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DOI: 10.1177/0734242X221103940

1 DARK FERMENTATIVE VOLATILE FATTY ACIDS PRODUCTION FROM

2 FOOD WASTE - A REVIEW OF THE POTENTIAL CENTRAL ROLE IN WASTE

3 BIOREFINERIES

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18 Abstract

- 19 Volatile fatty acids (VFAs) are high-value chemicals that are increasingly
- 20 demanded worldwide. Biological production via food waste (FW) dark

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21 fermentation (DF) is a promising option to achieve the sustainability and 22 environmental benefits typical of biobased chemicals and concurrently manage 23 large amounts of residues. DF has a great potential to play a central role in waste 24 biorefineries due to its ability to hydrolyse and convert complex organic 25 substrates into VFAs that can be used as building blocks for bioproducts, 26 chemicals, fuels. Several challenges must be faced for full-scale implementation, 27 including process optimization to achieve high and stable yields, the development 28 of efficient techniques for selective recovery, and the cost-effectiveness of the 29 whole process. This review aims to critically discuss and statistically analyse the 30 existing relationships between process performance and the main variables of 31 concern. Moreover, opportunities, current challenges, and perspectives of a FW-32 based and fermentation-centred biorefinery layout are discussed.

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Keywords: acidogenic fermentation; waste derived VFAs; bioenergy; biobased
 products; integrated bioprocesses

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1. INTRODUCTION

Approximately a third of the food globally produced for human consumption (1.3 billion tons of wasted food, either edible or non-edible) is lost every year throughout the supply chain, from agricultural production to final household

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41 consumption (Sharma et al., 2020). In the European Union (EU), about 88 million 42 tonnes of food (an estimated 20% of the whole production) are wasted every 43 year, equivalent to about 173 kg per capita in the EU-28, according to the most 44 recent data published by Eurostat. In the absence of adequate prevention and 45 minimization measures, these figures are expected to increase, especially if the 46 global population and food overproduction continue to expand.

47 In the present work we refer to the food waste (FW) that is produced at the end 48 of the food supply chain, which typically occurs in the retail and consumption 49 stages and does not include residues from the agri-food system. The 50 management of increasingly large amounts represents a serious issue from an 51 economic, social, and ethical point of view. Valuable resources are wasted, often 52 asymmetrically with respect to the needs to be met. Waste avoidance represents 53 the optimal solution for FW, more than for any other type of waste, as it also has 54 an obvious strong ethical significance. However, although initiatives are 55 multiplying related to the optimization of food production, transport and sales, as 56 well as to increase the awareness of consumers towards unnecessary 57 purchasing, the production of nonedible residues is inevitable along the entire 58 chain (Teigiserova et al., 2019). FW production must be adequately balanced by 59 controlling potential impacts and, from a circular economy perspective, by 60 optimizing resource recovery both guantitatively and gualitatively to approximate 61 the concept of zero waste and to make recovered products attractive on the 62 market, respectively. These objectives can be achieved through an appropriate 63 integration of processes to pre-treat, simplify, and convert the residual organic 64 matter. In this respect, the concept of waste biorefinery represents the technical 65 solution, as well as an even more sustainable evolution of the original biorefinery 66 concept and, lastly, the link between bioeconomy and circular economy promoted 67 by EU policies (Dahiya et al., 2018; Moretto et al., 2020; Patel et al., 2019; 68 Strazzera et al., 2018). Waste biorefineries may represent the transition toward 69 more innovative strategies for FW valorisation, beyond traditional options such 70 as biogas/biomethane production and composting, aiming at converting organic 71 substrates into high-value products or building blocks. Among recoverable 72 products, volatile fatty acids (VFAs) may be attractive because of increasing 73 market demand. VFAs are found to be used in a wide range of applications such 74 as food and beverage, animal feed, pharmaceuticals, personal care and 75 cosmetics, lubricants, and agriculture. The global market demand is expected to 76 grow at a CAGR (Compound Annual Growth Rate) of 4.6% over the 2019-2025 77 period and to reach a value of 9.9 billion € by 2025 (Market Research Report, 78 2021). Among VFAs, propionic acid has the highest market price $(2.0-2.5 \in \text{kg}^{-1})$, 79 followed by butyric and caproic acids (1.5-1.6 € kg⁻¹) and acetic acid (0.4-0.8 € 80 kq⁻¹) (Atasoy et al., 2018); acetic acid will arguably dominate global demand as it 81 finds wide applications in food storage and packaging industry (Atasoy et al., 2018). Currently, commercial production of VFAs mostly relies on chemical 82 83 routes through oxidation or carboxylation of chemical precursors from petroleum 84 processing, but low-cost biological production is seen as a sustainable 85 alternative. VFAs can be synthesized from organic waste streams, even in a 86 heterogeneous mixture, by mixed microbial cultures (MMC) performing hydrolysis 87 and acidogenic fermentation processes such as dark fermentation (DF) 88 (Bastidas-Oyanedel et al., 2015; Garcia-Aguirre et al., 2017; Garcia et al., 2018; 89 Moretto et al., 2019). The use of MMC removes the need for preliminary biomass 90 selection (as otherwise required in biorefineries that target a single product), thus 91 reducing operating costs, facilitating process control, and, in turn, making the 92 production of waste-derived VFAs potentially feasible. From a qualitative point of 93 view, the high content of carbohydrates makes a residue potentially attractive for 94 the production of carboxylic acids (Chen et al., 2013a; Yin et al., 2016). Food 95 waste is, besides proteins- and lipids-, a carbohydrate-rich source that can be 96 converted to gaseous and soluble bioproducts (Alibardi and Cossu, 2016); this 97 figure, together with the wide availability and the continuous amounts generated, 98 makes FW an attractive substrate for biorefineries (Alibardi et al., 2020; Dahiya 99 et al., 2018; Moretto et al., 2020; Strazzera et al., 2018; Zhou et al., 2018).

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100 The present review aims at discussing the central role of DF and VFA production
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101 in a FW-based biorefinery. Although various reviews on the production of VFAs 102 have been published, they have typically focused on a range of different 103 substrates (among the others: Atasoy et al., 2018; Lee et al., 2014; Sekoai et al., 104 2021); this complicates data analysis and the identification of the optimal 105 operating conditions. We have specifically oriented this survey to FW, so to build 106 a thorough database of the available data and critically analyse the influence of 107 the main parameters that govern the process. More than 170 related studies, 108 mostly published during the last years, were consulted (Scopus search, keywords) 109 used: "biorefinery", "dark fermentation", "acidogenic fermentation", "volatile fatty 110 acids" and "food waste") and screened for reliability and consistency. Information 111 on operating conditions was provided and processed using statistical methods to 112 identify the optimal region for VFA production. In Chapters 6 and 7, the VFAs 113 separation processes and the most interesting applications are reported to make 114 the reading more comprehensive. The discussion culminates the proposal of a 115 layout for a fermentation-centred FW biorefinery and of the related opportunities, 116 current challenges, and perspectives.

117 2. DARK FERMENTATION - MAIN METABOLIC PATHWAYS

118 Under appropriate conditions, microorganisms can convert organic substrates 119 into gaseous products, mainly H₂ and CO₂, and a mix of VFAs and reduced end 120 products, including alcohols (De Gioannis et al., 2017). Dark fermentation of 121 organic substrates has been widely studied, mainly with a focus on H₂ production 122 (Dong et al., 2009; Nathao et al., 2013), while fewer studies have specifically 123 targeted VFA production. In fact, although the volumetric production of H₂ from 124 FW can reach values of 1.7–5.6 N I H₂ I_{reactor}⁻¹ (De Gioannis et al., 2013), the H₂ generated represents no more than 3-4% w w⁻¹ of the total substrate mass 125 consumed, while VFAs account for ~65% w w⁻¹ of the degraded organic matter 126 127 (Bastidas-Oyanedel et al., 2015). It would therefore make sense if VFAs, as well 128 as H_2 , were the target fermentation products, from an integrated fermentation-129 centred biorefinery perspective (Atasoy et al., 2018; Dahiya et al., 2015; 130 Strazzera et al., 2018). The recovered H₂ could be separated from the CO₂ and 131 used as a stand-alone energy carrier or mixed with CH₄ to obtain the gaseous 132 fuel known as hythane (Roy and Das, 2016), or as a chemical for CO₂ reduction 133 to produce biomethane through the hydrogenotrophic pathway that would be 134 compatible with the recovery of VFAs (Aryal et al., 2018). However, the 135 production of organic acids presents additional challenges because the range of 136 soluble products is much broader than that of gaseous ones, and complex 137 separation and purification are required in view of commercial use (Arslan et al., 138 2016). Fermentation of a complex substrate such as FW involves the 139 spontaneous onset of multiple metabolic pathways, especially if the process 140 relies on autochthonous microbial consortia, resulting in the generation of a wide

range of products, including acetate, propionate, butyrate, ethanol, H₂, and CO₂ (Zhou et al., 2018). The type of prevailing pathway depends on the fermentation conditions (mainly temperature and pH), but also on substrate composition and nature of the involved microbial consortia. The main metabolic pathways during DF can be summarized as acetate and butyrate-type, propionate-type, mixedacid, acetate-ethanol type, lactate-type and homoacetogenic fermentation routes, as reported in Table 1 (Equations 1-8).

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149 Table 1 here
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As shown in Figure 1, pyruvate is a branch point intermediate that can be converted to acetyl-coenzyme A (CoA) leading to the formation of acetate and butyrate through two analogous pathways (Chen et al., 2013a).

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155 Figure 1 here

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Equations (1) and (2) summarise the stoichiometric relationships between the fermentable sugars (glucose) generated from carbohydrates by hydrolytic bacteria and acetate and butyrate as fermentation products. The chemical reactions are catalysed by acid-forming enzymes taking part in short-chain fatty

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161 acids (SCFAs) production. More in detail, four enzymes play critical roles in the 162 production of acetic and butyric acids (Zhu and Yang, 2004): acetyl-CoA and 163 butyryl-CoA are first converted to acetyl phosphate and butyryl phosphate by 164 phosphotransacetylase (PTA) and phosphotransbutyrylase (PTB), which are 165 further converted to acetate and butyrate by acetate kinase (AK) and butyrate 166 kinase (BK), respectively. Acetate can be produced not only from pyruvate 167 through the acetyl-CoA pathway, but also from the oxidation of ethanol or longer 168 chain fatty acids (C3 and above) through the action of syntrophic bacteria (H₂-169 producing acetogenic bacteria). Indeed, although ethanol could be produced 170 during fermentation of organic materials according to Eq. (5), with acetaldehyde 171 as intermediate, it is not considered a common DF product of FW (Zhou et al., 172 2018). Acetate can also be produced by a group of obligate anaerobe bacteria 173 called homoacetogens that use H₂ as an electron donor to reduce CO₂ to acetate 174 according to the homoacetogenic fermentation pathway (Eq. (6)) (CataSaady, 175 2013).

Propionate could be produced through two distinct pathways: from reduction of pyruvate by propionate dehydrogenase with lactate as the intermediate (Lee et al., 2008), or through carboxylation of pyruvate to form oxaloacetate then reduced to propionate through malate, fumarate, and succinate, with succinyl-CoA, methylmalonyl-CoA, and propionyl-CoA as intermediates (Ciani et al., 2008). 181 When propionate-type fermentation is dominant, one mole of glucose could 182 theoretically generate two moles of propionate (Eq. (3)), but anaerobic 183 microorganisms commonly ferment glucose to propionate along with acetate and 184 CO₂ (Zhu et al., 2009).

185 It is worth pointing out that H₂ is always the accompanying product in the acetate 186 and butyrate-type metabolic pathway, whilst the propionate-type is a neutral or 187 H₂-consuming fermentation pathway, and homoacetogenesis consumes H₂. 188 Regarding the lactate-type metabolic pathway, two key enzymes are involved: 189 lactate dehydrogenase (LDH), which produces lactate from pyruvate, and NAD-190 independent LDH (iLDH), which is responsible for producing pyruvate from 191 lactate. The lactate-producing process can be divided into two fermentation 192 types: i) homolactic fermentation, according to which 2 mol of pyruvate are 193 produced from the glycolysis of glucose and then reduced to two moles of lactic 194 acid (Eq. (7)) and ii) heterolactic fermentation, in which one mole of lactic acid is 195 produced along with CO₂ and ethanol (or acetate) (Eq. (8)) (Castillo Martinez et 196 al., 2013). Numerous studies reported high VFA yields and concentrations ranging from 0.04 g L⁻¹ to 41 g_{COD} L⁻¹ achievable through FW dark fermentation 197 198 (Fig. 3). Although VFA mixtures are typically obtained, selective VFA production 199 might be achieved by promoting specific metabolic routes. In this respect, 200 different yields and relative proportions between VFAs are achievable by properly

201 setting the main operating parameters such as pH, temperature, hydraulic 202 retention time (HRT) and organic loading rate (OLR) (De Gioannis et al., 2013b; 203 Feng et al., 2011; Jiang et al., 2013; Wang et al., 2014). However, relatively little 204 information is available on the influence of such parameters on VFA production, 205 as most literature studies targeted H₂ rather than VFA production, and further 206 variables must be considered such as substrate composition, presence of co-207 substrates, type of inoculum and applied pre-treatment, reactor type and mode 208 of operation. DF is a complex process, especially when performed on complex 209 substrates that carry native microorganism populations. Due to the intricate 210 interrelations among the above-mentioned factors, the optimization of the 211 process requires a deep understanding of the metabolic pathways and the effects 212 of the main factors and operating parameters for maximizing VFA production.

213 3. ORIENTING DARK FERMENTATION TOWARDS VFA PRODUCTION – 214 CRITICAL FACTORS

215 **3.1 Substrate composition**

FW is essentially composed by three groups of macromolecules (carbohydrates, proteins and lipids), which can influence both the amount and the chemical composition of the VFAs produced through DF (Strazzera et al., 2018). Carbohydrates are easily hydrolysed into monomeric sugars that can be readily fermented to VFAs (De Gioannis et al., 2013b; Shen et al., 2017). The use of 221 more concentrated carbohydrate-rich substrates has been reported to increase 222 total acid production in neutral pH ranges (Arslan et al., 2016). Proteins may 223 enhance the fermentation process by providing nutrients for microbial growth. 224 However, FW protein hydrolysis is considered a rate limiting step during 225 acidogenic fermentation (Shen et al., 2017) and, as previously observed by Feng 226 et al. (2009) and Shen et al. (2014), the production of VFAs from protein-rich 227 substrates is lower compared to carbohydrates, due to inhibition of microbial 228 activity caused by the accumulation of free ammonium. Lipids, whose hydrolysis 229 produces glycerol and long-chain fatty acids (LCFA), are less prone to 230 fermentation than carbohydrates, because of lower solubility and slower 231 biodegradation kinetics and represent the substrate of major concern during the 232 acidogenic reactions. In fact, as reported by Dong et al. (2009), LCFAs can 233 adhere to the cellular wall, affecting the transport of nutrients, and, 234 consequentially, inhibiting the metabolism of bacteria. Concerning the final 235 distribution of fermentation products, it is generally reported that the degradation 236 of carbohydrate-rich substrates leads mainly to the production of acetic, butyric, 237 and propionic acids (Cappai et al., 2014; Alibardi and Cossu, 2016; Arslan et al., 238 2016; Yin et al., 2016), while the production of valeric and isovaleric acids is 239 supported by protein-rich substrates such as meat and bone meal (Garcia-240 Aguirre et al., 2017; Shen et al., 2014). Although a clear influence of the substrate 241 type on the final product composition has been recognized, it is difficult to 242 establish a clear correlation. Alibardi and Cossu (2016) found that carbohydrate 243 content was the main factor that influenced butyrate production, which was found 244 to be comparable to acetate, with a butyrate-to-acetate-ratio > 0.8. Shen et al. 245 (2017) investigated two types of protein-rich substrates (tofu and egg white) as a 246 source of VFAs and found that valeric acid represented 18-25% of total VFA 247 produced, being the second highest after acetic acid. The correlation between a 248 reduction in the carbon/nitrogen (C/N) ratio and a metabolic shift from VFA 249 production to solvent production (e.g. ethanol) was observed by Lin and Lay 250 (2004). Few studies have been reported in the literature on lipid-rich substrates. 251 Propionic acid production appears to be mainly supported by glycerol-rich waste 252 streams, but the results are controversial and probably influenced by the operating conditions adopted (Shen et al., 2014; Silva et al., 2013). In this regard, 253 254 Jankowska et al. (2017) observed that different substrates lead to a similar 255 spectrum of products in MMC and stated that the substrate characteristics barely 256 influence the distribution of VFAs compared to other process parameters such as 257 pH. The large variability of data reported in the scientific literature in terms of VFA 258 concentration and distribution is likely to depend also on the complexity, 259 heterogeneity, geographical and seasonal variability of FW composition (Feng et 260 al., 2009). This implies that the combined effect of substrate characteristics and operating conditions must be systematically investigated to identify optimal
 conditions that maximize production yield and orient the VFA distribution (Atasoy
 et al., 2018; Lee et al., 2014).

264 **3.2 Inoculum source**

265 Sewage activated sludge from municipal wastewater treatment plants are widely 266 used as inocula for dark fermentation of FW (Chen et al., 2013a; Cappai et al., 267 2014; Feng et al., 2011; Wu et al., 2016) and anaerobic sludge (Arras et al., 2019; 268 Dahiya et al., 2015; Garcia-Aguirre et al., 2017; He et al., 2019; Jiang et al., 2013; 269 Wang et al., 2014; Yin et al., 2014). As mentioned previously, the use of MMC 270 would be more advantageous on the industrial scale than pure cultures: as 271 sterilization would not be required, a wider range of complex substrates could be 272 treated due to a higher diversity of enzymes (Deng and Wang, 2016), and overall 273 process costs would be reduced (Bastidas-Oyanedel et al., 2015). The bacteria 274 most commonly involved in DF are the obligate anaerobes of *Clostridium sp.*, 275 effective in converting a wide range of carbohydrates with high H₂ and organic acid 276 yields, or the facultative anaerobes of Escherichia coli and 277 *Enterobactericeae sp.*, although characterized by a lower H₂ yield (O-Thong et 278 al., 2018). To enhance VFA production, fermentative bacteria should be selected 279 from the inoculum and the activity of methanogens suppressed by appropriately 280 adjusting operating parameters such as pH and hydraulic retention time (as better

281 described in Sect. 3.4), applying thermal or pH shock pre-treatment (Cappai et 282 al., 2014; Lin and Lay, 2004) or using chemical inhibitors of methanogenesis (Liu 283 et al., 2011). However, inoculum pre-treatments could affect the economic 284 viability of the process and require careful consideration. While the use of pure 285 cultures and homogeneous/selected substrates makes the industrial production 286 of specific acids possible (Chen et al., 2013b; Yan et al., 2014), the goal is much 287 more difficult in the case of MMC and heterogeneous residual substrates such as 288 FW, where several organisms are simultaneously competing for a complex 289 substrate. It is no coincidence that this aspect is considered one of the most 290 difficult issues to sort (Arslan et al., 2016). Wang et al. (2014) evaluated the 291 effects of different MMC on VFA production from FW, adopting various operating 292 pH (4, 5, 6, and no control) in batch tests. The anaerobic activated sludge 293 performed better than the aerobic in terms of the yield of VFAs (0.92 vs 0.48 gvFA 294 g_{VSS}⁻¹), and the distribution of VFAs was 70% butyric, 17% acetic and 5% 295 propionic acid. Yin et al. (2016) obtained a VFA yield of 0.79 gcod gvs⁻¹ during 296 acidogenic fermentation of FW using anaerobic sludge and under limited aeration 297 conditions (ORP - 100, - 200 mV). Arras et al. (2019) studied the influence of 298 three types of anaerobic cultures on the hydrolysis and acidogenesis of FW; the 299 inoculum were sourced from different treatment plants and sections operated at 300 different temperatures. The results obtained showed that the origin of the

inoculum has more marked effects on the evolution of the acidogenic phase than
on the hydrolysis of the substrate; the inoculum from wastewater and food waste
treatment sections showed promising conversion efficiencies (VFAs = 60-70% of
the solubilized organic substance).

305 3.3 Reactor configuration and operation mode

306 The configuration of the reactor influences the hydrodynamics and, therefore, the 307 substrate-microorganism contact and the liquid-gas mass transfer. The overhead 308 gas pressure can lead to inhibitory effects, as a high H₂ partial pressure proved 309 to favor the production of reduced compounds such as lactate, ethanol, and 310 propionate, which is associated with zero hydrogen production or even 311 consumption (Zhou et al., 2018). Suspended and attached growth are common 312 conditions used in the fermentation production of VFAs and have led to the 313 development of different types of bioreactors (Khan et al., 2016; Lee et al., 2014). 314 Although most of the bioreactors used for solid-state dark fermentation are of the 315 CSTR type (continuously stirred tank reactor) (Cappai et al., 2014; Dahiya et al., 316 2015; He et al., 2019; Jiang et al., 2013; Shin et al., 2004), adopting attached 317 growth technologies may prevent biomass washout and guarantee a higher 318 biomass concentration in the reactor. Anaerobic leach bed reactors, UASB (up-319 flow anaerobic sludge blanket) and ASBR (anaerobic sequencing batch reactor) 320 reactors have been proposed too (Xu et al., 2012; Zhang et al., 2008). However,

321 clogging of the packing material may be an issue (Khan et al., 2016), especially 322 when wastes containing high concentrations of suspended solids are treated. In 323 addition, reduced mixing limits mass transfer, resulting in lower substrate 324 conversion and gas accumulation in the biofilm with consequent inhibitory effects. 325 Although the adoption of a longer solid retention time (SRT) can increase VFA 326 production, it can also favor slow-growing methanogens that result in depletion 327 of organic acids (Lee et al., 2014). Regarding the operation mode, batch, fed-328 batch, semi-continuous, and continuous modes can be adopted. According to 329 Lee et al. (2014), the continuous mode might not be feasible for slow reactions, 330 whilst the batch or semi-continuous operation mode seems to be more favourable 331 for VFA production, especially in the case of UASB, packed and fluidized bed 332 reactors.

333 3.4 Operating parameters

334 <u>3.4.1 pH</u>

Among the parameters that govern the production of fermentative FW VFAs, pH is the most studied and influencing one but also, as clearly appears from the data below, highly controversial. The range suitable for VFA production falls within the range 5 – 7, since enzymatic hydrolysis of FW has an optimum at pH 6 (Wang et al., 2014). Ren et al. (2011) observed that the activity of acidogenic bacteria would be largely reduced at pH below 4, whilst pHs higher than 6.5 could favor 341 the transition to methanogenesis (Yuan et al., 2006). Several authors reported 342 that a weakly acidic pH should be maintained to achieve significant VFA 343 production and enhance production kinetics (Jiang et al., 2013; Lim et al., 2008). Lim et al. (2008) obtained a total concentration of VFAs of 25 g L⁻¹ and a yield of 344 0.37 gvFA g⁻¹ of VS_{fed} applying a pH of 5.5 at 35 ° C, while at the same pH value 345 346 of 5.5, Garcia et al. (2018) observed a maximum concentration of VFAs of 30 g 347 L⁻¹ during semi-continuous fermentation of FW. Jiang et al. (2013) observed a maximum VFA concentration of 39.5 g L⁻¹ and a maximum yield of 0.32 g_{VFA} g⁻¹ 348 349 of VS_{fed} when controlling pH at 6. Wang et al. (2014) obtained a concentration of VFAs of 32.4 g L⁻¹ at pH 6 using activated anaerobic sludge as inoculum. Cappai 350 351 et al. (2014) performed several tests on a mixture of FW (45%wt) and heat shock 352 activated waste sludge (55%wt) using different operating pH values at 39°C; the 353 highest VFA concentration (13 g L⁻¹) was obtained at pH 6.5. As reported by Chang et al. (2010), a total VFA concentration of 34.6 g L⁻¹ and a yield of 0.49 354 355 g_{VFA} g⁻¹ of VS_{fed} was obtained applying a pH of 7 at 40°C; Zhao et al. (2006) 356 achieved a similar VFA concentration (36 g L^{-1}) at pH 7, while a decrease of 25.7, 24.3 and 28.5 g L⁻¹ was observed at pH 5, 9, and 11, respectively, although VFA 357 358 production remained higher than when no pH control was performed (20.1 g L⁻¹). 359 It is worth noting that a significant VFA production was also achieved under 360 alkaline conditions. High pH is indeed beneficial for the solubilisation and

361 degradation of fats and proteins, and prevents the growth of both 362 hydrogenotrophic and acetoclastic methanogens (Dahiya et al., 2015; Garcia-363 Aguirre et al., 2017). In this regard, Dahiya et al. (2015) observed a higher VFA 364 production at an initial pH (without subsequent control) of 10 (6.3 g L⁻¹) as 365 compared to pH 9 (5.2 g L⁻¹), pH 6 (4.5 g L⁻¹), pH 5 (4.2 g L⁻¹), pH 7 (4.1 g L⁻¹), 366 pH 8 (3.8 g L^{-1}) and pH 11 (3.5 g L^{-1}). Garcia-Aguirre et al. (2017) conducted a 367 comparative study under mesophilic conditions (35°C) and observed the highest 368 concentration of VFA of 8.3 g_{COD} L⁻¹ at an initial pH of 10, compared to 369 approximately 6 g_{COD} L⁻¹ at pH 5.5. The operating pH can also affect the type of 370 VFA produced from FW. In general, metabolic pathways involving acetate and 371 butyrate production are favoured in a pH range of 5 – 6, whilst slightly lower pHs 372 would favor the production of butyrate at the expense of acetate (Infantes et al., 373 2011), and neutral or higher pH up to 8 promote propionate production (Cappai 374 et al., 2014). This general statement is confirmed by several studies. Lim et al. 375 (2008) observed that acetic acid was the main product (49.2%) when 376 implementing an operating pH of 5.5, followed by propionic (23.5%) and butyric 377 acid (20.7%). Jiang et al. (2013) found that acetate and butyrate accounted for 378 more than 90% of total VFA production at pH 5 and approximately 77% at pH 6 379 and 7; propionate was observed to an appreciable extent (13.5% and 19.7%) at 380 pH 6 and 7. Hawkes et al. (2002) observed the conversion of acetate and butyrate

381 to propionate production as the pH increased. Many experiments have been 382 conducted applying an operating pH value of 6; under this condition, Wang et al. 383 (2014) and Yin et al. (2014) observed a clear prevalence of acetic and butyric 384 acids (>90% of the total VFA production). The prevalence of the production of 385 acetic and butyric acids can be accompanied by a noticeable presence of 386 propionic acid already at slightly higher operating pH values, for example, at pH 387 = 6.5 as reported by Cappai et al. (2014), or at pH = 7 as reported by Chang et 388 al. (2010). However, it is worth noting that other studies have shown different 389 results, highlighting that acetic and butyric acid production from complex 390 substrates such as FW can also be promoted under alkaline conditions, which 391 could be explained by the predominance of phosphoroclastic degradation 392 pathways (Dahiya et al., 2015). Zhao et al. (2006) found that about 71.9% of total 393 VFAs was butyric acid at pH 5, but this figure increased to 73.4% at pH 7, and 394 >45% of total VFAs was acetic acid at pH 9 and 11. Formic acid appeared 395 according to 6.5%, 2.4%, 24.7% and 30.8% at pHs 5, 7, 9, and 11, respectively, 396 while only a small amount of propionic acid was produced under all the conditions 397 studied. These differences in terms of experimental evidence will probably not 398 surprise those who are familiar with FW fermentation. Indeed, when results 399 obtained at a given pH are compared, the influence may be overlooked by 400 differences in substrate, or seed sludge, or operating conditions adopted.

401 Moreover, the composition of the FW can vary significantly depending on the 402 geographical and social context in which it is produced. To this regard, Lee et al. 403 (2014) appropriately state that the optimal pH for obtaining a specific VFA from 404 FW is highly dependent on the composition of the substrate under concern, while 405 the pH values are often adopted from previous studies performed on FW of 406 different composition or even simple substrates such as glucose. Finally, it is 407 worth underlying that, despite the advantages for VFA production, adjusting pH 408 to weakly acidic or alkaline conditions by adding a large amount of chemicals 409 could raise the production cost and the process complexity.

410 <u>3.4.2 Temperature</u>

411 Temperature is a key parameter for acidogenic fermentation of FW, due to its 412 direct involvement in microbial growth, metabolism, and kinetics of microbial 413 processes (Arras et al., 2019; Strazzera et al., 2018). Acidogenic fermentation 414 has been largely studied under mesophilic conditions (25 – 45°C) (Cappai et al., 415 2014; Jiang et al., 2013; Shin et al., 2004), while few studies have been 416 performed under thermophilic conditions (50 – 60° C) (Garcia-Aguirre et al., 2017; 417 He et al., 2019; Jiang et al., 2013; Komemoto et al., 2009) and even fewer under hyperthermophilic conditions (65 - 75°C) (He et al., 2019; Kim et al., 2006). A 418 419 temperature increase, while remaining within the mesophilic range, is beneficial 420 in terms of VFA concentration, yield, and production rate. More in detail, higher

VFA production can be achieved by increasing the temperature to $40 - 45^{\circ}$ C, 421 422 considered the optimal temperature for hydrolysis rates and most fermentation 423 reactions (Arslan et al., 2016; Jiang et al., 2013), while a further increase to 55°C 424 has a detrimental effect due to thermal denaturation of proteins and essential 425 enzymes. Garcia-Aguirre et al. (2017), Komemoto et al. (2009) and He et al. 426 (2019) also observed negative effects of temperature values around 55°C under 427 both acidic and alkaline conditions. He et al. (2019) found a decrease in the total VFA concentration from 16.7 g L⁻¹ at 35°C to 11 g L⁻¹ at 55°C after 7 days of 428 429 fermentation. A further increase to 70°C led to an even lower VFA concentration 430 of 13.5 g L⁻¹. Since increasing the operating temperature to enhance VFA 431 production requires a careful balance between benefits and operating costs, it is 432 commonly assumed that an efficient and economical value is in the range 35-433 37°C, while psychrophilic conditions are considered unsuitable for any 434 application. Regarding the type of VFA produced, according to Shin et al. (2004) 435 and Jiang et al. (2013), mesophilic conditions appear to promote the production 436 of acetic and propionic acids, while a metabolic shift from acetate to butyrate is 437 observed at increased operating temperatures $(50 - 55^{\circ}C)$ (Arras et al., 2019; 438 Hussain et al., 2017).

439 **3.4.3** *Hydraulic retention time*

440 The hydraulic retention time (HRT) must be adequate to allow for hydrolysis and

441 acidogenesis whose rate is particularly limiting for heterogeneous and complex 442 solid substrates such as FW. Theoretically, since hydrolysis is commonly 443 recognised as the limiting step of the process, the production of VFAs from FW is expected to increase with HRT. On the other hand, too long HRTs (> 5 - 7 d) 444 445 would favour methanogens (at pH values > 6.5) and, especially in view of a full-446 scale implementation, would reduce the mass rate of waste to be treated, 447 requiring larger reactor volumes, and entail higher capital costs. Zhou et al. 448 (2018) showed that too long HRTs may lead to stagnant VFA production due to 449 substrate limitation. This was also reported by Lim et al. (2008) who found that 450 the VFA yield increased by extending the HRT from 4 to 8 d, while no significant 451 benefit was observed when the HRT exceeded 12 d. Garcia-Aguirre et al. (2017) 452 observed that an HRT of 4 d was necessary to achieve 83% of the final VFA 453 production under weakly acidic (pH 5.5) and mesophilic conditions (35°C). The 454 duration of the process also influences the type of acids produced and their 455 possible biochemical transformations. In general, for short HRTs (4-8 d) acetic 456 acid would represent the main fermentation product, followed by propionate and 457 butyrate, while propionate would prevail at longer HRTs (> 12 d), probably due to 458 the higher concentration of H₂ available to microorganisms, followed by acetate 459 (Lim et al., 2008).

460 The most used type of reactor is the continuous flow stirred reactor (CSTR) with

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no biomass recycle, where HRT and solid retention time (SRT) coincide. Other
types of reactors, such as packed bed reactors (PBR) and anaerobic sequencing
batch reactors (SBR), offer the possibility of decoupling HRT from SRT with
possible improvements of the process.

465 <u>3.4.4 Organic loading rate</u>

466 The organic loading rate (OLR) represents the mass of substrate fed to the 467 reactor per unit time and volume. Its influence on the production of VFAs from 468 FW has not been extensively studied so far. Jiang et al. (2013) reported an 469 increase in overall VFA concentration with OLR, although with a decrease in VFA 470 yield. These results are in agreement with Lim et al. (2008) who noted that although high concentrations of VFA can be achieved at OLRs > 11 g_{TS} L⁻¹ d⁻¹, 471 472 fermentation broth can become very viscous, making reactor operation difficult and leading to the failure of the process; limiting OLR to less than 11 gTs L-1 d-1 473 474 proved more suitable and VFAs accounted for 96.8% of the soluble COD. 475 Regarding the type of acids produced, Wang and Zhao (2009), although aiming at H₂ production, observed that increasing the OLR from 15.10 to 37.75 kgvs m⁻³ 476 477 d⁻¹ led to reduced acetate and butyrate production and increased propionate and 478 lactate concentrations. Lactate concentration represented 30% of total COD at an OLR of 37.75 kg_{Vs} m⁻³ d⁻¹ (Wang and Zhao, 2009). 479

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480 <u>3.4.5 Food to microorganism ratio</u>

481 The food to microorganism ratio (F/M) affects the metabolic and kinetic 482 characteristics of microorganisms and, therefore, the generation yields of soluble 483 and gaseous products. However, few studies are available on the influence of 484 F/M on acidogenic fermentation of FW and most of them refer mainly to 485 fermentation aimed at H₂ production (Cappai et al., 2014, 2018; Soomro et al., 486 2019). Cappai et al. (2018) evaluated the effect of F/M on H₂ production and found that a F/M of around 7 g VS_{FW} g VS_{inoculum}⁻¹ of led to the maximum H₂ yield, 487 with an associated VFA concentration of 24 g L⁻¹. Due to different overlapping 488 489 pathways, the fermentation products were observed to include acetate, butyrate, 490 propionate, and ethanol. The highest acetic acid production (2.5 and 3.5 mmol g⁻ 491 ¹ of VS_{FW}) was obtained at F/M of 20 and 4, respectively. The highest butyric acid 492 production (1.5 mmol g^{-1} of VS_{FW}) was observed at F/M = 12.5 and 4. F/Ms of 26.1, 11.1, 4.3 and 0.36 g of VS_{substrate} gVS_{inoculum}⁻¹ were applied for the production 493 494 of VFAs from the organic fraction of municipal solid waste (OFMSW) (FW + paper 495 waste) in a percolation reactor without pH control, by Soomro et al. (2019). The 496 production of VFAs of 14 g L⁻¹ (377 mg g_{VS} -1) was found at F/M = 4.3, with a 497 composition dominated primarily by butyric, acetic, and propionic acid. The 498 optimization of F/M helps to predict the yields achievable from a specific substrate 499 and the amount of biomass to be maintained in the system, which is useful in

500 view of process scale-up, in particular for the start-up of fermentation reactors.

3.5 Inhibitors

502 An important issue to consider for optimizing VFA production is the presence of 503 inhibitors in the FW (such as oil or metal ions), in the inocula, or produced during 504 fermentation (i.e., ammonia, H₂, and soluble products). Liu N. et al. (2017) 505 focused on the negative effects of salt and oil. An inhibition effect occurred at salt 506 concentrations > 6 g L⁻¹ and oil concentrations > 5 g L⁻¹, which resulted, respectively, in a 18.7% and 6% decrease in VFA concentration from the control 507 508 test. In the study by He et al. (2012) on the effect of saline conditions, the highest 509 production of VFA (0.54 g g⁻¹ of dry FW weight) was achieved at a NaCl concentration of 10 g L⁻¹; it was approximately 23% lower at 70 g NaCl L⁻¹. As the 510 511 NaCl concentration increased, the presence of butyric acid decreased from 29% 512 to 3% while propionic acid increased from 6% to 51%, indicating a higher 513 tolerance of *Propionibacteria* to salinity. These results also suggest the possibility 514 to acclimatize microorganisms to salinity.

Although nitrogen is an essential nutrient for biomass growth, high concentrations of free (NH₃) or dissociated (NH₄⁺) ammonia have been reported to inhibit fermentation (Bundhoo and Mohee, 2016). Pan et al. (2013) reported an inhibition threshold of 3.5 g N L⁻¹ for a F/M of 3.8 and 1.5 g N L⁻¹ for a F/M of 8.3 in a study on FW DF aimed at biohydrogen production. Cheah et al. (2019) reported that 520 NH_4^+ –N concentrations ≥ 2.0 g N L⁻¹ lead to free ammonia inhibition of acidogenic 521 fermentation of OFMSW under alkaline conditions. Ammonium concentrations 522 over 5 g L⁻¹ have been reported to be toxic to anaerobic bacteria (including 523 acidogens) by Lee et al. (2014).

524 High concentrations of solubilized H₂ could result in fermentation inhibition. Dong 525 et al. (2009) reported that high partial pressures of H₂ can inhibit the conversion 526 of LCFAs to acetate and H₂ or could result in a metabolic shift to lactate, ethanol, 527 acetone and butanol. Another cause of bacteria inhibition involves high VFA 528 concentrations. In their undissociated form, organic acids can permeate through 529 the cell membrane, affecting biomass activity, while dissociated acids increase 530 the ionic strength of the medium, eventually causing cell lysis and resulting in a 531 shift from acidogenesis to solventogenesis as a defence mechanism (Bundhoo 532 and Mohee, 2016). Therefore, the production of reduced solvents such as ethanol 533 and butanol works as a detoxification method of the biomass to avoid inhibition 534 caused by high VFA contents and low pHs in the system (Valdez-Vazquez and 535 Poggi-Varaldo, 2009). Nevertheless, solvent production was observed also at pH 536 levels above 5.7, due to the synthesis or activation of the enzymes required for 537 solvent production (Khanal et al., 2004). The inhibition caused by acid 538 accumulation could be prevented by optimising the OLR and removing VFAs 539 continuously, or at least before the inhibition threshold is reached. To this aim,

various techniques have been explored integrating the most suitable separation technology with the fermentation process (Arslan et al., 2017; Dessì et al., 2020). Moreover, continuous VFA removal from the fermentation reactor may enhance the production rate and prevent the consumption in internal conversion reactions, especially when mixed cultures are involved (Atasoy et al., 2018). Arslan et al. (2017) showed that the productivity of VFA increased 1.4 times when fermentation was coupled with the recovery of VFA in situ with electrodialysis.

547 4. RECOVERABLE NON-VOLATILE CARBOXYLIC ACIDS

In addition to VFAs, other carboxylic acids such as lactate and succinate can be produced via fermentation. However, most of the studies performed so far on acidogenic FW fermentation by MMC have focused on the production of acetic, propionic, butyric, and valeric acids, and only a few targeted other valuable carboxylic acids that, conversely, are often produced using pure microbial cultures, pure substrates, or specific components extracted from residual substrates.

Hafid et al. (2010) obtained a maximum organic acid production of 48.64 g L⁻¹ from fermentation of kitchen waste at 37°C and pH 5, with lactic acid as the main fermentation product (37 g L⁻¹, or 76.2% of total VFAs), followed by acetic (17.7%) and butyric acids (6.1%). Kim et al. (2016) studied the effect of temperature on lactic acid production from FW at pH 5 using an indigenous mixed culture. Lactic 560 acid was produced predominantly at 50°C and 1 d HRT, accounting for more than 95% of the total VFA production; a maximum concentration of 40 g L⁻¹ was 561 562 observed, corresponding to a lactic acid yield of 1.6 mol mol_{hexose}-1 fed to the 563 reactor. Tang et al. (2016) investigated the effects of pH, temperature, and OLR 564 on lactic acid production from FW, without inoculum addition; the highest 565 concentration of 32.8 g L⁻¹ (corresponding to a yield of 0.46 g g_{TS} ⁻¹) was achieved 566 at 37°C and pH 6. Thermophilic conditions (55°C) and a high pH of 10 adversely 567 affected the production rate and yield, the latter probably due to the partial 568 conversion of lactic acid to VFAs or CH₄ (Komemoto et al., 2009; Li et al., 2014). 569 The concentration of lactic acid gradually increased with increasing OLR and, 570 according to Tang et al. (2016), the process can be operated steadily at an OLR up to 18 g_{TS} L⁻¹ d⁻¹, while another increase can have negative effects on 571 572 production yield. Wu et al. (2015) reported that acidic conditions (pH = 4) can 573 favour the production of lactic acid from mixed fruit and vegetable waste, but the 574 long-term stability of the process requires further investigation. Similarly, Wang 575 et al. (2014) obtained a remarkable lactate-type fermentation at pH 4.0 (18.5 g L⁻ 576 ¹) and a fermentation time of 20 d.

577 The use of mixed FW to produce succinic acid has been scarcely reported. The 578 possibility of converting mixed FW into succinic acid was investigated by Sun et 579 al. (2014) by means of pure microbial strains, through fungal and enzymatic 580 hydrolysis with Aspergillus awamori and A. oryzae, and subsequent fermentation 581 by anaerobic Actinobacillus succinogenes and aerobic recombinant Escherichia 582 coli, used separately. The use of FW hydrolysate as the sole substrate in E. coli aerobic fermentation led to the production of 29.9 g L⁻¹ of succinic acid whilst the 583 584 overall yield was 0.22 g g_{FW}⁻¹. Dessie et al. (2018) obtained a similar production 585 (27 g L⁻¹) generated by A. succinogenes using fruit and vegetable waste 586 hydrolyzed by crude enzyme mixtures. Zhang et al. (2013) used bakery waste for 587 the production of succinic acid in fermentation of A. succinogenes, obtaining a 588 yield of 0.35 and 0.28 g g_{substrate}⁻¹, respectively. Leung et al. (2012) obtained a higher yield of 1.16 g g_{glucose}⁻¹ from waste bread fermentation, hydrolyzed with A. 589 590 succinogenes, corresponding to an overall yield of 0.55 g g_{bread}⁻¹.

591 5. STATISTICAL ANALYSIS AND CRITICAL INTERPRETATION OF VFA 592 PRODUCTION BASED ON LITERATURE DATA

As shown above, literature studies on VFA production from FW report wide variations for both the overall production yield and the distribution of the different species. To clarify the existing relationships between the process performance and the main variables of concern and identify optimal combinations of these to maximize the VFA yield, available literature results on VFA production from different types of food waste and organic fractions of municipal solid waste were collected and processed statistically. In order to derive a reliable and consistent 600 dataset allowing for mutual comparison of process yields and identification of the 601 optimal region for VFA production, the results retrieved in the references 602 considered in the present paper were screened for thoroughness and 603 consistency of the information provided and converted into homogeneous units 604 of measure in view of further processing. A selection of the variables explored in 605 a consistent number of previous studies to provide a significant statistical sample 606 was performed. To this regard, it should be mentioned that additional parameters 607 may in principle have an influence on the process, but the amount of information 608 that can be retrieved in the existing scientific literature is currently not adequate 609 to allow for reliable predictions. The screening procedure resulted in 295 610 individual data points from 39 different publications that were used for the 611 statistical analyses. The input variables used in the analysis and their 612 corresponding levels are reported in Table 2 and Figure 2, while the whole set of 613 data gathered from the selected literature references is reported in Table 1SM.

The total VFA concentration and the associated production yield were assumed as the response variables for the analysis. Their statistical distribution is shown in Figure 3a and b. Figure 4 reports similar data for the VFA yield grouped by level of qualitative input variables (reported only for levels with at least 20 data points available). The data in Figures 3a and b indicate maximum values for the VFA production yield and concentration (excluding the outliers of the distribution) 620 as high as 25 gtot VFA-COD L⁻¹ and 1.1 gtot VFA-COD/g vs⁻¹. The grouping shown in 621 Figure 4 provides further information about the VFA yield for fixed categories of 622 each input variable. Within each category, a comparison of the yields on average 623 terms can be made: more specifically, the highest average process yield was 624 displayed: for the substrate food from type, by waste 625 canteens/cafeterias/restaurants and source-separated OFMSW; for the inoculum 626 type by activated sludge and acclimated mesophilic acidogenic biomass; for the 627 inoculum treatment by thermal pre-treatment; for the temperature regime by 628 mesophilic conditions; and for the pH control method by uncontrolled pH 629 conditions. It should however be noted that the data also display large ranges of 630 variation, with significant deviations from the average value. This reflects the 631 underlying influence of the numerous process parameters described in the 632 previous sections, with potential individual effects as well as mutual interactions 633 of either synergistic or antagonistic nature that cannot be directly identified from 634 Figures 3 and 4. It therefore makes little sense to study the separate effects of 635 each relevant parameter on VFA production, while elucidating their joint influence 636 becomes crucial in order to identify the optimal conditions in view of full-scale 637 implementation.

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639 Table 2 here

- 640 Figure 2 here
- 641 Figure 3 here
- 642 Figure 4 here
- 643

644 In order to provide further insight into the parameter combination that maximizes 645 VFA production, a recursive partitioning approach (Hornik et al., 2006) was used 646 as the data processing methodology to allow for classification of the VFA 647 production results on the basis of the process variables of concern listed in Table 648 2. The output of the analysis is commonly provided in the form of a binary 649 regression tree that identifies the relevant variables that influence the response 650 (explanatory variables), at the same time singling out the existing associations 651 among these. The regression tree is derived by recursively splitting the original 652 sample into a pair of clusters that have the smallest within-cluster distances in a 653 defined metric. The two generated clusters (son nodes) are then further divided 654 on each branch according to the same grouping criterion. The splitting procedure 655 is stopped when the null hypothesis of independence between any of the input 656 variables and the response can no longer be rejected; the node at which this 657 condition occurs becomes a terminal node of the tree. In other terms, recursive 658 partitioning isolates statistical groups having progressively reduced size and 659 increased internal homogeneity in the values of the selected response variable.

660 The data points were processed using the *partykit* package implemented in the 661 statistical software R (Hothorn and Zeileis, 2015). The output of the analysis was 662 graphically depicted as a regression tree for which the number of data points of 663 the response variable and their respective statistical distribution (represented through a box plot showing the 25th, 50th and 75th quantiles as well as the average 664 665 value) are reported at each terminal node. The results are shown in Figures 5a 666 and b for the total VFA concentration and yield, respectively. It should be noted 667 that the conclusions derived from the statistical analysis are only valid within the 668 explored ranges of the investigated variables (see Table 1SM), while nothing can 669 be inferred about the process performance outside such ranges.

670 As far as the VFA concentration was concerned (see Figure 5a), the hierarchy of 671 grouping of the experimental data was found to be dictated by the pH control 672 method, the inoculum pre-treatment and the substrate type. More specifically, 673 nine terminal nodes were identified, which displayed different average values and 674 statistical distributions. The highest total VFA concentrations (average = 28.2) 675 gcod L⁻¹) were found for node 17 (11 data points), which was obtained by splitting 676 first in the pH control method (continuous pH control, continuous control below 677 pH 5.0 only and uncontrolled pH) at the highest hierarchical level and in the 678 inoculum pre-treatment (no inoculum added, no pre-treatment) at the second 679 hierarchical level. On the other hand, the lowest VFA concentrations were observed in node 3 (24 data points; average = $1.97 \text{ g}_{\text{COD}} \text{ L}^{-1}$), corresponding to the use of a buffer solution as the pH control method, and node 7 (41 data points; average = $3.06 \text{ g}_{\text{COD}} \text{ L}^{-1}$), obtained by splitting on the pH control method (continuous pH control, continuous pH control below 5.0 only and uncontrolled pH), the inoculum pre-treatment conditions (no pre-treatment, thermal pretreatment), and substrate type (activated sludge, food waste + sludge, OFMSW + sludge, wastewater).

687

688 Figure 5 here

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690 The analysis of the total VFA yield (see Figure 5b) identified, in order of 691 decreased ranking, the following variables and related thresholds for 692 maximization of the VFA production: F/M ratio (≤ 1.6 g VS_{FW} g⁻¹ of VS_{inoc}), pH 693 control method (no pH control), pH value (> 5.7) and test duration (< 7 d – batch 694 conditions). This optimal combination of conditions, corresponding to node 22 (7 695 data points), was found to result in the highest average VFA yield (1.2 g_{COD} g_{VS} ¹). On the other hand, the lowest VFA yield (0.14 g_{COD} g_{VS}^{-1}) was observed to 696 697 correspond to node 10 (15 data points), separated according to the following 698 conditions for the input variables: $F/M \le 6 \text{ g VS}_{FW} \text{ g}^{-1}$ of VS_{inoc}, pH control through 699 buffer addition or continuous/discontinuous control, continuous reactor operation,

inoculum consisting of acclimated acidogenic biomass (mesophilic) or primary sludge. It must however be noted that, as shown in Figure 5b, other combinations of the input variables (specifically, those represented by nodes 8, 16, 23 and 26) also displayed low VFA production yields (< 0.3 g_{COD} gys⁻¹).

704 6. VFA SEPARATION

705 The development of efficient techniques for the selective recovery of VFAs from 706 fermentation broth is one of the main technical and economical bottlenecks in 707 biorefineries (Atasoy et al., 2018). Several extraction techniques are available, or 708 under development, for the extraction and fractionation of VFAs. The most 709 applied extraction techniques for organic acids are physical processes 710 (precipitation, adsorption, or liquid/liquid extraction), and membrane/electro-711 membrane processes (Dessì et al., 2021). Each extraction method entails its 712 advantages and disadvantages, and the choice of the most appropriate recovery 713 process needs to consider the destination of the final product and the purity 714 required for its application. For example, a low-purity VFA outflow might be 715 suitable for applications such as polyhydroxyalkanoates (PHA)-producing 716 processes or as a carbon source for lipid production (Liu J. et al., 2017). In 717 contrast, more sophisticated and expensive separation processes are required to 718 selectively recover marketable VFAs. Among conventional processes, both ionic
719 resins and liquid extractants are mature technologies widely applied for the 720 extraction of organic acids at the industrial scale. They are characterised by high 721 extraction efficiencies and a relatively low cost, although suffering from low 722 selectivity and pH dependence (Reyhanitash et al., 2017). Adsorption yields of 723 VFAs up to 76% were achieved from acidogenic digestate of grape pomace using 724 tertiary amine-based ion exchange resins (Rebecchi et al., 2016). The main 725 disadvantages of resins are related to the energy need for the desorption step, 726 and the rapid exhaustion of the adsorption capacity upon repetitive uses 727 (Reyhanitash et al., 2017). Higher extraction efficiencies (>90%) from 728 fermentation broth can be achieved by liquid-liquid extraction using 729 organophosphates such as tri-n-octylphosphine oxide (Alkaya et al., 2009), 730 although the sustainability of such a process is arguably due to the large use of 731 extractant. Concentration-driven membrane processes such as pertraction and 732 pervaporation have been widely applied for VFA extraction, since they require 733 smaller amount of extractants and have lower operating costs than conventional 734 liquid-liquid extraction. Recently, water has been proposed as a sustainable 735 extractant for concentration-driven recovery of VFAs from fermentation 736 processes through silicone membranes, eliminating the requirement for organic 737 extractants (Dessi et al., 2020; Outram and Zhang, 2018). The process selectivity was dependent on hydrophobicity (i.e., longer-chain organic acids were extracted 738

739 at a higher rate than shorter-chain acids), but was limited by low efficiency and pH dependency since organic acids crossed the membrane only in the 740 741 undissociated form. Higher extraction efficiency can be obtained by 742 electrodialysis (ED), a membrane process in which ions are separated by 743 electrical potential differences between cation- and anion- exchange membranes. 744 Jones et al. (2017) employed conventional electrodialysis to recover VFAs from 745 fermentation broths, reporting high efficiencies for removal of VFAs of up to 99% 746 at a voltage of 18 V during a 60 min operation. In-line extraction of VFAs from 747 fermentative reactors by electrodialysis was shown to be beneficial for increasing 748 the H₂ and VFA yields (Hassan et al., 2019; Jones et al., 2017). Hassan et al. 749 (2019) incorporated an ED stack of 20 modules to a FW fermentation reactor 750 through a recirculation loop, increasing the H₂ yield from 65 to 227 mL g_{VS}^{-1} , and the VFA yield from 1.9 to 4.7 g L⁻¹, when applying a potential of 18 V. 751

752 7. VFAs AS INTERMEDIATE PRODUCTS

VFAs may also be used as building blocks for further processing, for example, for energy recovery or to produce bioplastics and biolipids. Various applications implemented for the valorisation of VFA mixtures are briefly presented in this Section for completeness' sake.

757 **7.1 Energy recovery**

758 7.1.1 Bioelectricity

When FW fermentation is aimed at the production of bio-H₂, the presence of organic acids in the effluent is considered the mere effect of the partial degradation of the original substrate. This is the origin of the coupling of hydrogenogenic fermentation reactors with bioelectrochemical systems (BES) to convert organic acids into electrical energy or further hydrogen.

764 The VFA-rich fermented stream could be exploited to produce electricity through 765 redox reactions in a microbial fuel cell (MFC). An MFC is a bioelectrochemical 766 system consisting of two compartments (anodic and cathodic), typically 767 separated by a proton exchange membrane (PEM), and electrically connected 768 through an external circuit. In the anaerobic anodic chamber, exoelectrogenic 769 bacteria catalyze the oxidation of the organic substrate by producing reducing 770 equivalents (electrons and protons) and using the anode as the electron 771 acceptor. Electrons are transferred to the cathode through the external circuit 772 producing electricity, while protons migrate to the aerobic cathodic chamber 773 where they combine with electrons and oxygen to produce water (Figure 6a).

774

775 Figure 6 here

777 Several organic wastes including domestic wastewater (Puig et al., 2011) and 778 industrial wastewater (Sahu, 2019), excess sludge (Jiang et al., 2009) and FW 779 (Jia et al., 2013; Moqsud et al., 2014) have been explored as substrates in MFC. 780 When FW is used directly, substrate hydrolysis is indicated as the rate-limiting 781 step in electricity production (Feng et al., 2016), highlighting the need for proper 782 pre-treatment. Acidogenically fermented waste can be used for electricity 783 generation without any further treatment. Rikame et al. (2012) used a FW 784 fermentation broth with a substrate concentration of 5 g_{COD} L⁻¹, obtaining a maximum power density of approximately 15 W m⁻³ and 1.12 V; moreover, 90% 785 786 COD removal was achieved. Microbial inhibition was observed in the anodic 787 chamber at a substrate concentration up to 20 g_{COD} L⁻¹. The worsening of 788 performance derived from high OLR values was also observed by 789 Mohanakrishna et al. (2010) who used the outflow from an acidogenic sequential 790 batch biofilm reactor (AcSBBR) fermenting vegetable market waste as substrate 791 for a single chambered MFC. By adopting decreasing values of the OLR (3.13, 792 1.91, 0.93 kg_{COD} m⁻³ d⁻¹), the best performance (0.31 mV, 362.86 mA m⁻², 80% 793 COD removal, 176.35 J kg⁻¹ COD removed) was observed for the lowest value 794 and attributed to less interferences (e.g., electrode polarization); interestingly, 795 voltage and power improved by 16% and 68% as compared to the use as 796 substrate of unfermented vegetable waste.

797 The amount of energy harvested in the MFC depends on the composition of VFAs 798 (Venkata Mohan et al., 2019). Teng et al. (2010) report that higher power 799 densities were attained with acetate as the main component, whilst butyrate was 800 found to exert a negative impact; power density was more affected by the type of 801 VFAs than coulombic efficiency. Acetate and propionate were rapidly degraded, 802 and thus supported higher power generation than longer chain species. This was 803 confirmed by Choi et al. (2011) and Mohanakrishna et al. (2010). Moreover, the 804 simultaneous presence of different VFAs slowed the degradation rate of 805 individual acids, indicating that anodic microbes compete for different substrates 806 (Choi et al., 2011).

807 <u>7.1.2 Biohydrogen</u>

808 Hydrogen and carbon dioxide are the gaseous products of the DF of organic 809 substrates. Further H₂ production may be derived from the fermented effluent rich 810 in VFAs through microbial electrolysis cells (MEC) (Liu et al., 2012; Rivera et al., 811 2015) and photofermentation (PF) (Ghimire et al., 2015; Ghosh et al., 2017; Zong 812 et al., 2009). The MEC configuration (Figure 6b) is completely anaerobic 813 compared to MFC (Figure 6a), and protons released from microbial oxidation of 814 VFAs in the anode are reduced to molecular H₂ in the cathode. As the reaction 815 does not occur spontaneously, an external voltage of at least 0.2 V (theoretically 816 0.14 V if acetate is used as the anodic substrate) must be applied to overcome

the Gibbs free energy barrier (vs. 1.8 V for water electrolysis).

818 Moreover, removal of eventual ammonium is possible as it is transferred through 819 the cation exchange membrane from the anode to the cathode compartment 820 where it can be recovered as ammonia gas by means of stripping and subsequent 821 absorption. Several studies have been carried out mainly using synthetic 822 chemicals or effluents rich in VFAs generated from conventional fermentation of 823 domestic wastewater (Liu et al., 2012), while few studies have been conducted 824 using effluents fermented with FW (Cardeña et al., 2018; Yun et al., 2018). Liu et 825 al. (2012) used a mixture of VFAs (about 6 g L⁻¹, with 40% acetic acid) from the 826 fermentation of waste activated sludge (WAS), obtaining the highest H₂ yield of 827 1.2 ml H₂ mg_{COD⁻¹} and an overall H₂ recovery of 120 ml g_{VSS}^{-1} d⁻¹. The results showed that > 90% of acetate and < 90% of propionate were effectively converted 828 829 to H₂. Rivera et al. (2015) observed a maximum H₂ production rate of 81 ml L⁻¹ d⁻ 830 ¹ with an organic removal rate of 85% treating a dark fermentation effluent rich in 831 real VFAs. As assessed in different studies (Lenin Babu et al., 2013; Modestra et 832 al., 2015), the optimal value of potential to be applied for the utilization of VFAs 833 and reduction of H⁺ to H₂ falls around 0.6 V. Overall, the MEC has been proven 834 to be resistant and resilient to organic overloads, able to recover steady 835 performance in less than 48 h after the occurrence of stress conditions (Cerrillo 836 et al., 2016).

837 Purple non-sulphur photosynthetic bacteria (PNSB) can generate H₂ and CO₂ 838 from a wide range of substrates, such as simple sugars, industrial and agricultural 839 waste, under strictly anaerobic heterotrophic conditions and in the presence of 840 light as an energy source for PF (Reungsang et al., 2018). PNSBs show an 841 affinity for VFAs, producing H₂ at higher rates from organic acids than pure sugars 842 (Ghosh et al., 2017) and, therefore, PF has frequently been combined with DF in 843 a two-stage process. The potential of coupling DF and PF for H₂ production from 844 FW was investigated by Ghimire et al. (2015) who observed a 1.75-fold increase 845 in the overall H₂ yield with respect to DF only. Zong et al. (2009) estimated a similar increase by measuring an average H₂ yield of 451 ml g⁻¹ of FW and a total 846 847 H_2 yield of 810 ml g⁻¹ by integrating DF and PF.

848 <u>7.1.3 Biomethane</u>

849 Anaerobic digestion (AD) aimed at recovering methane-rich biogas is by far the 850 most studied and applied approach for the generation of bioenergy from FW. The 851 process is implemented mostly in a single stage (Dahiya et al., 2018; Oh et al., 852 2018; Xu et al., 2018). However, the different optimal conditions of the 853 microorganisms responsible for acidogenesis and methanogenesis generally 854 result in suboptimal performance of the single reactor (De Gioannis et al., 2017; 855 Lee et al., 2014). The possibility of operating AD in a two-stage configuration was 856 developed for the purpose of optimizing substrate methanization but has become 857 topical again in recent years due to the interest aroused by the additional 858 possibility of producing bio-H₂ in the first fermentative stage (De Gioannis et al., 859 2017). FW-derived VFAs are easily suitable for valorisation in the second 860 anaerobic reactor where optimal environmental conditions are established and 861 maintained for slow-growing methanogenic bacteria (pH range 7 - 8, HRT 10 - 15 862 d). Several authors demonstrated that the two-stage AD configuration may result 863 in 20-25% higher energy recovery than the single-stage one, in light of the 864 improved hydrolysis and fermentation of FW in the first stage, with significant 865 production of VFAs readily available to methanogenesis (De Gioannis et al., 866 2017; Voelklein et al., 2016). Moreover, the two-stage configuration, allowing 867 enrichment of the methane content by 14 - 17%, could reduce the potential costs 868 for upgrading the biogas to biomethane, as stated by Voelklein et al. (2016) and 869 De Gioannis et al. (2017). The H₂ produced in the first stage may be mixed with 870 methane, forming biohythane, a combustible gas containing 10-15% H₂, 30-40% 871 CO₂, and 50-55% CH₄ that could be further upgraded to biobased hythane by 872 removing CO₂ (O-Thong et al., 2018). The main feature of the two-stage process 873 is the possibility to adjust the inflow to the second stage to control the 874 accumulation of VFAs, which can hinder the methanogenic microorganisms. An 875 inhibitory propionic acid concentration for the methanogenic activity of 1 g L⁻¹ was 876 reported by Wang et al. (2009), whilst no significant inhibition effect at acetic and

butyric acid concentrations of 2.4 g L⁻¹ and 1.8 g L⁻¹, respectively, was observed. Xu et al. (2018) indicate that acetic acid at a concentration between 1.5 g L⁻¹ and 2.5 g L⁻¹ was the main factor affecting methanogenesis of kitchen waste; the methanogenic activity was completely inhibited at a total VFA concentration of 5.8 - 6.9 g L⁻¹.

882 **7.2 Biopolymers production**

883 Polyhydroxyalkanoates (PHAs) are biodegradable polymers that have received 884 increasing attention in the bioplastic market as a substitute for traditional fossil 885 fuel-based plastics due to their physicochemical properties. Biodegradability, 886 rubbery-like characteristics, better oxygen barrier compared to polypropylene 887 (PP) and polyethylene terephthalate, better water vapor barrier compared to PP, 888 and fat/odour control are well-recognized characteristics. In view of a wide range 889 of applications, including packaging, medical and pharmaceutical applications, 890 energy and fine chemicals (Tsang et al., 2019). PHAs are biologically produced 891 by a wide range of microorganisms as energy storage granules accumulated in 892 their cell cytoplasm under stress conditions caused by the limitation of nutrients, 893 electron donor, or acceptor (Valentino et al., 2018). When the limitation of 894 nutrients is the source of stress, a three-stage process is used to produce PHA 895 from MMC: i) anaerobic-aerobic fermentation (synthesis of VFAs and other 896 organics), ii) selection of MMC and enrichment through an aerobic dynamic 897 feeding system (feast and famine strategy focused on carbon availability), iii) 898 PHA production (Nielsen et al., 2017; Sabapathy et al., 2020). The main factors 899 influencing three-stage PHA production include the structure and metabolism of 900 the microbial community, feeding regimes and type of aeration, culture conditions 901 (pH, temperature, C/N/P ratios, etc.), and substrate characteristics (Sabapathy et 902 al., 2020). As for the substrate, besides the concerns related to the exploitation 903 of refined/food competing feedstock (e.g. sugarcane, vegetable oil), the use of 904 PHAs is still limited mainly by the production cost, 5 - 10 times higher than that 905 of petroleum-derived polymers such as polyethylene (Raza et al., 2018). For 906 these reasons, research efforts are required to move from pure microbial cultures 907 and feedstocks (such as glucose), towards MMC and widely available low-cost 908 feedstock, such as organic waste or activated sludge (Bugnicourt et al., 2014). In 909 this respect, MMC and FW fermentative-VFAs are considered a suitable 910 combination to produce PHAs through the three-stage process, although they are 911 characterized by lower performance compared to pure cultures and selected 912 substrates (Nielsen et al., 2017).

913 It is also worth mentioning that the most produced PHAs are short-chain poly-3-914 hydroxybutyrate (PHB) and poly-3 hydroxyvalerate (PHV), and the type of PHAs 915 available depends strictly on the composition of the VFA mixtures in the feed, 916 since the hydroxyvalerate content is known to be proportional to the

917 concentration of propionate and valerate (Amulya et al., 2015). This aspect points 918 at the importance of identifying operating conditions for the fermentative process 919 that allow one to properly address the metabolic pathways, even when the feed 920 consists of complex and heterogeneous substrates such as FW. However, FW 921 has rarely been investigated as the starting substrate for PHA production 922 (Valentino et al., 2018; Wen et al., 2018), compared to the enormous efforts made 923 to convert organic residues of agro-industrial origin, such as waste cooking oil, 924 cheese whey, grape pomace, pea shells, potato peels and olive mill wastewater 925 (Rodriguez-Perez et al., 2018; Tsang et al., 2019). Recent studies performed on 926 PHA production from fermented mixed FW using pure and mixed cultures report 927 a wide range of different accumulation capacities. Hafuka et al. (2011) reported 928 a high PHB content of 87% by continuous feeding of fermented FW to a pure 929 culture (*Cupriavidus necator*), comparable to what obtained by Omar et al. (2011) 930 under fed-batch conditions (84.5%). Eshtaya et al. (2013) observed a lower PHB 931 content (44%) by intermittent feeding fermented FW to pure culture.

932 Venkateswar Reddy and Venkata Mohan (2012) compared fermented FW from 933 acidogenic H₂ production and raw FW as a feedstock for PHA production; as 934 expected, fermented FW performed better in terms of overall PHA content (39.6% 935 weight/dry cell weight) due to the ready availability of VFAs as precursors; more 936 in detail, a higher content of PHB (61%) was observed, in the form of poly(3937 hydroxybutyrate-co-3-hydroxyvalerate) co-polymer [P3(HB-co-HV)], as 938 compared to PHV (35%). Despite the high VFA conversion (about 90%), lower 939 PHA contents (23.7%) were attained by Amulya et al. (2014) who used VFA-rich 940 effluent from acidogenic FW fermentation as the feedstock to the three-stage 941 process. FW fermentate was used for PHA production also by Wen et al. (2018) 942 whose main interest was understanding the effects of operating parameters such 943 as the organic loading rate (OLR: 1350 vs 8433 mg_{COD} L⁻¹·d⁻¹), and feedstock-944 related characteristics such as salinity (NaCI: 0, 2.5, 5, 10 and 15 g L⁻¹). Limiting the OLR proved to be necessary to ensure the stability of the process, and 945 although relatively fast kinetics were observed for 5.0 g NaCl L⁻¹ at low OLR, a 946 maximum PHA content of 33.4% was achieved at salinity values < 2.5 g NaCl L⁻ 947 948 ¹. Valentino et al. (2018) obtained a PHA content in the range 39 – 52%, similar 949 to that obtained by Colombo et al. (2017) (40 - 48%) from acidic OFMSW 950 fermentation using MMC.

951 **7.3 Biolipids for biodiesel production**

Biodiesel is usually produced from lipids/oil sources obtained from harvested biomass such as rapeseed, palm, corn and soybean (Gui et al., 2008). However, such substrates raise the ethical concern of using food for fuel, making the identification of alternative lipid sources necessary. Microbial lipid production by oleaginous microorganisms is a promising option. In particular, oleaginous 957 microorganisms belonging to the genera of microalgae, yeast, fungi, and bacteria 958 can directly convert some organic acids into acetyl-CoA, a central intermediate 959 in lipid synthesis, which is then used for the biosynthesis of polyunsaturated fatty 960 acids and microbial lipids in oleaginous yeast cells (Ratledge, 2004). The amount 961 of harvested lipids and their composition vary depending on the strains, culture 962 conditions, and carbon sources (Easterling et al., 2009). So far, most studies on 963 lipid production by oleaginous microorganisms have been carried out using 964 traditional carbon sources such as glucose (Steen et al., 2010), glycerol 965 (Easterling et al., 2009), or pectin and lactose (Papanikolaou et al., 2007). Given 966 the high price of these raw materials, a feasible strategy for cost-effective 967 microbial lipid production is the use of low-cost sources. In this sense, VFAs 968 derived from FW fermentation are envisaged as promising building blocks for lipid 969 biosynthesis to produce oil-based bioproducts (Chi et al., 2011; Gao et al., 2017; 970 Vajpeyi and Chandran, 2015). Chi et al. (2011) used FW dark fermentation 971 effluent aimed at H₂ production as a feedstock for lipid production by 972 Cryptococcus curvatus culture, although obtaining a low lipid content of 13.8% (g 973 g⁻¹ dry cell weight) due to the high nitrogen concentration in fermented FW 974 effluent. They concluded that high carbohydrate, but nitrogen-deficient, waste 975 streams would serve as better feedstocks for the process. Nevertheless, a similar lipid content (14.9% w w⁻¹) was obtained by Vajpeyi and Chandran (2015) by 976

977 working with the oleaginous yeast Cryptococcus albidus on VFAs produced from 978 FW fermentation. A much higher lipid accumulation of 28.3% w w⁻¹ was found 979 using synthetic VFAs under nitrogen-limiting conditions, confirming that lipid 980 biosynthesis is triggered mainly by nitrogen limitation and excess carbon, as also 981 reported by Dahiya et al. (2018). The oleaginous yeast Yarrowia lipolytica culture 982 fed with fermented FW yielded an interesting lipid content of 18.2% in the study 983 performed by Gao et al. (2017). Although the feasibility of using FW-derived VFAs 984 for lipid production has been demonstrated, leading to a lipid composition similar 985 to commercial biodiesel feedstock, studies performed using synthetic VFAs 986 showed higher lipid contents (26.1 – 31.6%). The lipid content could also be 987 increased by controlling the feed VFA composition. Fei et al. (2011) investigated 988 microbial lipid accumulation in flask cultures of Cryptococcus albidus using 989 synthetic VFAs. The highest lipid content of 27.8% was found by feeding VFA 990 with an acetic/propionic/butyric acid ratio of 8:1:1 compared to ratios of 6:1:3 991 (27.3%), 7:2:1 (26.1%) and 4:3:3 (19.8%). Gao et al. (2017) studied lipid 992 accumulation in Yarrowia lipolytica using synthetic acetic, butyric, and propionic 993 acids, reaching a content of 31.6%, 28.4% and 28.9%, at an initial concentration 994 of 5, 2.5, and 2.5 g L⁻¹, respectively. Higher concentrations of VFAs inhibited cell 995 growth in the following order: butyric acid > propionic acid > acetic acid. Gao et 996 al. (2017) reported that VFAs are not used synchronized but stepwise, since Y. 997 *lipolytica* first uses acetic acid for lipid production and then uses propionic and
998 butyric acid after its depletion. In light of this, acetate production during
999 fermentation must be optimized to improve lipid yield downstream.

1000 **7.4 Biological nutrient removal**

1001 Expensive external carbon sources such as methanol, ethanol, or acetate are 1002 commonly required to assist the conventional process of biological nutrient 1003 removal (BNR) from municipal wastewater through the application of alternate 1004 anaerobic-aerobic-anoxic conditions. In order to lower the overall treatment 1005 costs, over the last 20 years VFAs have been widely explored as an alternative 1006 carbon source for nitrogen and phosphorus removal (Zhang and Chen, 2009). In 1007 this context, FW-derived VFAs would be a low-cost option and, being 1008 characterized by high C and low N and P contents, the additional unwanted input 1009 of nutrients in the process would be negligible, turning to be more suitable for the 1010 process than other sources such as primary sludge or industrial effluent (Kim et 1011 al., 2017; Lim et al., 2000; Zhang et al., 2016). The required C/N ratio falls within 1012 the range of 5-10 mg_{COD} mg⁻¹ of N for combined nitrification/denitrification, while 1013 7.5–10 mg of COD are required to remove 1 mg of P (Lee et al., 2014). Lim et al. 1014 (2000) used VFAs (mainly acetate) produced from acidogenesis of FW as a 1015 carbon source for the removal of N and P from municipal wastewater, obtaining final NO₂⁻ and NO₃⁻ concentrations < 1.5 mg N L⁻¹, whilst the concentration of P 1016

1017 was reduced to less than 1 mg L⁻¹. Zhang et al. (2016) fed fermented FW 1018 obtaining N concentrations $< 1 \text{ mg L}^{-1}$ in the treated effluent when a COD/N ratio 1019 of 6 was applied. A stable denitrification performance of a full-scale wastewater 1020 treatment plant with a nitrate removal efficiency of 97.2% was observed by Kim 1021 et al. (2017) over a period of seven months during which wastewater from food 1022 waste recycling activities was fed as an alternative carbon source; propionate 1023 proved to be the most recalcitrant to use, though it was completely consumed 1024 after 19 days. Elefsiniotis et al. (2004) stated that the use of acetate allows for a 1025 two-fold higher denitrification rate as compared to propionate. In fact, acetate is 1026 the first VFA to be consumed and only when its concentration decreases, 1027 microorganisms use other VFAs, usually propionate first, followed by butyrate, 1028 and finally valerate. The statement is confirmed by Kim et al. (2017) who 1029 observed ethanol and acetate being preferred over propionate. This preference for lower-molecular-weight VFAs could be attributed to simpler metabolic 1030 1031 pathways (Kim et al., 2017), and would imply, as already stated for biolipid and 1032 bioelectricity generation, that to better exploit waste valorization, the DF process 1033 should be operated to obtain VFAs of interest for the specific reuse envisaged. 1034 Regarding the removal of phosphorus, it was reported that VFAs obtained from 1035 acidogenic fermentation of organic substrates are more effective in the removal

1036 of P than synthetic acetic acid (Strazzera et al., 2018). The benefits observed

when using a fermentation VFA pool can probably be ascribed to the synergistic
effects of other components present in the fermentation effluent (i.e.
micronutrients).

1040 8. APPLICATION PERSPECTIVES

1041 The production of acids by fermentation of organic residues has promising 1042 implications in view of a full-scale application of DF as the core of waste 1043 biorefineries. In this perspective, a dark fermentation-centred layout is proposed 1044 in Figure 7, aimed at fostering the recovery of high-value products and energy 1045 from FW. According to the simpler implementation option, the DF biogas could 1046 be upgraded to ensure sustainable energy recovery in the form of bio-H₂, while 1047 the fermentate would undergo liquid/solid separation followed by aerobic 1048 biological stabilization of the solid fraction to produce compost. Separation 1049 processes would be applied to the liquid fraction of the fermentation outflow to 1050 recover marketable VFAs. The purification and separation step of pure organic 1051 acids from mixed VFAs is considered to be one of the most relevant aspects in terms of costs and challenges, a major issue between laboratory studies and 1052 1053 industrial implementation.

1054

1055 Figure 7 here

1057 More complex configurations could include several downstream VFA processing 1058 to produce biolipids, biopolymers, or further H₂ and electricity through 1059 bioelectrochemical systems (BES), or bio-methane. Innovative technologies 1060 sections as the BES systems hold a great potential and, although have not yet 1061 made the leap to the commercial scale and are currently still under evaluation at 1062 laboratory scale, could make possible either the selective separation of organic 1063 acids using specific anode-cathode separation membranes and combination with 1064 electrodialysis cells, or the electrosynthesis of further organic acids by cathodic 1065 reduction of carbon dioxide (microbial electrosynthesis).

1066 The theoretic layout, partially based on well-established biochemical processes, 1067 is consistent with the need for flexibility typical of the concept of biorefinery as it 1068 could be easily and progressively integrated to involve more platforms when other 1069 processes should achieve an adequate level of readiness. This is in line with the 1070 future needs of a wide spectrum of end bioproducts and could deal in an 1071 integrated and flexible manner also with organic waste other than FW, in turn 1072 giving the decisive boost to the implementation of waste biorefineries in the 1073 framework of a bio-based economy.

However, it cannot be ignored that the full integration of waste management into high-value production systems would require certainty and consistency in terms of feedstock availability and characteristics, process control and, in turn,

1077 qualitative and quantitative characteristics of the final products. Furthermore, it 1078 would be necessary to take into account that size of the plants, maximum 1079 acceptable distances between production sources and treatment plants, need for 1080 prompt processing, are very different for waste treatment and traditional 1081 biorefineries.

1082 Therefore, the pivotal dilemma, common to every process included in the waste 1083 biorefinery concept, is: it is promising, and would be great, but is it actually 1084 feasible?

1085 More specifically, is the quality required for products to be commercialized 1086 achievable by using FW as feedstock and MMCs?

1087 Considering what has been said on the factors of influence and their mutual 1088 interactions, is DF a fully controllable process for the purposes of industrial 1089 production if performed using MMCs and a complex and heterogeneous 1090 feedstock such as FW? Is it possible to overcome the difficulty of identifying 1091 optimal values for the multiple and interconnected influencing factors, even with

1092 the help of sophisticated analysis tools such as the statistical ones?

1093 Ultimately, can FW management go beyond the usual goal of environment 1094 safeguarding, eventually associated to the recovery of low value products such

- as compost and biogas, and enter the promising world of bioeconomy?
- 1096 An interesting indication can come from the analysis of the attempts to scale up

1097 the process. As far as the Authors are aware, full-scale plants for the recovery of 1098 VFAs from food waste have not yet been built and managed. On the other hand, 1099 the analysis of the most recent literature highlights some interesting experiences 1100 at the pilot scale; these studies are necessary to bridge science and practice 1101 through the assessment of reasonable yields, product quality, and process issues 1102 to be expected at the full scale. Valentino et al. (2019) investigated on a pilot 1103 scale the dark fermentation of mixtures of OFMSW and sewage sludge (SS) 1104 aimed at producing VFAs to be used as substrate for the selection of PHA 1105 accumulating biomass and PHA accumulation. The process was performed using 1106 a 380 L CSTR operated under thermophilic conditions (42-55 °C) and adopting a 1107 HRT of 6 days. The acidogenic performance was considered satisfactory even 1108 though some instability in terms of VFAs concentration and distribution was 1109 observed at the highest adopted OLR values (about 12.2 gTVs L⁻¹ d⁻¹) that affected 1110 the system buffer capacity. A better control of pH slightly above 5.0 was attained at lower OLR values (about 6.6 g_{TVs} L⁻¹ d⁻¹). The operating temperature did not 1111 1112 influence the composition of the VFAs pool, but the thermophilic conditions 1113 enhanced substrate hydrolysis; butyric acid was found to be predominant (46% 1114 of total VFA), followed by acetic (22%) and propionic (9%) acids.

1115 The same pilot-scale fermenter was used to produce H₂ and VFAs, the latter to 1116 be fed to a second anaerobic stage to produce methane (Micolucci et al., 2020). The reactor was operated for 300 days at 55 °C, adopting a HRT of 3.3 days and an OLR of 19.0 kg_{TVS} m⁻³ d⁻¹, and an original approach to pH control was implemented based on the recirculation of part of the digestate from the methanogenic reactor. Compared to previous studies, reduced pH fluctuations led to higher yields for H₂ and VFAs (about 22 g_{COD} L⁻¹, 33% butyric and 25% acetic acids on a COD basis were the dominant soluble fermentation products).

Yu et al. (2021) performed batch tests on rice-rich food waste using a 120 L pilot CSTR fermenter under thermophilic conditions (50 °C). The HRT and OLR were set at 7 days and 48 gvs L⁻¹·d⁻¹, respectively. The results put further emphasis on the role of pH control: the VFAs yield improved by increasing the operating pH from 4.5 to 6.5 (maximum yield: 0.79 mgcod mgcod⁻¹) as result of enhanced substrate hydrolysis. Acetic and butyric acids were the dominant by-products accounting for 55-65% of COD.

Overall, the results of the few pilot-scale studies available show that the production of VFAs from food waste is a feasible process, and that the operating pH is decisive to achieve quantitatively and qualitatively stable process yields. The studies have preferentially used relatively simple reactor configurations such as the CSTR, rather improving the process performance by working in the thermophilic region.

¹¹³⁶ It is also worth emphasizing that the studies conducted on a pilot scale have not

1137 aimed at the production of a specific volatile fatty acid, but rather at the recovery 1138 of a pool of VFAs to be used directly as a substrate in further biochemical 1139 processes such as biopolymers production. This choice seems to acknowledge 1140 the difficulties pointed out by laboratory studies in addressing a complex process 1141 such as DF towards very specific metabolic pathways, especially when DF is 1142 applied to heterogeneous substrates such as food waste. In addition, the 1143 recovery of individual VFAs is a very complex task even if it is performed 1144 separately and through the innovative combination of physical and chemical 1145 stripping, absorption, adsorption, solvent extraction, processes (e.g., 1146 nanofiltration, membrane contractor, reverse osmosis and electrodialysis) as 1147 reported in Atasoy et al. (2018) and Bhatt et al. (2020). Thus, in order to be 1148 technologically feasible and cost effective, the selection of the most suitable 1149 recovery strategy at the full scale should consider the final application of the recovered products. In this regard, an application such as biopolymers 1150 1151 production, appears to be very promising -at least at the pilot scale- as it does 1152 not require complex treatment trains for individual VFAs recovery from the VFAs 1153 mixture generated by DF. Thus, further research is needed not only to improve 1154 the performance of each "stand-alone" stage of the process but to find out the 1155 most appropriate production and recovery stages that -properly integrated- make 1156 the overall process of VFAs production and utilization, economically feasible.

1157 **9. CONCLUSIONS**

1158 The fermentative production of carboxylic acids from organic residues is currently 1159 at the laboratory stage and several challenges prevent its full-scale 1160 implementation. Some general directions are derived from this review:

- the number of studies specifically dedicated to producing VFAs through
 FW dark fermentation is relatively low;
- DF requires optimization to achieve high and stable VFA yield, which is
 critical for downstream applications;
- optimization is not easy given the number of parameters that heavily
 influence the process and their mutual interactions;
- even the use of optimization tools such as the statistical ones provides
 controversial answers due to the observed great dispersion of data, which
 dictated by the variety of conditions that characterizes the available
 studies;
- the use of MMCs to produce specific VFAs at promising rates needs
 further investigation;
- the environmental and economic effectiveness of substrate pre-treatment
 and selective recovery of VFAs must be assessed, and further efforts must
 be devoted to reducing the overall cost and energy demand to increase
 the process competitiveness;

- optimisation of downstream biological processes is required to exploit
- 1178 VFAs and provide the desired end product standards;
- pilot-scale studies and a systematic assessment of integrated
- bioprocesses are required.

1181 Abbreviations

AcSBBR	acidogenic sequential batch biofilm reactor
AD	anaerobic digestion
AK	acetate kinase
ASBR	anaerobic sequencing batch reactor
BES	bioelectrochemical systems
BK	butyrate kinase
BNR	biological nutrient removal
C/N	carbon/nitrogen ratio
CAGR	Compound Annual Growth Rate
CoA	acetyl-coenzyme A
CSTR	continuously stirred tank reactor
DF	dark fermentation
ED	electrodialysis
EU	European Union
F/M	food to microorganism ratio
FW	food waste
HRT	hydraulic retention time
iLDH	NAD-independent LDH
LCFA	long-chain fatty acids
LDH	lactate dehydrogenase
MFC	microbial fuel cell
MMC	mixed microbial cultures
OFMSW	organic fraction of municipal solid waste
OLR	organic loading rate
P3(HB-co-HV)	poly(3-hydroxybutyrate-co-3-hydroxyvalerate)
PBR	packed bed reactors
PEM	proton exchange membrane
PF	photofermentation
PHA	polyhydroxyalkanoates
PHB	poly-3-hydroxybutyrate

poly-3 hydroxyvalerate
purple non-sulphur photosynthetic bacteria
polypropylene
phosphotransacetylase
phosphotransbutyrylase
sequencing batch reactors
short-chain fatty acids
solid retention time
up-flow anaerobic sludge blanket
volatile fatty acids
waste activated sludge

ACKNOWLEDGMENTS

1184This work was conducted in the framework of the activities of the "Waste1185Biorefinery" task group (for more information see1186https://www.tuhh.de/iue/iwwg/task-groups/waste-biorefinery.html), which is part1187of the International Waste Working Group (IWWG).

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Figure 1. Main biochemical pathways for organic substrate conversion through acidogenic dark fermentation (PTA: phosphotransacetylase; PTB: phosphotransbutyrylase; AK: acetate kinase; BK: butyrate kinase), (adapted from Chen et al. (2013), Dahiya et al. (2018) and Zhou et al. (2018)).



Figure 2. Level distribution of the qualitative variables analysed (labels indicate the number of data points for each level). Note: for abbreviations, please refer to Table 2.



Figure 3. Variation ranges and statistical distribution of the response variables analysed (all input parameters grouped together). Note: boxes indicate the lower and upper quartiles and the median, the whiskers the minimum and maximum data values, \times is the average, \circ are outliers.



Figure 4. Variation ranges and statistical distribution of the VFA yield grouped by level of qualitative input variables (only levels with >20 data points reported). Notes: boxes indicate the lower and upper quartiles and the median, the whiskers the minimum and maximum data values, \times is the average, \circ are outliers For abbreviations, please refer to Table 2.





Figure 5. Regression tree identifying the hierarchy of variables effects on: a) total VFA concentration; b) total VFA yield. Note: the variable levels splitting the sub-groups are indicated at each node, while the number of data points of the response variable and their respective statistical distribution are reported at each terminal node. For abbreviations, please refer to Table 2.





4 Figure 6. Bioelectrochemical system configuration for: a) electricity generation b) hydrogen

- 5 production



10 Figure 7. Schematic layout of a fermentation-centered biorefinery approach having VFAs from

- 11 FW as the main output.

Table 1. Summary of the main metabolic pathways and reactions during dark fermentation

Metabolic pathway and reaction E					
Acetate-type	$C_6H_{12}O_6 + 2H_2O \rightarrow 2CH_3COOH + 2CO_2 + 4H_2$	(1)			
Butyrate-type	$C_6H_{12}O_6 \rightarrow CH_3CH_2COOH + 2CO_2 + 2H_2$	(2)			
Propionate-type	$C_6H_{12}O_6 + 2H_2 \rightarrow 2CH_3CH_2COOH + 2H_2O$	(3)			
Mixed-acid	$2C_{6}H_{12}O_{6} \rightarrow CH_{3}COOH + CH_{3}CH_{2}COOH + CH_{3}CH_{2}COOH + 3CO_{2} + 3H_{2}$	(4)			
Acetate-ethanol type	C ₆ H ₁₂ O ₆ + H ₂ O → CH ₃ CH ₂ OH + CH ₃ COOH + 2H ₂ + 2CO ₂	(5)			
Homoacetogenesis	$4H_2 + 2CO_2 \rightarrow CH_3COOH + 2H_2O$	(6)			
Lactate-type	$C_6H_{12}O_6 \rightarrow 2CH_3CH(OH)COOH$	(7)			
	$C_6H_{12}O_6 \rightarrow CH_3CH(OH)COOH + CO_2 + CH_3CH_2OH$	(8)			

Variable	Symbol	Unit of measure	No. levels ¹	Levels ¹
Substrate type	Sub		11	 1 = Food waste from household [FW_hh] 2 = Food waste from canteen/cafeteria/restaurant [FW_ccr] 3 = Synthetic food waste [Syn_FW] 4 = Individual food waste fraction [FW_frac] 5 = OFMSW (source-separated) [OFMSW] 6 = Mechanically sorted MSW [MS_MSW] 7 = OFMSW + sludge [OF_slu] 8 = Food waste + sludge [FW_slu] 9 = Activated sludge [AS] 10 = Wastewater [WW] 11 = Synthetic food waste + sludge [SynFW slu]
Substrate pre-treatment	Sub_pretr		4	1 = No pre-treatment [None] 2 = Thermal [Ther] 3 = Enzymatic [Enz] 4 = Thermal + enzymatic [Ther enz]
Substrate concentration	Sub_conc	g VS L-1		
Inoculum type	Inoc		11	 1 = No inoculum [None] 2 = Anaerobic digestion sludge (mesophilic) [ADSmes] 3 = Anaerobic digestion sludge (thermophilic) [ADSther] 4 = Anaerobic digestion sludge (hyperthermophilic) [ADShyp] 5 = Acclimated acidogenic biomass (mesophilic) [ABmes] 6 = Compost [Comp] 7 = Activated sludge [AS] 8 = Acclimated acidogenic biomass (thermophilic) [ABther] 9 = Primary sludge [PS] 10 = Anaerobic inoculum [AI] 11 = Unspecified [Unsp]
Inoculum pre- treatment	Inoc_pretr		3	1 = No pre-treatment [None] 2 = Thermal [Ther] 3 = Not applicable (no inoculum added) [notapp]
F/M ratio	F_M	g VS _{FW} g VS _{inoc} -1		
Operation mode	Oper		2	1 = Batch [Batch] 2 = Continuous [Cont]
рН	рН	unitless		
pH control method	pH_contr		5	 Buffer addition [Buffer] = Discontinuous control [Disc] = Continuous control [Cont] = Uncontrolled [Unc] = Continuous control (below pH=5 only) [Cont_5]
Temperature regime	Temp		3	1 = Psychrophilic [Psy] 2 = Mesophilic [Mes]

Table 2. Input variables used for the statistical analysis of VFA production data

				2 - Thormonhilio [Thor]	
				3 – Thermophilic [Ther]	
Test duration	Duration	d			
HRT	HRT	d			
OLR	OLR	g VS L ⁻ ^{1.} d ⁻¹			
¹ Only for qualitative (discrete) variables					