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1. Introduction

Alzheimer's disease (AD) is an incurable neurological disease affecting more than 5 million individuals living in the United States [1]. A potential connection between cholesterol levels and risk of AD and dementia has been suggested [2]. This is important as cholesterol levels in blood can be modified. However, there is conflicting epidemiological evidence whether high-density lipoprotein cholesterol (HDL-C) levels, which is considered the beneficial choles-

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terol, is a risk factor for AD and dementia. In a prospective study of approximately 7000 French individuals, there was no association of HDL-C with incident all-cause dementia or AD [3]. On the other hand, in the same French cohort, an association was observed in men between incident all-cause dementia, but not AD [4], and in a study of 75,000 individuals in Denmark, HDL-C was associated with both all-cause dementia and AD [5]. And, when evidence was combined from multiple published studies, late-life HDL-C was not associated with all-cause dementia or AD [6].

Cholesteryl ester transfer protein (CETP) is involved in the exchange of cholesteryl esters and phospholipids between HDLs and other lipoproteins. Increased plasma HDL cholesterol and plasma CETP is linked to a reduced

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risk of cardiovascular disease [7,8]. Common single nucleotide polymorphisms (SNPs) in the CETP locus have been strongly linked to altered plasma lipid levels [9]. Furthermore, protein-truncating variants (i.e., nonsense, frameshift, and splice site variants) in CETP are associated with higher HDL-C, lower low-density lipoprotein choles-terol, lower triglycerides, and lower risk of coronary heart disease [10]. The Global Lipids Genetic Consortium has re-ported 10 variants on the exome array that are significantly and distinctly associated with HDL-C [11]. These SNPs in CETP with large effects on HDL-C can be used as tools to elucidate whether there is a causal role of HDL-C on AD. In this study, we use instrumental variable analysis with ge-netic instruments (i.e., Mendelian Randomization) to predict whether high HDL-C through CETP is associated with AD by answering the questions: (1) Do CETP SNPs associate with AD risk?; and (2) Does genetically predicted high HDL-C associate with risk of AD?

131132 2. Methods

1331342.1. Association statistics

We obtained results for 10 SNPs previously shown to be strongly and distinctly associated with HDL-C in the CETP region (Table 1) from the Global Lipids Genetic Consortium exome chip results in up to 316,391 individuals, mostly of European origin [10,11]. HDL-C was measured by standard protocols, and the majority individuals were fasting [11]. The analysis of HDL-C was adjusted for age, sex, population stratification, and relatedness, where appropriate, and in-verse normalized residuals were used as outcomes. Results were meta-analyzed across cohorts using an additive model. The CETP region was defined as being within 1 MB of an indexed CETP SNP (rs3764261).

We obtained results for the 10 identified *CETP* SNPs with AD within the IGAP exome chip results in up to 34,174 individuals from the stage 1 results (up to 16,097 late onset AD cases and 18,077 cognitively normal elderly controls) [12]. Results from multiple consortia were meta-analyzed using an additive model. Two sets of covariates were used in the model of association: principal components (PCs) of ancestry only adjustment (model 1) and PCs, age and sex adjustment (model 2).

Both sets of results were based on exome chip genotypes and aligned to the forward strand.

2.2. Statistical analyses

We obtained *CETP*-predicted estimates of the effect of HDL-C on risk of AD from summary statistics using fixed effects inverse variance weighted meta-analysis [13], weighted median method [14], and MR-Egger [15]. A non-zero MR-Egger intercept indicates that the inverse variance weighted estimate may be invalid. We report the odds ratio and 95% confidence intervals per standard deviation of HDL-C.

Our primary analysis is based on the 10 previously reported HDL-C SNPs in the *CETP* locus with the model 1 adjusted AD results. We perform sensitivity analyses on the following sets of SNPs to determine whether the set of SNPs or AD model adjustment influenced the results: (1). 10 HDL-C SNPs with model 2 AD results, (2). 9 HDL-C SNPs with consistent AD effects using model 2 AD results, (3). 7 common HDL-C SNPs with model 2 AD results, (4). 4 nonsynonymous SNPs with model 1 AD results, and (5). 4 nonsynonymous SNPs with model 2 AD results.

All statistical analyses were conducted using the R package Mendelian Randomization in R, version 3.3.1 (R Foundation for Statistical Computing, Vienna, Austria). Only summary statistics were used in this study.

2.3. Power calculation

The 10 *CETP* SNPs explain ~ 3.5% of variance of HDL [11]. We calculated the power to detect the effect of HDL through *CETP* on AD through Mendelian randomization [16] given our sample (34,174 individuals, 47% cases) assuming the SNPs explain 3.5% of the variance in HDL at an alpha of 0.05 using http://cnsgenomics.com/shiny/mRnd/.

Table 1

SNP	Protein	Effect allele	Frequency	HDL-C			AD model 1			AD model 2		
				β	SE	P-value	β	SE	P-value	β	SE	P-value
s2303790	ASP459GLY	G	0.08%	0.366	0.047	5×10^{-15}	0.280	1.419	0.844	-0.801	1.703	0.638
rs34065661	ALA15GLY	G	0.48%	0.435	0.020	6×10^{-103}	0.034	0.307	0.912	0.142	0.334	0.670
rs247616	Intergenic	Т	30.83%	0.242	0.003	$<1 \times 10^{-323}$	-0.004	0.018	0.812	0.003	0.020	0.879
rs3764261	Intergenic	Α	31.27%	0.239	0.003	$<1 \times 10^{-323}$	0.004	0.018	0.832	-0.003	0.020	0.880
rs173539	Intergenic	Т	32.26%	0.230	0.003	$<1 \times 10^{-323}$	-0.008	0.018	0.679	-0.001	0.020	0.959
rs5882	VAL422ILE	G	35.04%	0.092	0.003	6×10^{-241}	0.002	0.018	0.908	-0.009	0.020	0.640
rs9989419	Intergenic	G	60.09%	0.131	0.003	$<1 \times 10^{-323}$	-0.002	0.018	0.892	0.002	0.020	0.906
rs9939224	Intron:CETP	G	78.73%	0.205	0.003	$<1 \times 10^{-323}$	0.026	0.021	0.220	0.029	0.023	0.201
rs7499892	Intron:CETP	С	80.83%	0.230	0.003	$<1 \times 10^{-323}$	-0.030	0.022	0.187	-0.035	0.024	0.151
rs5880	ALA390PRO	G	95.19%	0.258	0.007	4×10^{-321}	-0.017	0.045	0.705	0.002	0.050	0.970

Abbreviations: AD, Alzheimer's deisease; GLGC, Global Lipids Genetic Consortium; IGAP, International Genomics of Alzheimer's Project; PC, principal components; SNPs, single nucleotide polymorphisms; CETP, cholesteryl ester transfer protein; HDL-C, high-density lipoprotein cholesterol.

NOTE. AD model 1 adjusts for PCs of ancestry only.

NOTE. AD model 2 adjusts for PCs of ancestry, age, and sex.

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232 **3. Results**

233 Ten SNPs in *CETP* region were shown to independently 234 235 and significantly associate with HDL in the Global Lipids 236 Genetic Consortium exome chip analyses (Table 1). Eight 237 of the then CETP SNPs have at least a 1/5th standard devia-238 tion effect on HDL-C. We associated these 10 SNPs with AD 239 in the IGAP exome chip results. None of the ten CETP SNPs 240 were nominally associated with AD (P > .05). All the AD 241 results had heterogeneity P-values > 0.1.

242 We compared the AD effect estimates between the PC 243 only adjusted model (model 1) and the PC plus age and 244 sex adjusted model (model 2) for the 10 CETP region 245 SNPs. We found that one SNP (rs2303790) with discordant 246 effect size between the two AD models (Supplementary 247 248 Fig. 1A). After removing rs2303790, we found a 0.81 corre-249 lation between the effect estimates from the two AD model 250 adjustments (P = .0075) (Supplementary Fig. 1B). In both 251 adjustment models, we found a positive trend between 252 each SNPs effect on HDL with its corresponding effect on 253 AD (Supplementary Fig. 1C and D). 254

Across six sets of results and three statistical models, we found no evidence that genetically increasing HDL-C through *CETP* will lead to an increase in risk of AD (Table 2). MR-Egger intercepts were not found to be different from zero, suggesting that directional pleiotropy was not apparent, and the I² estimate was >99% in all analyses, indicating variability in effects.

Given our sample size (34,174 individuals, 47% cases) and the proportion of variance explained in HDL by the *CETP* SNPs (3.5%), we had >80% power to detect an odds ratio > 1.18 or < 0.85 for genetically increased HDL on risk of AD at an alpha of 0.05.

4. Discussion

In this study, we found that SNPs in the *CETP* locus with a large effect on HDL-C were not associated with risk of AD and that genetically predicted HDL-C, through polymorphisms in the *CETP* locus, does not associate with risk of AD. Our study lends evidence that life-long altered HDL-C through *CETP* is not a causal predictor of risk of AD. Previously, Proitsi et al. showed no association between a genetic risk score of 157 lipid SNPs weighted by their HDL-C effect and AD in up to 10,578 individuals [17]. While Proitsi et al. used a genetic risk score of all genome-wide associated SNPs, we focused on one mechanism of raising HDL-C, through the gene *CETP*.

The strengths of our study were the large sample sizes that were used for the summary statistics allowing for precise estimates of effect and the multiple statistical analyses pointing to the same conclusion. Despite the large samples and multiple methods, limitations of our study are as follows. First, we had power to detect odds ratios >1.18 or <0.85 for genetically increased HDL-C on risk of AD. If there is a smaller effect of HDL-C on AD, we may not have been able to detect it. Another study showed that variation in *CETP* associated with higher HDL-C is also associated with an increased risk of intracerebral hemorrhage [18]. We used the same set of SNPs. Second, *CETP* SNPs are also known to be associated with other lipid levels and therefore the other lipid fractions

265 Table 2

266 05 327 Estimates of the effect of genetically predicted HDL-C through CETP on AD 267 328 I^2 (*P*-value) OR (95% CI) P-value MR-Egger intercept (P-value) 268 329 10 HDL SNPs, model 1 AD results 269 330 Inverse variance weighted 0.988 (0.923, 1.059) 0.738 270 331 Weighted Median 0.982 (0.9, 1.07) 0.68 271 332 0.956 (0.751, 1.217) 0.007 (0.775) 100% (0.896) MR-Egger 0.712 272 333 10 HDL SNPs, model 2 AD results 273 334 0.995 (0.924, 1.071) 0.895 Inverse variance weighted 274 335 Weighted Median 0.999 (0.908, 1.099) 0.984 275 336 MR-Egger 1.013 (0.78, 1.318) 0.92 -0.004(0.885)100% (0.824) 276 337 9 HDL SNPs with consistent AD effects, model 2 AD results 277 338 Inverse variance weighted 0.995 (0.924, 1.073) 0.898 278 Weighted Median 0.999 (0.909, 1.097) 0.984 339 1.014 (0.78, 1.318) 0.915 -0.004(0.881)99.9% (0.765) 279 MR-Egger 340 7 Common HDL SNPs, model 2 AD results 280 341 Inverse variance weighted 0.994 (0.921, 1.073) 0.873 281 342 Weighted Median 0.997 (0.906, 1.097) 0.946 282 343 1.008 (0.771, 1.317) 0.956 -0.003(0.916)99.9% (0.557) MR-Egger 344 283 4 nonsynonymous SNPs, model 1 AD results 284 345 0.858 Inverse variance weighted 0.977 (0.76, 1.257) 285 346 Weighted Median 0.976 (0.746, 1.276) 0.86 347 286 MR-Egger 0.91 (0.526, 1.576) 0.738 0.010 (0.777) 99.9% (0.953) 287 4 nonsynonymous SNPs, model 2 AD results 348 0.829 288 Inverse variance weighted 0.97 (0.736, 1.279) 349 Weighted Median 0.964 (0.72, 1.289) 0.803 289 350 MR-Egger 1.101 (0.602, 2.014) 0.756 -0.019(0.645)99.9% (0.833) 290 351 291 352 Abbreviations: HDL-C, high-density lipoprotein cholesterol; CETP, cholesteryl ester transfer protein; AD, Alzheimer's deisease; SNPs, single nucleotide

Abbreviations: HDL-C, high-density lipoprotein cholesterol; CETP, cholesteryl ester transfer protein; AD, Alzheimer's deisease; SNPs, single nucleotide polymorphisms; CI, confidence interval. **ARTICLE IN PRESS**

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354 (i.e., lower low-density lipoprotein cholesterol or triglycer-355 ides) may hide a true relationship between HDL-C and AD. 356 Third, our study focused on the effects of HDL-C in the pop-357 ulation, and we were not able to determine whether extreme 358 HDL-C levels through CETP have an association with AD. 359 Fourth, other factors that influence HDL-C levels may have 360 a causal effect on AD, and these cannot be elucidated by the 361 present study. Fifth, the studies that contributed to the results 362 were predominately of European origin and therefore we 363 cannot generalize these results to other ancestries. 364

Despite the promise of high HDL-C providing protection 365 366 for AD, we do not find evidence that increasing HDL-C 367 through the CETP will result in lower risk for AD.

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Supplementary data

Supplementary data related to this article can be found at https://doi.org/10.1016/j.dadm.2018.08.008.

RESEARCH IN CONTEXT

The connection between cholesterol levels and risk of Alzheimer's disease (AD) and dementia has been suggested as a promising avenue for risk prediction as well as risk reduction. There is conflicting evidence whether high-density lipoprotein cholesterol (HDL-C) levels are a risk factor for AD and dementia. Here, we performed a study with large sample sizes to look at whether genetically predicted HDL-C through cholesteryl ester transfer protein is associated with risk of AD. We found that single nucleotide polymorphisms in the cholesteryl ester transfer protein locus with a large effect on HDL-C were not associated with risk of AD, and that genetically predicted HDL-C through cholesteryl ester transfer protein did not associate with risk of AD, suggesting that high HDL-C does not provide protection for AD.

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