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#### ORIGINAL ARTICLE

# Durvalumab plus gemcitabine and cisplatin in advanced biliary tract cancer: An early exploratory analysis of real-world data

Margherita Rimini<sup>1,2</sup> | Lorenzo Fornaro<sup>3</sup> | Sara Lonardi<sup>4</sup> | Monica Niger<sup>5</sup> | Daniele Lavacchi<sup>6</sup> | Tiziana Pressiani<sup>7</sup> | Jessica Lucchetti<sup>8</sup> | Guido Giordano<sup>9,10</sup> | Andrea Pretta<sup>11</sup> | Emiliano Tamburini<sup>12</sup> | Chiara Pirrone<sup>13</sup> | Ilario Giovanni Rapposelli<sup>14</sup> | Anna Diana<sup>15</sup> | Erika Martinelli<sup>16</sup> | Ingrid Garajová<sup>17</sup> | Francesca Simionato<sup>18</sup> | Marta Schirripa<sup>19</sup> | Vincenzo Formica<sup>20</sup> | Caterina Vivaldi<sup>3,21</sup> | Enrico Caliman<sup>6</sup> | Mario Domenico Rizzato<sup>22,23</sup> | Valentina Zanuso<sup>24,7</sup> | Federico Nichetti<sup>5,25</sup> | Lorenzo Angotti<sup>8</sup> | Matteo Landriscina<sup>9,10</sup> | Mario Scartozzi<sup>11</sup> | Matteo Ramundo<sup>12</sup> | Alessandro Pastorino<sup>13</sup> | Bruno Daniele<sup>15</sup> | Noemi Cornara<sup>1,2</sup> | Mara Persano<sup>26</sup> | Eleonora Gusmaroli<sup>5</sup> | Riccardo Cerantola<sup>22,23</sup> | Francesca Salani<sup>3,27</sup> | Francesca Ratti<sup>28</sup> | Luca Aldrighetti<sup>28</sup> | Stefano Cascinu<sup>1,2</sup> | Lorenza Rimassa<sup>24,7</sup> | Lorenzo Antonuzzo<sup>6,29</sup> | Andrea Casadei-Gardini<sup>1,2</sup> |

#### Correspondence

Andrea Casadei-Gardini, Department of Medical Oncology, IRCCS San Raffaele Hospital, Via Olgettina n. 60, Milan, Italy. Email: casadeigardini.andrea@hsr.it

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#### Abstract

**Background:** The TOPAZ-1 phase III trial reported a survival benefit with the antiprogrammed death cell ligand 1 (anti-PD-L1) durvalumab in combination with gemcitabine and cisplatin in patients with advanced biliary tract cancer. The present study investigated the efficacy and safety of this new standard treatment in a real-world setting.

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**Methods:** The analysed population included patients with unresectable, locally advanced or metastatic adenocarcinoma of the biliary tract treated with durvalumab in combination with gemcitabine and cisplatin at 17 Italian centres. The primary endpoint of the study was progression-free survival (PFS), whereas secondary endpoints included overall survival (OS), overall response rate (ORR) and safety. Unadjusted and adjusted hazard ratios (HRs) by baseline characteristics were calculated using the Cox proportional hazards model.

**Results:** From February 2022 to November 2022, 145 patients were enrolled. After a median follow-up of 8.5 months (95% CI: 7.9–13.6), the median PFS was 8.9 months (95% CI: 7.4–11.7). Median OS was 12.9 months (95% CI: 10.9–12.9). The investigator-assessed confirmed ORR was 34.5%, and the disease control rate was 87.6%. Any

Margherita Rimini, Lorenzo Fornaro, Sara Lonardi and Monica Niger are Co-first authors Lorenza Rimassa, Lorenzo Antonuzzo and Andrea Casadei-Gardini are Co-last authors

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grade adverse events (AEs) occurred in 137 patients (94.5%). Grades 3–4 AEs occurred in 51 patients (35.2%). The rate of immune-mediated AEs (imAEs) was 22.7%. Grades 3–4 imAEs occurred in 2.1% of the patients. In univariate analysis, non-viral aetiology, ECOG PS >0 and NLR ≥3 correlated with shorter PFS.

**Conclusion:** The results reported in this first real-world analysis mostly confirmed the results achieved in the TOPAZ-1 trial in terms of PFS, ORR and safety.

#### KEYWORDS

cholangiocarcinoma, durvalumab, immunotherapy

#### 1 | INTRODUCTION

Biliary tract cancer (BTC) is a heterogeneous group of diseases with dismal prognosis and scarce therapeutic options.<sup>1-4</sup> Surgery, combined with chemotherapy, remains the only curative option, but, unfortunately, only one out of five patients diagnosed with BTC is eligible for surgical intervention at the time of presentation.<sup>5</sup> For patients diagnosed with locally advanced or metastatic disease, until recently, the only option was systemic platinumbased chemotherapy.<sup>6,7</sup> Recently, new highlights into the molecular profile of BTC have emerged, and several targetable genomic alterations have been described, with important therapeutic implications.<sup>1,8-11</sup> Moreover, in the last decades, immunotherapy has been investigated in several oncology settings, including BTC. Indeed, BTC is known to be associated with chronic inflammation, and preclinical evidence highlighted an increased expression of immune checkpoints, such as programmed cell death ligand 1 (PD-L1) and cytokine T-lymphocyte-associated protein 4 (CTLA-4) in the tumour microenvironment.<sup>12-14</sup> Early phase trials reported conflicting efficacy results with immune checkpoint inhibitors (ICIs) in previously treated BTC. Two previous early phase trials reported promising antitumor activity in advanced pretreated BTC with a manageable safety profile, with the most interesting results obtained in microsatellite instability-high/mismatch repair deficient (MSH-H/dMMR) patients,<sup>15,16</sup> thus leading to the United States Food and Drug Administration (FDA) approval of immunotherapy for this setting of patients after systemic treatment failure. The combination cisplatin/gemcitabine plus immunotherapy was firstly evaluated in a phase 2 trial which highlighted no significative differences in terms of ORR in patients who received only durvalumab compared to durvalumab plus tremelimumab.<sup>17</sup> Furthermore, a growing body of evidence highlighted an immunomodulatory effect induced by cytotoxic chemotherapy, including the combination of cisplatin plus gemcitabine, thus providing a strong rationale for combining chemotherapy with immunotherapy to improve survival outcomes.<sup>18,19</sup> Recently, results from the phase III randomized controlled TOPAZ-1 trial have been published. Overall, 658 patients with unresectable or metastatic BTC were randomly assigned to receive the anti-PD-L1 durvalumab or placebo in combination with cisplatin plus gemcitabine for up to eight cycles, followed by durvalumab or placebo as maintenance

#### Key points

- The present study investigated the efficacy and safety of durvalumab in combination with gemcitabine and cisplatin in a real-world setting.
- median PFS was 8.9 months, median OS was 12.9 months, ORR was 34.5% and DCR was 87.6%.
- Our data mostly confirmed the results achieved in the TOPAZ-1 trial in terms of PFS, ORR and safety, supporting the use of this combination in clinical practice.

treatment until disease progression or unacceptable toxicity. The median overall survival (OS) for patients receiving the combination of chemotherapy plus durvalumab was 12.8 months compared to 11.5 months for those receiving chemotherapy plus placebo, with a reduction in the risk of death of 20% in favour of the experimental arm.<sup>20</sup> The results of the TOPAZ-1 trial led to the FDA and European Medicines Agency (EMA) approval of cisplatin plus gemcitabine and durvalumab as the new first-line standard of care for patients with previously untreated unresectable or metastatic BTC. The survival outcomes reported in the TOPAZ-1 study are remarkable, and the evaluation of this new combination in terms of both efficacy and safety in a real-world setting remains crucial to better understanding the real value of this new therapeutic approach. We performed a multicentre retrospective analysis with the aim to investigate the efficacy and safety of this new first-line standard treatment in a real-word setting.

#### 2 | MATERIALS AND METHODS

#### 2.1 | Study Population

The overall population included patients with unresectable, locally advanced or metastatic adenocarcinoma of the biliary tract, including intrahepatic or extrahepatic cholangiocarcinoma and gallbladder carcinoma. Data were prospectively collected from 17 centres in Italy. Patients were treated with durvalumab combined with gemcitabine and cisplatin administered intravenously on a 21-day cycle for up to eight cycles. Durvalumab (1500 mg) was administered on day 1 of each cycle, in combination with gemcitabine (1000 mg/m<sup>2</sup>) and cisplatin (25 mg/m<sup>2</sup>), which were administered on days 1 and 8 of each cycle. After completion of up to eight cycles of gemcitabine and cisplatin, durvalumab monotherapy (1500 mg) was administered once every 4 weeks until clinical or imaging disease progression or until unacceptable toxicity.

As durvalumab was not approved by the EMA until 21 December 2022, and it is not yet reimbursed by the Italian Medicines Agency (AIFA), durvalumab was provided free of charge by AstraZeneca Italy for each individual patient at the request of the treating physician part of an early access program. AstraZeneca Italy had no role in planning this study, collecting or analysing patient data.

The present study was approved by the local Ethics Committee at each centre, complied with the provisions of the Good Clinical Practice guidelines and the Declaration of Helsinki and local laws, and fulfilled the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data (ID number DSAN854-A-OS/5).

#### 2.2 | Statistical analysis

The primary endpoint of the study was to evaluate progression-free survival (PFS) of the combination of durvalumab plus cisplatin and gemcitabine, in a cohort of patients treated outside of clinical trials.

PFS was defined as the time from the date of treatment initiation to the date of disease progression or death or last follow-up whichever occurred first. PFS was reported as median values expressed in months, with a 95% confidence interval (CI).

Secondary endpoints of the study were to evaluate OS, overall response rate (ORR) and safety of the combination of durvalumab plus cisplatin and gemcitabine, in a cohort of patients treated outside of clinical trials.

OS was defined as the time from the date of treatment initiation to the date of death.

ORR was assessed by the investigator and defined as the proportion of patients who achieved complete response (CR) or partial response (PR); disease control rate (DCR) was defined as the proportion of patients who achieved ORR or stable disease (SD).

Treatment response was evaluated by computed tomography (CT) and categorized as CR, PR, SD or progressive disease (PD) by local review according to Response Evaluation Criteria in Solid Tumors (RECIST) 1.1.

Adverse events (AEs) were graded according to National Cancer Institute Common Terminology Criteria for Adverse Events, version 5.0.

Survival curves were estimated using the product-limit method of Kaplan–Meier. The role of stratification factors was analysed with log-rank tests.

Unadjusted and adjusted hazard ratios (HRs) by baseline characteristics were calculated using the Cox proportional hazards model. -WILEY 1805

Categorical variables were compared using Fisher exact test. A p value of <.05 was considered statistically significant.

A MedCalc package (MedCalc® version 20.2) was used for statistical analysis.

#### 3 | RESULTS

From February 2022 to November 2022, 145 patients were enrolled at 17 Italian sites. Patient demographics and disease characteristics are reported in Table 1. At data cut-off (28 April 2023), the median duration of follow-up was 8.5 months (95% Cl: 7.9–13.6), 76 patients (52.4%) discontinued the treatment and 36 patients (24.8%) died.

Median PFS was 8.9 months (95% CI: 7.4-11.7). Median OS was 12.9 months (95% CI: 10.9-12.9) (Figure 1).

The investigator-assessed confirmed ORR was 34.5%. The percentage of patients achieving a confirmed CR was 4.8%, whereas PR was 29.6% and SD was 53.1% (Figure 2). DCR was 87.6%.

The median number of administered cycles was 6 with a range of 4–26 cycles, with 28 patients free of disease progression after 8 cycles of chemotherapy plus durvalumab, receiving subsequent maintenance therapy with durvalumab alone.

Any grade AEs occurred in 137 patients (94.5%). Grade 3 or 4 AEs occurred in 51 patients (35.2%) (Figure 3). The most common AEs were fatigue (59.2%), neutropenia (46.2%), anaemia (43.2%) and thrombocytopenia (34.3%) (Figure 4). The number of deaths due to treatment-related AEs (TRAEs) was 3 (2.2%), not related to immunotherapy. The rate of immune-mediated AEs (imAEs) was 17.1%. Grade 3 or 4 imAEs occurred in 2.7% of the patients (Figure 5). The rate of discontinuation of durvalumab due to AEs was 4.1%.

Approximately 55.3% of patients with disease progression received a further treatment; of these, 80.9% received 5-fluorouracil or capecitabine plus oxaliplatin, 7.1% received 5-fluorouracil plus irinotecan and 12.0% received other treatments (pembrolizumab, irinotecan, capecitabine or zanidatamab)

Neutrophil to lymphocyte (NLR) ratio and baseline alanine aminotransferase (ALT) levels were associated with objective response to treatment. Patients with NLR <3 had an ORR of 50.0% versus 31.0% in patients with NLR ≥3; patients with ALT within normal ranges had an ORR of 40.7% versus 20.4% in patients with elevated ALT (Figure S1). Conversely, high NLR and Eastern Cooperative Oncology Group performance status (ECOG PS) >0 correlated with PD. About 25.4% of the patients with NLR ≥3 had PD at the first CT scan versus 4.5% of patients with NLR <3 (p=.002). In addition, 23.2% of patients with ECOG PS >0 had progression at the first CT scan versus 8.2% of patients with ECOG PS =0 (p=.02).

In univariate analysis, absence of viral infection versus presence of viral infection (HBV and/or HCV positive) (Reference absence of viral infection p=.0273, HR 1.90, 95% CI: 1.07–3.36), ECOG PS >0 versus 0 (Reference ECOG 0 p=.0114, HR: 1.85, 95% CI: 1.15–2.98), NLR  $\geq$ 3 versus <3 (Reference <3 p=.0104, HR: 1.82, 95% CI: 1.15– 2.87) (Figure S2) correlated with worse outcome in terms of PFS. WILEY

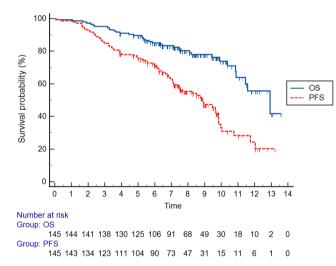
TABLE 1 Patient demographics and disease characteristics.

	N (%)
Characteristic	N=145
Gender	
Male	81 (55.9)
Female	64 (44.1)
Age at first-line therapy years	66 (range 35-84)
Primary tumour Site	
Intrahepatic	87 (60.0)
Extrahepatic	36 (24.8)
Gallbladder	22 (15.2)
Hepatitis	
Hepatitis B positive	15 (10.3)
Hepatitis C positive	6 (4.1)
Negative	124 (86.6)
Previous surgery	
Yes	41 (28.3)
No	104 (71.7)
Previous adjuvant therapy	
Yes	25 (18.1)
No	120 (81.9)
Drainage or stent	
Yes	44 (30.3)
No	101 (69.7)
Disease status	
Locally advanced	22 (15.2)
Metastatic	123 (84.8)
BMI	
Underweight	7 (4.8)
Normal weight	90 (62.1)
Overweight	48 (33.1)
ECOG PS	
0	85 (58.6)
>0	60 (41.4)
CA 19-9 median (range) UI/mL	98 (1-225744)
Within normal levels	48 (33.1)
>Normal levels	92 (63.4)
Not reported	5 (3.5)
CEA median (range) ng/mL	13.1 (0.2–1331)
Within Normal Levels	88 (60.7)
>Normal levels	52 (35.9)
Not reported	5 (3.4)
NLR	
<3	67 (46.2)
≥3	75 (51.7)
Not reported	3 (2.1)
Platelets	
<100000/mcL	2 (1.4)
≥100000/mcL	143 (88.6)

#### TABLE 1 (Continued)

Characteristic	N (%) N = 145
AST	
Within normal levels	79 (54.5)
>Normal levels	58 (40.0)
Not reported	9 (5.5)
ALT	
Within normal levels	86 (59.3)
>Normal levels	54 (37.2)
Not reported	6 (3.5)
Antibiotic therapy at first-line therapy	
Yes	17 (11.7)
No	128 (88.3)

Abbreviations: ALT, alanine transaminase; AST, aspartate aminotransferase; BMI, body mass index; ECOG PS, Eastern Cooperative Oncology Group performance status; NLR, neutrophil to lymphocyte ratio.





### 4 | DISCUSSION

To the best of our knowledge, the present analysis is the first realworld experience with durvalumab in combination with standard chemotherapy (cisplatin plus gemcitabine) as the first-line treatment in the advanced BTC setting. The positive results of the phase III TOPAZ-1 trial have significantly changed the treatment paradigm for advanced BTC since an ICI has been added for the first time to the therapeutic armamentarium for this complex disease. Indeed, for the first time, a palliative treatment achieved a median survival of more than 1 year in this setting, thus making the combination of durvalumab with chemotherapy with cisplatin and gemcitabine the new current standard of care. These results are even more interesting considering the poor prognosis of patients

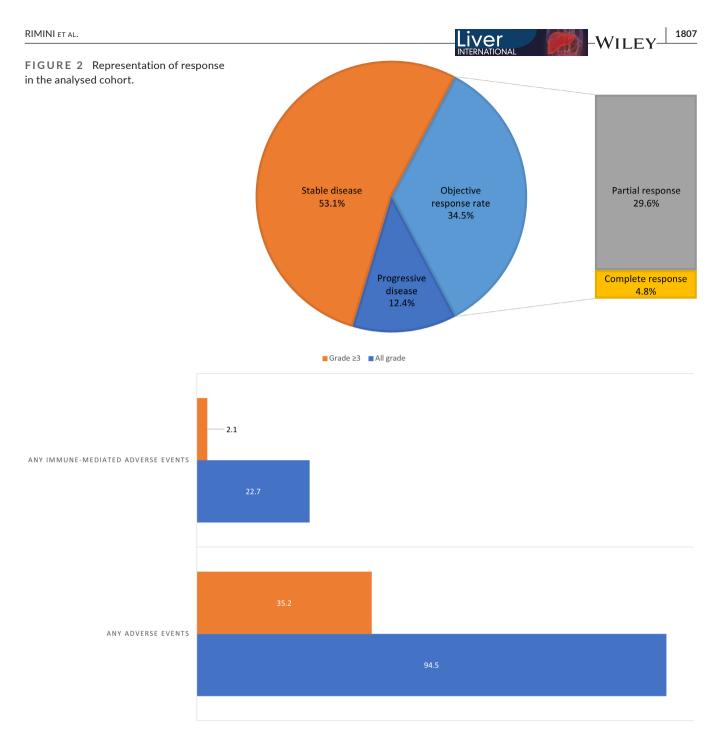


FIGURE 3 Incidence of grade ≥3 and any grade adverse events in the cohort.

with advanced BTC and the large proportion of patients who are diagnosed with advanced disease thus unsuitable for curative treatments. Moreover, the benefit of combining chemotherapy and immunotherapy in this setting has been recently confirmed by the KEYNOTE-966 phase III study of pembrolizumab plus cisplatin and gemcitabine.<sup>20</sup>

The results reported in this first real-world analysis mostly confirmed the results achieved in the TOPAZ-1 trial. In our analysis, after a median follow-up of 8.5 months, the combination of durvalumab with standard chemotherapy achieved a median PFS of 8.9 months, which is consistent with the median PFS of 7.2 months reported in the TOPAZ-1 trial. Similarly, the median OS was 12.9 months consistent with the median OS of 12.8 months reported in the phase III trial. In addition, ORR and DCR were 34.5% and 87.6%, compared to 26.7% and 85.3% in the TOPAZ-1 trial, respectively.<sup>21</sup>

In other words, the results achieved in a randomized controlled trial which enrolled patients with the best clinical characteristics have been confirmed in a real-world setting, thus confirming and reinforcing the data reported in the registration study. BTCs are aggressive tumours known to be characterized by a scarce response to treatment. In the last years, several efforts have been made to improve outcomes in the advanced setting. The ABC-02 trial reported an ORR of 26.1% and a DCR of 81.4% in patients with BTC receiving cisplatin plus gemcitabine,<sup>6</sup> and for more than 10 years, no first-line

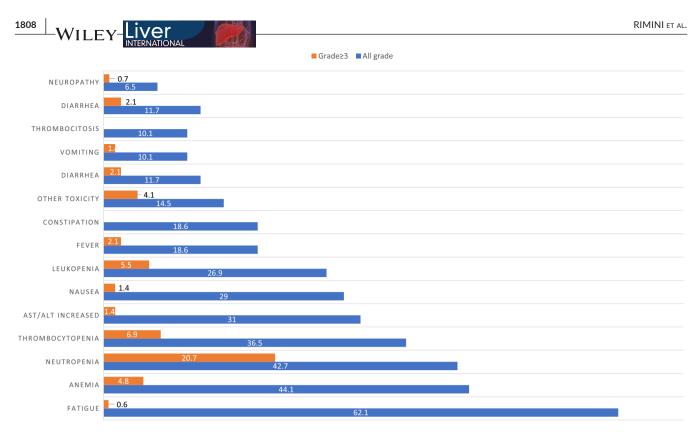


FIGURE 4 Most frequent adverse events in the analysed cohort.

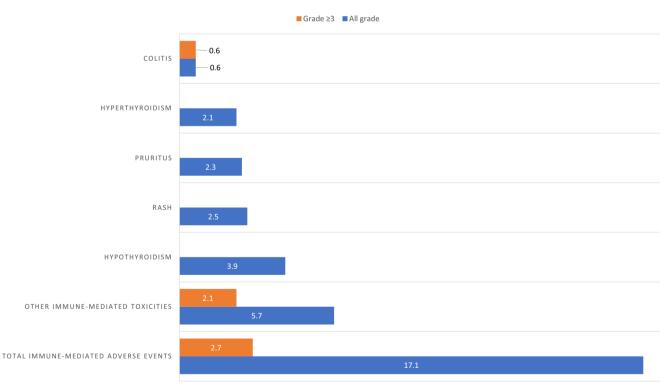


FIGURE 5 Most frequent immune-mediated adverse events in the analysed cohort.

regimen demonstrated to better perform. Further results from prospective randomized and non-randomized trials investigating both chemotherapy and targeted therapies in second- and third-line settings showed scarce response rates, except for the anti-FGFR2 pemigatinib and futibatinib which recently reported significantly high response rates in BTC patients carrying FGFR2 fusions/rearrangements.<sup>22,23</sup> Other immunotherapy combinations have been recently evaluated. Nivolumab and ipilimumab reached an ORR of 23% in a small phase II study,<sup>24</sup> whereas the combination of the TKI lenvatinib plus pembrolizumab tested on 32 individuals after progression to standard chemotherapy highlighted a PFS and OS of 4.9 and 11.0 months, respectively.<sup>25</sup> Interestingly, our analysis highlighted a correlation between baseline ALT levels (within normal ranges) and NLR (NLR <3) and better ORR, and the univariate analysis showed that ECOG PS >0, NLR ≥3 and absence of viral infection correlated with shorter PFS. With the introduction of ICIs in this setting, the research of biomarkers able to select patients who could benefit more from immunotherapy will become an urgent need in the near future; in the meanwhile, several observations on our results could be done.

Concerning ECOG PS, our results are not surprising, since ECOG PS >0 is a well-known negative prognostic factor in this setting. Indeed, ECOG PS has been previously shown to be a prognostic factor in retrospective analyses on heterogeneous cohorts of patients, including patients receiving first-line treatment with cisplatin and gemcitabine.<sup>26</sup> The prognostic role of NLR in our analysis is consistent with previous evidence, and it is of particular interest since it may pave the way for an as-yet unexplored line of research focused on biomarkers of response to immunotherapy in advanced BTC. NLR has been previously highlighted to have a prognostic role in patients with several solid malignancies receiving systemic treatment, mainly ICIs.<sup>27,28</sup> In the last decade, NLR has been widely recognized as a possible surrogate of systemic inflammatory status, since it includes the status of two immune populations (neutrophils and lymphocytes) with antithetic functions: the first one with a pro-inflammatory and carcinogenic function and the latter one with cytotoxic and antitumoral functions.<sup>29-31</sup> Recently, Tanaka and collaborators demonstrated a negative correlation between NLR and tumour-infiltrating CD8+ T cells, which have been previously highlighted to have a direct cell-killing effect, thus playing a crucial role in the anti-tumoural immune response.<sup>32,33</sup> In BTC, the crosstalk between cancer cells and host immune populations as well as the relationship between the systemic immune response and the tumour microenvironment has not been completely elucidated and several investigations are still needed.

In the same direction, of particular interest is the observed association between viral (HBV and/or HCV) infection and better prognosis. HCV and HBV infections have been demonstrated to be involved in cholangiocarcinoma (CCA) carcinogenesis, especially for intrahepatic CCA,<sup>34</sup> although the pathogenesis is not completely understood. Long-term expression of several viral oncoproteins is involved in tumorigenesis and in epithelial-mesenchymal transition in BTC cell lines, along with the chronic infection status and immune dysregulation associated with the presence of HBV and HCV infection.<sup>35-37</sup> In HCC a growing amount of evidence suggests a possible role of viral infection as a stratification factor,<sup>38–46</sup> even if without conclusive results so far. In our analysis, to the best of our knowledge, for the first time in BTC, a benefit in patients with viral aetiology who received immunotherapy has been highlighted. However, more evidence is needed to draw clinically useful conclusions.

Concerning the safety profile, we showed an overall incidence of any grade AEs of 94.5%, which is consistent with what was reported in the TOPAZ-1 trial, whereas the incidence of grades 3–4 AEs in our work was 35.2% compared to 75.7% in the TOPAZ trial.<sup>20</sup> Moreover,

we reported a slightly different safety profile with fatigue, neutropenia, anaemia and thrombocytopenia as the most frequent AEs, compared to anaemia, nausea, constipation and neutropenia, which were reported in the phase III trial. Of interest, the incidence of imAEs that we reported was consistent with the data from the TOPAZ-1 trial, even if considering only grades 3–4.

The shorter median follow-up of our analysis compared to that of the TOPAZ-1 trial could help explain the low incidence of grades 3–4 AEs and discontinuation rate, mainly in terms of bone marrow toxicities, which may occur later during treatment.

Our research presents several limitations, in particular, the multicenter nature of our work could represent a limit, since tumour assessment modalities as well as time points were not predefined by a centralized protocol but were under the decision of each physician. This variability could have influenced the PFS assessment. Also, the short median follow-up of the present analysis makes a future update after a longer follow-up time mandatory in order to confirm the achieved results.

In conclusion, we reported the first real-word experience on the use of cisplatin and gemcitabine plus durvalumab in clinical practice. Our data mostly confirmed the results achieved in the TOPAZ-1 trial in terms of PFS, ORR and safety, supporting the use of this combination in clinical practice.

#### AUTHOR CONTRIBUTIONS

Conception and design: Andrea Casadei-Gardini, Margherita Rimini, Lorenza Rimassa, Lorenzo Fornaro, Sara Lonardi, Lorenzo Antonuzzo, Monica Niger. Acquisition of data (acquired and managed patients): All authors. Analysis and interpretation of data: Andrea Casadei-Gardini, Margherita Rimini, Lorenza Rimassa, Lorenzo Fornaro, Sara Lonardi, Lorenzo Antonuzzo, Monica Niger. Writing, review and/ or revision of the manuscript: Andrea Casadei-Gardini, Margherita Rimini, Lorenza Rimassa, Lorenzo Fornaro, Sara Lonardi, Lorenzo Antonuzzo, Monica Niger. Final approval of manuscript: All authors.

#### AFFILIATIONS

<sup>1</sup>Medical Oncology Department, IRCSS San Raffaele Scientific Institute, Milan, Italy

<sup>2</sup>Department of Oncology, Vita-Salute San Raffaele University, Milan, Italy

<sup>3</sup>Medical Oncology, University Hospital of Pisa, Pisa, Italy

<sup>4</sup>Medical Oncology 3, Veneto Institute of Oncology IOV – IRCCS, Padua, Italy

<sup>5</sup>Medical Oncology Department, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan, Italy

<sup>6</sup>Clinical Oncology Unit, Careggi University Hospital, Florence, Italy

<sup>7</sup>Medical Oncology and Hematology Unit, Humanitas Cancer Center, IRCCS Humanitas Research Hospital, Rozzano (Milan), Italy

<sup>8</sup>Division of Medical Oncology, Fondazione Policlinico Universitario Campus Bio-Medico, Rome, Italy

<sup>9</sup>Unit of Medical Oncology and Biomolecular Therapy, Policlinico Riuniti, Foggia, Italy

<sup>10</sup>Department of Medical and Surgical Sciences, University of Foggia, Foggia, Italy

<sup>11</sup>Medical Oncology, University and University Hospital, Cagliari, Italy

 $^{12}\mbox{Department}$  of Oncology and Palliative Care, Cardinale G Panico, Tricase

City Hospital, Tricase, Italy

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<sup>13</sup>Medical Oncology Unit 1, Ospedale Policlinico San Martino – IRCCS, Genoa, Italy

<sup>14</sup>Department of Medical Oncology, IRCCS Istituto Romagnolo per lo Studio dei Tumori (IRST) "Dino Amadori", Meldola, Italy

<sup>15</sup>Medical Oncology Unit, Ospedale del Mare, Napoli, Italy

<sup>16</sup>Medical Oncology Unit, Department of Precision Medicine, Università Degli Studi Della Campania "Luigi Vanvitelli", Naples, Italy

<sup>17</sup>Medical Oncology Unit, University Hospital of Parma, Parma, Italy

<sup>18</sup>Department of Oncology, San Bortolo General Hospital, Azienda ULSS8 Berica, Vicenza, Italy

<sup>19</sup>Medical Oncology Unit, Department of Oncology and Hematology, Belcolle Hospital, Viterbo, Italy

<sup>20</sup>Medical Oncology Unit, Department of Systems Medicine, Tor Vergata University Hospital, Rome, Italy

<sup>21</sup>Department of Translational Research and New Technologies in Medicine and Surgery, University of Pisa, Pisa, Italy

<sup>22</sup>Medical Oncology 1, Veneto Institute of Oncology IOV – IRCCS, Padua, Italy

<sup>23</sup>Department of Surgery, Oncology and Gastroenterology, University of Padua, Padua, Italy

<sup>24</sup>Department of Biomedical Sciences, Humanitas University, Pieve Emanuele (Milan), Italy

<sup>25</sup>Computational Oncology, Molecular Precision Oncology Program, National Center for Tumor Diseases (NCT) and German Cancer Research Center (DKFZ), Heidelberg, Germany

<sup>26</sup>Oncology Unit, San Martino Hospital, Oristano, Italy

<sup>27</sup>Institute of Interdisciplinary Research "Health Science", Scuola Superiore Sant'Anna, Pisa, Italy

<sup>28</sup>Hepatobiliary Surgery Division, Vita-Salute San Raffaele University, IRCCS San Raffaele Scientific Institute, Milan, Italy

<sup>29</sup>Department of Experimental and Clinical Medicine, University of Florence, Florence, Italy

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#### CONFLICT OF INTEREST STATEMENT

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#### INSTITUTIONAL REVIEW BOARD STATEMENT

The Ethical Review Board of each Institutional Hospital approved the present study. This study was performed in line with the principles of the Declaration of Helsinki.

#### INFORMED CONSENT STATEMENT

Written informed consent for treatment was obtained for all patients.

#### ETHICS STATEMENT

The study was conducted in accordance with the Declaration of Helsinki, and the protocol was approved by the Ethics Committee of each institution involved in the project. Under the condition of retrospective archival tissue collection and patients' data anonymization, our study was exempted from the acquisition of informed consent from patients by the institutional review board.

#### DATA AVAILABILITY STATEMENT

Data that support the findings of this study are available from the authors upon request.

#### ORCID

Margherita Rimini b https://orcid.org/0000-0002-4047-2585 Ilario Giovanni Rapposelli b https://orcid. org/0000-0003-1802-5671 Federico Nichetti b https://orcid.org/0000-0001-8044-4207 Francesca Ratti b https://orcid.org/0000-0002-8108-9064 Lorenza Rimassa b https://orcid.org/0000-0001-9957-3615

Andrea Casadei-Gardini D https://orcid.org/0000-0001-6289-7202

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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