber: a solid-state active-target for 3D imaging** 6 **of charm and beauty**

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13 **ABSTRACT:** The aim of the Pixel Chamber project is to develop the first "solid-state bubble chamber"  
14 for high precision measurement of charm and beauty.

15 In this paper we will describe the idea for the first silicon active target conceived as an ultra-high  
16 granular stack of hundreds of very thin monolithic active pixel sensors (MAPS), which provides  
17 continuous, high-resolution 3D tracking of all of the particles produced in proton-silicon interactions  
18 occurring inside the detector volume, including open charm and beauty.

19 We will also discuss the high-precision tracking and vertexing performances, showing that the  
20 vertex resolution can be up to one order of magnitude better than state-of-the-art detectors like the  
21 LHCb one.

22 **KEYWORDS:** Detector modelling and simulations I, Particle tracking detectors

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24 **1 Introduction**

25 Modern vertex detectors are based on cylindrical or planar layers of silicon sensors, generally  
 26 immersed in a magnetic field. These detectors are used for precision measurements of the particles  
 27 produced in the interactions and, in particular, of the decay products of those with a long mean life,  
 28 such as open charm and beauty.

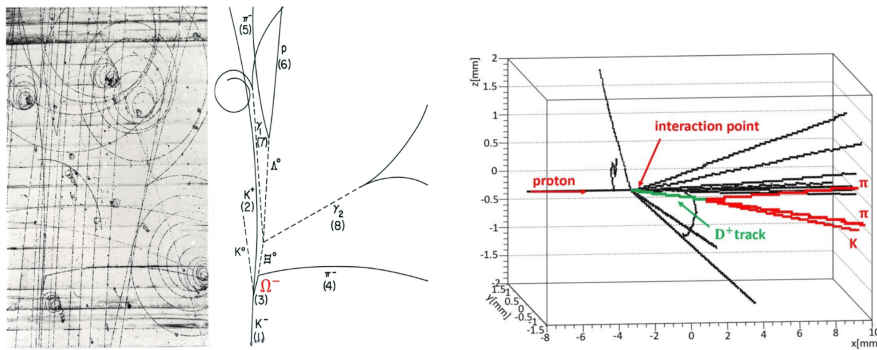
29 Since in this kind of detectors vertices are calculated by extrapolating tracks reconstructed  
 30 from hits in the tracking layers, it is important to have a very good spatial resolution and to be very  
 31 close to the interaction point. However, the distance between the interaction point and the trackers  
 32 poses an ultimate limitation in the achievable resolution of the vertex position.

33 In this regard, bubble chambers were very efficient active detectors with a good spatial resolution  
 34 ( $O(10\ \mu\text{m})$ ). E.g., strange particles were observed for the first time with a bubble chamber [?] (figure  
 35 ??, left). Nevertheless, these trackers had a low time resolution ( $O(\text{ms})$ ) which is not suitable for  
 36 experiments with a much larger event rate, such as modern experiments with higher event rates to  
 37 study rare processes like charm and beauty production.

38 A silicon-based active-target capable to image open charm and open beauty particles in 3D,  
 39 similar to a bubble chamber, does not exist. First ideas for such detectors were put forward almost  
 40 40 years ago [?], but the required technology became available only very recently.

41 This paper will describe the concept to build the first bubble chamber-like (figure ??, right)  
 42 high-granularity active-target based on silicon pixel sensors, called Pixel Chamber [? ?], capable  
 43 to perform continuous, high-resolution ( $O(\mu\text{m})$ ) 3D tracking. Pixel Chamber is conceived to be a  
 44 stack of hundreds of very thin monolithic active pixel sensors (MAPS).

45 We will then focus on the capabilities of the sensor in terms of tracks and vertex reconstruction.



**Figure 1.** Left: image of the  $\Omega$  discover with a bubble chamber [?]. Right: Geant4 simulation of p-Si interaction with the production of a  $D^+$  meson inside Pixel Chamber.

## 2 Pixel Chamber

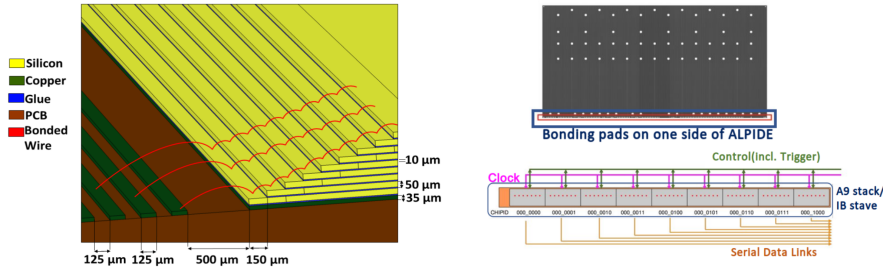
The most commonly used technology in the last 20 years in modern particle physics experiments is that of standard hybrid sensors. These sensors are characterized by an excellent time resolution and radiation hardness [? ? ], and the silicon sensor is bump-bonded on the readout chip with a total thickness of few hundreds of  $\mu\text{m}$ .

A monolithic pixel cell contains a charge collection zone deposited on a silicon substrate in a commercial CMOS technology: the front-end electronics is integrated in the pixel cell reducing considerably the thickness of the sensor ( $O(50 \mu\text{m})$ ) and the production cost. Moreover monolithic sensors have a very good spatial resolution (around few  $\mu\text{m}$ ).

For Pixel Chamber we propose to use the high-performance ALPIDE sensors developed for the last upgrade of ALICE vertex detector [? ].

This sensor chip is produced in the TowerJazz 180 nm CMOS imaging process and contains a matrix of  $1024 \times 512$  pixels [? ? ] (pixel size  $\sim 29 \times 27 \mu\text{m}^2$ ), with a thickness of  $50 \mu\text{m}$ . The pixel contains a deep p-well which prevents PMOS transistors from collecting charge. This allows complex in-pixel amplification, shaping, discrimination and buffering to be implemented within the pixel. The sensor is designed to work at 50 kHz interaction rate with Pb beams and several hundreds kHz interaction rate with proton beams (LHC running conditions). It features a moderate radiation hardness, at the level of fluences of  $10^{13} \text{n}_{\text{eq}}/\text{cm}^2$  and very low power consumption ( $\sim 40 \text{ mW}/\text{cm}^2$ ).

The basic unit of Pixel Chamber is a stack of 9 ALPIDE sensors called A9 stack shown in figure ??, left.

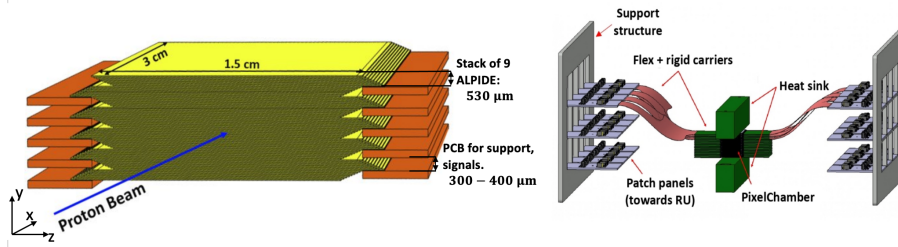


**Figure 2.** Left: the A9 stack scheme showing the wire bonding of the staggered sensors on a PCB. Right-top: bonding pads on ALPIDE. Right-bottom: clock, control and data signals from the A9 stack (the same as the ALICE ITS Inner Barrel stave [? ? ]).

The nine sensors are arranged in a staggered fashion with an offset of  $150 \mu\text{m}$  to provide the space for wire bonding of the sensor pads. The pads that provide access to the signal and power circuits of the sensor, reside on one side of the surface of the sensor along its length (figure ??, right-top). Between two sensors there will be a thin layer of  $10 \mu\text{m}$  thick of electrically insulating glue. The total thickness of the A9 stack is  $530 \mu\text{m}$ . The 9 ALPIDE sensors in the A9 stack have individual 1.2 Gbps serial data lines, a shared bi-directional differential control and monitoring line and a shared differential clock line (figure ??, right-bottom). The data, control, monitoring and clock signals are interfaced on a PCB through wire bonds.

75 Pixel Chamber is a set of 24 A9 stacks for a total of 216 sensors that form the complete stack  
 76 (thickness: 13 mm) along the scheme shown in figure ??, left. Considering the sensors offset, the  
 77 active chamber volume is  $30 \times 13 \times 10 \text{ mm}^3$ .

78 In Pixel Chamber, signal and power lines will be distributed by a combination of rigid and flex  
 79 PCBs. The rigid part will host the wire bonds and will extend 1.2 mm inwards from the periphery  
 80 of the first sensor of the A9 stack (figure ??, right). The flex PCBs is a continuation of the rigid  
 81 PCBs and will be connected to a patch-panel interfaced to the Readout Units, as shown in figure  
 82 ??, right.



**Figure 3.** Left: view of the Pixel Chamber stack. Right: Pixel Chamber integrated with flexible and rigid PCBs connected to patch-panels for interfacing with the readout system of ALICE ITS.

83 The detector performance was studied with Geant4 (G4) [? ]. The geometry has been  
 84 implemented to simulate a stack of 216 ALPIDE sensors. The reference system is shown in figure  
 85 ??, left: the x-axis defines the beam direction (figure ??, right), while the y-axis is directed vertically  
 86 along the stack.

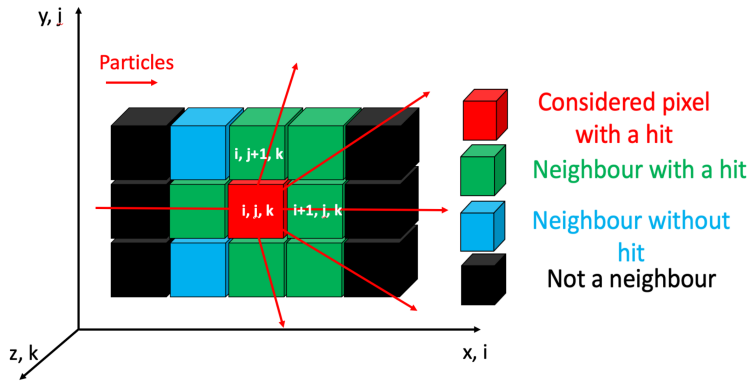
87 A beam of 400 GeV protons is sent towards the detector (figure ??, left, figure ??, right) to  
 88 obtain inelastic proton-Si interactions inside the sensor. Since G4 does not provide for the production  
 89 of charm particles in inelastic interactions, charm production has been simulated considering, for  
 90 instance,  $D^0$  or  $D^\pm$  mesons. Charm particles are produced in the interaction point (primary  
 91 vertex) according to kinematics parameters (rapidity and transverse momentum) evaluated with  
 92 POWHEG[? ].

93 From the G4 simulation, a dataset is obtained for particles produced in p-Si interactions,  
 94 including charm decay products. The dataset contains various information including the coordinates  
 95 of the center of the pixels crossed by a particle (hits) and useful information for the Monte Carlo  
 96 (MC) truth, such as momentum, energy, PDG code and production vertex of the particles that  
 97 generate a hit in the detector.

### 98 3 Track reconstruction algorithm

99 A track reconstruction algorithm based on hits density has been developed and tested with G4  
 100 simulations.

101 The first step of the tracking algorithm is the search of hit pixel neighbours. Pixel coordinates  
 102 are defined in terms of integer indices  $i, j, k$  along the  $x, y, z$  axes and a hit pixel is defined as a  
 103 neighbour of a given pixel if the discrete distance (in terms of indices) between them is 1 (figure  
 104 ??). This operation can require a long computational time so, to optimize it, hits are first ordered  
 105 by increasing the  $i$  index using the quicksorting algorithm [? ].



**Figure 4.** Illustration of pixels neighbours with index coordinates.

106 This density-based grouping is qualitatively similar to DBSCAN [? ]. However, to avoid that  
 107 tracks that originate from a common point (vertex) are merged to a single cluster, it is necessary to  
 108 apply an upper limit on the number of neighbors ( $N_{\text{neigh}}$ ). A hit is added to a cluster if  $1 < N_{\text{neigh}} < 4$   
 109 (figure ??), otherwise it is considered as a noise point.

110 At this point most of the tracks are split in small clusters which are then fit with a linear  
 111 track model. The coordinate errors in the fit are the expected standard deviation for a position  
 112 measurement with a digital pixel of a given pitch. The parameters vector obtained from the fit  
 113 contains the  $y, z$  coordinates of a reference point along the line and the  $y, z$  direction cosines  
 114 normalized to the  $x$ -direction cosine ( $\alpha, \beta$ ). These parameters are used to find compatible clusters  
 115 that should belong to the same track and should therefore be merged.

116 Two clusters are considered compatible and can be merged if they have compatible direction  
 117 cosines, their extreme points are closer than  $70 \mu\text{m}$  and the reduced  $\chi^2$  ( $\chi^2/ndf$ ) of the merge  
 118 resulting track is smaller than 1.5. With this first merge, many short clusters are merged to form  
 119 longer tracks.

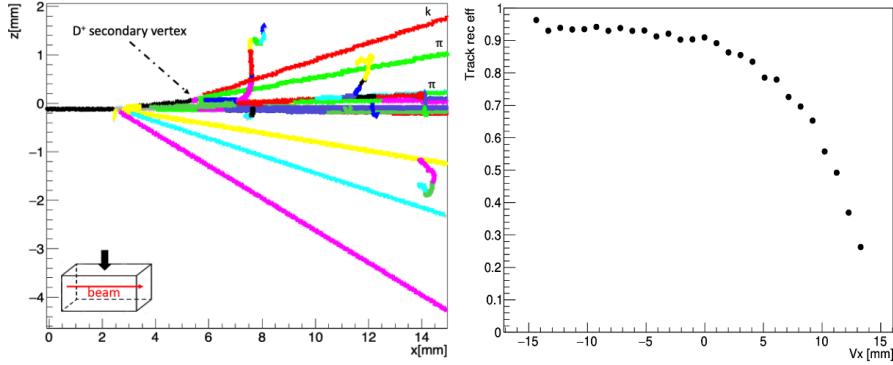
120 At this stage, many noise points can still be present. For this reason, clustering algorithm on  
 121 noise points is repeated with less stringent conditions on  $N_{\text{neigh}}$  (i.e.,  $1 < N_{\text{neigh}} < 5, 1 < N_{\text{neigh}} < 7$ ).

122 The merge procedure is then repeated until no clusters can be merged any more. The last step  
 123 of the reconstruction is to try to merge the residual noise points to the reconstructed tracks. A noise  
 124 point can be merged to a track if their distance is smaller than  $40 \mu\text{m}$  and if the  $\chi^2/ndf$  of the  
 125 resulting track is smaller than 2.5.

126 At the end of the reconstruction, most of the rectilinear, hadronic tracks are well reconstructed,  
 127 while non-rectilinear tracks are still split (figure ??, left). Non-rectilinear tracks are mostly due  
 128 to low energy particles, such as  $\delta$  electrons, and therefore of little interest. Some hadronic tracks  
 129 collinear with the beam proton are still broken too. The reason is that at very forward rapidity the  
 130 hit density is very high because tracks are very close to each other and therefore it is difficult to  
 131 perform a good reconstruction.

132 The track reconstruction efficiency is calculated using MC truth informations as the ratio of the  
 133 MC hadronic tracks that produce more than 50 hits and the number of reconstructed tracks com-  
 134 patible with them. In this case tracks are compatible if they have compatible direction cosines and

135 the the smallest distance between their extreme points is less than  $70 \mu\text{m}$ . The track reconstruction  
 136 efficiency is almost 80% (figure ??, right). However, if the interaction occurs close to the end of  
 137 the detector, hadronic tracks are short and difficult to resolve. For this reason we consider a cut to  
 138 exclude events where the interaction point is in the last 10 mm ( $V_x < 5\text{mm}$ ) of the detector. The  
 track reconstruction efficiency rises to more than 90%.



**Figure 5.** Left: display of the reconstructed tracks of one event with a proton-silicon inelastic interaction and  $D^+$  decay products tracks. Right: average track reconstruction efficiency, as a function of the interaction point;

139

## 140 4 Vertex reconstruction

### 141 4.1 Interaction point (primary vertex)

142 The algorithm for vertex reconstruction is based on a method used in several other experiments,  
 143 such as LHCb [?] and ALICE and earlier, CERES [?] and NA60.

144 The aim is to determine the  $x_v, y_v, z_v$  vertex coordinates, while the tracks are not refitted.

145 We define  $h_i$  the vector of the  $y_v, z_v$  coordinates.

146 The initial values of  $x_v, y_v, z_v$  (vertex seed) are set as the coordinates of the last point of proton  
 147 track which is reasonably close to the interaction point. The proton track is identified as the one  
 148 that begins at the entrance to the detector.

149 For each reconstructed track with more than 50 points ( $n_{\text{pts}} > 50$ ) and  $\chi^2/ndf < 2.5$ , the vector  
 150  $q_i$  of  $y, z$  coordinates corresponding to  $x_v$  is calculated based on the fitted parameters.

$$q_i = \begin{pmatrix} y_{0i} - (x_v - x_{0i})\alpha_i \\ z_{0i} - (x_v - x_{0i})\beta_i \end{pmatrix} \quad (4.1)$$

151 Using  $h_i, q_i$ , the impact parameter  $\chi_{\text{IP}}^2$  is evaluated:

$$\chi_{\text{IP}i}^2 = (q_i - h_i)^T V_i^{-1} (q_i - h_i) \quad (4.2)$$

152 where  $V_i$  is the track covariance matrix obtained from the track fit.

153 A weight  $W_T$  is assigned to each track on the basis of its  $\chi_{\text{IP}}^2$ . The weight depends on the ratio  
 154 between the  $\chi_{\text{IP}}^2$  and the so called Tukey constants  $C_T$  and is set to 0 if  $\chi_{\text{IP}}^2 > C_T$ .

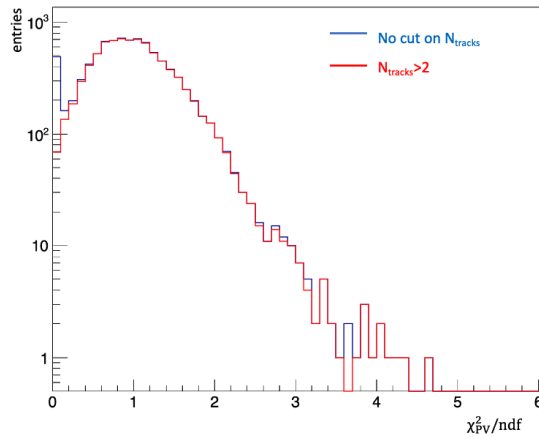
155 This allows to avoid to associate to the primary vertex tracks than could worsen the vertex  
 156 estimation.

157 The primary vertex  $\chi_{PV}^2$  is obtained summing each track  $\chi_{IPi}^2$  weighted by  $W_{Ti}$ , and it is then  
 158 minimized to obtain the vertex coordinates:

$$\chi_{PV}^2 = \sum_{i=1}^{n_{tracks}} \chi_{IPi}^2 W_{Ti} \quad (4.3)$$

159 The procedure is iterative and the  $\chi_{PV}^2$  is recalculated at each iteration for decreasing values of  
 160  $C_T$ . The initial value of  $C_T$  is set to  $10^6$  to avoid convergence in a local minimum. At each iteration,  
 161 the vector  $h_i$  is updated and the values of  $\chi_{IP}^2$  and  $W_T$  are recalculated. In this way, the tracks that in  
 162 a specific iteration had a weight equal to zero are retested and if their weight is different from zero  
 163 they contribute to the fit. The iteration is stopped when  $\chi_{PV}^2$  has converged to a stable value.

164 Figure ?? shows the distribution of the  $\chi_{PV}^2/ndf$ . There is a peak at zero due to primary vertices  
 165 with only one or two tracks. If the track multiplicity is 1 the interaction occurs at the end of the  
 166 detector and no track except the proton verifies the conditions necessary to be associated with the  
 167 vertex.



**Figure 6.**  $\chi_{PV}^2/ndf$  PV distribution. Blue: total distribution. Red: distribution requiring that the multiplicity of tracks associated to the primary vertex is bigger than 2.

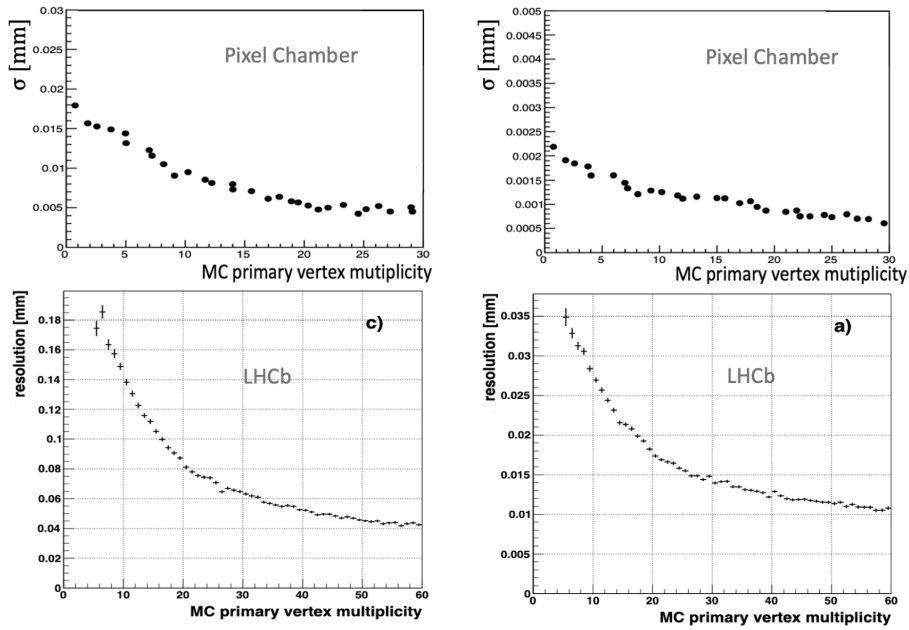
168 If we consider reconstructed vertices with  $\chi_{PV}^2/ndf < 2.5$  and track multiplicity bigger than 2,  
 169 the efficiency of the vertex reconstruction is 93%. Removing interactions occurred in the last 10  
 170 mm ( $V_x < 5\text{mm}$ ) of the detector, the reconstruction efficiency reaches 97%.

171 The resolutions on the vertex coordinates are obtained as the standard deviation of the residuals  
 172 calculated as the difference between the fitted vertex coordinates and the coordinates from the MC  
 173 truth. Resolutions are shown in fig ??, top as a function of the primary vertex track multiplicity  
 174 ( $n_{tr}$ ). They improve significantly for increasing  $n_{tr}$ . E.g. for  $n_{tr} > 2$ ,  $\sigma_x \sim 16\mu\text{m}$  and increases up  
 175 to  $5\mu\text{m}$  for  $n_{tr} > 25$ .

176 In figure ?? the vertex coordinates resolutions versus track multiplicity are shown and compared  
 177 to LHCb [? ]. Although the two experiments have very different setups and different beam energies,



178 it is interesting to observe that with Pixel Chamber it is possible to obtain resolutions that are about  
 179 one order of magnitude better than those obtained with LHCb.



**Figure 7.** Primary vertex resolutions as a function of the number of tracks associated to the primary vertex reconstructed in Pixel Chamber (top) and LHCb (bottom) [?] left panels show resolutions along the beam axes, right panels show resolutions along a transverse axis.

## 180 4.2 $D^0$ decay vertex

181 The algorithm used for the reconstruction of primary vertices has also been used to reconstruct  $D^0$   
 182 decay vertices, although with appropriate modifications. First the vertex fit is performed on pairs of  
 183 tracks (with  $n_{pts} > 50$  and  $\chi^2/ndr < 2.5$ ) as the  $D^0$  meson decays in two charged particles. The fit  
 184 is performed on all combinations of pairs of tracks not associated to the primary vertex. Secondly  
 185 the vertex seed is the closest point to the primary vertex of one of the two tracks under test.

186 The secondary vertex reconstruction is performed on all the events with a primary vertex with  
 187  $\chi^2/ndr < 2.5$ ,  $n_{tr} > 3$  and  $V_x < 5$ mm. Many secondary vertices can be found in each event and the  
 188  $D^0$  vertex candidate is selected as the closest to the reconstructed primary vertex.

189 Using MC truth informations, it is possible to obtain the efficiency of the  $D^0$  vertex reconstruc-  
 190 tion which is  $\sim 80\%$ .

191 There are many reasons for the presence of 20% of misidentified vertices: the secondary vertex  
 192 is very close to the interaction point and one or both tracks are incorrectly associated with the  
 193 primary vertex; it can happen that one or both tracks are broken and do not fit the requirements to  
 194 be used in the vertex fit.

195 The residuals distributions obtained as the difference between the MC and reconstructed vertex  
 196 coordinates are shown in figure ???. The resolutions on the secondary vertices coordinates are  
 197 the standard deviations of the residuals distributions (figure ??) and are 25  $\mu\text{m}$  along the beam  
 198 axis, 5 and 4  $\mu\text{m}$  along the two transversal axes. These resolutions show that the potentialities of



199 reconstruction of the secondary vertices of the  $D^0$  are excellent even if it is necessary to improve  
 further the algorithm.

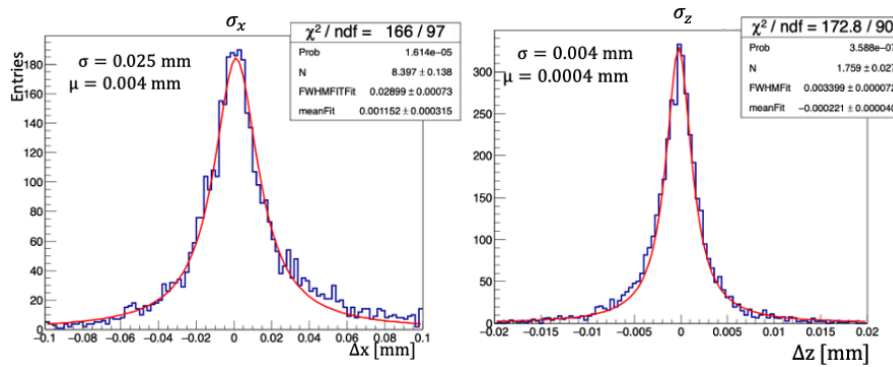


Figure 8. Residuals distributions for secondary vertices obtained as the difference between the MC and reconstructed vertex coordinates.

200

## 201 5 Conclusions and outlook

202 In this paper we described briefly the idea of Pixel Chamber, a three dimensions (3D) active target  
 203 pixel matrix. Simulations studies performed with Geant4 show that it is possible to obtain a high  
 204 efficiency for the reconstruction of hadronic tracks and the primary and secondary vertex inside the  
 205 detector. The position of the vertices can be measured with very high precision.

206 Track reconstruction could be further improved taking into account multiple scattering. This  
 207 will be done adding a Kalman filter fit to the algorithm. In addition, machine learning and neural  
 208 networks might also be used to improve tracks and vertices reconstructions.

209 We are currently exploring the possibility of adding a silicon telescope for momentum mea-  
 210 surements after Pixel Chamber. Momentum measurements together with the high precision with  
 211 which it is possible to determine the position of the vertices inside the sensor could allow to obtain  
 212 excellent results in the study of charm and beauty particles.

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