



Università degli Studi di Cagliari

DOTTORATO DI RICERCA
In Scienze e Tecnologie Chimiche

Ciclo XXX

TITOLO TESI

**Functional ordered mesoporous silica in nanomedicine:
target and drug delivery systems.**

Settore scientifico disciplinari di afferenza

CHIM/02

Presentata da:	Valentina Nairi
Coordinatore Dottorato	Prof. Stefano Enzo
Tutor	Prof. Andrea Salis

Esame finale anno accademico 2016 – 2017
Tesi discussa nella sessione d'esame di marzo 2018



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To my family

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List of publications

- I. **Adsorption and release of ampicillin antibiotic from ordered mesoporous silica.** V. Nairi, L. Medda, M. Monduzzi and A. Salis *J. Colloid Interface Sci.*, (2017) 497, 217-225.
DOI: 10.1016/j.jcis.2017.03.021

- II. **Double sequential encrypted targeting sequence: A new concept for bone cancer treatment.** G. Villaverde, V. Nairi, A. Baeza and M. Vallet-Regi *Chem.–Eur. J.*, (2017) 23, 7174-7179.
DOI: 10.1002/chem.201605947

- III. **Mesoporous silica nanoparticles functionalized with hyaluronic acid and chitosan biopolymers. Effect of functionalization on cell internalization.** A. Salis, M. Fanti, L. Medda, V. Nairi, F. Cugia, M. Piludu, V. Sogos and M. Monduzzi *ACS Biomater. Sci. Eng.*, (2016) 2, 741-751. DOI: 10.1021/acsbiomaterials.5b00502

- IV. **Interaction between bovine serum albumin and mesoporous silica nanoparticles functionalized with biopolymers.** V. Nairi, S. Medda, M. Piludu, M. F. Casula, M. Vallet-Regi, M. Monduzzi and A. Salis *Chem. Eng. J.* (2018) *In press*. DOI: 10.1016/j.cej.2018.01.011.

- V. **Mesoporous silica nanoparticles functionalized with hyaluronic acid. Effect of the biopolymer chain length on cell internalization.** V. Nairi, S. Magnolia, M. Piludu, M. Nieddu, C. A. Caria, V. Sogos, M. Vallet-Regi, M. Monduzzi and A. Salis *Colloids Surf. B* (2018) *In press*.
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Abstract

Ordered mesoporous materials (OMMs) are characterized by high surface area (up to 1000 m²/g), high pore volume (1-3 cm³/g) and narrow pore size (2-30 nm) distribution. These features make OMMs very interesting matrices for applications in several fields. Recently, mesoporous silica nanoparticles (MSNs), a subclass of OMMs, have had great development as nanocarriers for drug delivery, particularly for cancer treatment. Present chemotherapeutic drugs are highly toxic and, besides to kill cancer cells, they can also damage healthy ones. For these reasons, in the last years, researchers focused on the fabrication of smart delivery systems to control the release of the drug only in the diseased tissue.

This thesis focused on different aspects of the use of OMMs, particularly MSNs, as drug delivery systems. Firstly, how different features of OMMs (surface area, pore size and surface charge) can affect the adsorption and release of drugs was investigated. Ampicillin, a penicillin-like β -lactam antibiotic was firstly loaded on MCM-41, SBA-15, and amino-functionalized SBA-15, then its release in simulated physiological conditions was studied (**Paper I**). Common target systems have the disadvantage that the targeting molecule can be recognized by several receptors. A possible strategy to solve this issue is that the targeting molecule is hidden by preparing a double sequential targeting system. To this purpose biphosphonate was used as a tissue target to recognize a diseased bone, and an encrypted cellular target, Arg-Gly-Asp (RGD) was used to improve the internalization in human osteosarcoma cells (**Paper II**). The effect of surface charge on the internalization of MCM-41-type MSNs, functionalized with chitosan (CHIT) and hyaluronic acid (HA) biopolymers, on 3T3 mouse fibroblast

cells was then investigated (**Paper III**). The opposite surface charge of the biopolymer-functionalized MSNs (negative for MSN-HA and positive for MSN-CHIT) give a different interaction with BSA, used as a model protein to investigate the formation of the protein corona (**Paper IV**). Finally, MSNs were functionalized with HA samples having three different molecular weights (HA_S, HA_M, and HA_L). The effect of HA molecular weight on the internalization of HA-MSNs particles on HeLa cells was evaluated (**Paper V**).

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1 Nanomedicine

Cancer disease kills every year millions of people. In order to reduce mortality due to this disease researchers have focused their attention on new treatments and techniques of prevention and diagnosis [1]. Nanomedicine is a branch of medicine that exploits the new advances in the field of nanotechnology. The term *Nanotechnology* was coined during the National Nanotechnology Initiative in 2000 to define the study of nanomaterials, that is, materials with dimensions between 0.1 and 100 nm [2]. Due to their peculiar dimensions, nanomaterials exhibit special and different physical, chemical and biological features and properties which are not shown by a material with the same chemical composition but a bigger size [3,4]. For these reasons nanomaterials are having a great development in medicine for several applications such as imaging [5], gene therapy [6] and drug delivery [7–9]. In particular, nanomaterials are becoming of great interest in oncology as nanocarriers of chemotherapeutic drugs. The small size of the nanocarriers allows to exploit the cancer microenvironment features, in particular the vascular abnormalities of the tumor, to improve the penetration into the cancer tissue where the chemotherapeutic drug is finally released [10].

The development of nanomedicine is the result of an interdisciplinary work which, beside medicine, involves chemistry, molecular medicine, biochemistry, materials sciences and nanotechnology. In particular, nanomedicine requires knowledge in [3]:

1. Chemistry: synthesis of drug delivery systems and their surface modification (to improve nanoparticles biocompatibility and modulate drug loading and release).
2. Biology and pathophysiology: to identify efficient target systems.
3. Physics: to study the physical properties of nanomaterials that can help researchers (engineers and material scientists) to the discovery of new materials and systems.

1.1 Criticism to current cancer therapies

Currently chemotherapy and radiotherapy are the most widespread therapies for cancer treatment. In vitro studies show that chemotherapy and radiotherapy cause damage and structural defects on DNA which may result in cells death [11]. Without entering into the details of the mechanisms involved in chemo- and radiotherapies, it should be remarked that the current therapies need the administration of a high amounts of drug (or radiation doses) that lead to the occurrence of effects not only in the tumor tissue, but also in healthy cells [12,13]. A possible solution to these disadvantages may come from nanomedicine. Researchers are working to develop smart nanocarriers which, once injected in the blood flow, are able to release the chemotherapeutic drugs only when the diseased tissue has been reached.

1.2 Nanocarriers for cancer treatment

In the last 25 years several types of nanocarriers have been synthesized and widely developed in particular for drug administration and clinical tests. The design of new nanocarriers should consider the following parameters [14]:

- Size
- Composition
- Coating and functionalization
- Drug loading capacity

Not only the features previously listed are important to define the destiny of nanocarriers in the human body. Indeed a body fluid is composed by proteins, peptides and several electrolytes that can interact with the nanocarriers' surface changing their surface properties. These changes can affect the therapeutic efficacy of the nanosystem and its final fate. For example, nanocarriers injected in the blood flow can be phagocytized and eliminated by leukocytes that have the task to eliminate every putative danger. Another critical point is the capacity of nanocarriers to reach and to release, with a controlled rate, the drug in the tumor tissue only. All these issues have been investigated and possible solutions based on the use of different nanocarriers have been proposed [9]. In the following paragraphs the most studied nanocarriers will briefly be described (Fig. 1.1).

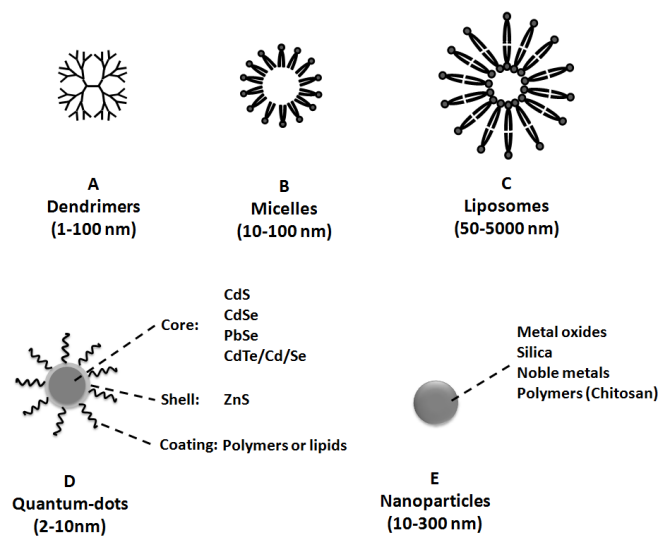


Figure 1.1 Examples of nanocarriers: dendrimers (A), micelles (B), liposomes (C), quantum-dots (D), and nanoparticles (E). Adapted from ref. [8].

1.2.1 Polymeric nanoparticles

The first polymeric nanoparticles were synthesized by Khanna and Speiser in 1969 which proposed epoxy resins beads as nanocarriers for drug delivery [15]. Subsequently researchers focused their attention on the possibility to use other natural polymers, such as proteins (albumin) and polysaccharides (chitosan and heparin), or synthetic polymers such as poly(lactic acid), poly(glycolic acid), and their co-polymers, poly(lactide-co-glycolide) [16–19]. Polymeric nanoparticles are generally biocompatible and chemically stable if compared with the most common nanomaterials. For their high biocompatibility and biodegradability, low toxicity, mucoadhesivity, and hemostatic and antimicrobial activity, chitosan-based nanoparticles have found important developments in nanomedicine as nanocarriers for drug delivery systems [20–22]. In particular, the swelling potential of chitosan at low pH values makes these nanoparticles excellent candidates as pH-responsive systems [23,24].

1.2.2 Liposomes

Liposomes are micro-particulate or colloidal carriers with size between 0.05 and 5.0 μm , composed of an aqueous volume entrapped in membrane-like lipid (phospho- and sphingo-lipids) layers [25]. Originally liposomes were used for the study of biological membranes, but starting from the end of 1970 they were introduced for biomedical applications [26]. Liposomes' structure is able to incorporate bio-active molecules (i. e. nucleids acid, DNA) or drugs (amphotericin B, doxorubicin and cis-platinum) [14,25,27] reducing their toxic effects on the healthy tissues. Another important feature of liposomes is the possibility to modify their surface and composition with biocompatible polymers like PEG or antibodies [28] to increase the circulation time in these systems, and to control the accumulation and the release of the drug in the desired site. Furthermore the recent discovery that liposomes are sensitive to heat, ultrasounds, light, and changes of pH, allows to exploit them as stimuli-responsive systems [29–31].

1.2.3 Cubosomes

Cubosomes are lipid-based nanoparticles with a bicontinuous liquid-crystalline phase stabilized by pluronics copolymer [32,33]. As result of the possibility to load at the same time with a fluorophore probes (that emit in IR or UV-Vis range) and a anti-cancer drug (i. e. quercetin or camptothecin) cubosomes are being studied for theranostic nanomedicine [34–36].

1.2.4 Dendrimers

Dendrimers, introduced as drug delivery nanocarriers in the 1990s [37], are highly branched polymeric macromolecules that have size between 1-100 nm. The drug can be encapsulated into the free space of the dendrimers' structure through physical interactions, but can also be linked to the external functional groups with covalent bonds [38]. To solve the problem of the controlled and targeted release, dendrimers have recently been used to realize stimuli-responsive systems. PEGylated polyamidoamine (PAMAM) [39] and poly(L-glutamic acid) [40] dendrimers are able to release the drug as a response to a pH variation. Similarly, thermosensitive systems were synthesized by using codendrimers which contain in their structure PAMAM and oligoethylene dendron [41].

1.2.5 Gold nanoparticles (GNPs)

Gold nanoparticles (GNPs), synthesized for the first time by Faraday in 1850, were used for biological applications in 1971 by Faulk and Taylor to invent the immunogold staining procedure [9,42]. In this technique targeting agents, like antibodies, are labeled with GNPs to visualize cellular components through electron microscopy [43,44]. Subsequently, due to their biocompatible nature, rapid synthesis and easy size and shape control, GNPs were used as nanocarriers for the delivery of proteins, peptides, nucleic acids, anticancer agents and as molecular probes [9,45]. Moreover GNPs are able to penetrate into cancer cells preventing their replication. Another important feature of these materials is the possibility to functionalize their external surface with a variety of ligands to modify their physical and chemical properties [46]. Furthermore GNPs were

recently used for several biomedical applications, i.e. cancer diagnosis, immunoassays, protein assays, etc [45].

1.2.6 Quantum dots (QDs)

Researchers discovered quantum dots (QDs), light-emitting fluorescent inorganic nanoparticles, for the first time in the 1980s. QDs are semiconductors with dimensions of several nanometers (2-10 nm) and high cytotoxicity that find application for theranostics, either alone or associated with other nanomaterials. The use of QDs has two important disadvantages: i) they are composed by heavy metals, ii) these metals accumulate in the body [9].

1.2.7 Ordered mesoporous materials and mesoporous silica nanoparticles

Ordered mesoporous materials (OMMs) and mesoporous silica nanoparticles (MSNs), synthesized for the first time in the 1990s, recently are widely used in nanomedicine. As will be described in more detail in chapters 2 and 3, OMMs and MSNs present structural properties that make them great candidates for tissue engineering and as depot systems in drug delivery.

2 Ordered mesoporous materials

Before 1990's zeolites were the main microporous (pore diameter $< 20 \text{ \AA}$) inorganic solids used for water treatment and heterogeneous catalysis [47–49]. In 1992 the researchers of the Mobil Oil Corporation synthesized a new family of ordered mesoporous materials (OMMs), known with the acronym of MCM (Mobile Composite of Matter) with a pore diameter in the mesopores' range (20 - 500 \AA) [50]. At the same time Inagaki et al. synthesized a very similar material characterized by hexagonal structure [51]. All these materials present outstanding textural and structural features and high thermal stability [52] (see Table 2.1).

Table 2.1 Comparison among structural, physical, and chemical properties of zeolites and OMMs. Adapted from ref [52].

Property	Zeolites	OMMs
Crystalline	Yes	No
Surface area	$<700 \text{ m}^2\text{g}^{-1}$	$<2000 \text{ m}^2\text{g}^{-1}$
Pore size	$\leq 1 \text{ nm}$	2-50 nm
Pore volume	$0.1\text{-}0.5 \text{ cm}^3$	$<1 \text{ cm}^3$
Diffusivity	Low	High
Thermal stability	High	Medium

Chemical stability	High	Limited
Chemical versatility	Low	Medium to low
Metal site density	Low	Variable
Lewis acidity	High	Low
Bronsted acidity	Bridging Si(OH)/Al hydroxyl groups	Medium / Increased by functional groups in the pore wall
Basicity	Arise from the oxygen framework	Introduced by functional groups in the pore wall
Chirality	Difficult to achieve	Easily obtained

The first synthesized MCM (Mobile Composite of Matter) materials had a variety of pore sizes and ordered structures. For example the materials named MCM-41, MCM-48 and MCM-50 have hexagonal, cubic and lamellar structures, respectively (Fig. 2.1) [53]. MCM materials are synthesized by means of a basic aqueous solution of a cationic surfactant (i.e. cetyl trimethylammonium bromide, CTAB) to which a silica precursor (i.e. tetraethyl orthosilicate, TEOS) is added. The type and concentration of surfactant, the surfactant/silica ratio, the reaction pH [54,55], but also ionic strength, temperature and counterion charge [50] play important roles for the synthesis of these materials.

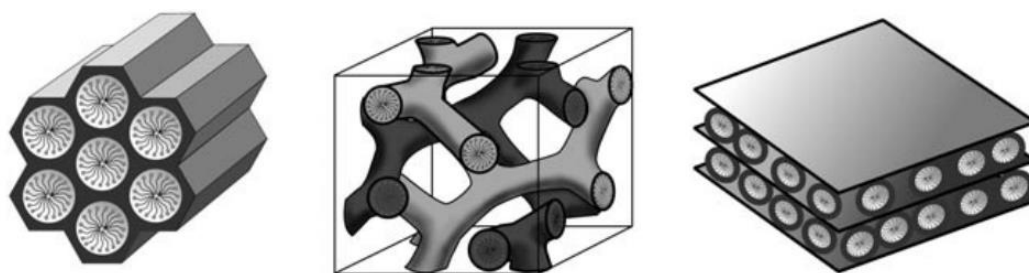


Figure 2.1 Schematic representation of ordered structures of MCM-41 (hexagonal), MCM-48 (cubic), and MCM-50 (lamellar). This picture is reproduced from ref. [56].

Another class of OMMs, discovered in 1998, is the "Santa Barbara Amorphous" (SBA) family. SBA materials are synthesized using nonionic surfactants, like pluronic copolymers (polyethylene oxide and polypropylene oxide). Before 1998 these surfactants were mainly used for the synthesis of disordered mesoporous alumina and silicate, in acidic media [57–60]. Materials with cubic (SBA-11, SBA-14 and SBA-16) and hexagonal (SBA-12 and SBA-15) structure belong to this family [57]. Besides MCM and SBA materials also other OMMs have been synthesized with different ordered structures and properties. Table 2.2 lists the most common materials.

Table 2.2. List of the most common ordered mesoporous materials. Table adapted from ref. [61].

Materials	Surfactant	Remarks	Structure
MCM-41	CTAB	Synthesis in basic conditions	Hexagonal
MCM-48	C_nTMA^+ ($C_n=14-18$)	/	Cubic
MCM-50	CTAB	/	Lamellar
SBA-1	$C_{16}TEABr$ C_nTAB ($n=14, 16$)	Synthesis in acidic conditions	Cubic
SBA-3	C_nTMA^+ ($n=14-18$)	Synthesis in acidic conditions	Hexagonal

SBA-11	Brij56(C ₁₆ EO ₁₀)	/	Cubic
SBA-15	P123, P85, P65	Synthesis in acidic conditions	Hexagonal
SBA-16	F127(EO ₁₀₆ PO ₇₀ EO ₁₀₆)	/	Cubic
FDU-1	B50-6600(EO ₃₉ PO ₄₇ EO ₃₉)	Large caged	Cubic
FDU-5	P123(EO ₂₀ PO ₇₀ EO ₂₀)	Large-pore silica, synthesis with EISA method	Cubic
FDU-12	F127(EO ₁₀₆ PO ₇₀ EO ₁₀₆)	Ultralarge caged, adding inorganic salts and TMB	Cubic
CMI-1	Brij56(C ₁₆ EO ₁₀)	Synthesis in acidic conditions	Hexagonal
MSU-H	P123(EO ₂₀ PO ₇₀ EO ₂₀)	Synthesis at neutral pH, adding inorganic salts	Hexagonal
KIT-5	F127(EO ₁₀₆ PO ₇₀ EO ₁₀₆)	Synthesis with <i>n</i> -butanol, in weakly acidic conditions	Cubic
KIT-6	P123 (EO ₂₀ PO ₇₀ EO ₂₀)	Synthesis with <i>n</i> -butanol, in low concentration of acid	Cubic

2.1 Synthesis of OMMs

Kresge and co-workers in 1992 were the first to investigate the OMMs formation mechanism [54]. They proposed a liquid crystal templating (LCT) mechanism consisting of two main steps:

1. The formation of the ordered structure due to the self-assembly of surfactant molecules;

2. Hydrolysis of the silica precursor (TEOS) followed by the silica condensation around the previously formed ordered structure.

The LCT mechanism for the formation of mesoporous materials was criticized by Monnier et al. [62]. A more complex cooperative templating mechanism (CTM) (Fig. 2.2), characterized by the following three steps, was then proposed [63–65]:

1. Formation of surfactant micelles;
2. Hydrolysis of the silica precursor (TEOS) followed by silica polymerization;
3. Adsorption of the silica polymers on the micelles surface and formation of the ordered structure.

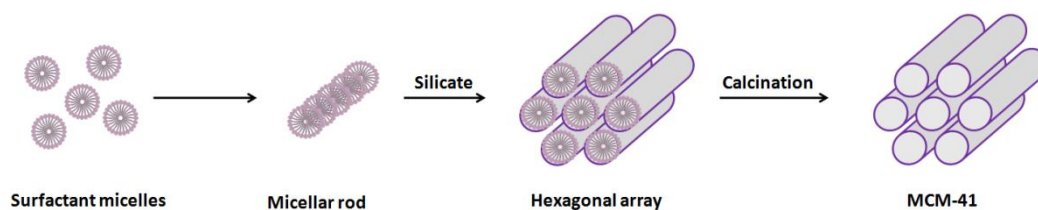


Figure 2.2. Scheme of synthesis of mesoporous materials according with CTM.

Whatever the mechanism, the synthesis of OMMS ends with the removal of the surfactant by calcination or solvent extraction.

The use of small-angle X-Ray scattering (SAXS) has been crucial to elucidate the CTM. The SAXS analysis of the synthesis mixture, carried out at different reaction times, demonstrated that surfactant molecules cannot arrange to form an ordered structure before adding the silica precursor [63]. The attractive interactions between the surfactant template and the silica precursor, affected by the reaction conditions, play a fundamental role in the formation of the ordered

structure. The reaction can take place in a range of conditions, i.e. in basic or acidic media - where silanols of polymerizing silica are deprotonated or protonated respectively - and using an ionic (anionic or cationic) or nonionic surfactant as schematically shown in Fig. 2.3 [53,56]. Briefly, at basic pH, silanols are negatively charged, and can establish an attractive interaction with the polar heads of cationic surfactants. This interaction leads to the formation of the ordered structure (Fig. 2.3a). In the same pH conditions the use of an anionic surfactant requires the mediation of a cation to establish an attractive interaction with the deprotonated silanols (Fig. 2.3b). Similar mechanisms are responsible of the structure's formation in acidic media (Fig. 2.3 c-d) [53,56]. Finally, the formation of the ordered structure when nonionic surfactants are used, is due to the formation of hydrogen bonds with the silanols of the polymerizing silica (Fig 2.3e) [53,56].

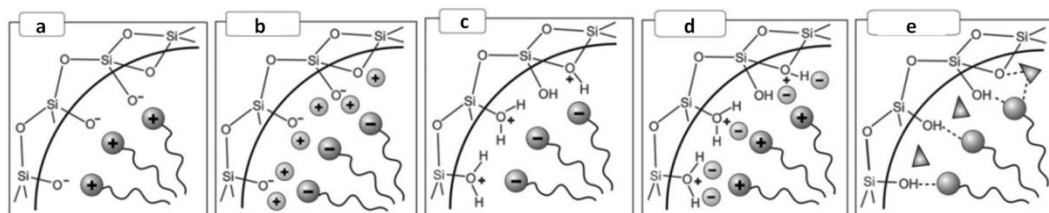


Figure 2.3. Interactions between the silica precursor and the surfactant (ionic or nonionic) in basic, acidic and neutral media. Adapted from ref. [56].

2.2 OMMs functionalization

A key feature of silica-based OMMs material is the possibility to functionalize their external and internal surface. Fig. 2.4 shows the three strategies of functionalization: i) post-synthesis functionalization; ii) one pot synthesis and

functionalization (co-condensation); iii) synthesis of periodic mesoporous organosilicas [56].

1. *Grafting or post-synthesis functionalization.* This process consists in the reaction between the surface silanol groups of OMMs and a $(R'O)_3SiR$ organosilane [56], (chlorosilane $ClSiR_3$ or silazanes $HN(SiR_3)_3$) or more commonly alkoxysilanes [66] (i. e. 3-aminopropyl triethoxysilane or 3-mercaptopropyltrimethoxysilane). This process does not modify the ordered structure of the material [66], but usually affects the textural features (i.e. surface area, pore volume and pore size) [56]. The difficulty of this process is to ensure an homogeneous distribution of organic groups, due to the preferential reactivity of organosilanes for the pore openings during the initial stages of the functionalization. This may hinder its diffusion into the pore channels [56]. Another disadvantage is the need of two steps, that is synthesis and functionalization [66].
2. *Co-condensation.* This process, also known as direct synthesis or one-pot synthesis [56], is based on the direct reaction and condensation between the silica precursor and an organoalkoxysilane in the presence of a surfactant (template agent). The obtained material presents organic residues covalently bound to the pore walls with an homogeneous distribution [66]. The main disadvantage of the co-condensation process is the possibility to alter the ordered structure [66]. Moreover the final step, involving the removal of the surfactant template, can only be done by

solvent extraction since the commonly used calcination would destroy the functionalized material [56,66].

3. *Synthesis of periodic mesoporous organosilicas (PMOs)*. This process is used to introduce organic groups into the silica framework [66]. For this kind of reaction a bridged organosilica precursors of the type $(R'O)_3Si-R-Si(OR')_3$ is used. The organic groups are homogeneously incorporated in the three-dimensional network structure [66]. These materials show higher surface area (up to $1800\text{ m}^2/\text{g}$) and thermal stability than the materials functionalized through the previously described processes, but often exhibit a disordered pore size distribution [56].

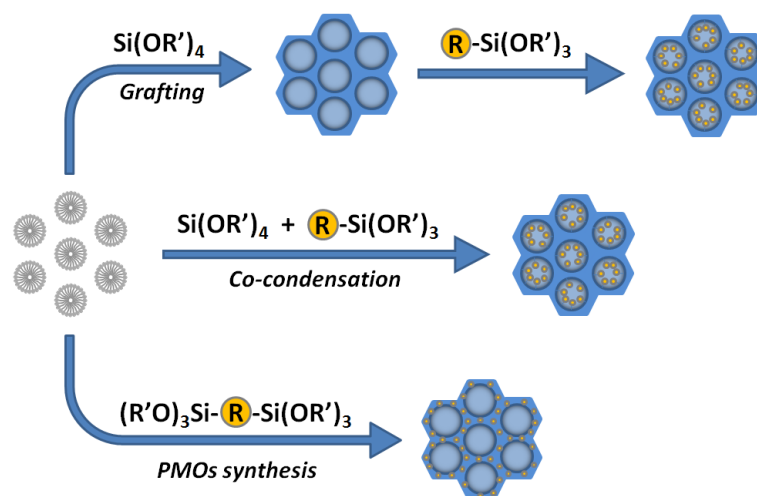


Figure 2.4. Scheme of different method of functionalization (post-synthesis and co-condensation) and synthesis of PMOs.

2.3 Applications

To date OMMs have been used for several applications.

1. *Catalysis and biocatalysis*. The large pores size of OMMs eases the mass transport and, consequently, catalytic reactions that involve bulky

substrates and/or products. In order to prepare an OMM catalyst catalytic active metals or metal oxide nanoparticles, such as Pt [67], Pd [68], and ZnO [69], need to be included into the structure (Fig. 2.5). Moreover, particularly for SBA materials, the pore size are large enough to permit enzyme immobilization. Several enzymes, such as cytochrome c [70,71], lipases [72], lysozyme [73–75], mioglobina [75], laccase [76], glucose oxidase [77], horseradish peroxidase [77–79] were used. Recently hybrid mesoporous materials, such as mesoporous silica-carbon (MSC) nanocomposites were introduced as catalyst supports for hosting metal catalysts [80,81]. The use of OMMs shows several advantages because of the possibility to: i) perform the reactions in mild conditions; ii) reduce the reaction time; iii) regenerate the catalysts without loss of catalytic activity.

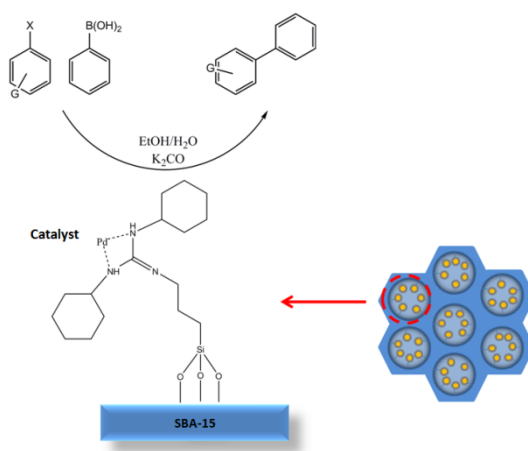


Figure 2.5. Suzuki-Miyaura reaction catalyzed by Pd included into the structure of SBA-15.

2. *Environmental applications.* The porous structure, the high surface area and the easy functionalization of OMMs allow to remove metal ions, i.e. Hg(II) [82] and Cu(II) [83], or other polluting (i.e. dyes [84,85] and polycyclic hydrocarbons [86]) molecules from waste waters (Fig. 2.6).

Functionalized OMMs are also useful for the specific recognition of heavy metal ions or polluting molecules. Another important use of these materials is for the capture of CO₂ from gas stream and flue gases [87–89].

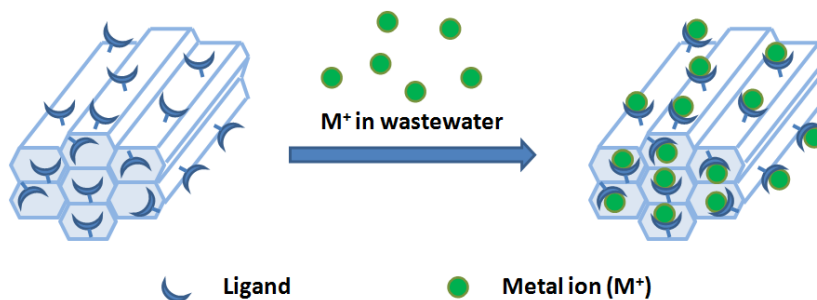


Figure 2.6. Schematic representation of OMMs modified with selective ligand for metal ions capture.

2.4 Use of OMMs in nanomedicine

As introduced in par. 1.2.7, OMMs are very important materials for nanomedicine. OMMs features - high surface areas (up than and 1400 m²/g), high pore volumes (1-3 cm³/g) and narrow pore size distributions (2-30 nm) the possibility to modify their external and internal surface and their biocompatibility - make them excellent candidates in tissue engineering and drug delivery [50,54,90].

2.4.1 Tissue engineering

Tissue engineering is defined as the creation and reconstruction of damage tissue using biomaterials [91]. Among the materials used for tissue engineering OMMs allows the immobilization of peptides or growth factors into the pore channels that can promote the growth of the new bone tissue [92,93]. Several studies

demonstrated that OMMs are biocompatible materials [94] (as first-generation biomaterials) [95–97] and resorbable (as second-generation biomaterials) [98,99]. OMMs belong to the third-generation of biomaterials due to their capability to interact with the surrounding living tissues thus improving the bone regeneration [100–103]. When these materials are soaked in a simulated body fluid (SBF), the formation of an hydroxyapatite-like (HAP) layer around the surface of the material takes place without damaging its ordered structure [94], as schematically shown in Fig. 2.7. As shown in Table 2.3, not all materials have bioactive response, as for example MCM-41 materials. The best solution to accelerate the bioactive response is to add a small amount of bioactive glasses to OMMs. The use of the Evaporation-Induced Self-Assembly (EISA) method [104] allows to obtain mesoporous glasses with a combination between properties of OMMs and bioglasses. The higher surface area of mesoporous glasses if compared with that of bioglasses favors the contact with SBF and, consequently, it accelerates the formation of the HAP layer [94].

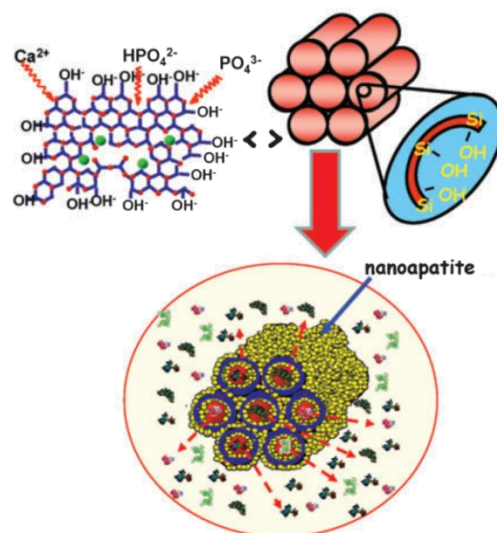


Figure 2.7. Formation of the HAP-layer on OMMs surface in SBF. This picture is reproduced from ref. [105].

Table 2.3. Examples of bioactivity for several OMMs. Adapted from ref. [105].

Material	Capability to form of an HAP-like layer	Time in SBF
MCM-41	No	60 days
MCM-48	Yes	60 days
SBA-15	Yes	30 days
1%P-doped-MCM-41	Yes	15 days
Bioglasses	Yes	3 days
10%Glass-90%MCM-41	Yes	1 day
Mesoporous glasses	Yes	1 h

2.4.2 Drug delivery

Although OMMs were synthesized in the 1990s it took about ten years to see the first possible medical application of OMMs. Indeed, in 2001 Vallet-Regi et al. [106] demonstrated the possibility to use MCM-41 as carrier for drug delivery. Indeed, the ordered porous structure allows the immobilization into the pore channels of therapeutic molecules and their controlled release [73,74,107–109]. Several studies demonstrated that OMMs (i.e. MSE, SBA-15, MCM-41) can be successfully used as depot systems for antibiotic [110–116], anti-inflammatory [117–119] or chemotherapeutic drugs [120–122]. The importance in the use of OMMs in medicine is the possibility to administrate the drug only in the desired site, for example in the inflamed or tumor tissue, without damaging the healthy one. Furthermore, this allows the administration to the patient of a lower amount

of the drug thus solving problems such as sensitivity, bacterial resistance, or superinfections [123,124]. Moreover, the possibility to modify their surface with several organic groups [66,107,110,119] is an important factor that, as demonstrated by Sevimli et al. [110], affect the drug loading and release since the interaction between the support and the drug changes. Recently, in particular in the field of chemotherapy, the use of mesoporous silica nanoparticles (MSNs) is having great development. In addition to the typical characteristics of OMSs, MSNs have very small particle size, thus they can be administrated by injection and transported in various sites of the human body by the bloodstream [125,126], and then internalized by cells [127]. The easy functionalization allows to modify the external surface of MSNs with targeting or stimuli-responsive agents to obtain target or stimuli-responsive systems. Briefly, the former are recognized by receptors overexpressed in cancer cells able to bind the targeting agent and to allow MSNs to reach the tumor tissue. The latter consists in the grafting of capping agents able to close and open the pores under determined stimuli (external or internal to the body, like pH and temperature change, redox reactions or the application of a magnetic field). These particular properties of MSNs will be discussed in detail in Chapter 3.

3 MSNs in nanomedicine

3.1 Composition and pH of tumor microenvironment

The knowledge of the biological characteristics and the microenvironment of the tumor tissue is of fundamental importance to develop new effective therapies. Tumor tissue contains several types of cells, i.e. endothelial cells, fibroblasts, macrophages, neutrophils, dendritic cells, and cytotoxic T lymphocytes [11], as schematically represented in Fig. 3.1. The extracellular matrix of tumor tissues contains, among others, growth factors, cytokines and structural proteins (collagen and elastin) [128]. The modification of the composition of the extracellular matrix causes abnormal inter- and intracellular signaling, leading to irregularities in cell proliferation, growth and cytoskeleton reorganization [128]. Furthermore, tumor tissue presents activated platelets because it is considered by the organism as an injured tissue. While for injured tissues the stimulus for the activation of platelets ends when the tissue is repaired, for tumors the stimulus persists allowing its growth with the stimulation of angiogenesis [128]. The abnormal vascularization, that characterizes tumors, is the cause of the irregular oxygenation. To solve this issue, cancer cells use glycolysis in place of the oxidative phosphorylation, ensuring the survival and proliferation, and hence the cancer progression. This process causes an increase in the lactate level which, in turn, leads to a decrease in pH values of extra-cellular and intra-cellular environment [11]. Indeed, tumor tissue is characterized by pH values lower than the healthy $\text{pH}=7.4$. Furthermore the intra-cellular pH_{in} ($\text{pH}_{\text{in}}=5.0-6.0$) is lower than the extra-cellular pH_{ex} ($\text{pH}_{\text{ex}}=6.5-7.2$) [129–132]. Another important feature is the capability of cancer

cells to overexpress proteins, i. e. integrins [133–139] or CD44 receptor [140–146] which are able to recognize and bind target molecules.

The knowledge of the tumor microenvironment has allowed the development of smart drug delivery systems. The use of mesoporous silica nanoparticles (MSNs) as nanodevices for cancer treatment could be more effective with the grafting on their external surface of targeting agents which can be recognized by specific receptors. A further grafting of molecules sensitive to either internal (pH, enzymatic and redox reactions) and external stimuli (light, temperature and magnetic field) would permit the release of the drug *in situ*. These two aspects will be discussed in detail in the following paragraphs.

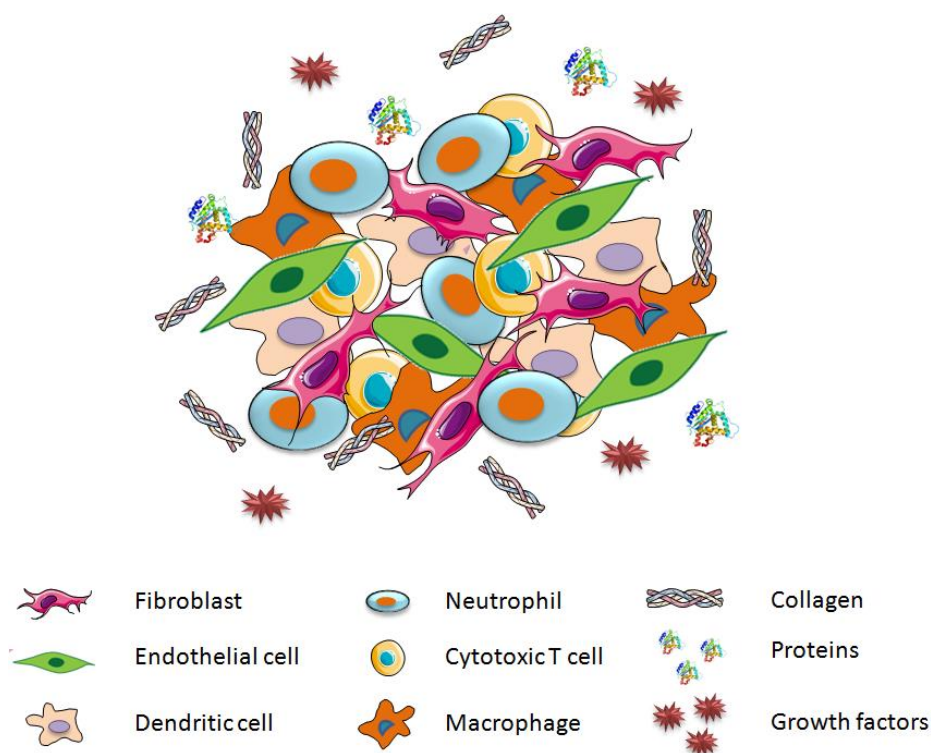


Figure 3.1. Schematically representation of tumor tissue and its components.

3.2 Target systems

Targeted drug delivery is a method that enables the delivery of drugs or therapeutic molecules from nanocarriers in the diseased tissue preferentially. The main advantages of this method are the reduction of the dose of drug administered to the patient and of side effects on the healthy tissues. Two strategies are possible, namely: passive targeting and active targeting.

3.2.1 Passive targeting

In a cancer tissue blood vessels are abnormal in form and architecture, with large pores and fenestrations of few hundred nanometers [147]. Consequently the vascularization is irregular and heterogeneous in the tissue. All these features can favor the penetration and the accumulation of macromolecules or nanocarriers, namely liposomes or nanoparticles, within the tumor tissue much more than in the other tissues. This phenomenon was discovered for the first time by Maeda and co-workers in 1986 [148]. They observed that macromolecules with molecular weight > 50 kDa tend to accumulate in tumor tissues more than in the healthy tissues and persist there for long time increasing their concentration by 70-fold. This effect is known as the "enhanced permeability and retention" (EPR) effect. EPR effect received great interest for the development of new cancer treatments in nanomedicine. The EPR effect is addressed by tumor features [149]:

- i. the nature of the vascular bed and the presence of functional lymphatic vessels;
- ii. tumor characteristics: size, type, and location;
- iii. tumor stage: primary, advanced or metastatic;

- iv. the capability of macrophages' penetration in tumor tissue that in turn is influenced by patient age and gender, and by tumor type and treatment.

The knowledge of all these factors can help to realize new smart drug delivery systems which are able to deeply penetrate into the tumor tissue and release the drug in the desired site. Particles accumulation is affected by their circulation time and their capability to overcome biological barriers. These phenomena are strongly related to their features: i) size; ii) shape and iii) surface properties.

1. *Size*. When nanoparticles are used as drug delivery systems their ideal size should be in the range 50-300 nm [125,127,150]. Nanoparticles of smaller size, i.e. < 10 nm are easily eliminated by the kidneys. On the other hand, particles with size > 400 nm do not diffuse and accumulate into the interstitial tumor tissue without being internalized into cancer cells [151].
2. *Shape*. Length/width ratio (L/W) is an important parameter to control cellular uptake. Indeed nanoparticles' shape can affect non-specific cell internalization. Huang et al. studied the effect of MSNs shape on cellular uptake [152]. They demonstrated that nanoparticles with big values of L/W, typical of rod-shaped MSNs, are internalized more easily than spherical ones (L/W=1) as a result of their high contact surface. In this case the orientation of the nanoparticles will respect to the cellular membrane is decisive.
3. *Surface properties*. Once injected in the blood flow, the fate of nanoparticles mainly depends on the properties of their external surface. In order to increase the circulation time and ensure the accumulation of

MSNs into the tumor tissue it is necessary to avoid the "opsonization" process. This process is based on the adsorption of opsonin proteins on MSNs surface which result in their rapid elimination by the mononuclear phagocyte system (also known as reticulo-endothelial system). Surface modifications of MSNs allows to avoid opsonization. Polyethylene glycol (PEG) is widely used to this purpose because of its steric hindrance, and the capability to repel opsonins from its chains, thus increasing the circulation time of the system [153]. This effect increases with increasing PEG molecular weight [138,154]. Furthermore, to promote cellular internalization an effective strategy is to modify MSNs surface with amine groups that are positively charged in physiological conditions [155]. In this way nanoparticles can interact electrostatically with the negatively charged membrane phospholipids, and promote the endocytosis mechanism of internalization [155,156].

3.2.2 Active targeting

It is known that cancer cells, organs, tissues and organelles overexpress receptors able to recognize particular molecules as, for example, peptides, proteins, antibodies, saccharides, aptamers or other small molecules (Fig. 3.2).

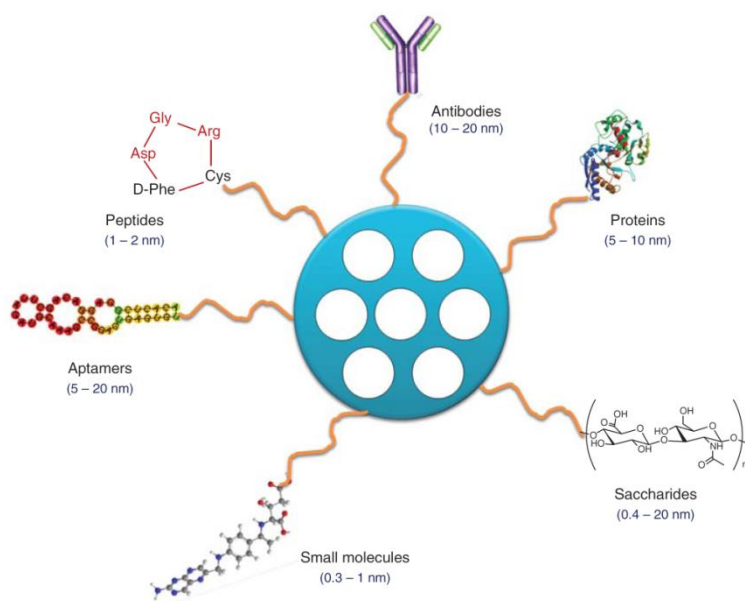


Figure 3.2. Example of active target molecules on MSNs. This picture is reproduced from ref. [125].

Functionalized MSNs' surfaces can link one of these type of molecules to obtain active-target drug delivery systems. The efficacy of these systems depends on the abundance and function of receptors in the tumor tissue, as well as on the degree of nanoparticles functionalization. Some of the most common target molecules are: antibodies, proteins, peptides, aptamers, and saccharides (see chapter 4).

3.3 Stimuli-responsive systems

The controlled release of the drug in the desired site is another important goal of nanomedicine. Stimuli-responsive systems are able to release the drug only when they undergo a specific internal or external stimulus (Fig 3.3). The pore channels of opportunely functionalized MSNs can be loaded with a drug, and then closed

with a capping agent that prevents the drug release. The most common capping agents are:

- i. metal or metal oxide nanoparticles;
- ii. coatings of organic molecules linked at the surface through pH-, temperature-, redox- or light-sensitive bond
- iii. polymers that change their conformation with a change of pH or temperature.

Furthermore, two types of stimuli can be used to this purpose: i) internal; and ii) external [125,157,158].

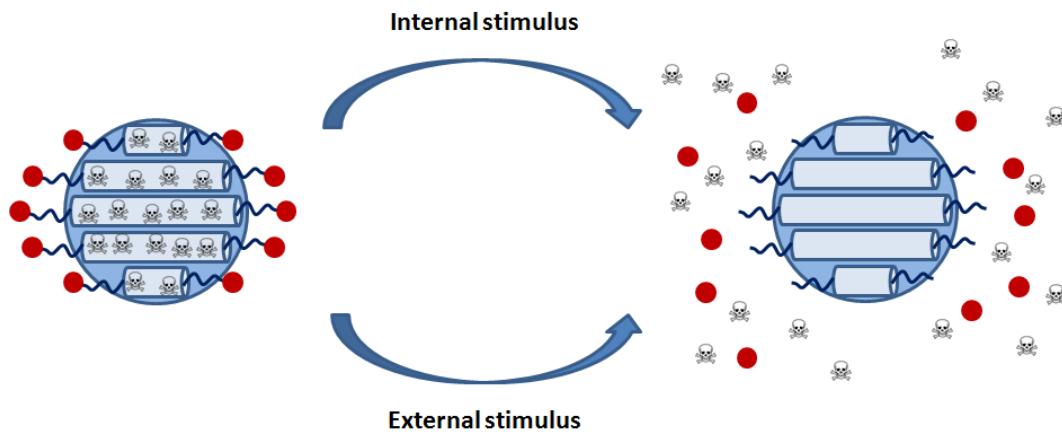


Figure 3.3. Examples of internal and external stimuli-responsive MSNs.

3.3.1 Internal stimuli

3.3.1.1 pH

As explained at par. 3.1, the evolution of inflammation or cancer disease results in lower pH values of extra-cellular ($\text{pH}_{\text{ex}}=6.5-7.2$) and intra-cellular ($\text{pH}_{\text{in}}=5.0-6.0$)

fluids. Differences in pH are also observed among the different cell compartments and organelles [129–132]. It is possible to exploit these pH variations to realize efficient pH-responsive systems, for example by linking on the MSNs surface a capping agent with a pH-sensitive bond. Pores of MSNs, previously loaded with the drug can be closed with organic molecules as cucurbit[6]uril [159], cyclodextrins [160–162] or crown ethers [163] that form inclusion complexes with the previously grafted groups on MSNs surface. These complexes are broken at low pH values allowing the drug release as shown in Fig. 3.4.

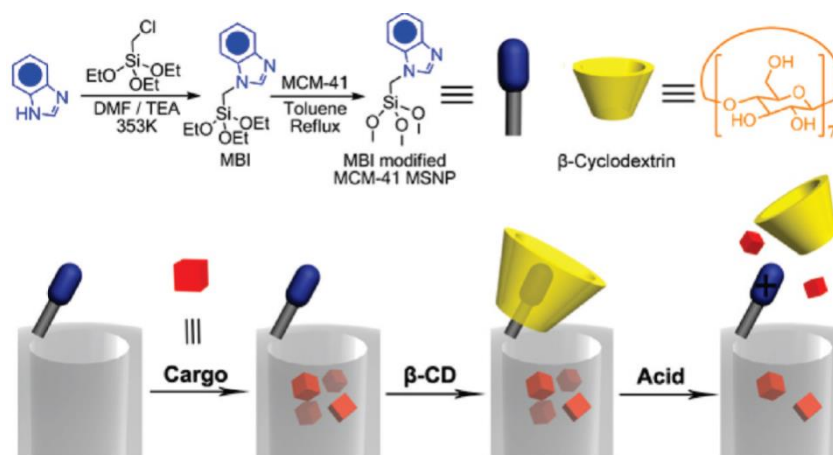


Figure 3.4. Schematic representation of pH-responsive system based on MSNs and cyclodextrins as capping agent[160].

Also gold nanoparticles [164,165], iron oxide [166] and cerium oxide [167] or molecules with high molecular weight [168] are frequently used as capping agents. They bind pH-cleavable linkers (i.e. acetals, hydrazone and boronate) placed on the MSNs surface at neutral or slightly basic pH values. A pH decrease breaks these bonds allowing the opening of the pore and the drug release (see Fig.3.5).

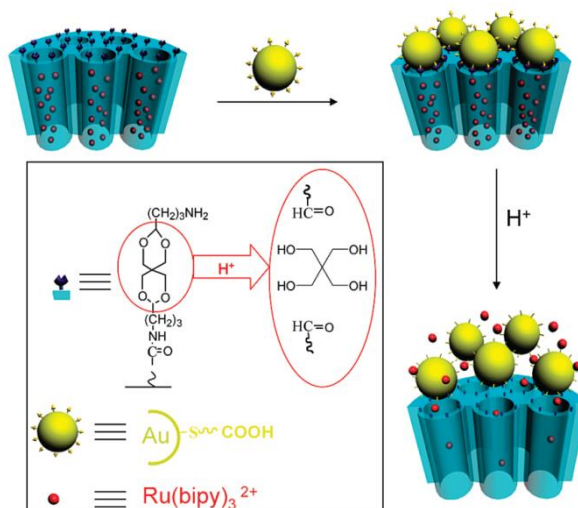


Figure 3.5. Graphical representation of gold nanoparticles-capped MSNs [164].

Another strategy is the functionalization of MSNs external surface with polymers (capping polymers) with acid/base properties (Fig. 3.6). Chitosan (CHIT) is one of the most used pH-sensitive polymer to realize stimuli-responsive systems. In physiological conditions (pH=7.4) CHIT chains interact with each other through hydrogen bonds, and close the pores. At acidic pH values amino groups of the CHIT chains are protonated, thus producing repulsion forces that break the hydrogen bonds network. This causes the elongation of chains and consequently the opening of the pores allowing the drug release. Others polymers were recently used for the synthesis of pH-sensitive systems such as poly(4-vinylpyridine) [169], poly(4-vinylphenylacetic acid-co-2-dimethylamino)-ethyl acrylate [170] and DNA fragments [171].

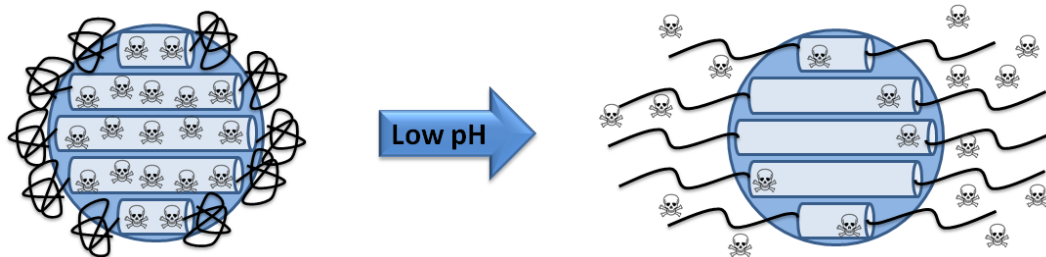


Figure 3.6. Schematic representation of a pH-responsive system.

3.3.1.2 Redox

In the human body reductive species, such as glutathione (GSH), able to break disulfide (S-S) bond are present. GSH occurs in different concentrations inside (10 mM) and outside (20 μ M) the cells of healthy and diseased tissues [20,172–174]. The high concentration of GSH in the intracellular environment induces the breaking of the disulfide bond and so the release of the drug only when MSNs are internalized by the cells. Several systems have recently been synthesized using capping agents linked through redox-sensitive bonds [172,175–180].

3.3.1.3 Enzymes

Several tumors overexpress enzymes involved in tumor progression and metastasis. It is possible to exploit their capacity to break particular bonds to make stimuli-responsive and controlled release systems. In this case MSNs can be grafted with capping agents, such as cyclodextrins or rotaxanes, through enzyme-sensitive linkers that can be broken this causing the opening of the pore. Singh and co-workers [181] described a system based on MSNs grafted with a polymeric shell formed by a protease-sensitive sequence. Other enzymes such as

glucose oxidase [182] and enzymes of the gastrointestinal tract [183] have been exploited to realize stimuli-responsive systems.

3.3.2 External stimuli

3.3.2.1 Light

Light, particularly UV radiation, can be used as an external stimulus to trigger drug release [184–186]. Light-sensitive systems use MSNs whose pores have been closed by capping agents linked through a light-sensitive bond. More recently NIR-sensitive systems have been prepared. For example Lin and co-workers synthesized a drug delivery system based on MSNs grafted with coumarin conjugated to an anticancer drug linked through a NIR-sensitive bond, as schematically represented in Fig. 3.7. The NIR radiation breaks this bond, the pores open and the drug is released [187]. Following this work other research groups focused on these kind of systems as a result of the great penetration capacity of NIR radiation [188,189].

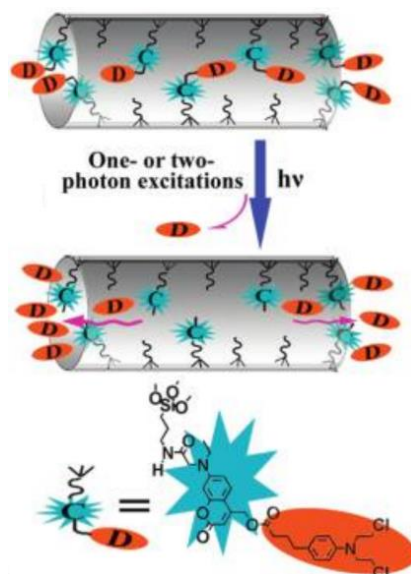


Figure 3.7. Scheme of the drug release process activated by NIR radiation [187].

3.3.2.2 Temperature

Other systems which permit the drug release only in the diseased site are thermo-responsive systems. Indeed diseases as cancer, infections or inflammations cause an increase of 4-5°C of the tissue temperature [190,191]. The external functionalization of MSNs with thermo-sensitive polymers permit to exploit this temperature gradient and release the therapeutic molecules only in the desired site. A polymer already used for this type of systems is poly-N-isopropylacrylamide (PNIPAAm) (Fig. 3.8) synthesized for the first time by Schild [192]. PNIPAAm has a low critical solution temperature (LCST) of 32°C. Below this temperature PNIPAAm is hydrated, hence its chains are swollen and obstruct the MSNs pores, thus preventing the drug release. At temperatures above 33°C, water is released, polymer's structure collapses causing the opening of the pores and the release of the drug [192,193]. Other polymers such as the copolymer formed by units of N-

isopropylacrylamide and 3-(methacryloxypropyl)trimethoxysilane [194] or poly(DMAEMA-co-3-dimethyl(methacryloyloxyethyl)ammonium propanesulfonate) [195] have been used. More recently MSNs were coated with the biodegradable polymer poly(2-dimethylamino)ethylmethacrylate [196], that belongs to the class of polyampholites, namely zwitterionic polymers, with acid or basic groups in their chains that are both dissociated in water solution.

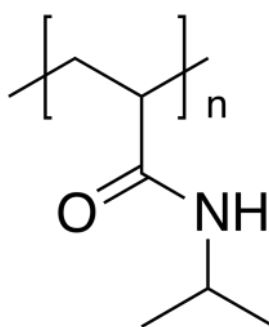


Figure 3.8. Structure of PNIPAAm.

3.3.2.3 Magnetic field

Enclosing magnetic components in the structure of MSNs the system becomes sensitive to a stimulus induced by an external magnetic field. Superparamagnetic iron oxide nanoparticles (SPIONs) are frequently used to this purpose [197–200]. They transform the magnetic field in heat with two different mechanisms: Brownian and Néel fluctuations that are caused by the rapid rotation of the nuclei and the magnetic moment respectively [125,201]. SPIONs, located at the entrance of MSNs pores, obstruct the drug release that is allowed only after the application of the magnetic field.

4 MSNs in physiological media: adsorption of proteins, cellular uptake and grafting of biomolecules

As described in the previous chapter, opportunely functionalized MSNs can be excellent target and stimuli-responsive systems for drug delivery. Surface modification of MSNs affects not only their chemical and physical properties, but also their behavior into the biological environment and biocompatibility [202,203]. When put in contact with a biological medium, MSNs can interact with several biomolecules, such as proteins, metalloproteins, glycolipids, and peptides. Indeed, it is possible that these biomolecules are adsorbed on MSNs' surface changing their chemical and biological properties [204].

4.1 Protein corona

Nanoparticles (NPs) dispersed in a biological medium seek to reduce their surface energy through the adsorption of biomolecules (proteins, peptides, or glycolipids) occurring in the medium. The formation of a layer of biomolecules, known as *protein corona* (PC), is due to the physico-chemical interactions established between the NPs and the biomolecules [205,206]. NPs features [207], such as, their chemical composition [208], size [209], shape [210], surface charge [2,211,212], and hydrophilic/hydrophobic character [2] affect the formation of the PC. Other important factors that affect the formation of the PC are the composition of the biological medium, the proteins concentration in blood plasma, temperature, administration route, as well as composition of the cell membrane

[205,213]. PC can be composed of two layers of proteins known as *hard corona* (HC) and *soft corona* (SC) characterized by proteins bound through strong and weak interactions to NPs' surface, respectively [206,214,215]. The formation of either HC or SC depends on medium composition including protein concentration, but also on the NPs external charge, and the affinity of proteins towards the NPs surface [213]. Firstly, highly concentrated proteins, like serum albumins, and immunoglobulins, are adsorbed on the NPs' surface. Subsequently these are replaced by other proteins, such as fibrinogen and apolipoproteins, which occur with lower concentrations but show higher affinities toward NPs [216]. This process is known as the "Vroman effect" [216,217]. The formation of the PC modifies the surface charge and hydrophilic/hydrophobic character of NPs [218], thus affecting the fate of NPs in terms of cellular uptake [214] and cytotoxicity [219].

4.2 Cellular uptake

Cellular uptake can occur through several mechanisms affected by the size of the considered carrier (Fig. 4.1.) [220]:

1. Phagocytosis: internalization of solid particles by specific cells;
2. Pinocytosis: internalization of extracellular molecules dissolved in a fluid-phase. Two different pathways are possible:
 - Macropinocytosis: uptake through pockets formed by the inflexion of cell membrane;

- Endocytosis: receptor-mediated uptake of extracellular molecules due to the modification of the cell membrane.

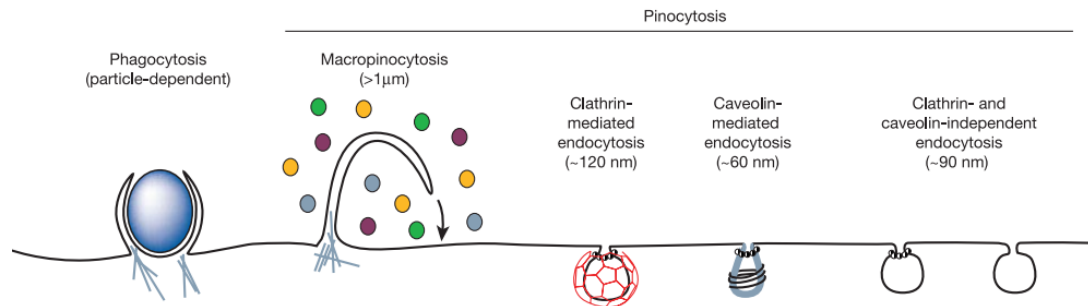


Figure 4.1. Representation of several internalization mechanisms. This picture is reproduced from ref. [220].

The kinetics, amount and mechanism of cell internalization are affected by several parameters, i.e.: incubation conditions, type of cells, and type and purity of nanomaterial. MSNs' surface properties, as well their size and shape, can affect their interaction with cell membrane, and consequently the mechanism of cellular internalization [155]. Neutral polymers, such as PEG, grafted on MSNs' surface are able to reduce the nonspecific adsorption of proteins and favor the cellular uptake through the endocytosis mechanism. Similarly, the use of zwitterionic ligands allows to minimize nonspecific proteins' adsorption, but on the other hand they reduce interactions between NPs and cells, and thus the internalization. The behavior of charged NPs is different. Since the cell membranes are negatively charged (due to their phospholipid composition), negatively charged NPs experiment repulsive electrostatic forces that contrast their uptake [155]. In this case the internalization can occur only through nonspecific binding between the scarce cationic sites of the phospholipid membrane and NPs (Fig. 4.2A). On the

contrary the attractive forces established between positively charged NPs and the cellular membrane favor the cell internalization [221] (Fig. 4.2B).

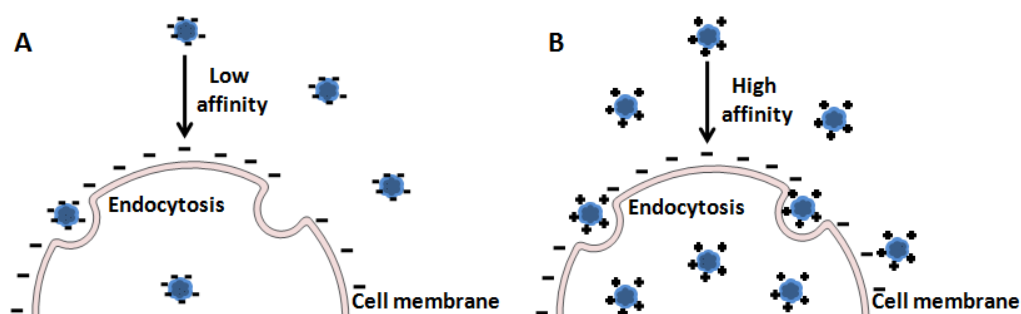


Figure 4.2. Schematic illustration of negatively (A) and positively (B) charged nanoparticles interaction with phospholipid membrane.

It has been observed that positively charged MSNs are able to escape endosomes and enter the cytoplasm and the nucleus [222]. The grafting of positively charged polymers, such as chitosan (see paragraph 4.3.1), on MSNs surface is a promising strategy to improve the interaction between MSNs and cell membranes. Alternatively the bioadhesive properties of MSNs can be improved by functionalizing their external surface with biomolecules recognized by the receptors occurring on the cell membrane. Among these molecules hyaluronic acid (HA), (see par. 4.3.2) is an excellent candidate. Recent studies have demonstrated that HA grafted on MSNs surface improves the molecular recognition and the bioadhesive properties towards biological barriers [223]. Peptides, such as cilengitide, adnectin, and RGD (Arg-Gly-Asp), are another example of biomolecules able to improve the cellular uptake of compounds and nanocarriers [224,225]. Among them, RGD recognized by $\alpha_v\beta_3$ -integrins, has

recently been used to coat MSNs to prepare a target drug delivery system for cancer therapy [226].

4.3 Targeting molecules and biopolymers

Due to the importance of the external functionalization for improving MSNs' uptake and biocompatibility and to realize smart target systems, this paragraph describes the three biomolecules used for this work, namely: chitosan, hyaluronic acid, and RGD.

4.3.1 Chitosan

Chitosan (CHIT), discovered for the first time in 1811 by Braconnot [227], is a polysaccharide with a chemical structure similar to that of cellulose. CHIT consists of a linear chain of thousands of β -(1-4) linked D-glucose units where the hydroxyl in C2 position is replaced with an amino group [228–230] (Fig. 4.3b). CHIT is extracted from the shells of crustaceans (i. e. crabs, prawns, lobsters and shrimps), the exoskeleton of insects, and the cell walls of fungi (*Aspergillus* and *Mucor*) obtained from the waste of food industry [228]. CHIT production requires the deacylation of chitin according to the reaction shown in Fig. 4.3 [227,228]:

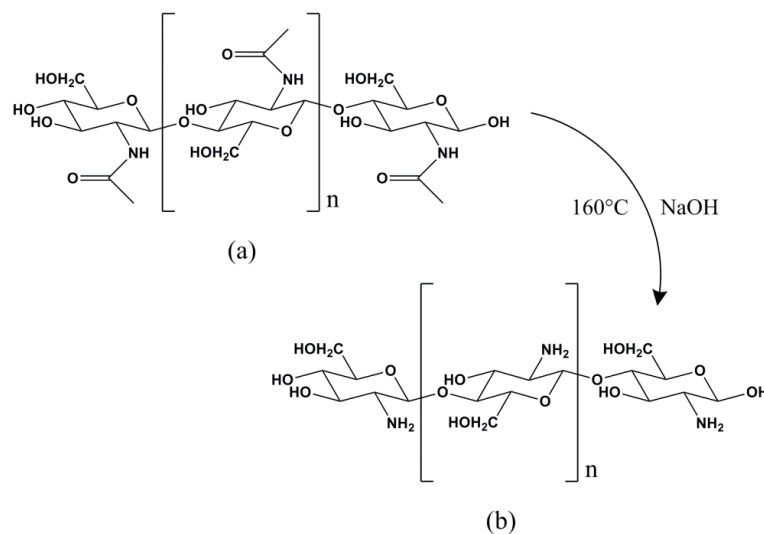


Figure 4.3. Deacetylation of chitin (a) to produce of chitosan (b).

The presence of amino groups confers to CHIT a cationic nature at physiological pH. The positive charged amino groups can interact with the anionic groups of lipoteichoic acids and lipopolysaccharides present in Gram-positive and Gram-negative membrane respectively. This process allows the destruction of the membrane and is the basis of the antimicrobial activity of CHIT [227,229,231].

CHIT presents several properties like biocompatibility, biodegradability, non-toxicity and good humidity adsorption as well as antibacterial, analgesic, antitumor, hemostatic, hypocholesterolemic, antimicrobial, and antioxidant effects [228]. CHIT features allow to realize several types of scaffolds useful for biomedical applications (i.e. tissue engineering of bone, cartilage, and cutaneous wound healing, and drug delivery) [229,232,233]. The most common CHIT-based scaffolds and their main applications are listed (Table 4.1).

Table 4.1. List of the most common CHIT-based scaffolds and their applications.

Type of scaffold	Application	Ref.
Nanoparticles	Drug delivery	[22,234–237]
Hydrogels	Tissue engineering/Drug delivery	[238–240] [241–243]
Sponges	Tissue engineering/Drug delivery	[244] [245,246]
Films	Tissue engineering	[247,248]
Porous nanofibers	Drug delivery/Tissue engineering	[249,250] [251,252]

CHIT has a pH-responsive behavior due to its acid/base properties. In particular, in aqueous solution at neutral pH, CHIT can assume spherical, random coil and rigid rod shape conformations. This is due to the hydrogen bonds established between the amino and hydroxyl groups of the polymer's chain. CHIT amino groups have a pK_a value around 6-6.5 [253,254], so that they are fully protonated at acidic pH. This makes the hydrogen bonds less effective, because repulsive electrostatic forces prevail [255]. This mechanism is exploited by several pH-responsive systems based on CHIT, that is, nanoparticles [256,257], microcapsules [258], hydrogels [259,260], and nanospheres [261]. Recently, CHIT was used as capping agent for MSNs to control the drug release. Indeed, as schematically represented in Fig. 4.4, at physiological pH the hydrogen bonds between polymer's chains of CHIT - grafted on the external surface of MSNs - induce their contraction and consequently the closure of the pores which prevent

the unwanted drug release. When CHIT coated MSNs reach inflamed/cancer cells, which have an acidic pH (see par. 3.1), CHIT chains disentangle due to repulsive forces established among protonated amino groups thus allowing the drug release (Fig. 4.4) [114,255,262,263].

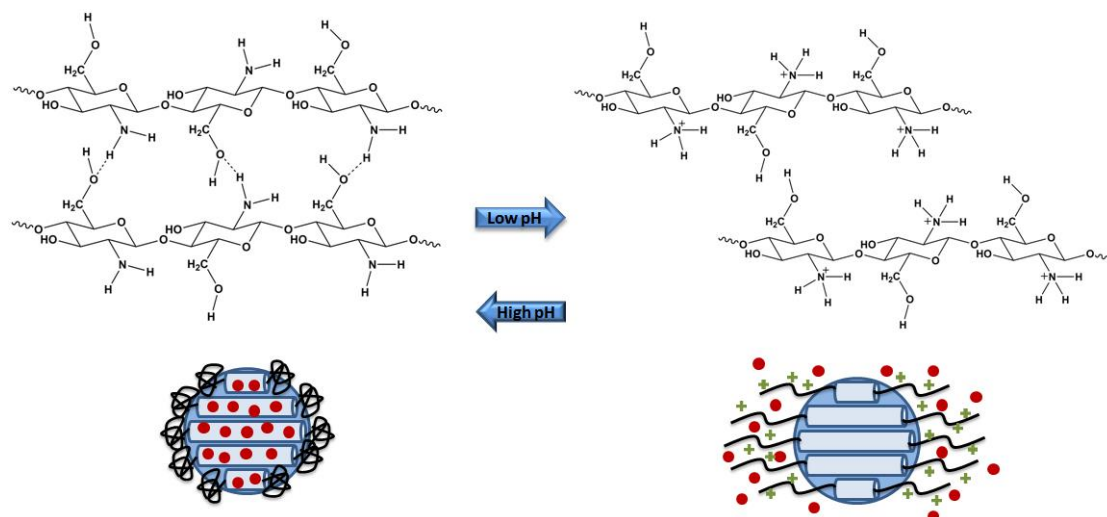


Figure 4.4. Scheme of the pH-responsive MSNs functionalized with CHIT.

4.3.2 Hyaluronic acid

Hyaluronic acid (HA) was discovered for the first time in 1934 by Meyer and Palmer in the bovine vitreous humor [264]. HA is a polysaccharide with a primary structure consisting of a linear chain of N-acetyl-D-glucosamine and β -glucuronic acid (Fig. 4.5). Its secondary structure can be described as a tape-like 2-fold helices, due to the 180° twisting of each disaccharide unit, while, the interactions among the hydrophobic 8 CH groups induce a bending of the chain causing the formation of a network similar to β -sheets [265]. HA is susceptible to degradation caused by changes of pH and temperature, or by mechanical,

ultrasonic and enzymatic stresses. The conditions of the external environment, can also affect the polymer structure and consequently its physicochemical properties. For example HA viscoelasticity in aqueous solution is affected by the presence of sugars [266,267], salts [267], phospholipids [268]. Viscoelasticity is also affected by pH changes which cause variations in the ionization degree of the polymer's chain. Gibbs et al. found that HA solutions have higher viscoelastic properties at pH 2.5 rather than at pH 7.0. Since the pK_a value of HA carboxylic groups is around 3 [269–271], at neutral pH values the negatively charged HA chains repel themselves thus decreasing the viscoelasticity of the solution [272]. Commercial HA is obtained by extraction from synovial fluid, umbilical cord, skin and rooster comb, or from bacterial fermentation. HAs of different origin have different molecular weights and chain lengths. In the human body HA is synthesized by the enzyme HA-synthase (Has). There are three isoforms of Has: Has1, Has2 and Has3. Has2 is responsible of the synthesis of HA with $MW \approx 2 \cdot 10^6$ Da, whereas Has3 is involved in the synthesis of HA with $MW < 3 \cdot 10^5$ Da [273]. Most HA is contained in the human skin (about 5 g, one-third of the total), both in the dermis and in the epidermis. In particular HA is present in mammalian skin, but also in all organs of vertebrates and in the extracellular matrix (ECM) of soft connective tissues [265].

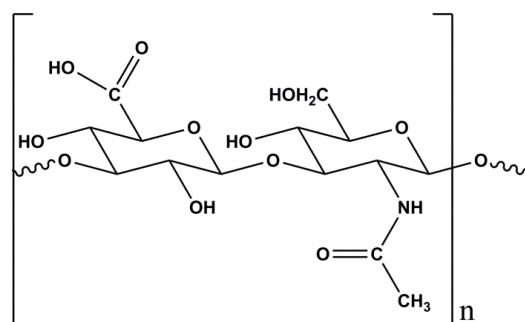


Figure 4.5. Structure of Hyaluronic Acid.

HA-based scaffolds, associated with stem cells and growth factors, are widely used for tissue engineering to obtain biocompatible and functional tissues. These are very interesting because of their biocompatibility, degradability, chemical functionalization, and capability to regulate the inflammatory chemokines, receptors, metalloproteinases and tissue inhibitors. HA-based scaffolds can also be used in combination with other materials. For example HA combined with fibrin, tricalcium phosphate, collagen, alginate and silk fibroin were used for the regeneration of cartilage lesions, while mesenchymal stem cells (MSCs), collagen type I and chitosan were found to promote the osteogenesis [274]. HA-based scaffolds have also the capability to be integrated into the wound tissue, contributing to the healing process, thus improving the generation of granulation and connective tissue along with new blood vessels.

HA is recognized by cluster determinant 44 (CD44) receptors overexpressed in several cancer cells (for example HeLa and HCT-116). For this reason HA was recently used to functionalize carriers for drug delivery systems as for example dendrimers [140], micelles [275], and ceramides [142] or HA-grafted graphene quantum dots [146], carbon nanotubes [144,145] as well as silica mesoporous nanoparticles [276]. Zhao et al. [277] and Wang et al. [223] compared the behavior of HA-grafted MSNs incubated with CD44 receptor-positive cells (HCT-116 and HeLa cells) and receptor-negative cell lines (NIH-3T3 cells). They demonstrated that MSNs are better internalized in HCT-116 and HeLa cells than in NIH-3T3.

4.3.3 Arg-Gly-Asp (RGD) sequence

Arginine-Glycine-Aspartate (RGD) sequence (see Fig. 4.6) is a tripeptide, discovered for the first time in 1984 [278], occurring in several proteins such as fibrinogen, fibronectin, vitronectin, collagen type I and von Willebrand factor [279,280].

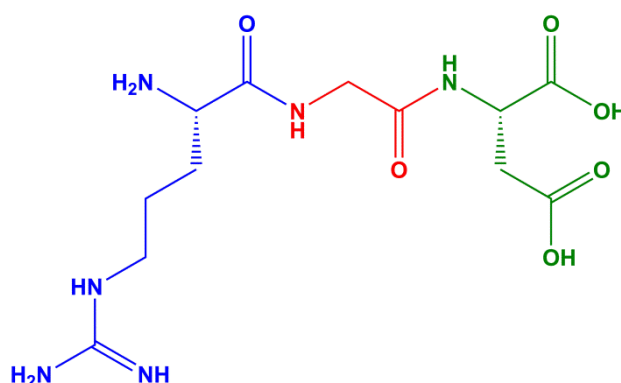


Figure 4.6. Structure of RGD.

In the human body RGD plays the important role to mediate the interaction between proteins, such as fibrinogen [279] and fibronectin [281] and their receptors. In 1987 Plow et al. investigated how the efficacy of RGD is affected by the presence of other amino acids adjacent to that sequence. They found that the addition of amino acids, in particular at the carboxy-terminus, can reduce the activity of the RGD-containing peptides [279]. Integrin $\alpha_v\beta_3$ are particular receptors overexpressed in fibroblasts, epithelial cells, and in many solid tumors: melanoma, glioblastoma, breast, prostate, pancreatic, ovarian, and cervical cancer [133,282]. They are able to recognize the RGD sequence that can act as targeting agent [283]. Researchers might take advantage of this property for the synthesis of new target drug delivery systems. Recently micelles [284], liposomes [285–289],

inorganic nanoparticles [290–292], as well as MSNs [293–296] were functionalized with RGD to improve the cellular uptake and to assure that the drug is released preferentially in the desired site. In these works, authors compared the internalization in several $\alpha_v\beta_3$ integrin-positive tumor cells (U87, HL-7702 and HEH293 cells) with the $\alpha_v\beta_3$ integrin-negative ones (MCF-7 and LO2) using bare and the RGD-grafted systems. Through flow cytometry and fluorescent microscopy, they demonstrated that the internalization of nanocarriers is accelerated and increased by the presence of the targeting agent and that the uptake is mediated by an endocytosis process. It was also demonstrated that the same sequence (Arg-Gly-Asp) does not affect the drug loading and release or the cytotoxic effect of the system [297]. Finally it is worth mentioning that several researchers synthesized double-responsive MSNs systems. For example Xu and co-workers realized a dual-receptor system with RGD and HA to increase the cellular uptake in cells that overexpress $\alpha_v\beta_3$ and CD44 receptors, thus enhancing the cytotoxic effect [297].

5 Experimental techniques

5.1 N₂ adsorption/desorption isotherms

Gas adsorption/desorption is a nondestructive technique used to determine surface area, pore size distribution and pore volume of porous materials. During the measurement, the pressure of the gas increases from vacuum to saturation pressure, and then decreases back to vacuum. By plotting the amount of adsorbed and desorbed gas (usually nitrogen at the temperature of -196°C) as a function of the equilibrium gas pressure (or relative pressure, p/p_0) the adsorption/desorption isotherms are obtained. Fig. 5.1 shows the six isotherms classified by IUPAC. Type I and II are related to microporous and macroporous materials, respectively. Type III is originated from both non-porous and macroporous solids. Type IV and V isotherms are characteristic of mesoporous materials for which gas adsorption occurs via the formation of a multilayer of N₂ molecules that gives the capillary condensation phenomenon. Finally, type VI represents the formation of a multilayer on a uniform non-porous surface.

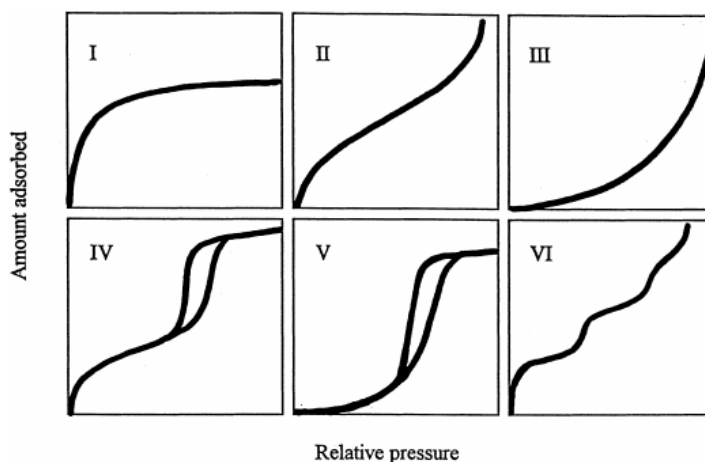


Figure 5.1. IUPAC classification of gas adsorption/desorption isotherms.

Usually, capillary condensation and evaporation do not occur at the same pressure. This give rise to the hysteresis loops shown in Fig 5.2. The H1 hysteresis is characterized by parallel vertical branches derived from the adsorption on materials with a narrow distribution of mesopores. H2 type hysteresis (with a triangular shape) is associated to materials with a complex structure, i.e. materials with narrow windows or with relatively uniform channel-like pores. H3 type hysteresis is derived from the adsorption on the surface of materials constituted by aggregates of plate-like particles forming slit-like pores. Finally, H4 type hysteresis is obtained for the adsorption/desorption in narrow slit-shaped pores or materials with large mesopores embedded in a matrix with pores of much smaller size.

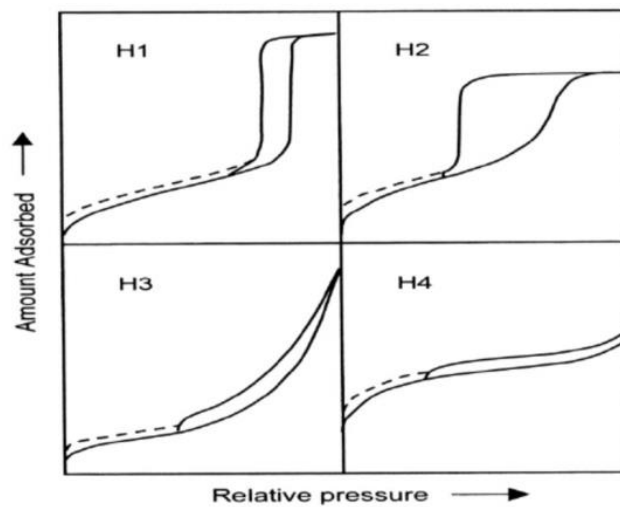


Figure 5.2. IUPAC classification of hysteresis loops.

From adsorption and desorption data, it is possible to obtain the surface area, pore volume and pore size distribution of the porous materials. The most commonly used methods for the calculation of these parameters are:

1. **Surface area.** The Brunauer-Emmet-Teller (BET) equation (eq. 5.1) is commonly used to calculate the surface area [298]:

$$\frac{1}{v\left(\frac{p}{p_0}-1\right)} = \frac{1}{cv_m} + \frac{c-1}{cv_m} \cdot \frac{p}{p_0} \quad (5.1)$$

where v is the volume of adsorbed gas, v_m is the volume of adsorbed gas necessary to form a monolayer, c is the BET constant, p and p_0 are the equilibrium and the saturation gas pressures, respectively. The surface area (S_{BET}) of a porous sample is calculated by:

$$S_{BET} = \frac{v_m N s}{a} \quad (5.2)$$

where N is the Avogadro's number, s is the adsorption cross section of the adsorbing species and a is the mass of the sample.

2. **Pore size.** Barrett-Joyner-Halenda (BJH) method, based on the Kelvin equation (eq. 5.3), is used to calculate the pore size [299]:

$$\ln \frac{p}{p_0} = \frac{-2\sigma V}{RT} \cdot \frac{1}{r} \quad (5.3)$$

where r is the radius of the capillary, σ is the surface tension, V is the molar volume of the adsorbate, R is the ideal gas constant, and T the absolute temperature. In the BJH method, pore size distribution is graphically represented

as dV_p/ddp as a function of d_p (where V_p is the volume of mesopores and d_p is the pore radius for cylindrical pores).

N_2 -adsorption isotherms were used to measure the surface area, pore volume, and the pore size distribution of the mesoporous silica sample synthesized in this thesis work.

5.2 Small-angle X-rays scattering (SAXS)

X-rays, an electromagnetic radiation characterized by a wavelength between 0.01 and 10 nm, were discovered in 1895 by Wilhelm Conrad Rontgen. X-rays can be used to characterize the structure of solid and liquid materials. Small angle X-rays scattering (SAXS) is a technique widely used for the analysis of nanometric structures. SAXS is based on the elastic scattering of photons, that is the scattered and the incident radiations have exactly the same wavelength. No exchange of energy occurs when the x-ray photons hit the electrons of the sample. More in detail, elastic (Rayleigh and Thomson) scattering is produced when x-ray photons collides with the electrons of a sample. Due to this interaction, the electrons oscillate at the same frequency of the photon and emit radiations with the same wavelength of the incident radiation. The whole process takes place without energy transfer. The neighboring electrons of the sample oscillate in phase producing coherent waves that interfere among them. These interferences generate a pattern which give information about the structure of the material. The SAXS pattern is usually presented as a plot of scattering intensity, $I(q)$ in function of the scattering vector, q [300]:

$$q = \frac{4\pi}{\lambda} \sin\theta \quad [\text{nm}^{-1}] \quad (5.4)$$

The distance between the planes (d) is correlated to the scattering vector (q) through the following relation:

$$d = \frac{2\pi}{q} \quad (5.5)$$

A scheme of a SAXS experiment is represent in Fig 5.3. Briefly, the incident radiation r_i hits the sample, and is scattered by its electrons. The scattered radiation, r_s , reaches the detector that records a value of $I(q)$ for each value of q .

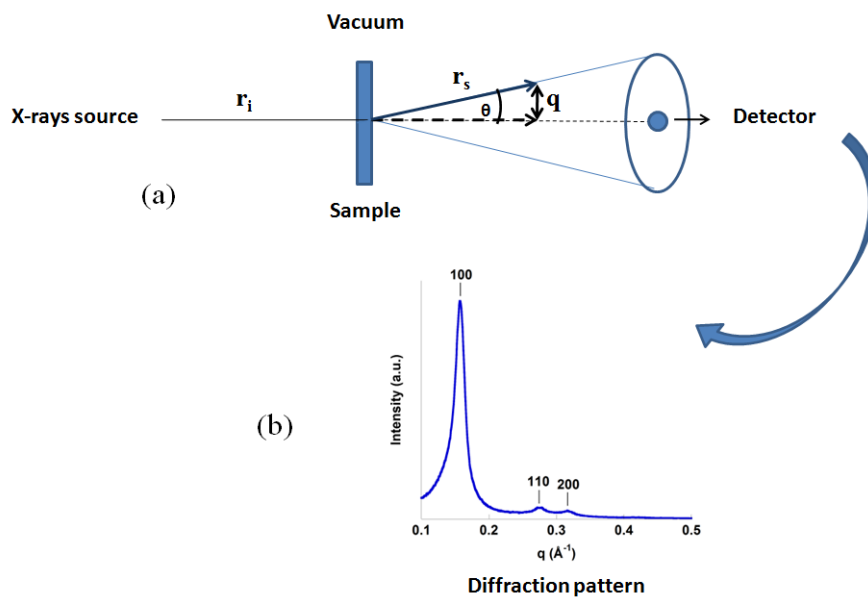


Figure 5.3 Scheme of a SAXS (a) experiment and (b) pattern of a mesoporous silica material with an hexagonal structure.

Scattered waves give constructive interference only if Bragg's law is satisfied:

$$n\lambda = 2d\sin\theta \quad (5.6)$$

where λ is the wavelength, d is the distance between the scattering planes, θ is the angle between the planes of the sample and the incident beam (Fig. 5.4). A constructive interference is obtained only for $n = 1, 2, 3, \dots$ etc..

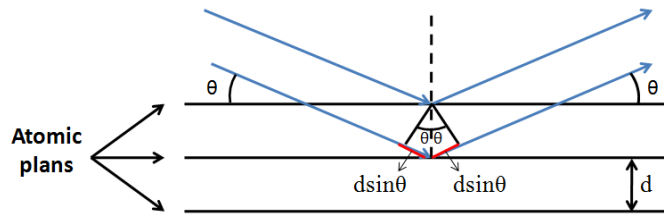


Figure 5.4 Representation of the Bragg's law.

According with Bragg's law the greater is d , the smaller is the scattering angle θ . In practice, the long range order of mesoporous silica samples (SBA-15, MCM-41, etc.) can be investigated at small angles which correspond to low q values. Through SAXS analysis is also possible to obtain the lattice parameter (a). For hexagonal structures the lattice parameter a is calculated by:

$$a = d \cdot \frac{2}{\sqrt{3}} \sqrt{(h^2 + k^2 + hk)} \quad (5.7)$$

Where d is the distance between the plans, h and k are the Miller indexes.

5.3 Dynamic light scattering (DLS)

Dynamic light scattering (DLS) measures the fluctuations of the intensity of the scattered light due to the Brownian motions of particles suspended in a liquid medium. The intensity fluctuations are analyzed by a correlator which builds the correlation function $G(\tau)$ [301]:

$$G(\tau) = A \cdot [1 + B \exp(-2D_T q^2 \tau)] \quad (5.8)$$

Where A and B are the baseline and the intercept of the correlation function, respectively; D_T is the diffusion coefficient, τ is the correlator time delay, and q is the scattering vector:

$$q = \frac{4\pi n}{\lambda_0} \sin \frac{\theta}{2} \quad (5.9)$$

where, n is the refractive index of the dispersant, λ_0 is the wavelength of the incident radiation, and θ is the scattering angle. DLS technique is mainly used to measure particles size. Indeed, D_T is related through the Stokes-Einstein equation (eq. 5.10) to the hydrodynamic diameter (d_H) [301,302]:

$$D_T = \frac{kT}{3\pi\eta d_H} \quad (5.10)$$

where k is the Boltzmann's constant, T is the absolute temperature, and η is the viscosity. d_H is the diameter of an hypothetical rigid sphere which has the same

D_T of the particle. In this work of thesis, DLS was used to measure the d_H of MSNs in particular to confirm the external functionalization. Furthermore, DLS measurements are very useful to investigate the behavior of polymer-grafted MSNs (MSN-HA and MSN-CHIT) in aqueous media of different composition.

5.4 Electrophoretic light scattering (ELS)

A charged colloidal particle dispersed in a solution produces an asymmetric distribution of ions generating an electrical double layer. The double layer around the particle can be divided in two regions that is the *Stern* layer, the inner layer where the ions are strongly bound to the particle, and the diffuse layer (at larger distances from the particle surface) where the ions are only weakly bound (Fig. 5.5).

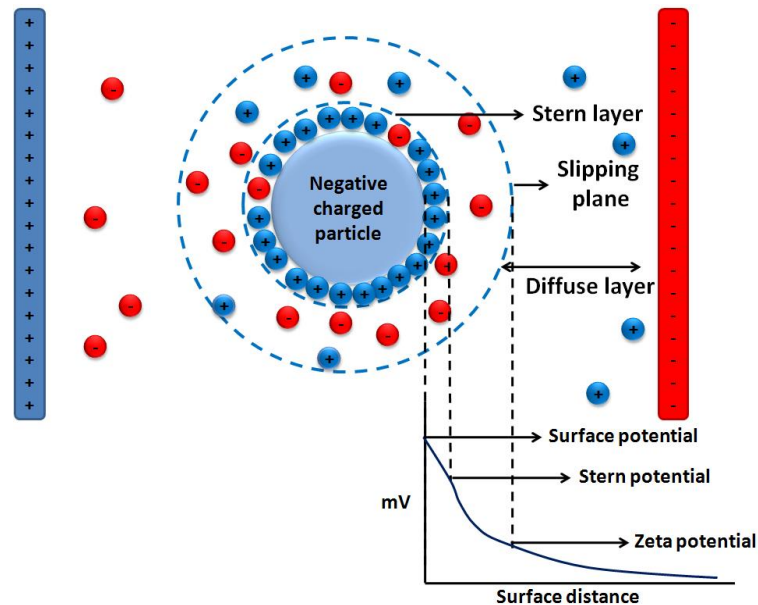


Figure 5.5. Schematic representation of an electrophoretic experiment.

If an electric field is applied across the solution, the charged particles are attracted by the oppositely charged electrode [303]. Viscous forces oppose to the particle movement induced by the electric field. When the equilibrium between these opposing forces is reached, the particles move with a constant velocity, called electrophoretic mobility U_e . In the diffuse layer there is an area (slipping plane) where the ions are stably bound to the particle and move with it. The particle with the bound solvent molecules and ions which moves in the electric field is called 'electrokinetic unit'. The electric potential at the slipping plane is called zeta potential (ζ) and it is related to the U_e through the Henry equation [303]:

$$U_e = \frac{2\varepsilon\zeta f(\kappa a)}{3\eta} \quad (5.11)$$

where, ε is the solvent permittivity, η is the solution viscosity, κ is the inverse Debye length, a is the particle radius, and $f(\kappa a)$ is the Henry function. The value of $f(\kappa a)$ ranges between 1 (Huckel limit) and 1.5 (Smoluchowski limit). The former is used for small particles in low dielectric constant media (generally non-aqueous media), while the latter is used for large particles ($> 0.2 \mu\text{m}$) dispersed in aqueous media with electrolyte concentrations higher than 10 mM [304].

Electrophoretic light scattering (ELS), determines U_e and, consequently, ζ by means of the Doppler effect. Indeed, the frequency of the light scattered by the charged particles moving in the electric field is slightly different from that of the incident light. The Doppler shift ($\Delta\nu$) is related to the electrophoretic mobility, U_e , through the equation:

$$\Delta v = 2U_e \frac{\sin(\frac{\theta}{2})}{\lambda} \quad (5.12)$$

where θ is the scattering angle and λ is the wavelength of the incident light. Zeta potential is finally calculated through eq. 5.11.

5.5 Transmission electron microscopy (TEM)

TEM exploits the interaction between electrons and matter to determine the structure of a sample with high resolution. The resolving power (R) of a microscope is its capability to create individual images of objects and is related to the wavelength of the incident radiation λ through the eq. 5.13.

$$R = \frac{0.61\lambda}{n \sin\theta} \quad (5.13)$$

where n is the refractive index of the medium, and θ is half-open angle subtended to the lens. For an optical microscope the value of R is between 200-300 nm. It is possible to reduce this value with the use of a radiation with smaller values of λ .

The wavelength of the electrons is calculated through the *de Broglie* equation:

$$\lambda = \frac{h}{p} = \frac{h}{mv} \quad (5.14)$$

where m and v are the mass and velocity of the electron, respectively.

When a difference of potential is applied, electrons are accelerated with a kinetic energy (V_e) and a wavelength:

$$\lambda = \frac{h}{\left(2mev \cdot \left(1 + \frac{ev}{2mc^2}\right)\right)^{1/2}} \quad (5.15)$$

Replacing v from the de Broglie law, we obtain that the value of λ is:

$$\lambda \approx \frac{1.23}{V^{1/2}} \quad (5.16)$$

From this relationship it follows that for an electron microscope $R = 0.1-0.3$ nm [305] and, consequently, it is possible to obtain larger magnifications than with a traditional optical microscope. The main components of an electron microscope (Fig. 5.6) are:

- **Electrons source:** it is generally constituted by a filament of tungsten that generates electrons through thermionic effect when left to reach incandescence;
- **Condenser lenses:** they direct the light on the sample;
- **Objective and projector lenses:** they create the first image of the sample;
- **Fluorescent screen:** it creates the enlarged image of the sample.

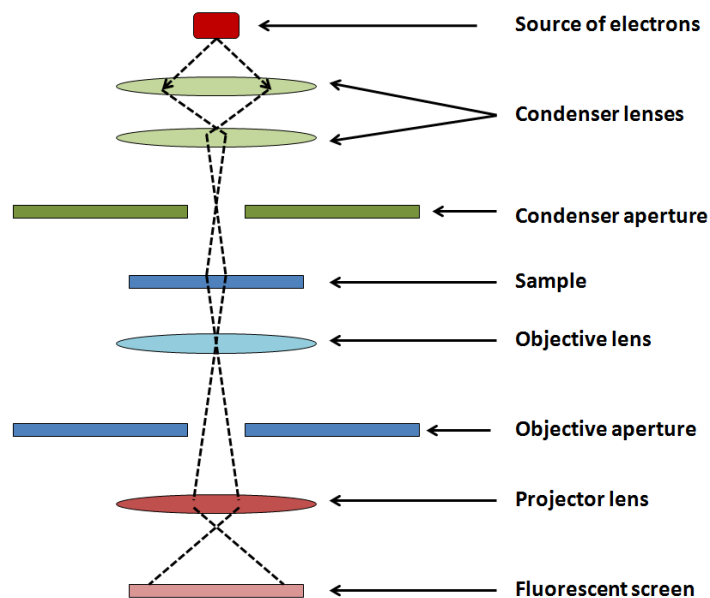


Figure 5.6. Schematic representation of the electron microscope.

In this thesis TEM analysis was used for the structural characterization of mesoporous silica samples. In Fig. 5.7 some example of TEM micrographs are shown. SBA-15 sample (Fig. 5.7a-b) is characterized by particles with size around 1 μm , while MCM-41 has smaller particles, with size in the range between 60-100 nm (Fig. 5.7c). TEM images are useful also to confirm the ordered structure of the samples. Indeed, as shown in Fig. 5.9, for all materials it is possible to see cylindrical pore channels.

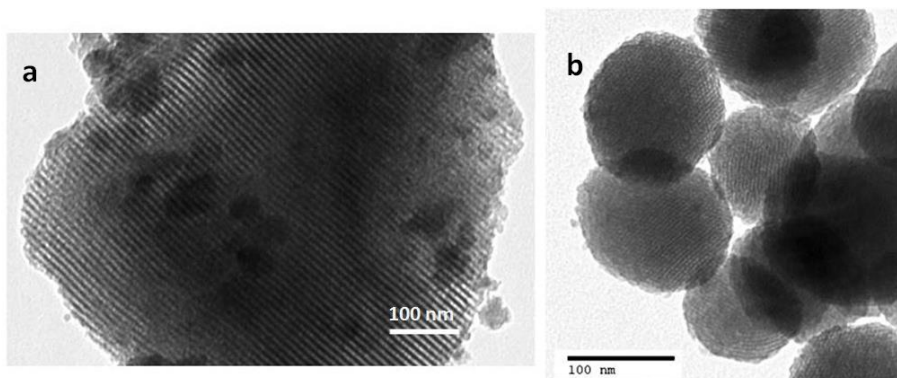


Figure 5.7. TEM micrographs of SBA-15 (a-b) and MCM-41 (c).

In this thesis TEM was also used to monitor MSNs' cellular internalization (see Fig. 5.8a) and adsorption of gold-conjugated proteins on MSNs' surface to investigate the formation of protein corona (Fig. 5.8b).

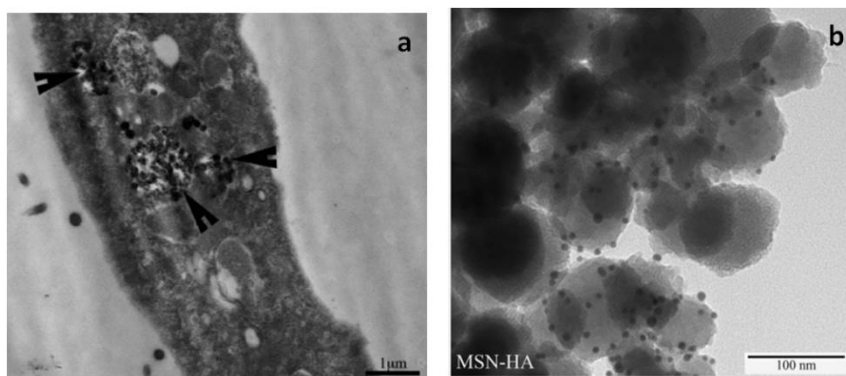


Figure 5.10. HA-grafted MSNs internalized in mouse fibroblasts 3T3 cells (a) and GNP-BSA adsorbed on MSN-HA surface (b).

6 Results

This thesis was aimed to study SBA-15 and MCM-41 mesoporous silica samples for biomedical applications. The texture and the structure of the synthesized materials were characterized through N₂ adsorption/desorption isotherms, SAXS, and TEM. The functionalization of the mesoporous silica samples was verified by means of Fourier-transform infrared spectroscopy (FTIR) and thermogravimetric analysis (TGA). DLS and ELS were used to determinate hydrodynamic diameter and zeta potential of the studied systems under different conditions. This work can be divided in five main parts:

1. Effect of particle size, surface area, pore size and surface charge on the adsorption and release of ampicillin antibiotic from mesoporous silica samples.
2. Synthesis of a double sequential targeting system based on RGD peptide.
3. Study of the internalization of MSNs functionalized with CHIT and HA in 3T3 cells.
4. Investigation of the mechanism of formation of the protein corona on a model system constituted by HA and CHIT functionalized MSNs interacting with bovine serum albumin.
5. Study of the effect of the HA chain length on MSN-HA internalization in HeLa cells.

The following paragraphs give a quick overview of the studies carried out in this thesis. The detailed description of the experimental work, of the obtained results, and of the main conclusions is reported in the attached papers (see appendix section).

6.1 Study of adsorption and release of ampicillin from OMMs (Paper I)

MCM-41, SBA-15 and SBA-15-NH₂ (amino-functionalized SBA-15) were used as supports for the investigation of the adsorption and release of ampicillin. Adsorption isotherms showed in Fig 6.1A, described by the Langmuir model, highlighted a maximal loading of 278 mg/g and 237 mg/g for MCM-41 and SBA-15 respectively. The higher amount of ampicillin loaded on MCM-41 sample could be due to the higher surface area which derives from its smaller pore size. Surface charge is another important factor that affects the adsorption process. Indeed, for SBA-15-NH₂ the maximal loading recorded was 333 mg/g. This value was justified as a result of the electrostatic interactions between the support and the drug which, in the adsorption conditions (pH=7.4), are positively and negatively charged, respectively (see Fig. 6.1B).

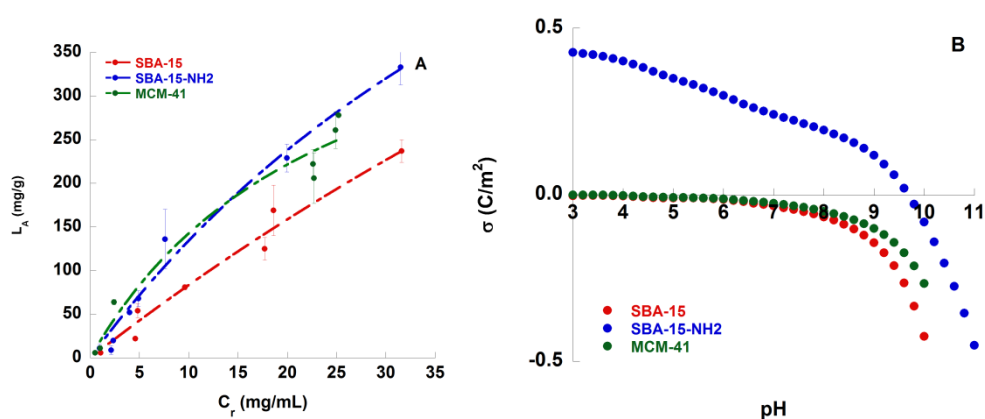


Figure 6.1 Adsorption isotherms (A) and surface charge density versus pH (B) of SBA-15, SBA-15-NH₂ and MCM-41.

Kinetic studies of the drug adsorption on mesoporous silica samples, (Fig. 6.2A), allowed the estimation of the adsorption rate constant on OMMs samples. We can

observe a decrease of the adsorption rate, according with the series MCM-41>SBA-15>SBA-15-NH₂. This trend can be justified with the smaller particles size of MCM-41 that permit a faster diffusion into pore channels. On the other hand, although SBA-15-NH₂ shows a lower adsorption rate, it can reach a higher loading. Finally, the release of ampicillin was studied. The release was fast from MCM-41 and SBA-15 and sustained from SBA-15-NH₂. Although the first two materials are characterized by different pore size, both carry a negative surface charge at physiological pH (=7.4). Under the same conditions also ampicillin is negatively charged. Hence, repulsive forces established between the drug and the support result in a high release rate at pH 7.4. On the contrary, the sustained release of ampicillin from SBA-15-NH₂ is the result of the attractive forces established between the positively charged material and the negatively charged drug (see Fig. 6.2B).

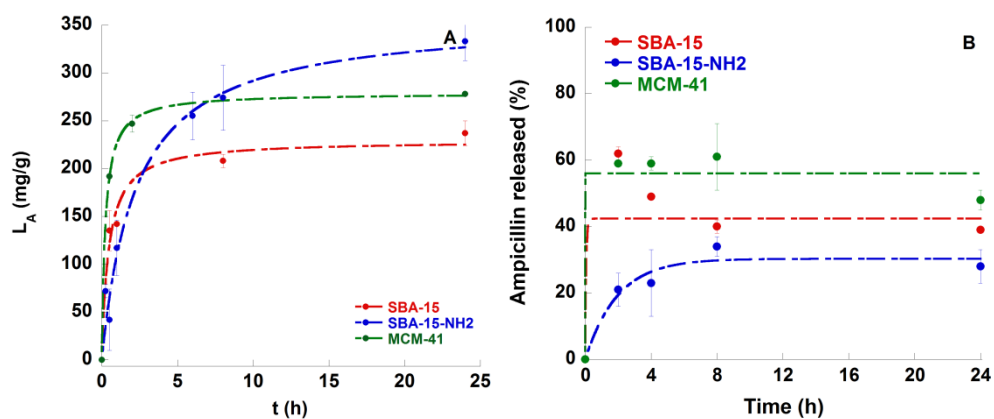


Figure 6.2 Kinetics of adsorption (A) and release (B) of ampicillin from SBA-15, SBA-15-NH₂ and MCM-41.

From this study, it emerges that the adsorption and release processes are affected by the surface charge more than by pore size.

6.2 Synthesis of a double sequential targeting system (Paper II)

A common problem of target systems is that the molecule used as targeting agent can be recognized by several receptors. The strategy which was used to solve this issue is the synthesis of a double sequential targeting system. This consists of a tissue target, the alendronate (ALN) and a cellular target, the amino acid sequence Arg-Gly-Asp (RGD), linked together by a PEG chain. The bone tissue was simulated by using hydroxyapatite. Fluorescence microscopy was used to compare the capacity of FITC-labeled BF and RGD to bound HAP tablets. The obtained results demonstrated that ALN is able to recognize the diseased bone. Then the efficiency of the cellular target was investigated. RGD is encrypted inside an oligopeptide sequence (Arg-Pro-Gly-Arg-Asp-Gly-Arg-Cys), so to make this target available, it is necessary to break the link Pro-Gly. This job can be done by Cathepsin K (CK), an enzyme overproduced in the malignant tissues. To confirm this hypothesis the RGD_{encrypted} peptide was soaked in an acid solution of CK and the obtained product was isolated and analyzed by matrix-assisted laser desorption/ionization (MALDI) time-of-flight/time-of-flight (TOF/TOF) spectrometry. Also the internalization on human osteosarcoma (HOS) cells was studied for PEG-RGD, PEG-RGD_{encrypted} and the complete system PEG-RGD_{encrypted}-ALN. Flow cytometry data showed a that HOS cells internalized 8%, 12% and 20% of PEG-RGD, PEG-RGD_{encrypted}-ALN and PEG-RGD_{encrypted}, respectively (Fig. 6.3).

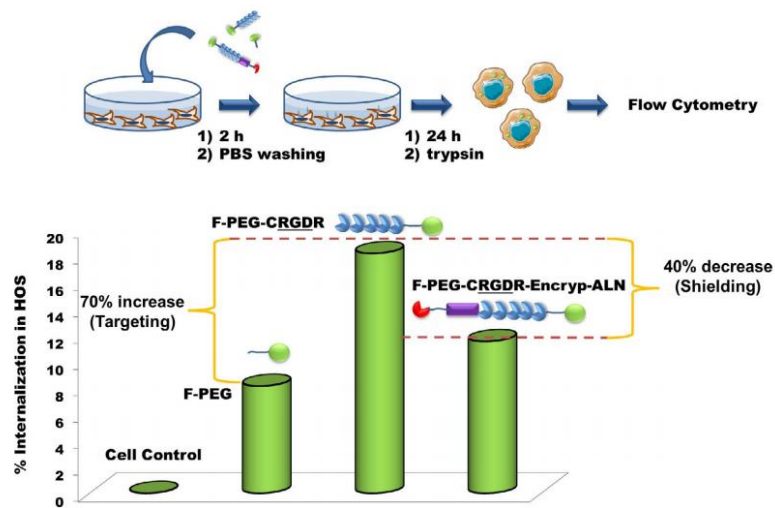


Figure 6.3 Internalization of PEG F-PEG (fluorescently labeled), F-PEG-CRGDR and F-PEG-CRGDR_{encrypted}-ALN in HOS.

Finally the complete system was first put in contact with HAP tablets and then placed on the upper sheet of transwells and the cells were cultured on the bottom, and soaked in an acid solution of CK, as schematically shown in Fig. 6.4A. In this case flow cytometry showed fluorescence in the 90% of HOS cells, confirming the internalization (Fig. 6.4B).

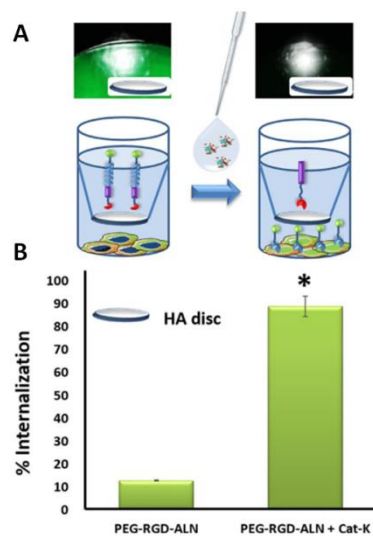


Figure 6.4 Scheme of the CK responsive behavior of F-PEG-CRGDR_{encrypted}-ALN in HOS. Fluorescence microscopy of the HA discs with F-PEG-CRGDR_{encrypted}-ALN (A) and percentage of internalized F-PEG-CRGDR_{encrypted} (B) before and after CK treatment.

This preliminary study demonstrated the efficiency of the double sequential target system PEG-RGD_{encrypted}-ALN and its possible application for drug delivery.

6.3 Cellular uptake in 3T3 cells of MSNs functionalized with CHIT and HA (Paper III)

MCM-41-type MSNs were firstly functionalized with amino groups (MSN-NH₂) and then with CHIT and HA polysaccharides to obtain MSN-CHIT and MSN-HA samples. The MTS assay carried out after 24 h of contact with 3T3 cells showed that all these materials favor the cell growth, confirming their high biocompatibility. Important differences were highlighted for the cellular uptake after an exposure time of 24 h and a concentration of MSNs of 100 µg/mL.

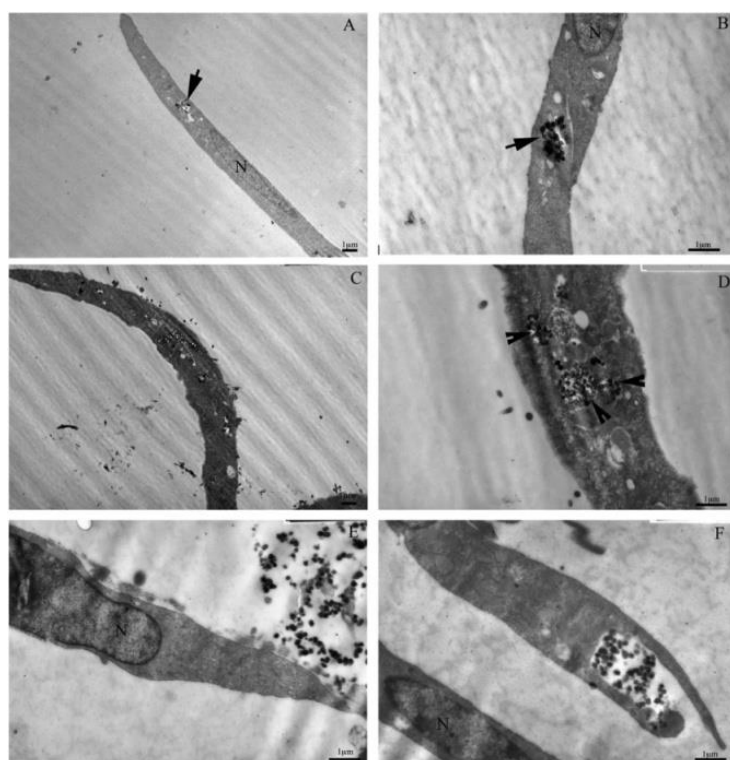


Figure 6.5 Electron micrographs of 3T3 mouse fibroblasts incubated for 24 h with 100 µg/mL of MSNs, and then left to grow for other 24 h in the culture medium. (A-B) MSN-NH₂, (C-D) MSN-HA, (E-F) MSN-CHIT.

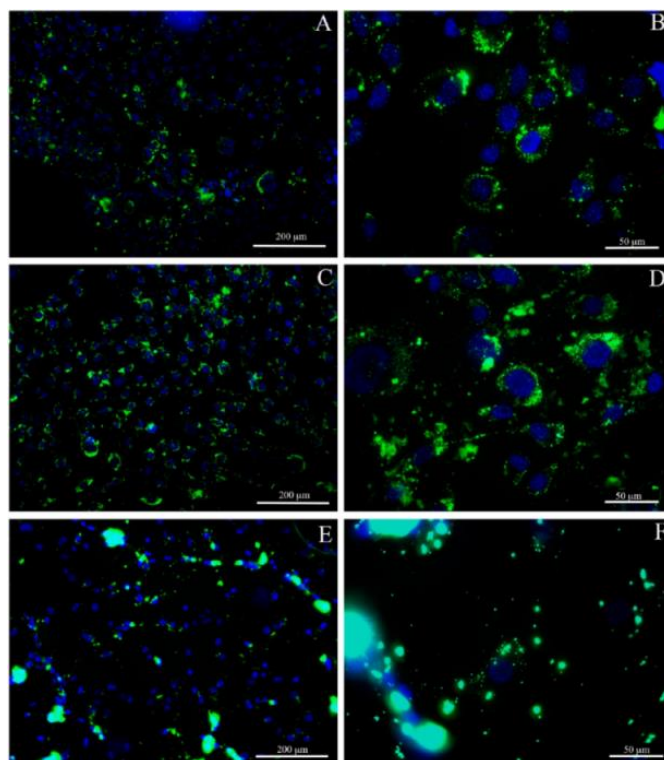


Figure 6.6 Images of fluorescent microscopy of 3T3 mouse fibroblasts incubated for 24 h with 100 $\mu\text{g}/\text{mL}$ of MSNs, and then left to grow for other 24 h in the culture medium. (A-B) MSN-NH₂, (C-D) MSN-HA, (E-F) MSN-CHIT.

Through TEM (Fig. 6.5) and fluorescence (Fig. 6.6) microscopies it has been demonstrated that MSN-NH₂ and MSN-HA were internalized, while MSN-CHIT sample formed large aggregates which could not be internalized by 3T3 cells. Flow cytometry quantified these data, showing for MSN-NH₂ and MSN-HA samples a percentage of cells able to internalize nanoparticles of 79% and 100% respectively. Moreover, the formation of aggregates of MSN-CHIT sample was confirmed by ELS measurements. Indeed, zeta potential values passed from +40 mV in water to -6.7 mV in cell culture medium. This can be attributed to a loss of colloidal stability. All these results highlight that the external functionalization with HA improve the cellular uptake. On the contrary, the interaction between

CHIT-coated MSNs and the components of the medium favor the formation of large aggregates that prevent cell uptake.

6.4 MSNs functionalized with CHIT and HA and their interaction with bovine serum albumin (BSA) (Paper IV)

The same functionalized HA and CHIT functionalized MSNs were used to study the interaction with BSA and the formation of a *protein corona*. All the samples were suspended in a BSA aqueous solution at pH = 7.4 and T = 37°C for 24 h, and then characterized by TEM (Fig. 6.7 A-C), TGA (Fig. 6.7 D-F) and ELS (Tab. 6.1). All these techniques confirmed the interactions between MSNs and BSA proteins.

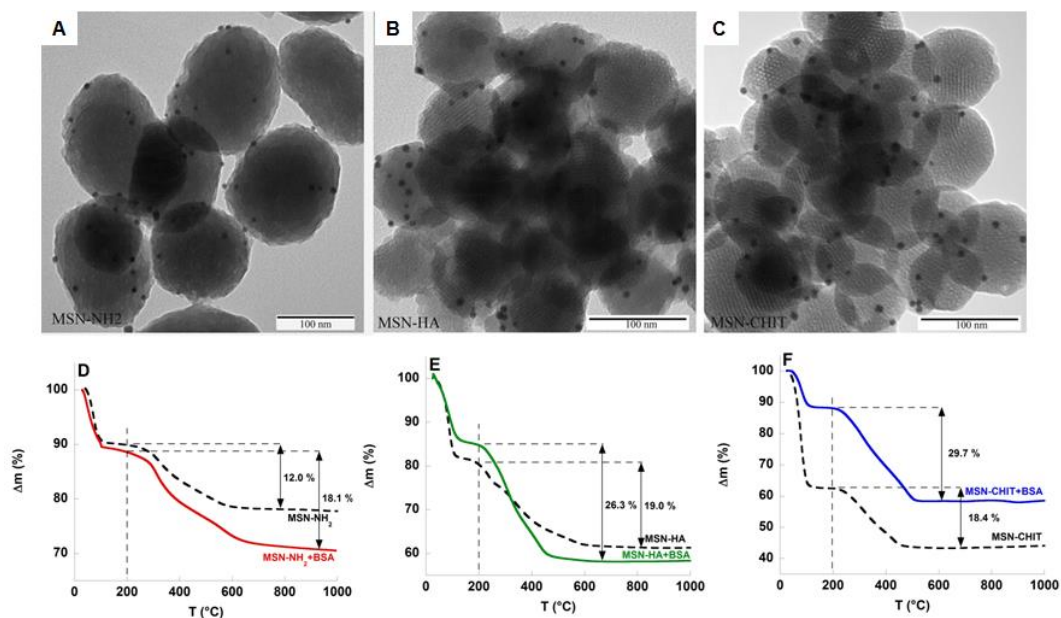


Figure 6.7 Electron micrographs and mass loss percentage of MSN-NH₂+BSA-GNPs (A-D) MSN-HA+BSA-GNPs (B-E) and MSN-CHIT+BSA-GNPs (C-F).

At our experimental pH BSA has a negative net charge (IEP \approx 4.7) thus it was able to interact with materials with opposite (MSN-NH₂ and MSN-CHIT) charge.

Table 6.1 Characterization of biopolymer-functionalized MSNs and BSA-loaded MSNs. Zeta potential (ζ) in distilled water at 37°C and loading of BSA (L_{BSA}).

Sample	ζ (mV)	L_{BSA} (mg/g)
MSN	-20.0 \pm 0.2	-
MSN-NH₂	+30.6 \pm 0.5	-
MSN-HA	-14.8 \pm 0.9	-
MSN-CHIT	+10.1 \pm 0.3	-
MSN-NH₂+BSA	+9.3 \pm 0.3	65
MSN-HA+BSA	-18.5 \pm 0.4	79
MSN-CHIT+BSA	-7.7 \pm 0.6	127

Surprisingly, BSA also interacted with the negatively charged MSN-HA particles. This can be explained by the action of both electrostatic and non-electrostatic van der Waals interactions that take place in biological systems.

6.5 Study of the effect of the HA chain length on internalization of MSN-HA in HeLa cells (Paper V)

The effect of HA chain length of MSN-HA samples on cell internalization was finally investigated. HA with different molecular weights: HA_S=8-15 kDa, HA_M=30-50 kDa, and HA_L=90-130 kDa was grafted on the external surface of MSNs. The different behavior of MSN-NH₂, MSN-HA_S, MSN-HA_M and MSN-

HA_L was investigated through DLS and ELS measurements at pH 7.4 to simulate biological conditions. An apparently anomalous low value of d_H for MSN-HA_L at pH 7.4 was assigned to the high molecular weight of the polymer and its conformation at this pH value. All the samples (MSN-NH₂, MSN-HA_S, MSN-HA_M and MSN-HA_L) were incubated at 37°C and 4°C for 4 h with HeLa cells to evaluate through optical and electron microscopies the cellular uptake (Fig 6.8 and Fig. 6.9).

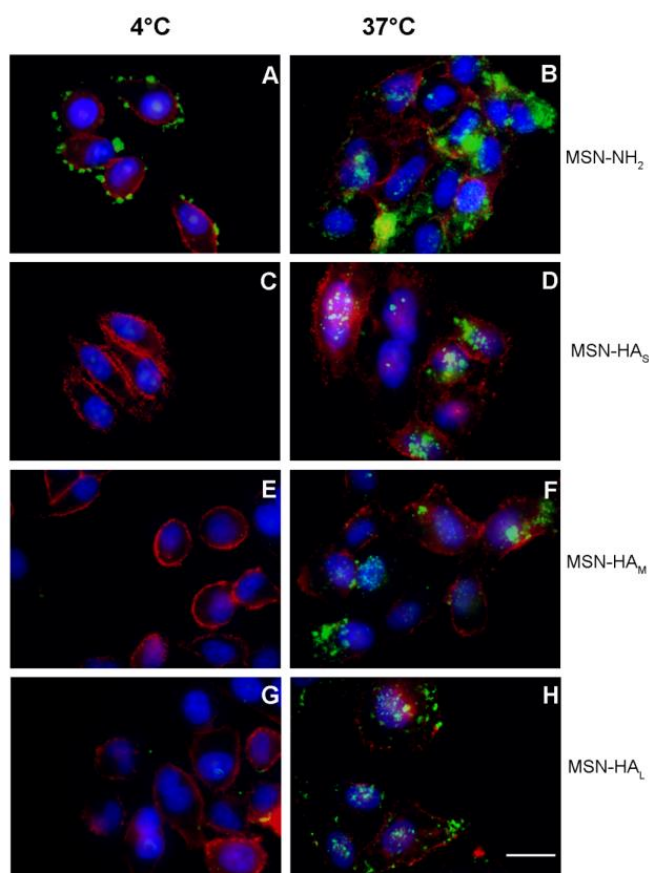


Figure 6.8 Internalization of MSNs using fluorescence microscopy of HeLa cells incubated for 4 h with 50 $\mu\text{g/mL}$ of FITC-MSNs: (A-B) MSN-NH₂, (C-D) MSN-HA_S, (E-F) MSN-HA_M, (G-H) MSN-HA_L. Cell membranes are immunostained with CD44 antibody (red) and nuclei are stained with Hoechst (blue). Bar: 20 μm .

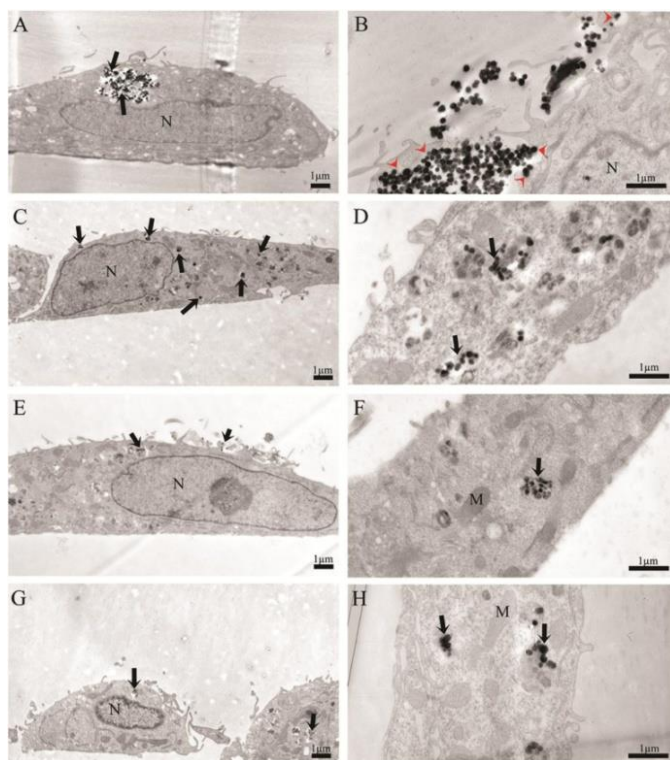


Figure 6.9 Electron micrographs of HeLa cells incubated for 24h: (A-B) MSN-NH₂, (C-D) MSN-HA_S, (E-F) MSN-HA_M, (G-H) MSN-HA_L.

At 37°C all the samples were internalized by HeLa cells through ATP-dependent endocytosis, particularly MSN-NH₂ and MSN-HA_S, as confirmed by flow cytometry data. In the case of MSN-HA_S another factor seems to be at work. Indeed, HeLa cells are CD44-receptors positive, that is they are able to recognize HA and thus to improve cellular uptake as shown in Fig. 6.10. On the contrary, internalization does not take place at 4°C due to the inhibition of the ATP-dependent endocytosis which mediates the process at low temperature. With this study we demonstrated that the chain length of HA is an important factor that can affect the cellular uptake of MSN-HA particles, and needs to be considered for the realization of target drug delivery systems.

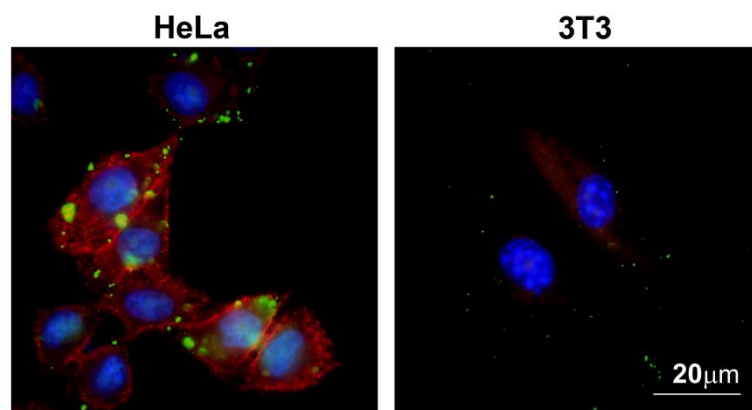


Fig. 6.10 Fluorescence microscopy images of HeLa and 3T3 cells treated for 4 h at 37°C with FITC labeled MSN-HAs. Cell membranes are immunostained with CD44 antibody (red) and nuclei are stained with Hoechst (blue). Bar: 20 µm.

7 Conclusions

In the last years nanomaterials (size 1-100 nm) are having a great development in medicine for several applications such as imaging, gene therapy and drug delivery due to their peculiar physical, chemical and biological properties. The aim of this thesis work was to investigate OMMs (SBA-15 and MCM-41) as depot systems and carriers for biomedical applications. In particular three main aspects were studied:

1. the effect of functionalization of OMMs on the drug adsorption and release phenomena;
2. the possibility to synthesize a new a double target system;
3. the effect of the external functionalization on cell internalization and on the interaction with proteins.

We have demonstrated that drug adsorption and release phenomena are affected by the properties of the material such as pore size and particle size, and especially by their surface charge. In particular for the amino-functionalized material (SBA-15-NH₂) we have obtained the most interesting results. Indeed the attractive electrostatic interaction established between SBA-15-NH₂ and ampicillin (positively and negatively charged respectively at pH 7.4) favor the drug adsorption and hence the obtainment of the highest loading of ampicillin (L=333 mg/g). On the contrary, the same forces slow down the release rate causing a sustained release of ampicillin from this carrier. Another important result is that the concentration of ampicillin in the release solution is 145 µg/mL. This concentration of ampicillin is comparable with that needed in the human serum (80-110 µg/mL for adults and 177-200 µg/mL for children). These studies

demonstrated that, in order to obtain a sustained drug release, the chemical nature of the matrix's surface plays a role which is more important than its textural features. This makes SBA-15-NH₂ matrix is a suitable candidate as depot system for local sustained release of ampicillin.

The goal of the second part of the thesis was to try to solve the problem of non-selective targets. For this reason a double target system, that is a system presenting two different targeting molecules, was synthesized. The first is an alendronate, a tissular targeting, able to link the tumoral bone tissue. The second is the peptide known as RGD, recognized by $\alpha_v\beta_3$ -integrins overexpressed by several tumor cells, as human osteosarcoma (HOS) cells, used for our studies. As schematically reported in Fig. 7.1 the alendronate is bound through PEG to a peptide chain where the RGD is hidden to avoid that it could be recognized by other cells different from HOS cells.



Figure 7.1 Scheme of the double target systems.

This preliminary study showed the efficacy of our double target systems. The planned next step is the functionalization of MSNs with the previously described systems (Fig. 7.1) for the synthesis of a smart target systems usable as a carrier for anticancer drugs against bone cancer.

The last part of the thesis work focused on MSNs external functionalization with biopolymers, such as chitosan (CHIT) and hyaluronic acid (HA). The different features of HA and CHIT affect the cell internalization. The first improve cellular internalization, while the second gave rise to the formation of aggregates, too large to be internalized by the cells. In order to justify this behavior it is very important to consider that a body fluid is constituted by various molecules i.e. proteins, peptides and electrolytes that can interact with the polymer-layer that covers MSNs. As previously described in section 1.2 and 4.1, the adsorption of molecules on the external surface of MSNs cause the formation of a "protein corona" that affects their surface properties and in particular their surface charge. Our studies have demonstrated that the functionalization with HA and CHIT, affects in different way the adsorption of body fluid components. A change in the zeta potential from -7.2 to -10 for MSN-HA and from +40 to -6.7 for MSN-CHIT passing from water to the cell culture medium, composed by proteins, peptides and electrolytes. The adsorption of these components on the surface of functionalized MSNs can justify the decrease in zeta potential values. In particular, in the last case the sign change from a positive value to a negative one highlights a change in the surface properties. This is likely the cause the loss of stability of the MSN-CHIT particles, which form large aggregates that prevent the cellular internalization. We observed a decrease in the surface charge also when MSNs were soaked in a solution of BSA at pH 7.4 (used to simulate a body fluid), highlighting the formation of the protein corona. The establishment of attractive electrostatic forces favors the formation of the protein corona as shown in the case of MSN-NH₂ and MSN-CHIT. It is also important to consider not only

electrostatic forces but also van der Waals interactions play a key role. Indeed, in the case of MSN-HA the adsorption of BSA is not justified by electrostatics, but rather by non-electrostatic interactions occurring between functionalized MSNs and BSA, which favored the formation of the protein corona.

Furthermore, it has been found that cellular uptake is affected not only by the chemical nature of the polymer but also by its molecular weight. Cellular internalization experiments, carried out both at 37°C and at 4°C, suggested that the mechanism of internalization is the ATP-dependent endocytosis mediated by CD44 receptors overexpressed by HeLa cells. This mechanism is inhibited at low temperature. The obtained results showed also that the increase of the molecular weight causes a decrease in the cellular uptake.

All these results highlight the importance of OMMs in general and MSNs in particular as nanocarriers for the development of targeting drug delivery systems for cancer treatment.

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Appendix

Paper I

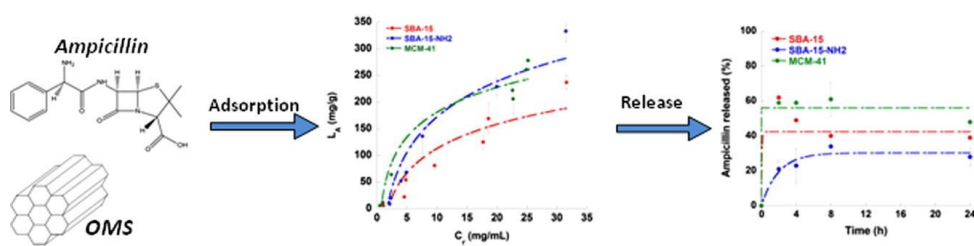
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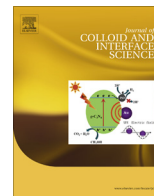
Adsorption and release of ampicillin antibiotic from ordered mesoporous silica.

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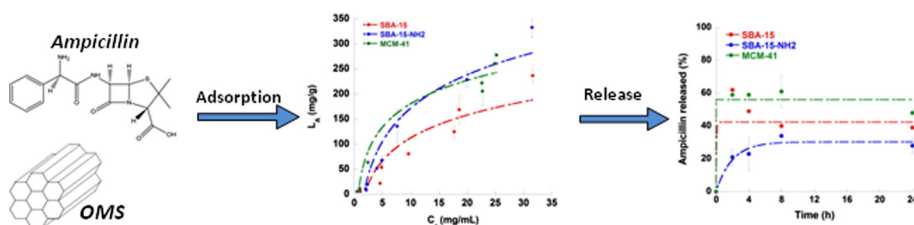
Adsorption and release of ampicillin antibiotic from ordered mesoporous silica



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GRAPHICAL ABSTRACT



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ABSTRACT

In this work the adsorption and the release of ampicillin - a β -lactam penicillin-like antibiotic - from MCM-41, SBA-15, and (amino functionalized) SBA-15-NH₂ ordered mesoporous silica (OMS) materials were investigated. The silica matrices differ for their pore size (SBA-15 vs. MCM-41) mainly, and also for surface charge (SBA-15 and MCM-41, vs. SBA-15-NH₂). OMS samples were characterized through small-angle X-rays scattering (SAXS), transmission electron microscopy (TEM), N₂ adsorption-desorption isotherms, Fourier transform infrared spectroscopy (FTIR), thermogravimetric analysis (TGA), and potentiometric titrations. The quantification of immobilized and released ampicillin was monitored by mean of UV-Vis spectroscopy. Experimental adsorption isotherms evidenced that ampicillin's loading is not related to the pore size (d_{BJH}) of the adsorbent. Indeed the maximal loadings were 237 mg/g for SBA-15 ($d_{\text{BJH}} = 6.5$ nm), 278 mg/g for MCM-41 ($d_{\text{BJH}} = 2.2$ nm), and 333 mg/g for SBA-15-NH₂ ($d_{\text{BJH}} = 5.6$ nm). Loading seems, instead, to be related to the surface charge density (σ) of the sorbent surface. Indeed, at pH 7.4 ampicillin drug is negatively charged and likely prefers to interact with SBA-15-NH₂ ($\sigma_{\text{SBA-15-NH}_2} = +0.223 \text{ C m}^{-2}$) rather than the slightly negatively charged silicas ($\sigma_{\text{SBA-15}} = -0.044 \text{ C m}^{-2}$ and $\sigma_{\text{MCM-41}} = -0.033 \text{ C m}^{-2}$). Similarly, ampicillin release is affected by interfacial interactions. Indeed, we found a burst release from pure silica samples (SBA-15 and MCM-41), whereas a sustained one from SBA-15-NH₂ sample. We explain this behavior as a result of an attractive interaction between the protonated amino group of SBA-15-NH₂ and the negatively charged carboxylate group of ampicillin. In summary, in order to obtain a sustained drug release, the chemical nature of the matrix's surface plays a role which is more important than its textural features. SBA-15-NH₂ matrix is hence a suitable candidate for local sustained release of antibiotic drugs.

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1. Introduction

Osseointegration was discovered in 1969 when Branemark observed that a piece of titanium inserted in a rabbit bone was

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not easily removed because anchored in the tissue [1]. The osseointegration process begins after the surgical insertion of an implant and is possible when the material is biocompatible, and allows for the spontaneous formation of hydroxyapatite layers [2]. However, after the implant insertion inflammatory processes (as peri-implantitis) can occur [3]. This can cause the loss of the supporting bone [1] and different types of osteomyelitis [4]. These diseases can be treated with systemic administration of antibiotic or anti-inflammatory drugs (via injection or ingestion) to prevent necrosis and bone neoformation that can degenerate in a chronic state [4,5]. This type of antibiotic therapy is highly inefficient. Indeed, only a low amount of drug can reach the infected region, so that the therapy needs to be repeated several times. Moreover, large amounts of administered drugs can cause several drawbacks such as sensitivity, bacterial resistance, or superinfections. The proposed solution to all these problems is the 'in situ' drug administration [5,6]. Nowadays different materials - i.e. antibiotic-impregnated cements, pastes, powders, degradable sponges or fleeces - are used in orthopedic surgery [7]. Nevertheless, only few nanomaterials can both easily be osseointegrated and slowly release antibiotic or anti-inflammatory drugs [5,8–11].

Ordered mesoporous silicas (OMSs), firstly synthesized in the early 90s [12,13], are interesting matrices for drug delivery [4,14–23]. Relevant features of OMSs are the high surface area (up to 1000 m²/g), the narrow distribution of the pores size (2–30 nm), the high pore volume (1–3 cm³/g) and the possibility to introduce several types of surface functional groups [24–30]. Moreover, OMSs display high biocompatibility [19,31–34] and allow for osseointegration if placed in a simulated body fluid [9,11]. All these features make OMSs very interesting matrices for biomedical applications as depot carriers for 'in situ' controlled drug release. The use of OMS for drug delivery, dates back to 2001, when Vallet-Regí et al. studied the immobilization of ibuprofen on MCM-41 [35]. After that pioneering work the drug-loading capacity, and the sustained drug release from OMSs (i.e. MCM-41 and SBA-15) with different antibiotic or anti-inflammatory drugs - i.e. ibuprofen [36–38], gentamicin [39] and amoxicillin [25,40,41] - were widely investigated. Moreover, those studies showed how to modulate the drug loading and the release kinetics by functionalizing the surface of OMS samples [14,17,25,36,37,42,43], or by changing the pH, at which the drug loading is carried out [14,38,42,44,45].

Ampicillin is a penicillin-like molecule belonging to the class of β -lactam antibiotics, useful for the treatment of infections mediated by both gram-negative and gram-positive bacteria [5,7]. Ampicillin is usually administered in combination with sulbactam [46–48], a powerful and highly specific inhibitor of β -lactamases [49]. In particular, the therapeutic ampicillin dosage is 1.5–12 g/day for adults and 150 mg/kg/day for children [46]. After the administration, the maximal concentration of ampicillin in blood serum mainly depends on the patient's age. For adults (from 20 to 65 years) who have been administered with 2 g of ampicillin, the maximal measured concentration is about 80–110 μ g/mL [50]. While for children (from 1 to 12 years) a dose of 40–80 mg/kg every 6 h results in an ampicillin concentration of 177–200 μ g/mL [51]. Due to its high purity and low cost, ampicillin can be used as a model drug for a better understanding of the processes that regulate the adsorption and the release of therapeutic molecules from OMS-based depot systems. Recently, the release of ampicillin from different materials - amorphous silica, calcium silicate, silica/polycaprolactone, and xCaO·SiO₂ - was studied [5,52]. These studies showed that the rate of release is affected by the chemical composition of the used material. Singh et al. used silica-based nanotubes for the adsorption and release of ampicillin [53]. They found that both adsorption and release rates are determined by the pore size of the carrier.

The aim of this work is to understand which, between the textural features and the chemical nature of the surface, plays the most important role to address the adsorption and release phenomena of ampicillin antibiotic from ordered mesoporous silica. To this purpose we compared the behavior of three different OMS samples, namely, SBA-15, SBA-15-NH₂ and MCM-41. In particular, we investigated the effect of pore size (SBA-15 versus MCM-41) and surface charge (SBA-15 versus SBA-15-NH₂) toward ampicillin adsorption (isotherms and kinetics) and release in simulated physiological conditions.

2. Materials and methods

2.1. Chemicals

Tetraethoxysilane (TEOS, 98%), pluronic copolymer 123 (EO₂₀-PO₇₀EO₂₀), cetyltrimethylammonium bromide (CTAB, >99%), (3-aminopropyl)triethoxysilane (APTES, >98%), sodium hydroxide, hydrochloric acid (37%), ampicillin sodium salt, acetic acid (>99.7%), sodium acetate (>99%), sodium dihydrogen phosphate (99.0%), and sodium phosphate dibasic (\geq 99.5%) were purchased from Sigma-Aldrich (Milan, Italy). Standard buffers at pH 1, 4, 6, 9, and 10 were purchased from Hanna Instruments (Szeged, Hungary). All chemical reagents were used without further purification.

2.2. Synthesis and characterization of SBA-15, MCM-41, and SBA-15-NH₂ samples

SBA-15 and MCM-41 were synthesized according to the methods reported in Refs. [27,54,55] respectively. We just remind that the synthesis occurs with a cooperative templating mechanism between TEOS and either Pluronic copolymer 123 (SBA-15) or CTAB (MCM-41). The organic surfactants were removed by calcination at 550 °C for 5 h. The functionalized SBA-15-NH₂ was prepared using APTES through a post-functionalization method described in Ref. [27]. The resulting solid was filtered and washed with acetone and dried overnight under vacuum at room temperature.

All materials were characterized through N₂ adsorption-desorption isotherms (texture), SAXS and TEM (structure), FTIR (functional groups), potentiometric titrations (surface charge density, σ), and thermogravimetric analysis (TGA). Textural analysis was carried out on an ASAP 2020 instrument, by determining the N₂ adsorption/desorption isotherm at 77 K. Before analysis MCM-41 and SBA-15 samples were heated at 250 °C at a rate of 1 °C/min under vacuum for 12 h, while SBA-15-NH₂ was heated at 110 °C at the rate of 1 °C/min under vacuum for 24 h. The Brunauer-Emmett-Teller (BET) and Barrett-Joyner-Halenda (BJH) method, calculated from the desorption branch of N₂ isotherm, were used to calculate surface area, pore volume and pore size distribution respectively. Small-angle X-ray scattering (SAXS) pattern was recorded with a S3-MICRO SWAXS camera system (HECUS X-ray Systems, Graz, Austria), as elsewhere reported [27]. Thin-walled 2 mm glass capillaries were filled with the sample for the scattering experiments. The scattering patterns were recorded for 1 h. Transmission electron microscopy (TEM) images were obtained on a JEOL 100S microscope, finely ground samples were placed directly onto formvar-coated electron microscopy nickel grids. Fourier transform infrared (FTIR) studies were conducted with a Bruker Tensor 27 spectrophotometer equipped with a diamond-ATR accessory and a DTGS detector. A number of 256 scans at a resolution of 2 cm⁻¹ were averaged from wave

number 4000 to 400 cm^{-1} . Surface charge density (σ vs. pH curves) of all samples was determined through potentiometric titrations which were carried out through an automatic titrator, Titrando 836 from Metrohm (Herizau, Switzerland). The titration method is described in detail in Ref. [56]. Thermogravimetric analysis (TGA) was carried out in the range 25–1000 $^{\circ}\text{C}$ (heating rate = 10 $^{\circ}\text{C}\cdot\text{min}^{-1}$), and under oxygen flow (flow rate = 50 $\text{mL}\cdot\text{min}^{-1}$) by mean of a Mettler-Toledo TGA/STDA 851.

2.3. Ampicillin adsorption and release from mesoporous silica samples

The adsorption isotherm of ampicillin on mesoporous silica (SBA-15, MCM-41, SBA-15-NH₂) was carried out by suspending different samples (50 mg each) of mesoporous silica in 50 mM phosphate buffer (pH 7.4) solutions having ampicillin concentrations in the range between 0.5 and 25 mg/mL. The suspensions were kept under rotation for 24 h at 298 K. The adsorbed amount of ampicillin was obtained by calculating the difference between the initial and the final (equilibrium) concentration of ampicillin in the adsorption solution. The concentration of ampicillin was determined through a calibration curve (in the concentration range 0.1–2.0 mg/mL) by measuring the absorbance at $\lambda = 268$ nm through a Cary UV-Vis spectrophotometer. After the immobilization ampicillin-loaded silica samples were collected through centrifugation, dried under vacuum, and analyzed through ATR-FTIR to assess the drug immobilization. The adsorption kinetics experiments of ampicillin adsorption on OMS samples were carried out similarly to what done for the isotherms. The only difference is that liquid samples were withdrawn at different fixed times (in the range 0–24 h) from the OMS/ampicillin suspension and analyzed through UV-Vis to assess the residual ampicillin concentration in the liquid phase.

In vitro drug release was carried out by suspending 50 mg of ampicillin-loaded mesoporous silica in 25 mL of 50 mM phosphate buffer saline solution (PBS: pH 7.4 and 0.15 M NaCl) at 37 $^{\circ}\text{C}$ in an orbital shaker (120 rpm). At fixed time intervals, a volume of 5 mL of solution was withdrawn and the same volume of PBS was replaced to maintain sink conditions. The amount of released ampicillin was quantified through UV-Vis spectrophotometry, using a calibration curve in the concentration range 0.1–2.0 mg/mL (100–2000 $\mu\text{g}/\text{mL}$). The amount of ampicillin released was calculated taking into account the dilution caused by the introduction of fresh PBS after each withdrawal [57].

3. Results and discussion

3.1. Characterization of OMSs

Fig. 1 shows the adsorption/desorption N₂ isotherms of SBA-15, SBA-15-NH₂ and MCM-41. SBA-15 and SBA-15-NH₂ exhibit a type IV isotherm with a sharp increase of N₂ volume adsorption at the relative pressure of $p/p^{\circ} = 0.6$ –0.7. The H1 hysteresis cycle is associated to a channel-like mesoporous structure. Respect to the curve of SBA-15, that of SBA-15-NH₂ is shifted toward low adsorbed nitrogen volumes. This result is consistent with a decrease of the pore volume due to the functionalization step with APTES.

MCM-41 isotherm strongly differs from that of SBA-15 and SBA-15-NH₂. It shows a similar increase of N₂ volume adsorption but at a lower relative pressure, between 0.2 and 0.4 p/p° , as expected by the smaller pores of MCM-41. In this case the isotherm is reversible and does not show any appreciable hysteresis loop. Table 1 reports the values of surface area, pore size, and pore volume for the three mesoporous silica samples.

Samples were also characterized through SAXS technique (Fig. 2a). SBA-15 and SBA-15-NH₂ show the typical pattern of hexagonal phases, with an intense peak and two weak peaks due to the reflections of (100), (110) and (200) planes, respectively. This confirms that the functionalization of SBA-15 with APTES does not modify the ordered hexagonal structure. Also MCM-41 shows a SAXS pattern with the three typical peaks of hexagonal phases but, in this case, they occur at higher q (scattering vector) values than those recorded for the other two OMSs. This is due to the reciprocal relationship which occurs between plane distance, d , and the scattering vector, q , ($d = 2\pi/q$). The lattice parameter (a) determined by SAXS measurements was 113.4 \AA for SBA-15 and SBA-15-NH₂, and 40.1 \AA for MCM-41 (Table 1).

Fig. 2b–d show TEM micrographs of the three mesoporous silica samples. It can be seen that MCM-41 sample is constituted by quasi-spherical nanoparticles with diameters in the range 60–100 nm (Fig. 2b), whereas SBA-15 and SBA-15-NH₂ samples have bigger particles with sizes around 1.0 μm (Fig. 2c and d). The TEM images show the cylindrical channels for all samples.

The FTIR spectra of SBA-15 and MCM-41 (Fig. 3a) show two intense peaks at 1060 and 800 cm^{-1} assigned to the asymmetric and symmetric stretching vibrations of Si–O–Si group, respectively. Moreover, a peak at 450 cm^{-1} is due to the deformation modes of the O–Si–O group [25]. The peak at 960 cm^{-1} is attributed to Si–OH stretching [58,59]. SBA-15-NH₂ sample shows a spectrum similar to those of SBA-15 and MCM-41 (Fig. 3a) but, in addition, we observe a weak peak around 1558 cm^{-1} (Fig. 3b)

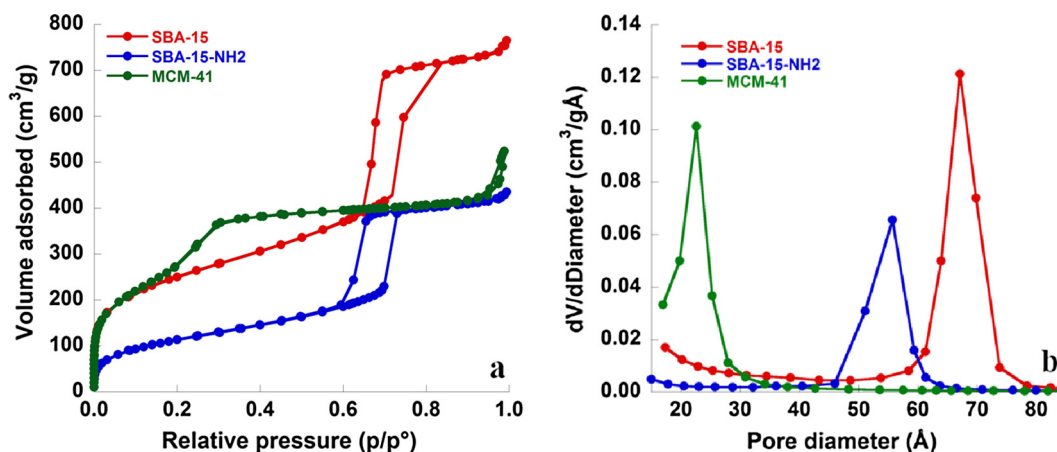


Fig. 1. Adsorption/desorption N₂ isotherms (a) and pore size distribution (b) of SBA-15, SBA-15-NH₂ and MCM-41.

Table 1
Surface area, pore volume, and pore size of SBA-15, SBA-15-NH₂ and MCM-41.

Sample	^a S _{BET} (m ² /g)	^b Pore volume (cm ³ /g)	^c d _{BJH-Des} (Å)	^d a (Å)
SBA-15	867	1.23	64.5	113.4
SBA-15-NH ₂	408	0.69	55.7	113.4
MCM-41	1169	0.91	22.3	40.1

^a Surface area calculated by the BET method (pressure range 0–1 p/p°).

^b Pore volume (calculated at p/p°=0.99).

^c Pore diameter calculated by applying the BJH method to the desorption branch of the isotherm.

^d Lattice parameter obtained by SAXS.

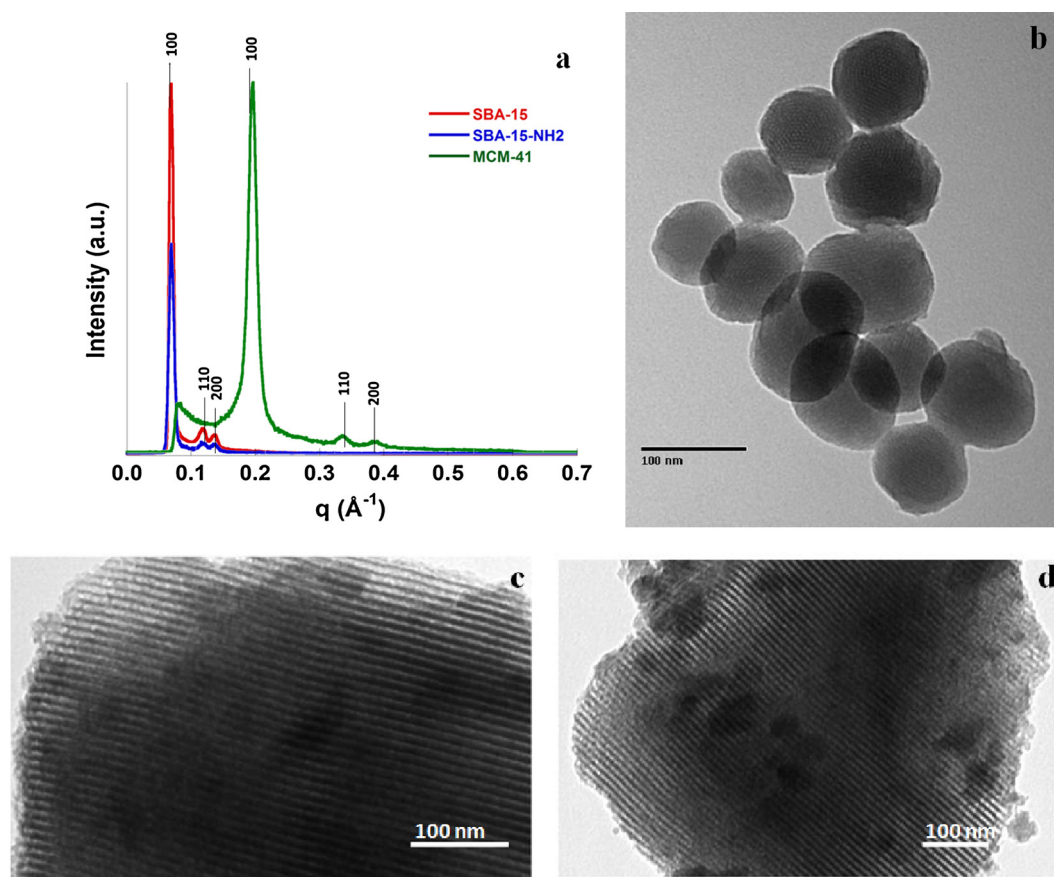


Fig. 2. Structural characterization of OMS samples. (a) SAXS pattern of SBA-15, SBA-15-NH₂ and MCM-41. Transmission electron microscopy images of MCM-41 (b), SBA-15 (c), SBA-15-NH₂ (d).

attributed to the bending of –NH₂, which confirms the amino-functionalization [25,60,61]. Thermogravimetric analysis (TGA) of OMS samples was then carried out. Fig. 4 shows the comparison among the percentage mass loss (Δm) profiles as a function of temperature for OMS samples. The mass loss observed for all samples at $T < 200$ °C can be ascribed to the removal of humidity and to the condensation of surface silanols. This last likely occurring for the pure silica samples (i.e. MCM-41 and SBA-15). Above 200 °C only SBA-15-NH₂ has a consistent mass loss ($\Delta m = 9.4\%$) due to the burning of organic groups. This is a further confirmation of the occurred functionalization with APTES.

Fig. 5 shows the surface charge density (σ) versus pH curves obtained for the three OMS samples. SBA-15 and MCM-41 samples show a very similar trend, that is, the surface charge is close to zero at acidic pH and becomes negative for $pH > 6$. It can be noticed that for $pH > 8$, SBA-15 surface charge is more negative than that of MCM-41. This may be due to a slightly more acidic behavior of SBA-15 silanols compared to those of MCM-41 [62]. As a result

of the functionalization, the surface charge density of SBA-15-NH₂ becomes highly positive at acidic pH values. σ remains positive up to $pH = 9.7$, which is the point of zero charge of that material. Consequently, at the pH value used to immobilize the ampicillin ($pH = 7.4$) SBA-15 and MCM-41 carry a slightly negative surface charge ($\sigma_{SBA-15} = -0.044$ C m⁻² and $\sigma_{MCM-41} = -0.033$ C m⁻²) while SBA-15-NH₂ has a highly positive surface charge ($\sigma_{SBA-15-NH_2} = +0.223$ C m⁻²). At that pH, ampicillin carries a negative net charge ($pK_{aCOOH} = 2.96$, $pK_{aNH_3} = 7.22$) [63]. Hence its adsorption on SBA-15-NH₂ would involve attractive electrostatic interactions. This will be discussed further in next paragraphs.

3.2. Determination of the adsorption isotherms and kinetics of ampicillin on OMSs

The different OMS samples, SBA-15, SBA-15-NH₂ and MCM-41, were then used as carriers for the adsorption of ampicillin antibiotic. The adsorption isotherms ($T = 298$ K) were determined

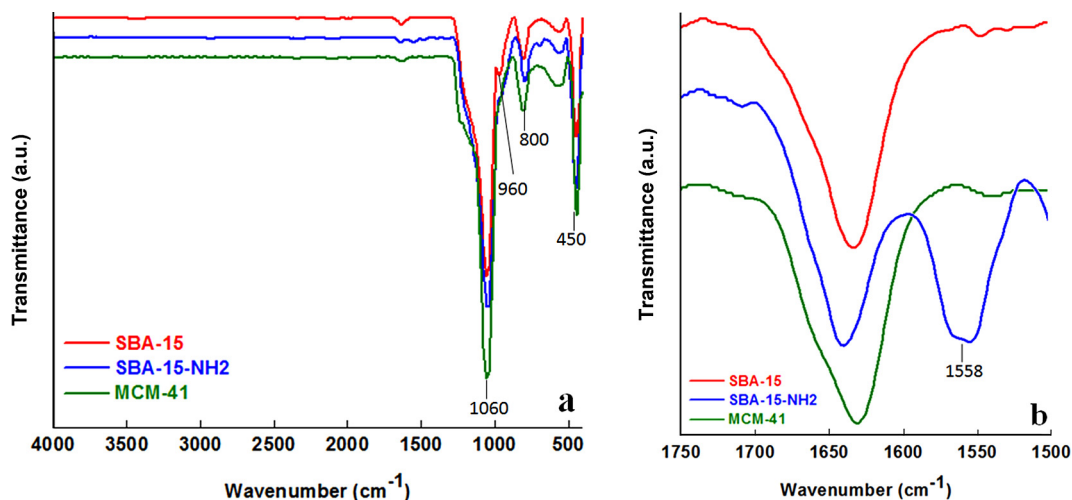


Fig. 3. FTIR spectra of SBA-15, SBA-15-NH₂ and MCM-41.

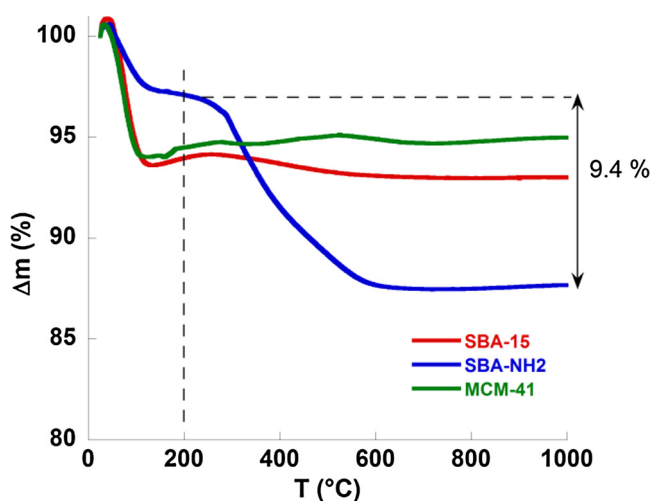


Fig. 4. TG analysis for SBA-15, SBA-15-NH₂, and MCM-41 samples.

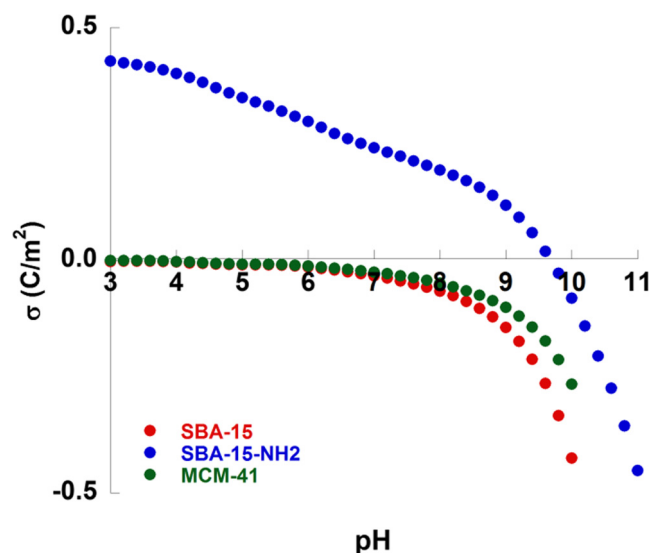


Fig. 5. Surface charge density versus pH curves for SBA-15, SBA-15-NH₂ and MCM-41 obtained by potentiometric titrations.

plotting the loading of ampicillin on OMS (L_A , mg_{ampicillin}/g_{OMS}) versus the equilibrium concentration of ampicillin in the adsorbing solution (C_r , mg_{ampicillin}/mL). We used three different models - namely: Freundlich, Langmuir, and Temkin - to fit the experimental adsorption data. Fig. 6a shows the adsorption isotherm fitted by the Freundlich equation [64–67]:

$$L_A = K_F C_r^{1/n} \quad (1)$$

where K_F (mL/g) and $1/n$ (dimensionless) are the Freundlich constants, that is, empirical parameters specific for each adsorbent-adsorbate pair at a given temperature. The Freundlich model considers a heterogeneous adsorbent surface with non-identical adsorption sites. This results in the formation of a multi layer of adsorbed molecules. K_F is the support capacity, while $1/n$ is the heterogeneity factor. The closer the latter is to 0 the more heterogeneous the adsorbent surface. Fig. 6b shows the fitting obtained with the Langmuir model:

$$L_A = \frac{L_0 K_L C_r}{1 + K_L C_r} \quad (2)$$

where L_0 and K_L are the maximum mono-layer coverage capacity and the Langmuir constant, respectively. Differently by the previous model, here only a monolayer of adsorbed molecules is allowed. Moreover, Langmuir isotherm refers to homogeneous adsorption, that is, it can only occur at a finite number of identical and equivalent localized sites, with no lateral interaction and steric hindrance between the adsorbed molecules, even on adjacent sites.

Finally, Fig. 6c shows the fitting obtained with the Temkin model:

$$L_A = \frac{RT}{b_T} \ln A_T C_r \quad (3)$$

where b_T is the Temkin constant and A_T is the isotherm equilibrium binding constant.

These models were chosen among many available since they resulted in quite good fittings of our experimental results. In particular, as shown in Table 2, Freundlich and Langmuir fittings were better than Temkin as confirmed by their higher correlation coefficients (R). In Fig. 6, adsorption isotherm curves have higher slopes for MCM-41 respect to SBA-15. This resulted, in our experimental conditions, in the maximal loadings of 278 mg/g and 237 mg/g for MCM-41 and SBA-15 respectively. The materials are both pure silica, but with different particle and pore size (see Fig. 2 and Table 1). This result seems to mean that the wider pore size of

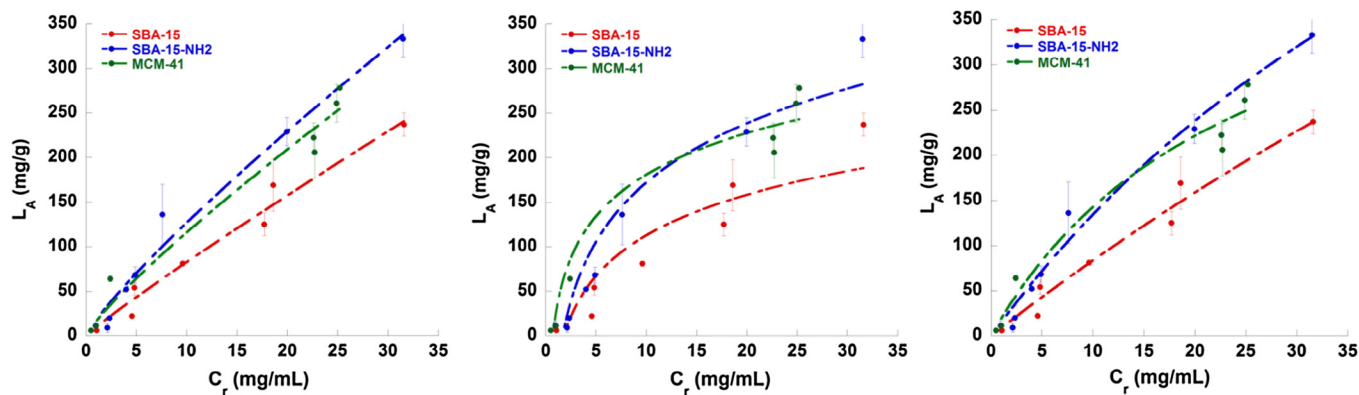


Fig. 6. Adsorption isotherms of ampicillin on SBA-15, SBA-15-NH₂ and MCM-41 (T = 298 K; pH 7.4) fitted with Freundlich (a), Langmuir (b), and Temkin (c) models.

Table 2

Isotherm parameters for ampicillin adsorption on OMS samples.

Sample	Freundlich			Langmuir			Temkin		
	K _F (mL/g)	1/n	R	L ₀ (mg/g)	K _L (dm ³ /mg)	R	b _T	A _T (L/g)	R
SBA-15	10	0.9	0.985	1588	0.006	0.986	38	0.6	0.906
SBA-15-NH ₂	18	0.85	0.988	1035	0.015	0.991	26	0.6	0.949
MCM-41	16	0.9	0.986	495	0.04	0.984	37	1.5	0.976

SBA-15, compared to that of MCM-41, does not necessarily result in a higher ampicillin loading.

On the contrary, a lower pore size results in a higher surface area which is, evidently, widely available for ampicillin adsorption. However, also surface area does not seem to be the distinctive feature for obtaining a high loading. Indeed, SBA-15-NH₂ sample, with a $S_{BET} = 408 \text{ m}^2/\text{g}$ 'only', resulted in the highest loading (333 mg/g). Our hypothesis is that this result is due to the chemical nature of SBA-15-NH₂ surface. This gives rise to attractive forces between the adsorbent and the adsorbate. Indeed at pH 7.4 SBA-15-NH₂ carries a positive surface charge (Fig. 5), hence we expect a favorable electrostatic interaction with the carboxylate group of ampicillin. Singh et al. immobilized ampicillin on aminated mesoporous silica nanotubes (mSiNTs) [53]. They found that the loading capacity was affected by the presence of CTAB during the synthesis since it increased both pore size and surface area of the obtained material. In particular a loading of 110 mg/g was obtained for the material synthesized without CTAB, whereas a loading capacity of 180 mg/g in the presence of CTAB. Both values were, nevertheless, lower than those obtained with our adsorbents.

We also investigated the adsorption kinetics of ampicillin on OMSs samples (Fig. 7). The slope of the curve gives an estimation of the adsorption rate. This follows the series MCM-41 > SBA-15 > SBA-15-NH₂. The adsorption of molecules on mesoporous silica particles is a multistep process (1. External diffusion; 2. Internal diffusion; 3. Adsorbent-adsorbate interaction). We ascribe the trends shown in Fig. 7 to the lower particle size of MCM-41 which permits a faster diffusion, likely both external and internal (shorter channels). Nevertheless, ampicillin adsorption on SBA-15-NH₂, although occurred with a slower rate, reached the highest loading.

3.3. FTIR characterization of ampicillin-loaded OMS samples

The adsorption of ampicillin on OMS samples was also confirmed by FTIR spectra (Fig. 8). Fig. 8a shows the chemical structure of ampicillin molecule while the relative FTIR spectrum, in the whole spectral range 4000–400 cm⁻¹, is shown in Fig. 8b. Fig. 8c shows a comparison among the FTIR spectra of free ampicillin

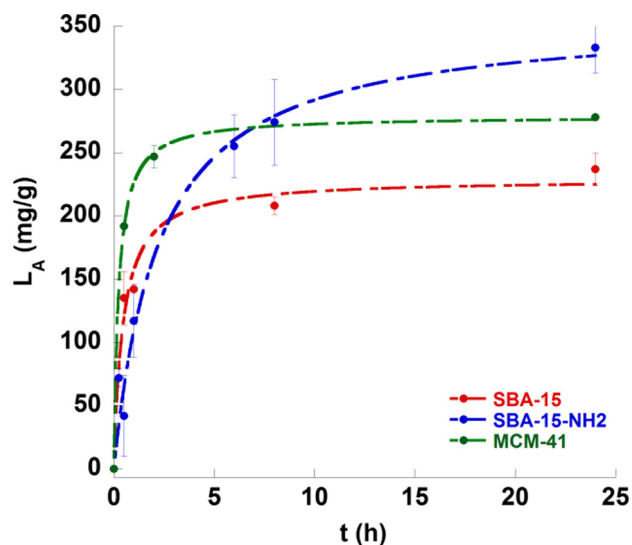


Fig. 7. Adsorption kinetics of ampicillin on SBA-15, SBA-15-NH₂ and MCM-41 (T = 298 K; pH 7.4).

and the ampicillin-loaded OMS samples in the range between 1900 cm⁻¹ and 1300 cm⁻¹. In that figure, the peaks at 1764 cm⁻¹ and 1693 cm⁻¹ are attributed to the C=O of the beta-lactam ring and of the amide bond respectively, those at 1640 cm⁻¹ and 1378 cm⁻¹ are instead related to the symmetric and asymmetric stretching of -COOH. Finally, the peaks at 1582 cm⁻¹, 1525 cm⁻¹, and 1455 cm⁻¹ are assigned to the N-H deformation of the amide bond, the -NH₂ bending, and the C=C-C stretching of aromatic ring, respectively [25,63,68]. Fig. 8c displays also the FTIR spectra of the ampicillin-loaded OMS samples (MCM-41, SBA-15, and SBA-15-NH₂). We notice that most peaks occurring in the spectrum of the free ampicillin occur also in the FTIR spectra of ampicillin-loaded OMS samples. In particular, we observe the peaks at 1765 cm⁻¹ (C=O of the beta-lactam ring) and the shoulder at 1685 cm⁻¹ (amide bond) [63], and those at 1640 and

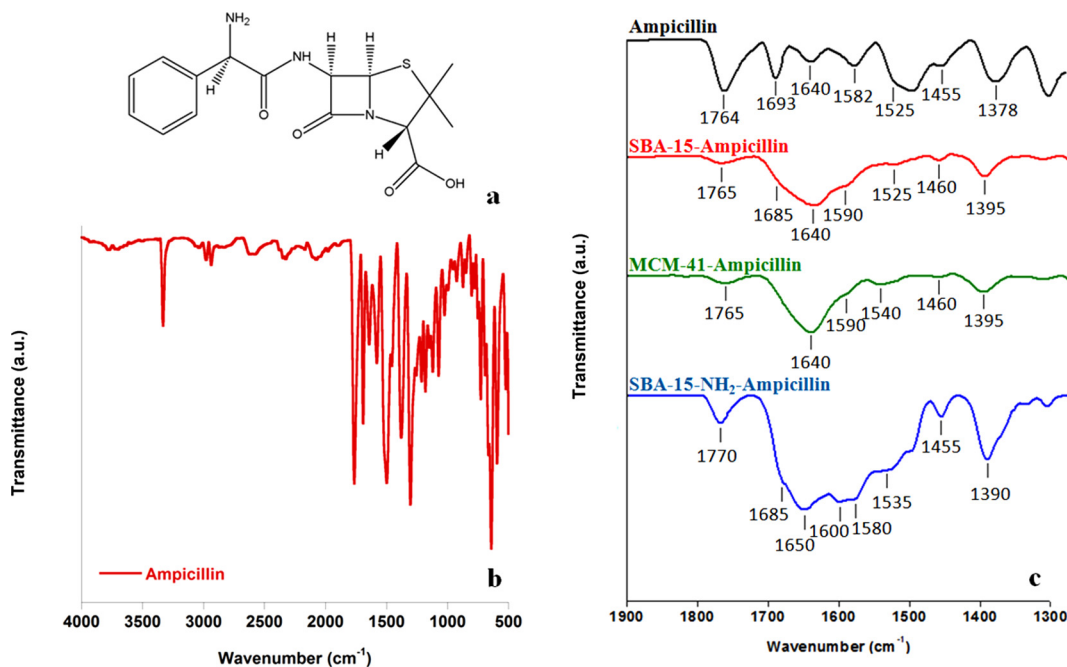


Fig. 8. Chemical structure of ampicillin (a). FTIR spectra of free ampicillin (b), and ampicillin-loaded on SBA-15, SBA-15-NH₂, and MCM-41 (c).

1395 cm⁻¹ (symmetric and asymmetric stretching of —COOH) [27,37] and the shoulder at 1590 cm⁻¹ (N—H deformation) [25].

Finally, we observe the peaks in the range 1525–1540 cm⁻¹ (—NH₂ bending) and that at 1460 cm⁻¹ (C=C—C stretching of the aromatic ring) [25,63]. We also notice that the spectrum of ampicillin loaded on SBA-15-NH₂ looks different from those of the drug loaded on SBA-15 and MCM-41. In particular, the broad band between 1700 and 1500 cm⁻¹ shows additional peaks, like that at 1600 cm⁻¹ and 1580 cm⁻¹, likely due to an electrostatic interaction between the protonated amino group of SBA-15-NH₂ and the carboxylate of ampicillin [25].

In summary, FTIR measurements confirm that ampicillin is successfully adsorbed on all OMS samples and that the chemical nature of the surface groups, that is, silanols (MCM-41 and SBA-15) or amino (SBA-15-NH₂), results in a different intermolecular interaction between the drug and the adsorbent. Indeed, at pH 7.4 the carboxylate of ampicillin is fully dissociated (pK_{aCOOH} = 2.96), whereas the —NH₂ group is only partially protonated (pK_{aNH₂} = 7.22)[63]. Therefore we expect an attractive electrostatic interaction between the carboxylate of ampicillin with the protonated amino group of SBA-15-NH₂ (see Fig. 5). On the contrary, electrostatics would be much less important for the interaction between the only partially charged amino group of ampicillin and the slightly negatively charged silica (SBA-15, MCM-41) surface.

3.4. Ampicillin release from OMS samples

The release of ampicillin from SBA-15, SBA-15-NH₂ and MCM-41 matrices was then studied. The ampicillin-loaded OMS samples, with similar ampicillin loadings around 200 mg/g, were suspended in PBS (pH 7.4) at 37 °C, and the concentration of released ampicillin was measured at different times according to the procedure described above (see Section 2.3). We should specify that loadings cannot exactly be the same for the three samples, since they can only approximately be controlled due to the different ampicillin/OMS affinity. Nonetheless, any difference in the initial loading becomes not important since the release kinetics is expressed in mass% according to the following equation [26,57,69]:

$$\frac{M_t}{M_0} \% = A_{Max}(1 - e^{-kt}) \quad (4)$$

where M_t is the mass released at time t , M_0 is the total mass of ampicillin adsorbed in the material, A_{Max} is the maximal mass (%) released, k is the release rate constant, and t is the time. Fig. 9 shows the kinetics of ampicillin release from the different OMS samples.

MCM-41 and SBA-15 materials gave rise to a burst release, reaching a maximal amount of released drug of A_{Max} = 56% and 42% respectively within the first two hours (Fig. 9). Differently, SBA-15-NH₂ resulted in a more gradual release, reaching a release maximum A_{Max} = 30% after about 8 h. The maximal amount of released drug corresponds to an ampicillin concentration in the release solution of 327 μg/mL, 210 μg/mL, and 145 μg/mL for MCM-41, SBA-15, and SBA-15-NH₂, respectively. We observe that the latter value (SBA-15-NH₂) is comparable to the concentration of ampicillin in the serum of both adults and children [50,51].

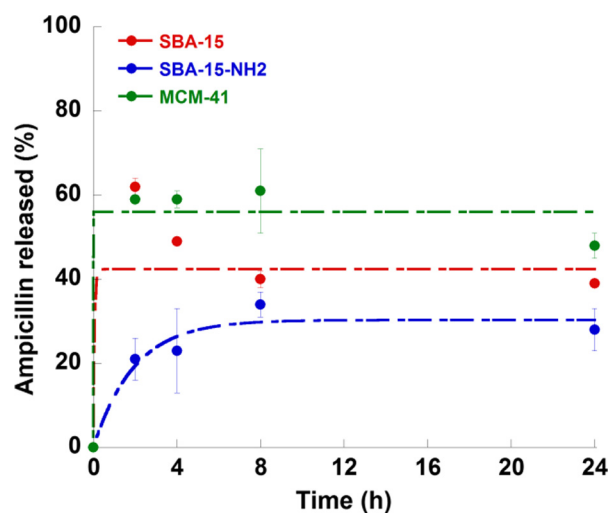


Fig. 9. Ampicillin release from SBA-15, SBA-15-NH₂ and MCM-41.

Paper II

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Double Sequential Encrypted Targeting Sequence: A New Concept for Bone Cancer Treatment.

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Double Sequential Encrypted Targeting Sequence: A New Concept for Bone Cancer Treatment

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In memory of José Barluenga Mur



Abstract: The selective transportation of therapeutic agents to tumoral cells is usually achieved by their conjugation with targeting moieties able to recognize these cells. Unfortunately, simple and static targeting systems usually show a lack in selectivity. Herein, a double sequential encrypted targeting system is proposed as a stimuli-responsive targeting analogue for selectivity enhancement. The system is able to recognize diseased bone tissue in the first place, and once there, a hidden secondary targeting group is activated by the presence of an enzyme overproduced in the malignant tissue (cathepsin K), thereby triggering the recognition of diseased cells. Transporting the cell targeting agent in a hidden conformation that contains a high selective tissular primary targeting, could avoid not only its binding to similar cell receptors but also the apparition of the binding-site barrier effect, which can enhance the penetration of the therapeutic agent within the affected zone. This strategy could be applied not only to conjugate drugs but also to drug-loaded nanocarriers to improve the efficiency for bone cancer treatments.

The lack in selectivity of cytotoxic drugs results in their ineffective delivery to tumor tissues, which strongly reduces efficacy and causes the apparition of systemic toxicity in usual cancer treatments.^[1] Moreover, even if the drug reaches the tumor area, it will face a complex scenario. A solid tumor is an extraordinary heterogeneous tissue formed by a myriad of different malignant, harmless, even supportive cells, which play different roles in tumor progression.^[2] Therefore, it is necessary to design smart therapeutic agents with the ability to distinguish between healthy and tumor cells in order to enhance their efficiency through the concentration of their cytotoxic capacity exclusively in malignant cells. One of the promising alternatives for improving the selectivity of chemotherapy is the covalent conjugation of vectorization moieties or targeting systems directly on the surface of drug-loaded nanocarriers,^[3] with both inorganic^[4,5] and organic nature,^[6,7] as well as to free drugs^[8] generating vectorized drug conjugates. A wide variety of targeting systems, from big biomolecules like antibodies,^[9] lipoproteins,^[10] or oligonucleotide sequences^[11] to small molecules such as vitamins,^[12] sugars,^[13] or synthetic molecules,^[14] are known. These moieties are characterized by their capacity to recognize and specifically bind to membrane cell receptors which are only, or mainly, expressed by tumor cells. Unfortu-

nately, the use of targeting moieties is not free of drawbacks, for example unwanted off-target effects caused by the protein corona,^[15] non-desired modifications of the ligand during the conjugation process,^[16] or cross interactions between the targeting group and cell receptors expressed in healthy cells. On the other hand, a strong binding affinity between the vectorization motif and its cell receptor severely hampers the penetration of the therapeutic agent within the tumor. The apparition of this well-known effect, called binding-site barrier, is common in both drug conjugates^[17] and targeted nanoparticles^[18] and compromises the efficacy of the therapy by accumulation of targeted systems mainly in the tumor periphery, inducing only weak local effects.

Hierarchical targeting has been recently proposed as a novel strategy able to overcome these limitations in the case of nanometric carriers.^[19] However, using shielded targeting agents in this context makes the EPR (enhanced permeability and retention)^[20,21] effect as solely responsible for nanocarrier accumulation in tumor tissues.^[22] Therefore, the achieved gain regarding lower cross interactions and higher penetration could be counteracted by the lower amount of nanocarriers that reach the diseased zone. Additionally, this approach is hardly adaptable to the direct conjugation with small drugs, since these therapeutic agents do not present an EPR effect as a consequence of their smaller size.

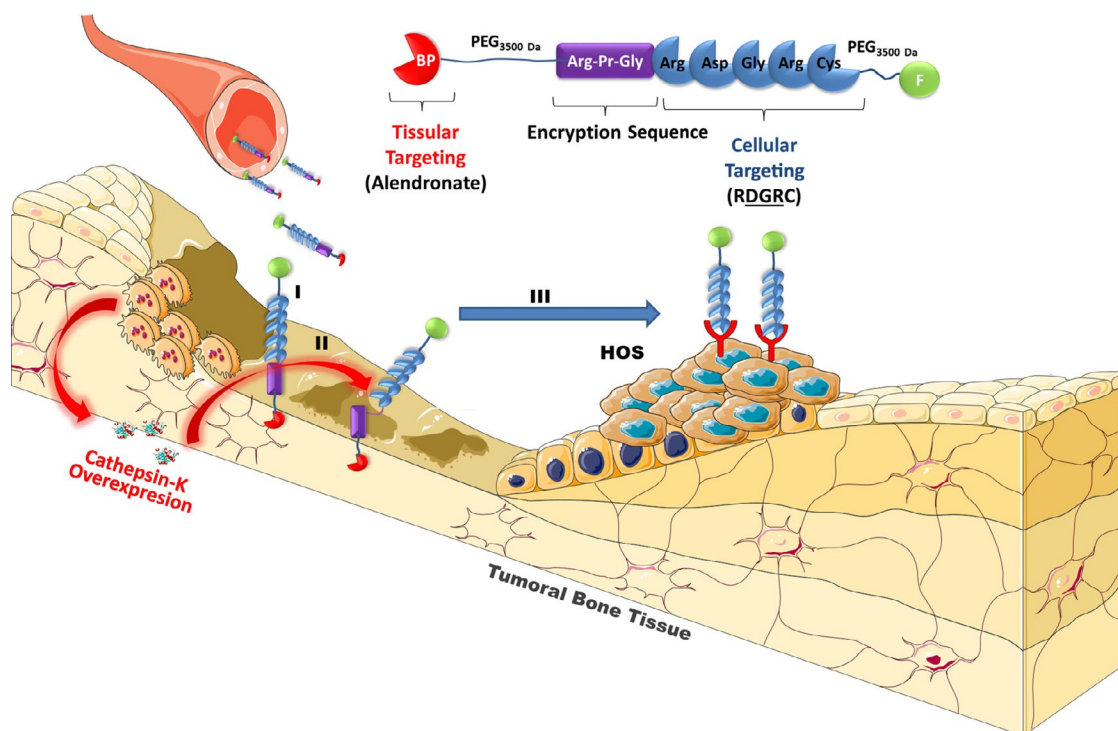
Herein, we propose a novel approach equally applicable to both drug conjugates and nanocarriers. This concept is based on a double sequential encrypted targeting system (DSETS) capable to combine tissular and cellular targeting following an activatable cascade mechanism. As proof of concept, we have focused on bone tumors. Thereby, we have chosen an uncovered primary tissue targeting agent, bisphosphonate (BP), that is specific for exposed diseased bone tissue, and a secondary hidden cellular targeting moiety of the "RGD type". The peptide has been encrypted inside an oligopeptide sequence RPGRDGRGRC (Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys) and has been sterically covered with a polyethyleneglycol 3500 Da (PEG) moiety, making it more inert in presence of its receptors. Then, the RGD pattern becomes exposed only in the presence of elevated concentrations of cathepsin K (CK), a characteristic condition in bone tissues with high osteoclast activity such as many primary and metastatic bone tumors^[23,24] (Scheme 1). This novel targeting moiety is based on the combination of two widely employed targeting agents; alendronate^[25] (ALN) and the RGD tripeptide^[26,27]. Alendronate shows a high affinity for hydroxyapatite and, therefore, this molecule strongly binds to the mineral part of bone tissues.^[28]

On the other hand, the RGD pattern is a well-known sequence that binds to $\alpha_v\beta_3$ -integrin and Neuropilin (NRP)-1 receptors, which are usually overexpressed in many tumoral cell lines and also in tumoral blood vessels.^[29]

However, integrin receptors are also present in many healthy cells and, therefore, the direct conjugation of RGD sequences on the transported species could misdirect the therapeutic cargo to unwanted locations. The combination of both vectorization capacities (tissular and cellular targeting) converts this modular targeting system into a promising prototype for deliv-

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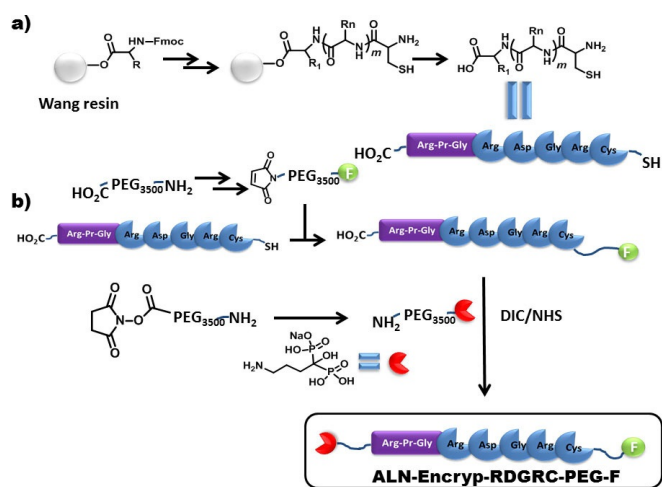
Supporting information for this article, containing synthetic procedures, NMR and MALDI-TOF/TOF spectra, cell culture protocols, and flow cytometry measurements, can be found under: <http://dx.doi.org/10.1002/chem.201605947>.



Scheme 1. Mode of action of the double sequential targeting system in osteosarcoma diseased bone. I) Bone targeting of BP (bisphosphonate) to HA (hydroxyapatite). II) Cathepsin K (CK) induced peptide proteolysis. III) RGD peptide recognition by HOS (human osteosarcoma) cell wall and subsequent internalization.

ering therapeutic agents to bone tumors. For affording the targeting device, the first step was the synthesis of the polypeptide strand, which was made using solid-phase chemistry starting from a Fmoc-Arg(Pbf)-Wang resin (see the Supporting Information for the detailed protocol).

Following the typical Fmoc-deprotection and HBTU/HOBT-mediated carboxylic acid activation steps, each individual amino acid was added to the peptide strand (Scheme 2a). The tripeptide Arg-Pr-Gly motif was introduced in the sequence for providing selective responsivity to CK. This enzyme performs



Scheme 2. Synthetic pathway affording the fluorescent dual-targeting moiety.

the proteolysis of collagen I, catalyzing almost exclusively the rupture of the amide bond present in the helix after a Pr-Gly motif.^[30,31]

To evaluate the sensitivity and selectivity against CK, a small amount of the peptide strand (10 mg) was exposed to an acidic solution of this enzyme (pH 5) for 2 h at 37 °C, replicating osteoclast lacuna conditions, whereas the other batch was only exposed to mild acidic medium. After the isolation process, the resulting crude products were analyzed by matrix-assisted laser desorption/ionization (MALDI) time-of-flight/time-of-flight (TOF/TOF) spectrometry. As expected, when the peptide was exposed to CK, Arg-Asp-Gly-Arg-Cys was the mayor product, which corresponds to an amide bond rupture after glycine in Pr-Gly (Figure S10 in the Supporting Information). This result confirms that CK induces the responsive behavior of the peptide strand in comparison to its stability showed under enzyme-free conditions. A fluorescently labeled polyethyleneglycol (F-PEG) was employed as the therapeutic cargo model. This polymeric strand mimics the role of the nanometric drug-loaded carrier or therapeutic macromolecule allowing an easy visualization and quantification of the system internalization within malignant cells, as it has been reported elsewhere.^[32] F-PEG-Maleimide was attached to the cysteine end through thiol-ene reaction. Alendronate was conjugated via carbodiimide chemistry to the carboxylic acid end of a bifunctional HO₂C-PEG-NH₂ chain of a molecular weight of 3500 Da to shield the cellular targeting moiety more properly. Finally, this system was attached to the fluorescent peptide strand using again a carbodiimide as carboxylic acid activator (Scheme 2b).

Once the system was prepared, its performance was evaluated step by step. First, its capacity to bind to apatite was tested by incubating the complete system in the presence of pure hydroxyapatite discs (HA) in PBS (pH 7.4) at 37 °C for 8 h to simulate body fluid conditions. The peptide sequence without the PEG-alendronate moiety was employed as control. After completion of the incubation time, the discs were thoroughly washed with buffer to eliminate physically adsorbed systems and their presence was determined by fluorescence microscopy. As expected, the peptides without alendronate were not able to bind to the surface of the HA discs, whereas the peptides with alendronate were strongly retained on the HA surfaces (Figure 1 a). Further, the binding capacity was also evaluated in the presence of Ca^{2+} at a concentration of 2.5 mM, which is naturally present in the bone tissue surroundings. HA discs with the complete system were incubated in the presence of physiological concentrations of Ca^{2+} , showing the retention of the fluorescence in a similar amount than controls without Ca^{2+} (Figure 1 b). As shown in Figure 1 b, it was necessary to double this concentration to releasing a significant amount of the targeting moiety.

To prove that the binding capacity of the complete system was due to the complexation of alendronate on the HA surface, competition experiments with free alendronate were carried out. As shown in Figure 1 c, the systems ability to be retained on the HA surface decreased when the alendronate concentration was higher, due to free alendronate gradually replacing the complete targeting device from the surface. In all experiments, the targeting system release was confirmed by fluorescence measurements of the solutions before and after

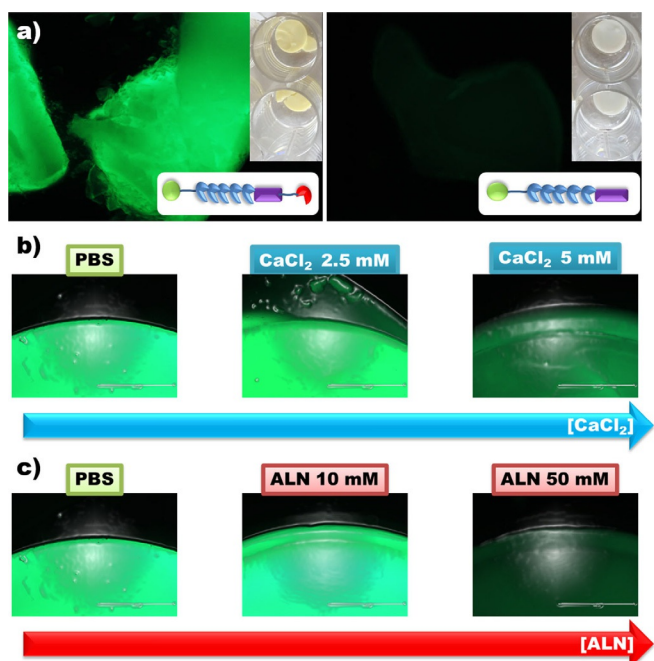


Figure 1. a) Fluorescence microscopy of HA discs exposed to the targeting molecule with and without PEG-ALN (polyethyleneglycol-alendronate). Fluorescence microscopy of HA discs exposed to the complete targeting molecule in the presence of different concentrations of b) Ca^{2+} and c) free alendronate.

the alendronate exposition (Graphic S1 in the Supporting Information). In sight of these evidences, the active primary targeting moiety inside the complete system showed a good performance under close-to-reality conditions for vectorization to the diseased bone.

The next step was to evaluate the performance of the hidden secondary targeting sequence. For this aim, human osteosarcoma (HOS) cells were chosen as tumoral cell model because they usually overexpress $\alpha_v\beta_3$ -integrin^[33] and (NRP)-1 receptors,^[34] which interact with the RGD pattern. Further, osteosarcoma is one of the most common non-hematologic neoplasm that affects bone tissues.^[35] The capacity to hide the secondary targeting was evaluated by exposing HOS cells to a fixed concentration of the complete system ($10 \mu\text{g mL}^{-1}$) for 2 h. The same protocol was carried out employing a fluorescent PEG strand (F-PEG) as negative control and a fluorescent PEG strand decorated with CRGDR as positive control (F-PEG-CRGDR). The percentage of cells that internalize the fluorescent strands in each case was measured using flow cytometry. Almost 20% of HOS cells internalize the fragment that contains the unshielded RGD pattern in comparison with only 8% that engulf the fluorescent PEG, which confirms that the RGD sequence enhances the internalization within malignant cells (Figure 2). Interestingly, the targeting uptake was only 12% (all data normalized with control) when the complete system containing the shield pattern was employed, which corresponds to a decrease of the targeting internalization of around 40%. Thus, these results point out a good performance of both encryption and cell targeting capacity of the hybrid strand.

Finally, the complete system was tested employing a “bone/culture in vitro model”. In this model, HA discs were previously incubated with a PBS solution that contains $300 \mu\text{g mL}^{-1}$ of the complete system. After 8 h, the discs were thoroughly washed with buffer to remove physisorbed peptides. Once washed, the discs were placed on the upper sheet of transwells and the cells were cultured on the bottom. Then, the wells were incubated in mild-acidic media for 2 h, three with addition of CK and three without. After the incubation time, the HA discs were removed and the cell cultures were washed with PBS and incubated with medium for another 24 h.

The targeting internalization in each well was analyzed by flow cytometry showing that, in the samples to which cathepsin K was added, around 90% of the cells showed fluorescence, which indicates the internalization of the labeled peptide. On the contrary, in the wells incubated without CK, only around 10% of the cells exhibited fluorescence. The presence of the peptide in each disc was observed by fluorescence microscopy. The discs that were treated with incubated proteolytic enzyme lost all fluorescence, whereas those without the enzyme almost completely retained their fluorescence (Figure 3).

Osteosarcoma has been chosen as proof of concept of a common solid tumor and therefore, the primary and secondary targeting groups were selected accordingly. But it is worth noting that this strategy could be easily adapted to different tumors that affect different organs or tissues. In conclusion, encrypted targeting agents would avoid the misdirection

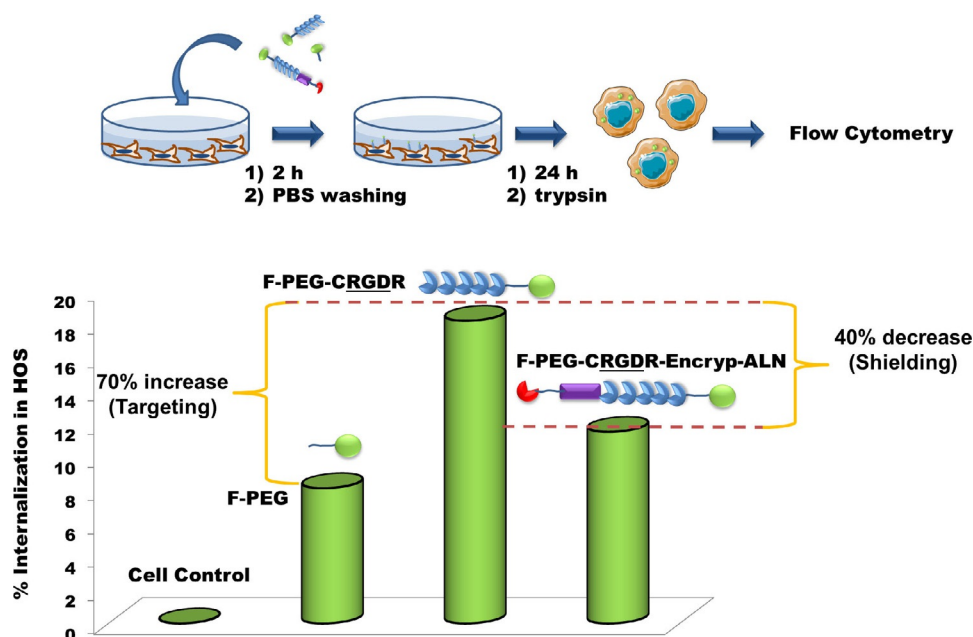


Figure 2. Studies of the internalization capacity of F-PEG (fluorescently labeled PEG), F-PEG-CRGDR and F-PEG-CRGDR-Encryp-ALN in HOS. Error data and statistical analysis can be found in the Supporting Information. CRGDR = Cys-Arg-Gly-Asp-Arg. CRGDR-Encryp = Cys-Arg-Gly-Asp-Arg-Gly-Pro-Arg.

of the transported species to other tissues, thereby reducing the apparition of side effects or systemic toxicity. Additionally, the primary targeting group located at the end of the full system provides the guiding capacity to the affected tissue.

This novel targeting system represents a new approach for the selectivity enhancement in drug delivery processes and could be applied for several types of drug conjugates, drug-loaded nanocarriers, or imaging agents, increasing the available arsenal in the fight against tumors.

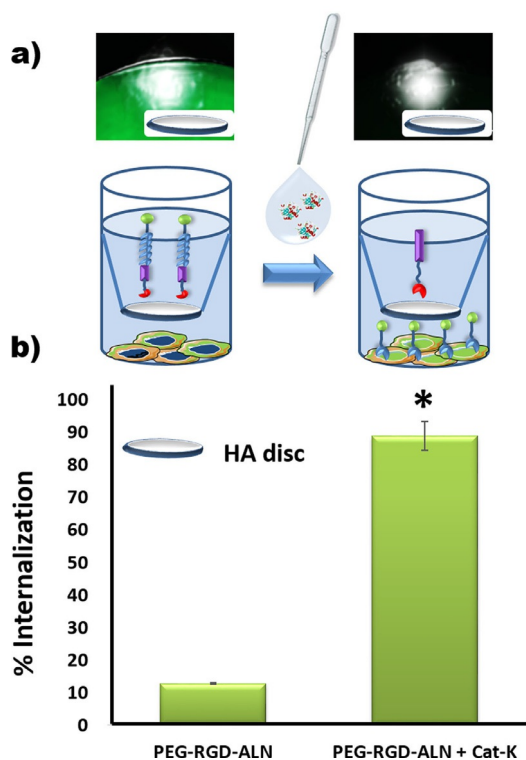


Figure 3. Cat-K responsive behavior of F-PEG-CRGDR-Encryp-ALN in HOS. a) Fluorescence microscopy of the HA discs with F-PEG-CRGDR-Encryp-ALN before and after Cat-K treatment. b) Percentage of cells that have engulfed the fluorescent label. * $P < 0.01$.

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Conflict of interest

The authors declare no conflict of interest.

Keywords: cancer · drug delivery · dual targeting · encrypted peptide · stimuli-responsive targeting

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Supporting Information

Double Sequential Encrypted Targeting Sequence: A New Concept for Bone Cancer Treatment

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1. Peptide Solid phase synthesis general steps

I) Amino group Fmoc deprotection: A solution of piperidine (20 %) in DMF was added to the peptide functionalized resin. The suspension mixture was shaken in the solid phase reactor by wrist-shaker overnight. Finally, the solid was filtered and washed with DMF.

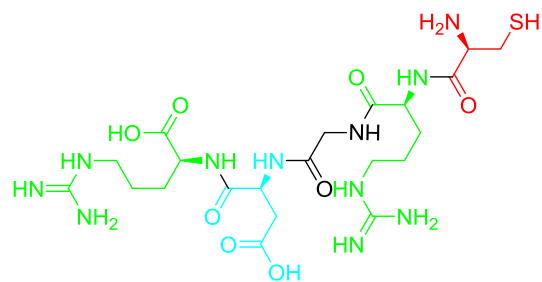
II) Peptide amide bond formation: A solution of HOBt (3 eq), HBTU (3 eq) and the corresponding amino acid (2 eq) in DMF (2 mL) was added to the washed resin, after slight shaking finally DIPEA (6 eq) was added. The mixture was shaken in the reactor with a wrist-shaker overnight. Finally, the solid is filtered and washed with DMF.

III) Final peptide release from the resin: Once added the last amino acid of the chain and deprotected the last Fmoc amine group, the solid was filtered, washed with DMF and dried under vacuum. A solution of trifluoroacetic acid (95%), triisopropylsilane (2.5%) and water (1%) was added dropwise. The mixture was mechanical stirred for 4 hours. Then filtered and washed with another milliliter of the same previous mixture. Both filtered solution were mixed.

The product is obtained, in all cases, by precipitation of the filtered solution with cold ether. Then the product is added slowly dropwise to cool ether. After one hour, the suspension was centrifuged at $T = 4\text{ }^{\circ}\text{C}$ at 14000 r.p.m. The solid is then dried overnight under vacuum oven at room temperature.

IV) Final peptide isolation by chromatography: The solid obtained is solved in the minimum amount of water and purified by flash column for molecular exclusion chromatography (stationary phase: Sephadex® G-25; mobile phase: water). All phases are then frozen at $-80\text{ }^{\circ}\text{C}$ and lyophilized.

RDGRC Arg-Asp-Gly-Arg-Cys (RGD-Active)



Reagent	PM (g/mol)	eq
HOBt	135.12	3
HBTU	379.24	3
DIPEA	129.24	6
Asp-Fmoc	411.45	2
Gly-Fmoc	297.31	2
Arg_Fmoc	648.78	2
Cys-Fmoc	585.72	2

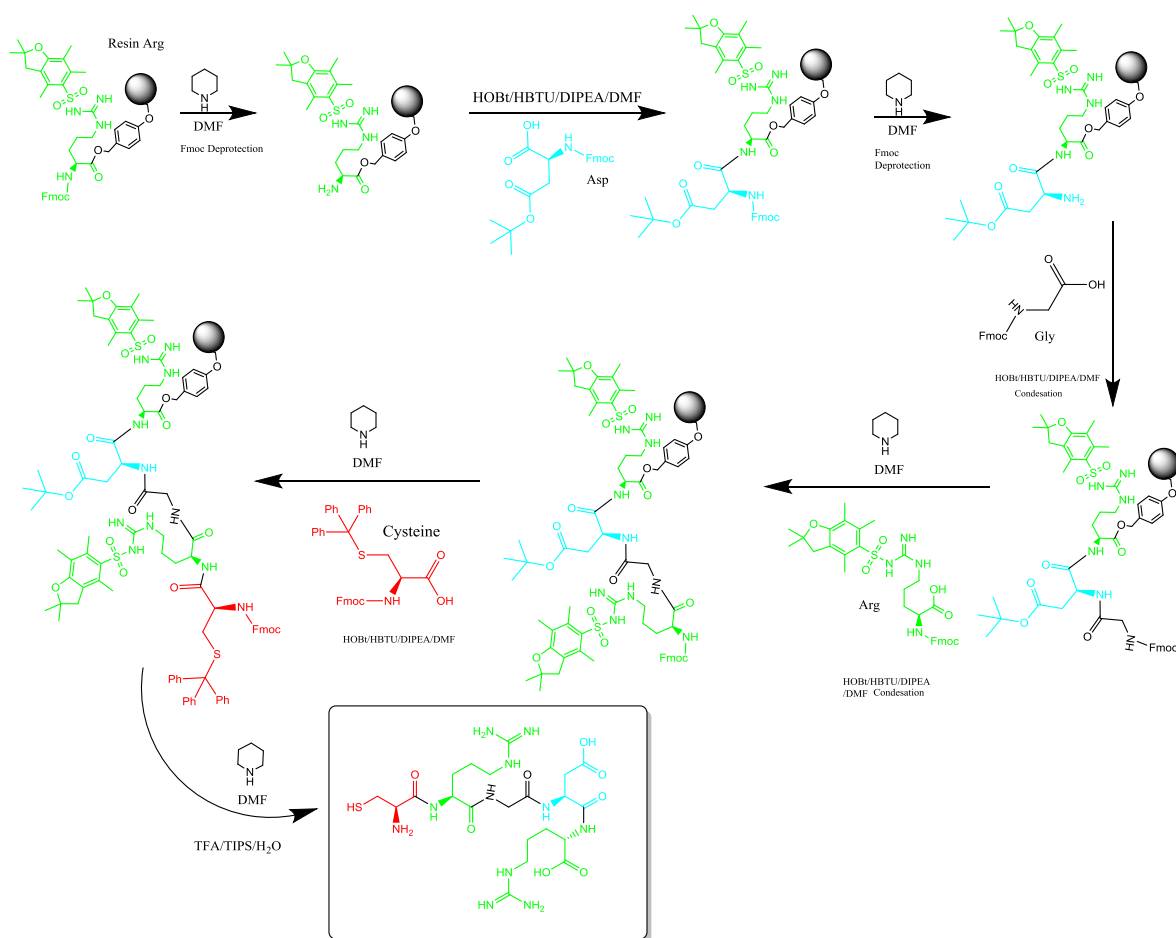


Figure S1: Scheme of RDGRC synthesis.

RDGRC Arg-Asp-Gly-Arg-Cys (RGD-Active): TOF/TOF (m/z): 606.220 [M+1] (100%); 607.224 (26%); 608.219 (9%). ¹H NMR (250 MHz, D₂O) δ 4.61 (1H, CH, Asp, from COSY), 4.18 (1H, CH, Cys, from COSY), 4.39 – 4.13 (m, 2H, 2xCH, Arg), 3.90 – 3.79 (m, 2H, CH₂, Gly), 3.08 (t, *J* = 7.9 Hz, 4H, 2xCH₂, Arg), 3.03 – 2.87 (m, 2H, CH₂, Cys), 2.84 – 2.59 (m, 2H, CH₂, Asp), 1.89 – 1.65 (m, 4H, 2xCH₂, Arg), 1.64 – 1.47 (m, 4H, 2xCH₂, Arg).

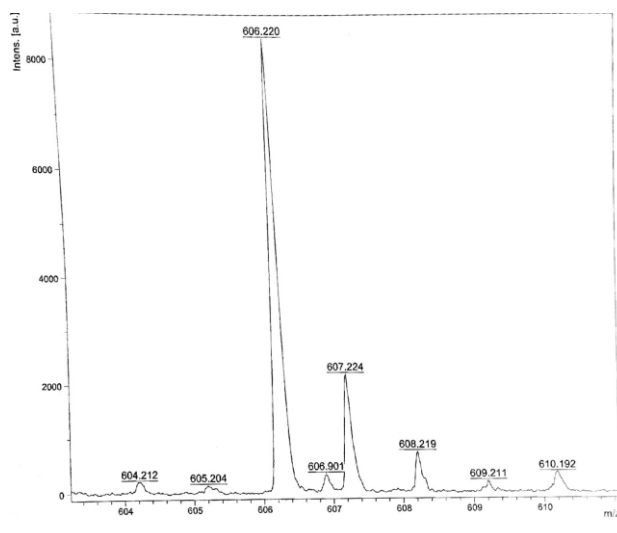


Figure S2: RDGRC TOF/TOF (m/z): 606.220 [M+1] (100%); 607.224 (26%); 608.219 (9%).

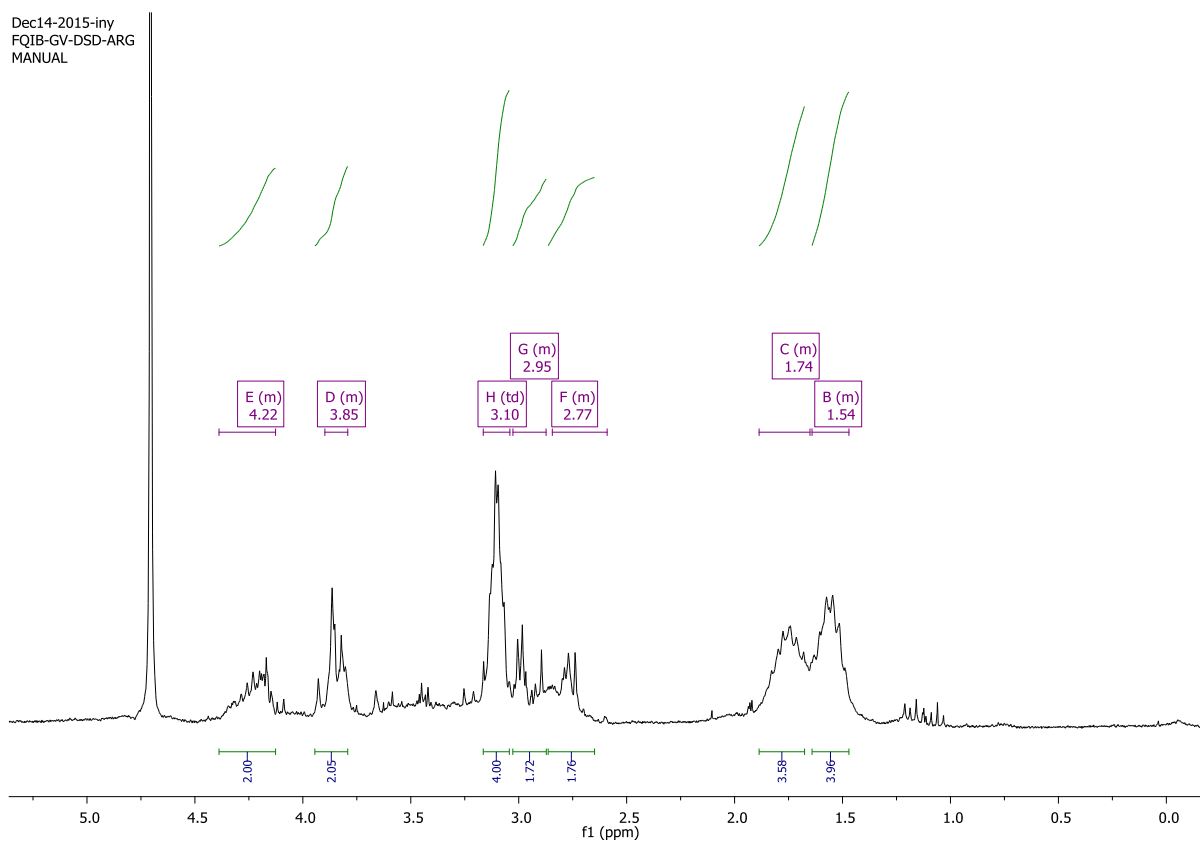


Figure S3: ¹H-NMR (D₂O) Arg-Asp-Gly-Arg-Cys

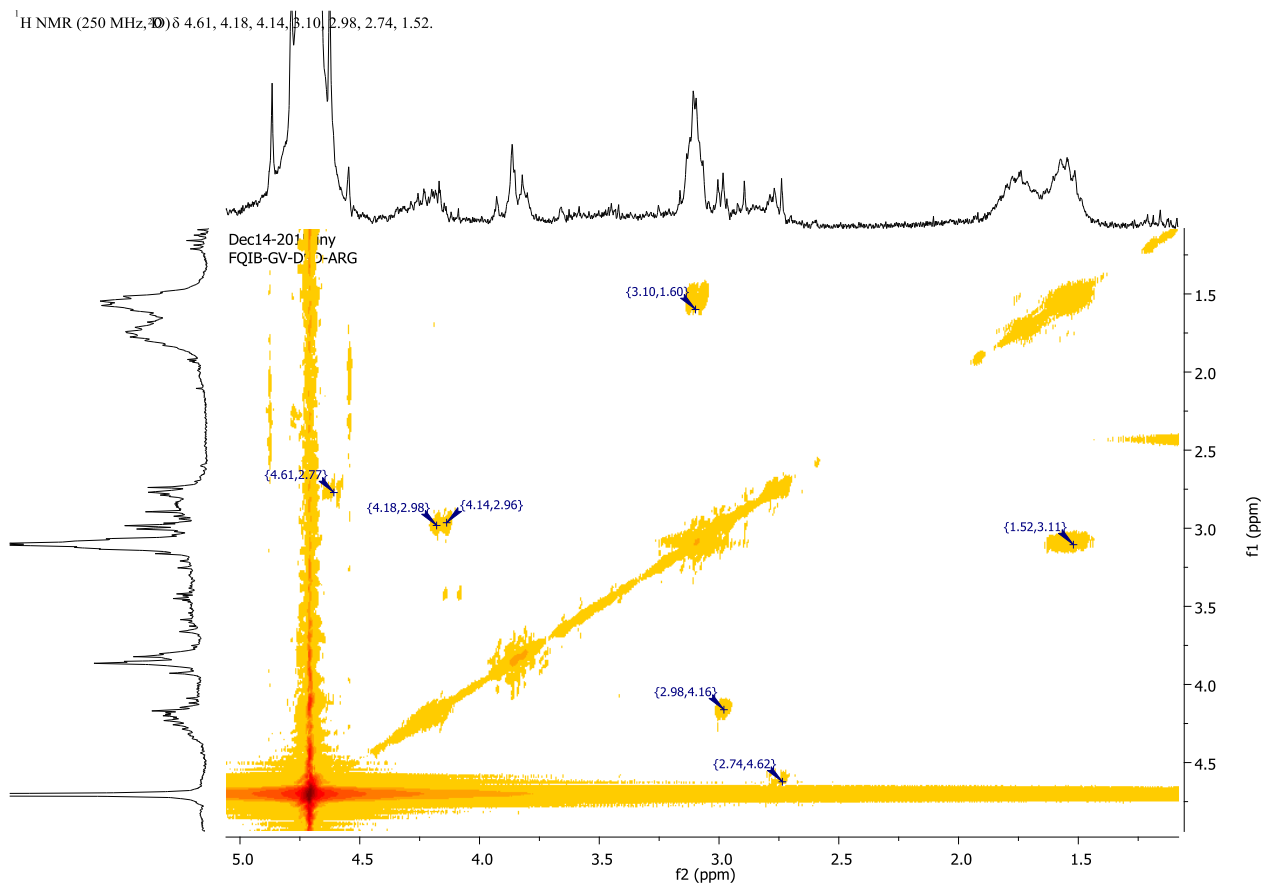
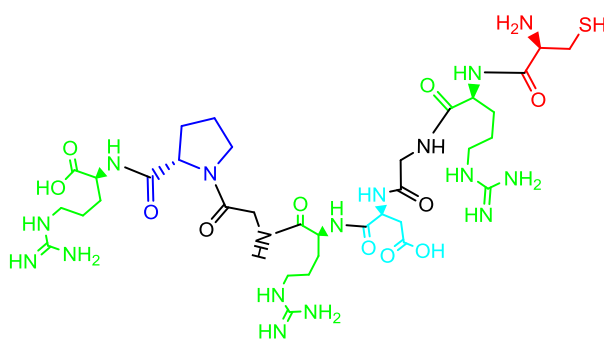


Figure S4: ¹H-NMR COSY (D₂O) Arg-Asp-Gly-Arg-Cys

RPGRDGRC Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys Sequence (RGD-Encrypted)



Reagent/Reactant	PM (g/mol)	eq
HOBt	135.12	3
HBTU	379.24	3
DIPEA	129.24	6
Pr-Fmoc	337.13	2
Gly-Fmoc	297.31	2
Arg_Fmoc	648.78	2
Asp-Fmoc	411.45	2
Gly-Fmoc	297.31	2
Arg_Fmoc	648.78	2
Cys-Fmoc	585.72	2

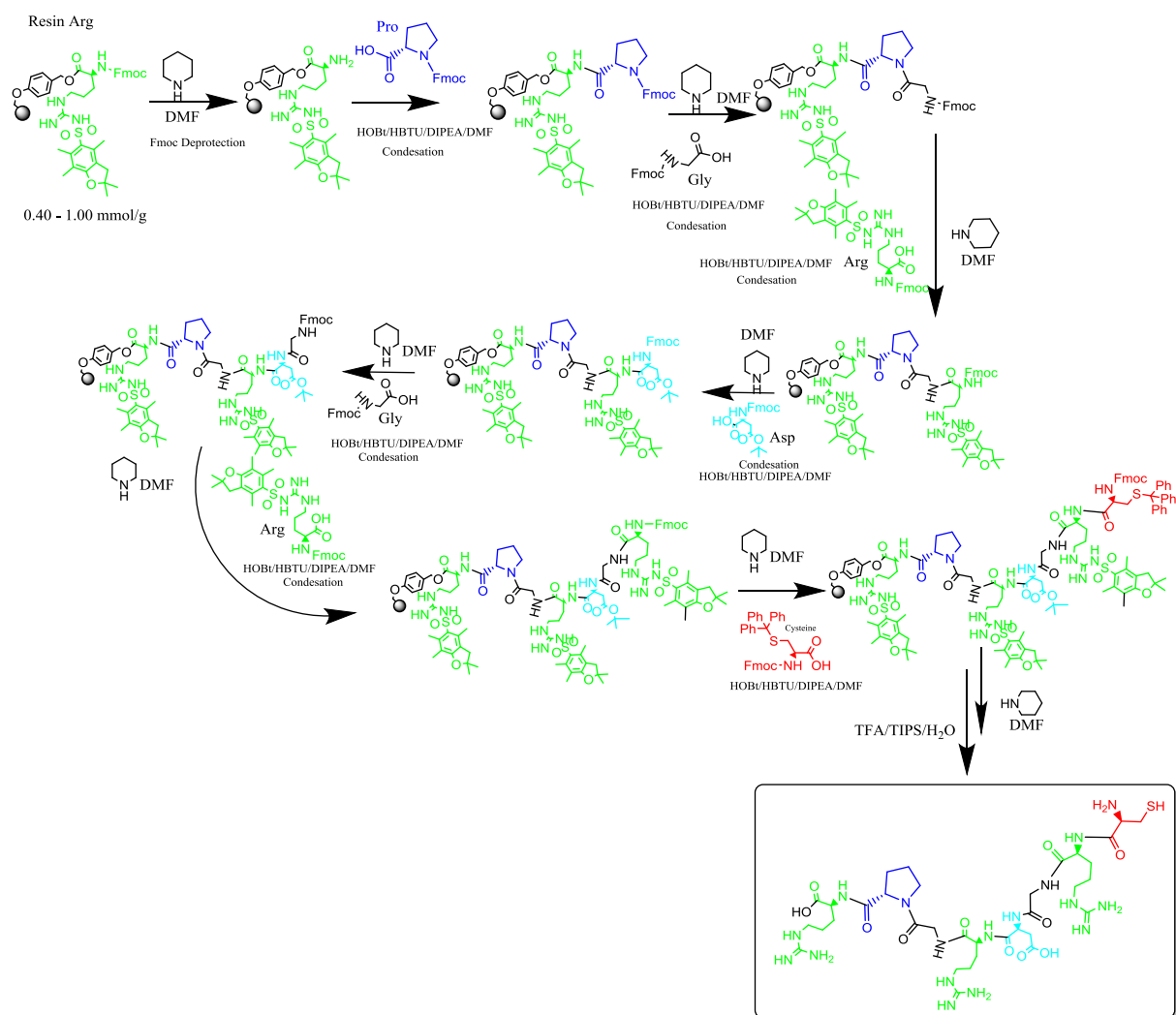


Figure S5: Scheme of RGD-Encrypted synthesis

RPGRDGRG Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys Sequence (RGD-Encrypted):
 TOF/TOF (m/z): 915 [M⁺] (100%); 916.565 (40%) 917.548 (10%). ¹H NMR (250 MHz, D₂O) δ 4.59 (1H, CH, Asp, from COSY), 4.48 – 4.15 (m, 4H, 3x CH, Arg and 4.32 (1H, CH, Pr, from COSY)), 4.12 – 4.02 (s, broad, 2H, CH₂, Gly), 4.00 – 3.89 (s, broad, 2H, CH₂, Gly), 3.74 – 3.34 (m, 2H, CH₂, Pr), 3.13 (d, *J* = 22.9 Hz, 6H, 3xCH₂, Arg), 3.01 – 2.75 (m, 4H, CH₂, Asp and CH₂, Cys), 2.38 – 2.15 (m, 1H, CHH, Pr), 2.05 – 1.83 (m, 3H, CH₂ and CHH, Pr), 1.81 – 1.49 (m, 12H, 3xCH₂-CH₂, Arg).

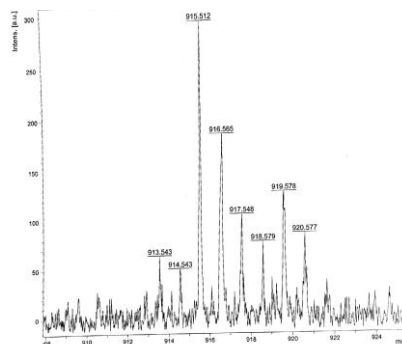


Figure S6: Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys TOF/TOF (m/z): 915 [M+] (100%); 916.565 (40%) 917.548 (10%).

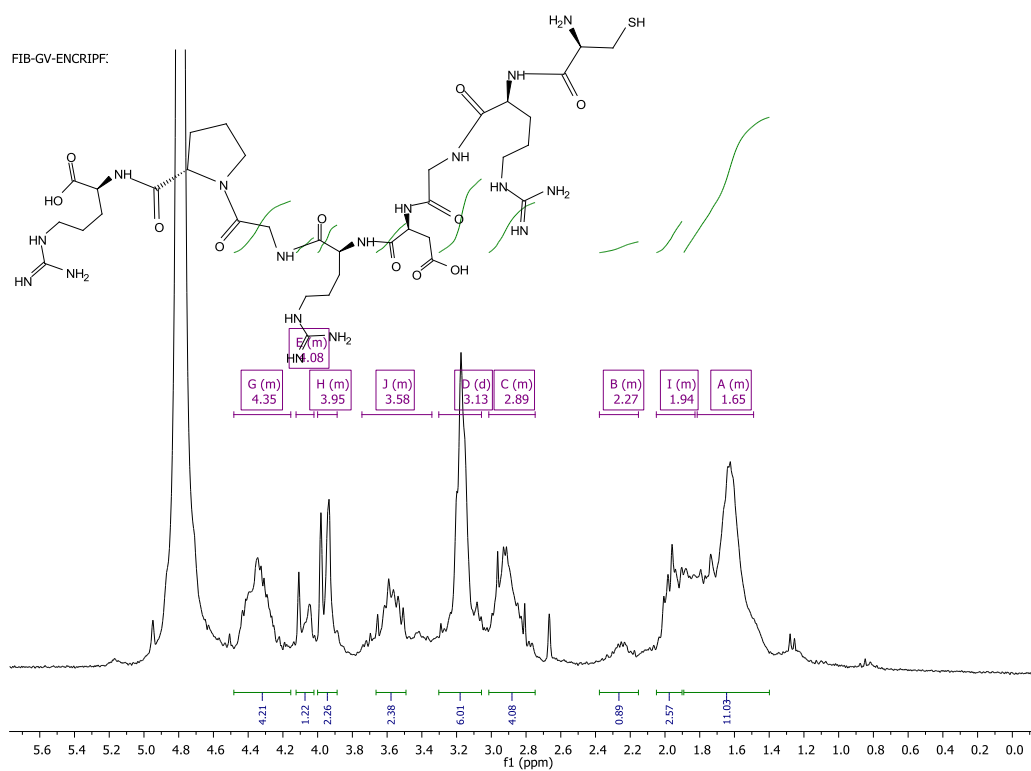


Figure S7: $^1\text{H-NMR}$ (D_2O) Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys

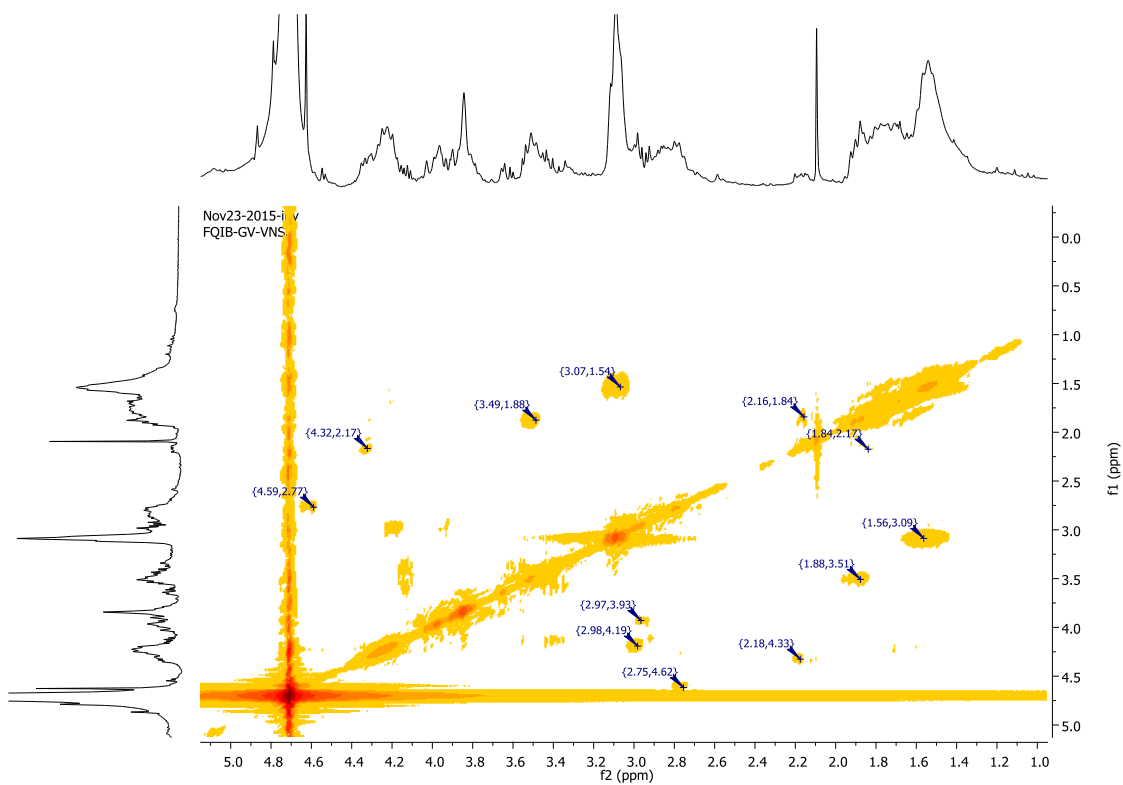


Figure S8: ^1H -NMR COSY (D_2O) Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys

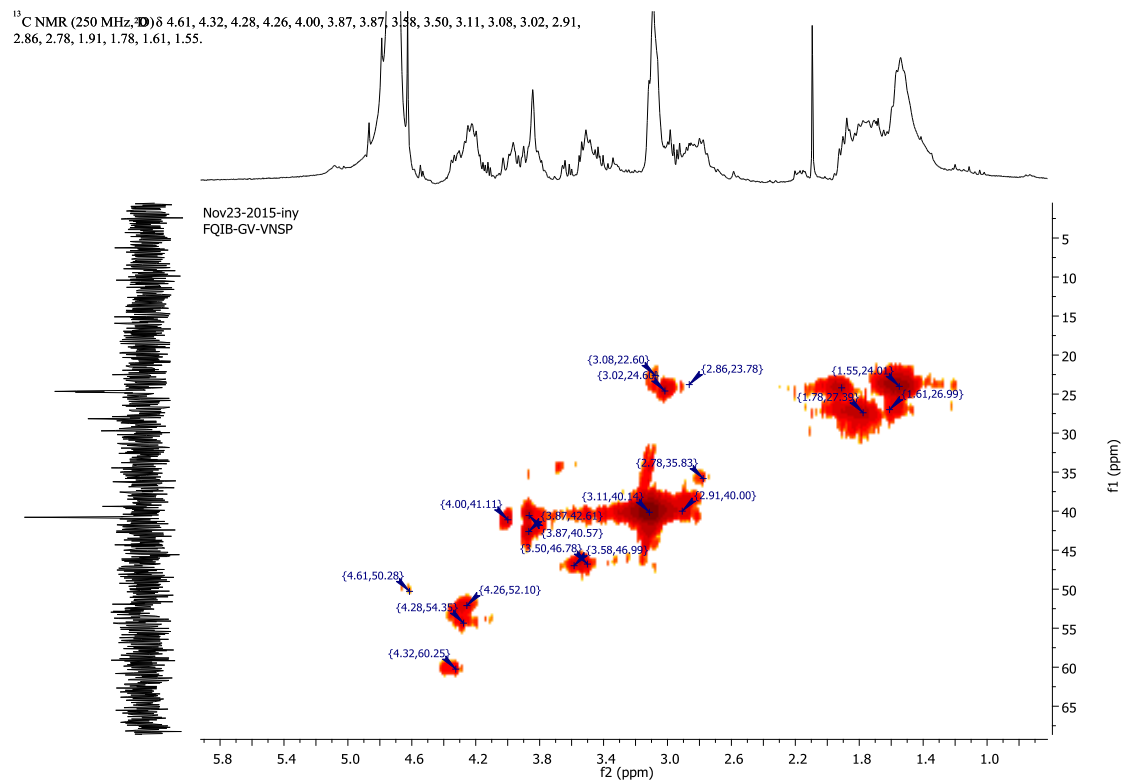
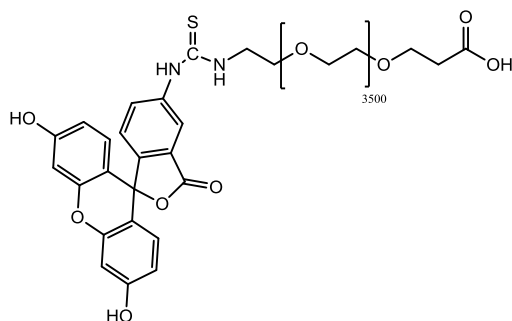


Figure S9: HMBC (D_2O) Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys.

2. Synthesis of F-PEG analogs

a. F-PEG-COOH



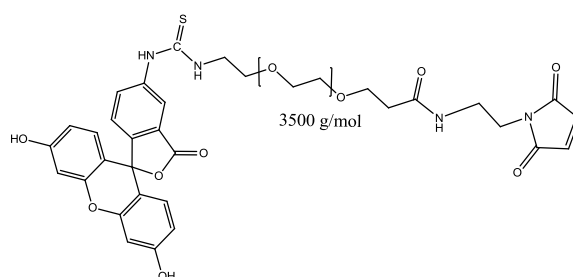
DIPEA was added (6 eq, 18 μ L) to a solution of 50 mg of O-(2-Aminoethyl)-O'-(2-carboxyethyl) polyethylene glycol hydrochloride (3500 Da) in a minimum volume of DMF (\approx 2 mL). The mixture was gently stirred under inert atmosphere for one hour. A solution of FITC (10 eq, 64.9 mg) in DMF (\approx 1 mL) was added dropwise. The reaction mixture was stirred under inert atmosphere at room temperature overnight.

The final product was obtained by successive precipitation in cold ether (centrifuge to assist the precipitation at $T = -4^\circ\text{C}$, in r.p.m. = 9000, $t = 30$ min).

The yellow powder afforded was purified through molecular exclusion chromatography (G-25 / H_2O).

TOF/TOF: 3859 z/m . ^1H NMR (250 MHz, D_2O) δ 7.71-7.50 (m, 2H, CH_{Ar} , Fluorescein), 7.31 – 7.05 (m, 3H, CH_{Ar} , Fluorescein), 6.57 (m, 4H, CH_{Ar} , Fluorescein), 3.82 (s, broad, 2H, CH_2 , PEG), 3.79 – 3.36 (s, broad, 248 H, CH_2 , PEG), 3.29 (s, broad, 2H, CH_2 , PEG- CH_2 -COOH).

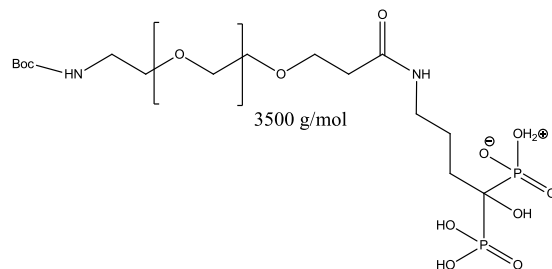
b. F-PEG-Ma



F-PEG-COOH (20 mg), NHS (1.5 eq) and DIC (6 eq) were dissolved in DCM (minimum quantity, \approx 2 mL). Further, DIPEA (6 eq) were added, and the mixture was stirred under inert atmosphere during 4 hours. *N*-(2-aminoethyl) maleimide trifluoroacetate salt in a DCM solution (1 eq) was added and the reaction stirred for additional 24 hours at room temperature. The final product was obtained by precipitation in cold ether.

TOF/TOF: 3859 z/m .

c. Boc-NH-PEG-ALN

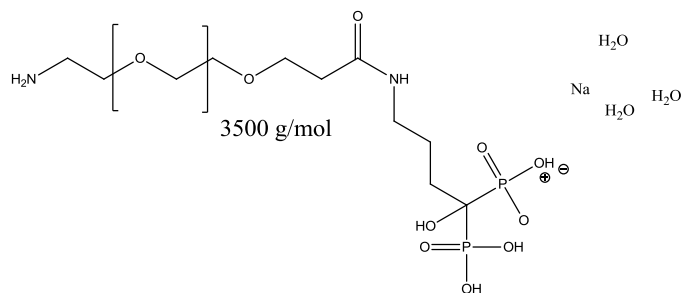


O-[2-(Boc-amino) ethyl]-*O'*-[3-(*N*-succinimidyloxy)-3-oxopropyl] polyethylene glycol (3500 Da) (153 mg) and sodium alendronate (30 mg) were solved in a mixture of DMSO/H₂O 60:40. Triethylamine (30 μ L) was added and the temperature rose to 50 °C. The reaction was stirred overnight under inert atmosphere.

The product was precipitated in cool ether and purified by flash molecular exclusion chromatography (G-25/H₂O).

¹H NMR (250 MHz, D₂O) δ 3.92 – 3.81 (m, 2H, CH₂, PEG), 3.59 (s, 324H, CH₂, PEG), 3.33 – 3.24 (m, 2H, CH₂, PEG), 3.20 – 3.01 (m, 4H, 2xCH₂, PEG), 2.19 (t, $J = 7.5$ Hz, 2H, CH₂, alendronate), 1.76 (dd, $J = 12.4, 4.9$ Hz, 4H, 2xCH₂, alendronate), 1.32 (s, 9H, 3xCH₃, Boc).

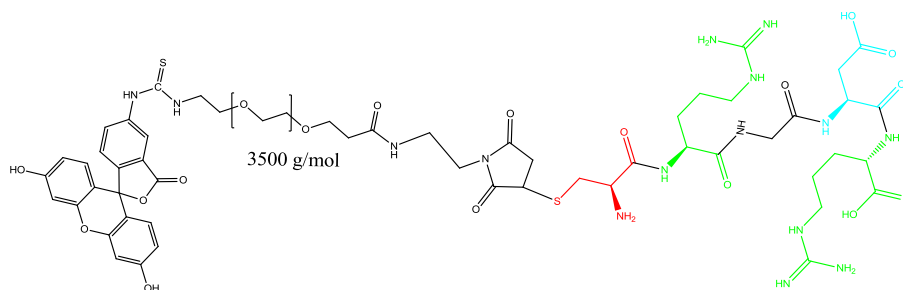
d. NH₂-PEG-ALN



Boc-NH-PEG-ALN was solved in a solution of DCM/TFA 1%. The mixture was stirred at room temperature 1 hour. The product was obtained by precipitation in cold ether.

¹H NMR (250 MHz, D₂O) δ 3.59 (s, broad, 340H, CH₂, PEG), 3.09 (s, 2H, CH₂, PEG), 2.20 (t, $J = 7.4$ Hz, 2H, CH₂, alendronate), 1.77 (d, $J = 6.6$ Hz, 4H, 2xCH₂, alendronate).

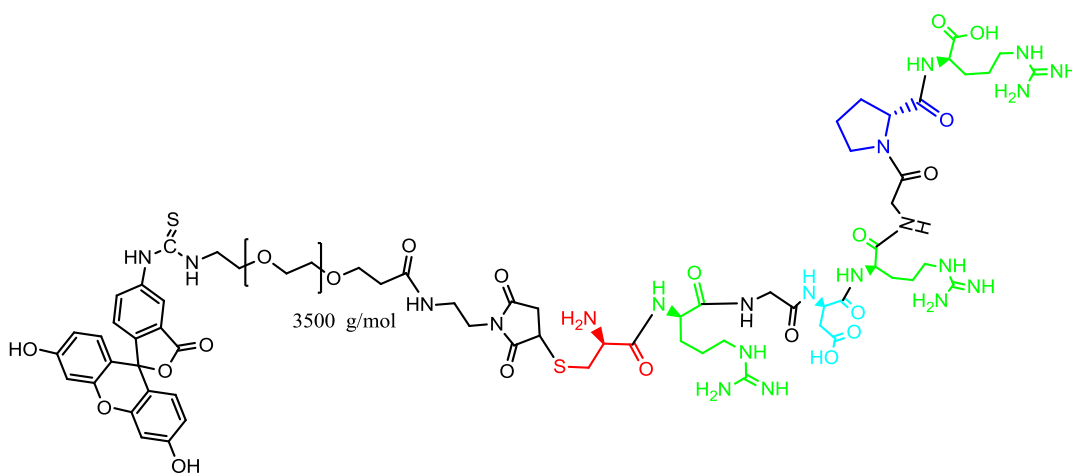
e. F-PEG-RGD



F-PEG-Ma (9 mg) and RGD-Active (2 mg) were solved under inert conditions in dried MeOH. The mixture was stirred overnight at room temperature, then the solvent was removed under vacuum and the crude precipitated on cold ethyl ether. The final product was purified by size exclusion flash chromatography (G-25/H₂O).

TOF/TOF: 3920 z/m

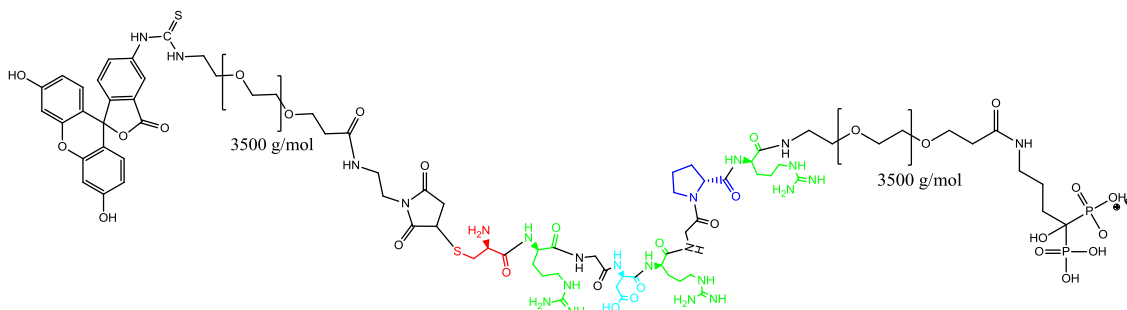
f. F-PEG-RGD-Encrypted



F-PEG-Ma (17 mg) and of RGD-Encrypted (4.1 mg) were solved under inert conditions in dried MeOH. The mixture was stirred overnight at room temperature, then, the solvent was removed under vacuum and the crude precipitated on cold ethyl ether. The final product was purified by size exclusion flash chromatography (G-25/H₂O).

TOF/TOF: 4022 z/m

g. F-PEG-RGD-Encrypted-ALN



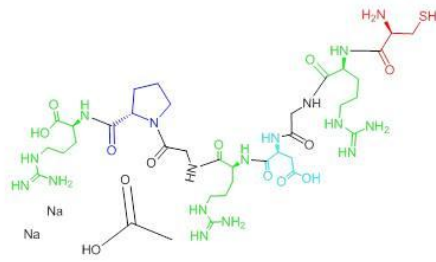
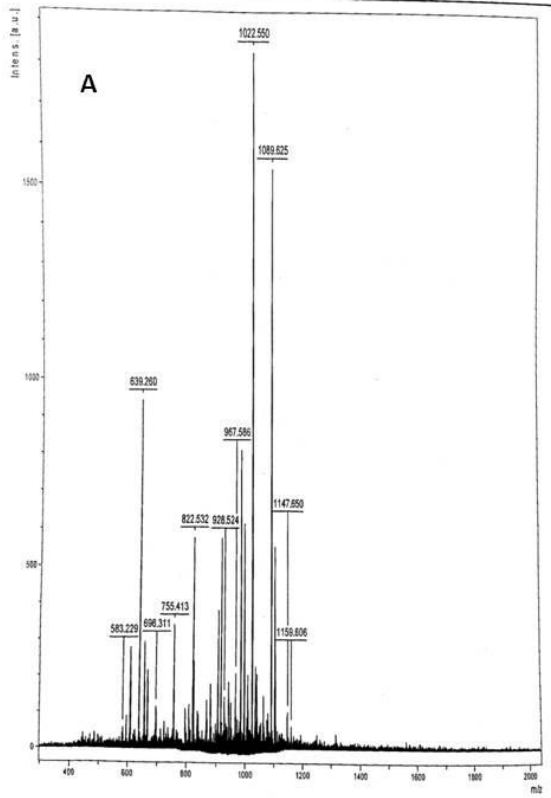
To a solution of F-PEG-RGD-Encrypted in the minimum amount of DMF, NHS (1.3 eq) and DIC (1.3 eq) were added under inert atmosphere. The mixture was stirred at room temperature and the reaction mixture was slowly added over NH₂-PEG-BF (1 eq) and DIPEA (6 eq) in DMF (minimum volume) solution. The mixture was gently stirred overnight at room temperature under inert atmosphere. The final product was obtained by precipitation in cold ether and subsequent purification by molecular exclusion flash chromatography (G-25 / H₂O).

TOF/TOF: 7705.903 z/m

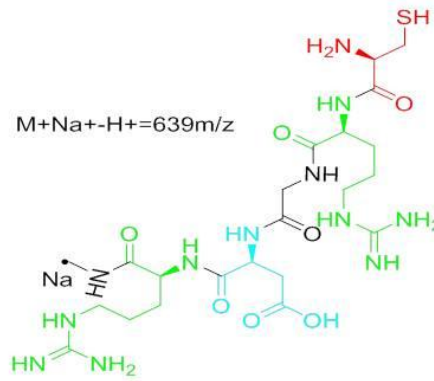
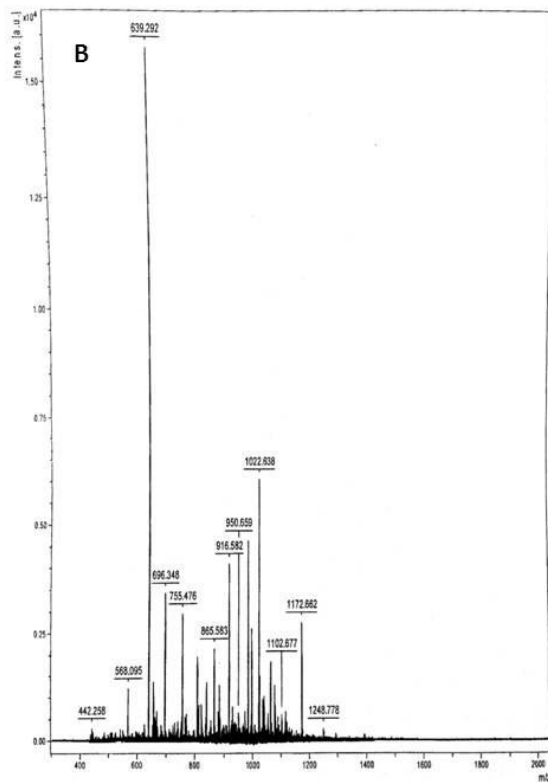
3. Cathepsin-K peptide selective proteolysis analysis

Procathepsin-K has been activated by adding an equal volume of 100 mM NaAc pH 4.9, 10 mM DTT, 5 mM EDTA (1ml of total volume) followed by incubation for 40 min at room temperature. Two samples of **RPGRDGRC** (Arg-Pr-Gly-Arg-Asp-Gly-Arg-Cys) (10 mg) were solved in 300 μ L of Acetic acid solution (pH=5). Free of enzyme activation cocktail was added in control sample as reference. In the other hand, activated enzyme (200 μ g/mL) was added in sample. The proteolysis reactions were incubated for 2 hours at 37°C 100 rpm. The reactions were filtered through Amicon Ultra cut-off 30KDa in order to remove the enzyme rests. The peptide fractions were lyophilized and the resulting crude was analyzed by TOF/TOF.

As shown Figure S10, the peptide treated with acid media free of Cathepsin-K suffers the proteolysis affording the desired activated RGD cleavage product in minor concentrations. However, the Cathepsin-K catalyzed process resulted quantitative at same conditions getting the decrypted peptide in quantitative numbers. Further ESI (+) analysis reveals in both cases the presence of bi-peptide rest Ar-Pr after enzymatic proteolysis.



$$M + \text{Acetic Acid} + 2x\text{Na}^+ = 1022\text{m/z}$$



$$M + \text{Na}^+ - \text{H}^+ = 639\text{m/z}$$

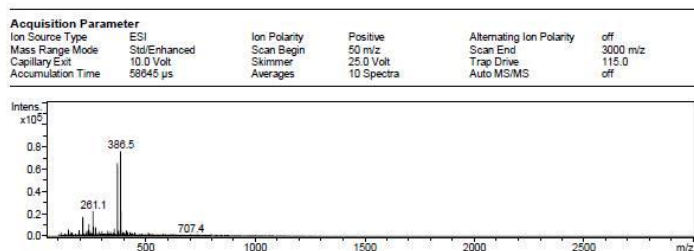
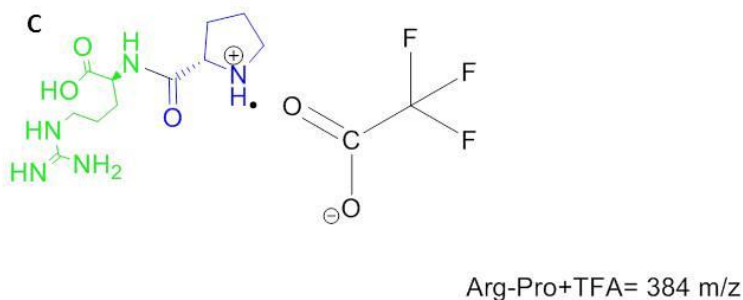
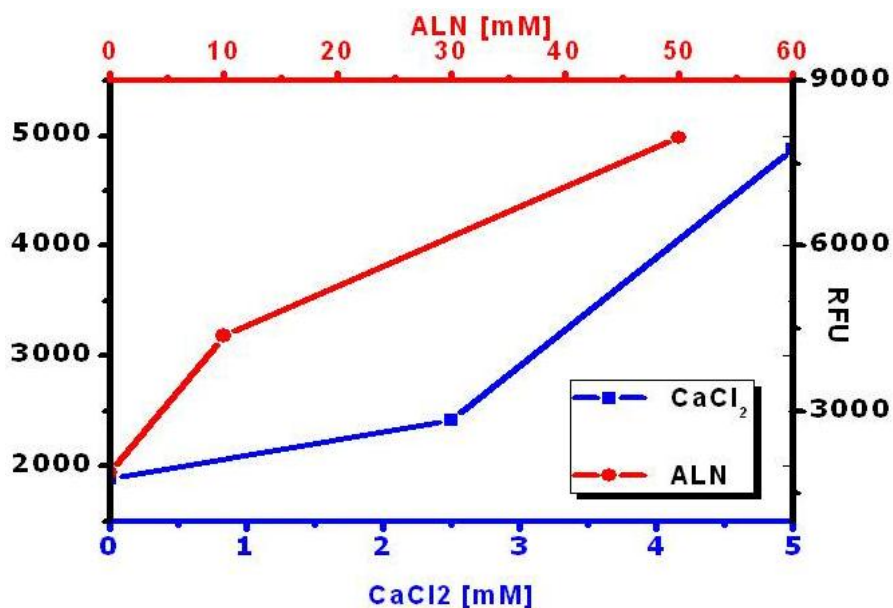


Figure S10: TOF/TOF peptide proteolysis reaction analysis. A. Acid media, B. Acid media and Cathepsin-K induced. C. ESI (+) of side product bi-peptide Arg-Pr after proteolysis.

4. HA-BF fixing assays

- Incubation:** 500 μ L of a solution of 1mg/mL of the system to be analyzed in PBS 1X (pH= 7.2) was incubated with a commercial HA (50 mg) tablet (previously prepared by powder compaction) at 37 $^{\circ}$ C and 100 rpm during 8h. The supernatant was measured by fluorescent spectrometry (λ_{ex} 492 nm; λ_{em} 518 nm (green)) and the tabled washed 3 times in PBS 1X (1.5 mL) and lixiviated 48 hours at 37 $^{\circ}$ C and 100 rpm. Further, the piece was washed 4 times with PBS 1X (1mL).
- Competition:** The previously incubated tablet with the system to be studied was incubated with 1 mL of a solution of CaCl₂ (2.5 mM and 5 mM) and sodium alendronate tri-hydrate (10 mM and 50 mM), further control samples were incubated with PBS 1X at 37 $^{\circ}$ C 100 rpm during 24 hours. The supernatant was measured by fluorescent spectrometry and the tablet washed 3 times in PBS 1X (1.5 mL) and lixiviated 48 hours at 37 $^{\circ}$ C and 100 rpm. Further, the piece was washed 4 times with PBS 1X (1mL).
- Cathepsin-K cleavage:** The previously incubated tablet with the system to study was incubated with 300 μ L of acetic acid (pH=5) and 200 μ L of Cathepsin K in activation cocktail (previously activated) for samples or activation cocktail free of enzyme for control. The cleavage reaction was carried out during 2h at 37 $^{\circ}$ C. The supernatant was measured by fluorescent spectrometry and the tablet washed 3 times in PBS 1X (1.5 mL) and lixiviated 48 hours at 37 $^{\circ}$ C and 100 rpm. Further, the piece was washed 4 times with PBS 1X (1mL).



Graphic S1: Fluorescence variations on HA tablet surface after incubation, competition experiments with alendronate and calcium.

5. Culture and cellular uptake analysis.

a. Culture and uptake assays with analogues

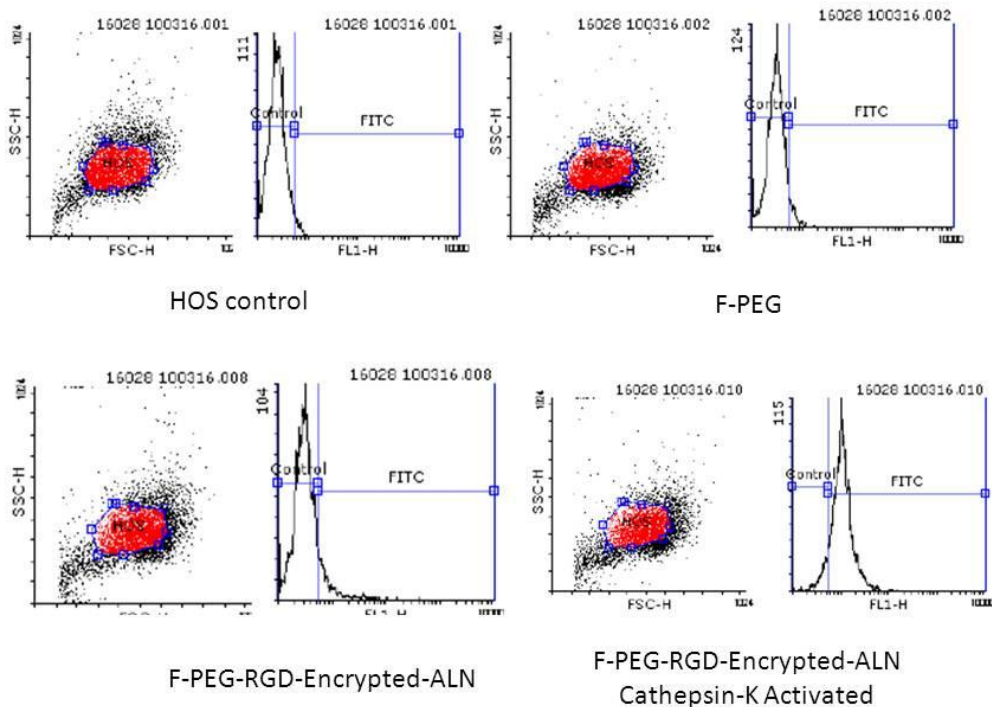
Human Osteosarcoma cancer cell line HOS were seeded in a concentration of 4×10^4 cell/well in a 12 well plate. The cultures were carried out in Dulbecco's Modified Eagle Medium (DMEM) completed with fetal bovine serum and antibiotic. After 48 hours the medium were removed, the cells washed twice with PBS 1X, and substituted by a solution of the fluorescein marked analogue to be studied at fixed concentration of $10 \mu\text{g}/\text{mL}$ in medium. After 4 hours, the medium were removed and the cells washed twice with PBS 1X, further, fresh medium was added and cells incubated 24 hours. Cells were washed twice with PBS and trypsinized. After centrifugation and wash in PBS 1X, pellets were suspended in PBS/trypan blue. The data of the percentage of fluorescent cells (FITC %) were collected in FACS Calibur cytometer (Becton Dickinson) and analyzed using Flowing software 2.5.1.

10 $\mu\text{g}/\text{mL}$ FITC (%)	Mean	Sd	Normalized
CONTROL	11.9	1.79	0.445
*F-PEG	20.1	0.850	8.56
*F-PEG-CRGDR	30.2	0.989	18.6
*F-PEG-CRGDR-Encrypted-ALN	23.5	0.588	11.9

Table. S1. n=3, *P < 0.05

b. Uptake studies with HA Tablet and Cathepsin K activation.

Human Osteosarcoma cancer cell line HOS were seeded in a concentration of 4×10^4 cell/well in a 12 well plate. The cultures were carried out in Dulbecco's Modified Eagle Medium (DMEM) completed with fetal bovine serum and antibiotic. After 48 hours the medium were removed, the cells washed twice with PBS 1X. Transwells were introduced in the wells with the HA tablets in their surfaces. Culture mediums were added until to cover along all the system. Activated Cathepsin-K or activation cocktail free of enzyme were added in each case. After 4 hours of incubation Transwells and medium were removed and cells washed twice with PBS. Cells were incubated 24 hours. Cells were washed twice with PBS and trypsinized. After centrifugation and wash in PBS, pellets were suspended in PBS/trypan blue. The data of the percentage of fluorescent cells (FITC %) were collected in FACS Calibur cytometer (Becton Dickinson) and analyzed using Flowing software 2.5.1.



FITC (%)			
	Mean	Sd	Normalized
CONTROL	-	-	-
*F-PEG-RGD-Encrypted-ALN	12.8	0.21	9.4
*F-PEG-RGD-Encrypted-ALN (C-K activated)	88.3	3.0	85.0

Table S2. Cytometry experiments bone/culture in vitro system. *P < 0.01

Paper III

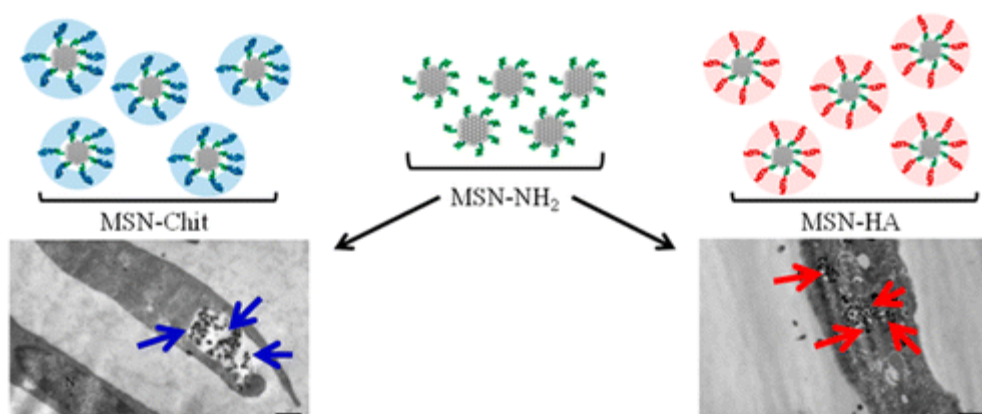
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Mesoporous Silica Nanoparticles Functionalized with Hyaluronic Acid and Chitosan Biopolymers. Effect of Functionalization on Cell Internalization.

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Mesoporous Silica Nanoparticles Functionalized with Hyaluronic Acid and Chitosan Biopolymers. Effect of Functionalization on Cell Internalization

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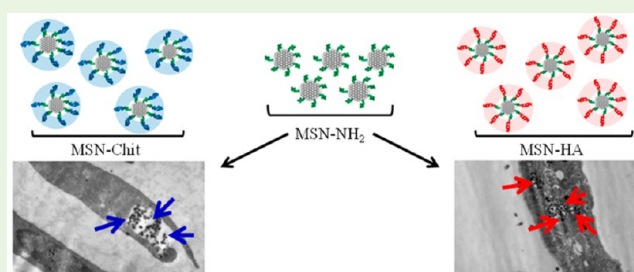
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S Supporting Information

ABSTRACT: Mesoporous silica nanoparticles (MSNs), based on the MCM-41 matrix, were functionalized with amino groups, and then with hyaluronic acid (HA) or chitosan (CHIT) to fabricate bioactive conjugates. The role of the functional groups toward cytotoxicity and cellular uptake was investigated using 3T3 mouse fibroblast cells. A very high biocompatibility of MSN-NH₂, MSN-HA and MSN-CHIT matrices was assessed through the MTS biological assay and Coulter counter evaluation. No significant differences in cytotoxicity data arise from the presence of different functional groups in the investigated MSNs. Fluorescence microscopy experiments performed using fluorescein isothiocyanate-conjugated MSN-NH₂, MSN-HA, and MSN-CHIT, and transmission electron microscopy experiments performed on slices of the investigated systems embedded in epoxy resins give evidence of significant differences due to type of functionalization in terms of cellular uptake and stability of the particles in the biological medium. MSN-NH₂ and MSN-HA conjugates are easily internalized, the uptake of the HA-functionalized MSNs being much higher than that of the -NH₂-functionalized MSNs. Differently, MSN-CHIT conjugates tend to give large aggregates dispersed in the medium or localized at the external surface of the cell membranes. Both fluorescence microscopy and TEM images show that the MSNs are distributed in the cytoplasm of the cells in the case of MSN-NH₂ and MSN-HA, whereas only a few particles are internalized in the case of MSN-CHIT. Flow cytometry experiments confirmed quantitatively the selectively high cellular uptake of MSN-HA particles.

KEYWORDS: nanomedicine, mesoporous silica nanoparticles, functionalization, biopolymers, hyaluronic acid, chitosan, cytotoxicity, transmission electron microscopy



1. INTRODUCTION

Functionalization of materials is an art and has become a must in the development of smart nanodevices for a huge variety of applications, particularly in the challenging topics related to nanomedicine.^{1–4} Indeed the design of a drug delivery formulation, and the fabrication of composite materials for either tissue engineering or theranostic, and stimuli responsive drug carriers, should carefully consider the nature of biological barriers. A favorable interaction between an external object and biological entities is addressed by bioadhesion processes which, at a nanoscopic level, can be regarded as a molecular recognition event. Therefore, as described by Gagner et al.,⁵ nanomaterials for biomedical applications require suitable functionalization to favor the interaction with the biomolecules. An increased awareness of the involvement of glycoproteins and oligosaccharides in many molecular recognition/inhibition biological processes has addressed the fabrication of bioconjugates functionalized with saccharide biopolymers, pro-

teins, and peptides.^{6,7} In this context, several recent works have considered functionalized mesoporous silica nanoparticles (MSNs) as a very promising matrix to build a hybrid organic–inorganic bioconjugate that can modulate weak, but very important, interactions that play significant roles in biology, those that occur when proteins bind to extracellular carbohydrates. Such protein–carbohydrate interactions are essential participants in many physiological cell–cell recognition processes, including fertilization, bacterial, and viral pathogenesis, and the inflammatory response.^{8–10}

Ordered mesoporous silica-based functional materials have raised great interest since their discovery in the nineties because of the easiness and the flexibility of the synthesis, the reproducibility of the texture, and of the morphology.¹¹ Besides

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the easy synthesis, other intrinsic peculiar features such as high surface area, uniform pore size distribution, high pore volume as well as the wide possibility to introduce functional groups have attracted an extraordinary interest in these materials.

In the last 20 years, several different long-range ordered structures such as hexagonal, cubic, or lamellar have been built.¹² Several research groups have produced interesting advances in the use of silica materials for nanomedicine applications.^{1,2,4,13,14} Another interesting feature is the fact that the silica-based ordered mesoporous materials display a high propensity to selectively adsorb proteins, peptides, and enzymes.^{15–17} This was clearly demonstrated through peculiar TEM experiments based either on a standard immunochemical method¹⁸ or a silver enhancement procedure.¹⁹

In the past few years, we have explored the peculiarities of ordered mesoporous materials to pursue a deep knowledge on the different interactions occurring between charged interfaces such as silica matrices and proteins. Particularly, we investigated the role of pH and ionic strength as well as specific electrolyte effects on the adsorption on, and the release from SBA-15 silica matrices of lysozyme.^{15–17} Indeed proteins undergo dramatic specific ion effects in the presence of both weak (buffers) and strong electrolytes.^{20–23} These findings highlighted the important role of weak and strong electrolytes when dealing with charged inorganic surfaces or proteins in relation to the complex nature of biological fluids. However, it should be remarked that non functionalized silica particles generally show very high toxicity, up to death, when injected in vivo via intravenous or intraperitoneal administration route.²⁴ Only subcutaneous injections were recognized as generally safe. Substantially, at present the use of MSNs is limited to the fabrication of drug depot systems or to bone regeneration treatments.^{14,25–27} Remarkably, the role of biological fluids has not yet deeply been investigated. As emphasized by many authors^{1,2,28–32} functionalization of MSNs constitutes a solid possibility not only for specific cell targeting but also for reducing toxicity and improving biodistribution.³³ To this aim, functionalization based on bioactive moieties such as biopolymers and polyelectrolytes was shown to be a very promising choice.³⁴ Very recently, we analyzed the adsorption of lysozyme on hyaluronic acid-functionalized SBA-15.³⁵ The experimental findings suggested that a high level of functionalization of the silica surface almost prevents lysozyme adsorption, whereas a low degree of functionalization of the silica allows for a large lysozyme adsorption. Interestingly, it was ascertained that during enzymatic degradation of HA-drug conjugated systems CD44 receptors favor the internalization of HA-conjugated nanoparticles by cells that enable intracellular delivery of drugs.^{36–38} Focusing on functionalizations based on polysaccharides, it is worth mentioning that pH-responsive alginate/chitosan MSN conjugates allow for drug release as pH decreases. This turned out to be very useful for tumor chemotherapeutics delivery both in vitro and in vivo experiments.³⁹ In addition, it was found that anticancer drugs loaded inside MSNs end-capped with chitosan can be released and internalized in tumor cells not only because of acidic pHs but also as a result of the high concentration of lysozyme, produced in large excess by cancer cells.⁴⁰ Indeed chitosan is easily hydrolyzed into monomers either by acidic pHs or by the catalytic activity of lysozyme, afterward the drug can be released. In that case a dual stimuli-responsive drug carrier was fabricated.

This work focuses on MSNs based on MCM-41 matrices functionalized either with hyaluronic acid (HA) or chitosan (CHIT) and on the evaluation of their cytotoxicity toward 3T3 mouse fibroblast cell lines. As reported in recent works,^{41–43} the functionalization with HA and CHIT should produce MSNs characterized by negative and positive charged interfaces respectively, at physiological pHs. However, it should be remarked that many changes can occur when particles get in contact with biological environments. Particularly, the role of the composition of the growing media for cell proliferation on the particle surface charge can become a very complex task. Indeed, here we will demonstrate that cell internalization of functionalized MSNs strongly depends on the type of functionalization. In this context, it is worth citing a recent paper by Treccani et al.,⁴⁴ where the interaction between biological fluids and charged particles was carefully investigated.

2. MATERIALS AND METHODS

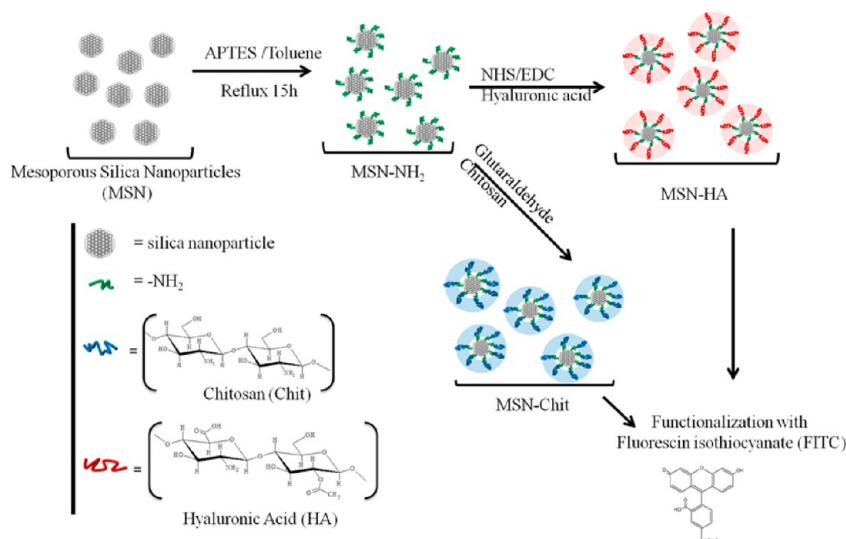
2.1. Chemicals. Tetraethoxysilane (TEOS, 98%), hexadecyltrimethylammonium bromide (CTAB, > 99%), anhydrous toluene (99.8%), 3-aminopropyl-triethoxysilane (APTES, > 98%), triethylamine (>99%), hyaluronic acid sodium salt from *Streptococcus equi* (cod. 53747), chitosan (cod. 740063, MW 60–120 kDa), NaH₂PO₄ (99%), Na₂HPO₄ (99%), and fluorescein isothiocyanate (FITC) were purchased from Sigma-Aldrich (Milan, Italy). *N*-hydroxysuccinimide (NHS, > 97%), and *N*-(3-(dimethylamino)propyl)-*N'*-ethylcarbodiimide hydrochloride (EDC, > 98%) were purchased from Fluka. 3T3 were cultured in complete medium: DMEM (#6429, Sigma-Aldrich) supplemented with 10% fetal bovine serum (#F6178, Sigma-Aldrich), 1 μM L-glutamine (#G7513, Sigma-Aldrich), 100 U/mL penicillin and 100 μg/mL streptomycin (#4333, Sigma-Aldrich). MTS: CellTiter 96 AQueous One Solution Reagent (#G3581, Promega).

2.2. Synthesis of Mesoporous Silica Nanoparticles (MSNs). 1g of CTAB was dissolved in 480 g of Millipore water at room temperature by using an overhead stirrer. A volume of 3.5 mL of NaOH (2 M) was added to the surfactant solution, the temperature was then increased at 80 °C and, after 2 h, 5.0 mL of TEOS were added dropwise. The solution was stirred for other 2 h and then the resultant white precipitate was collected by vacuum filtration and dried overnight at room temperature. The removal of CTAB surfactant was carried out by weighing 1.0 g of the synthesized material and adding 100 mL of a methanolic solution with 0.75 mL of hydrochloric acid (37%) and stirring for 6 h at 50 °C. The mesoporous silica nanoparticles (MSNs) were then recovered via filtration and dried under vacuum at room temperature.^{45,46} The grafting of the amino group on the surface of the MSNs was carried out by dispersing 1 g of the sample in 30 mL of anhydrous toluene and then by adding dropwise 1.0 mL of APTES. The dispersion was heated under reflux for 15 h. The resulting MSN-NH₂ sample was collected by filtration, washed with acetone, and dried overnight at room temperature under vacuum.

2.3. Functionalization and Characterization of MSN-NH₂ Particles. **2.3.1. Functionalization of MSNs with Hyaluronic Acid (HA).** A mass of 100 mg of MSN-NH₂ sample was dispersed in 100 mL of Millipore water. In another reaction vessel, 20 mL of an aqueous solution containing NHS (0.37 g) and EDC (0.2 g) was mixed with 60 mL of an aqueous solution containing 113 mg of HA. The two solutions were mixed and the pH was adjusted to 9.0 by adding triethylamine. Finally, the mixture was stirred at 38 °C overnight. The HA-modified MSNs (MSN-HA) were collected after centrifugation, three washings with Millipore water, and dried under vacuum and at room temperature overnight.³⁴

2.3.2. Functionalization of MSNs with Chitosan (CHIT). Chitosan has a free aminogroup per unit of sugar. The grafting of the chitosan biopolymer to MSN-NH₂ was achieved by linking the amino groups of both the biopolymer and the particles with glutaraldehyde bifunctional reagent. 0.1 g of MSN-NH₂ sample were soaked for 1 h in a solution composed by 100 μL of aqueous glutaraldehyde (50%) and 2.5 mL of

Scheme 1. Steps Involved in the Functionalization of Mesoporous Silica Nanoparticles (MSNs) To Obtain Amino- (MSN-NH₂), Hyaluronic Acid- (MSN-HA), Chitosan- (MSN-CHIT), and Fluorescein Isothiocyanate-Mesoporous Silica Nanoparticles



0.1 M phosphate buffer solution (pH 7.5). The activated material was washed for three times under stirring with the same buffer (2.5 mL), centrifuged and the supernatant was removed through a Pasteur pipet. The MSN-NH₂ dispersion was then mixed with a suspension of chitosan containing 50 mg of chitosan in 2.5 mL of 0.1 M HCl solution to allow chitosan solubilization. The mixture was gently stirred overnight at 25 °C and then the material was collected by filtration and washed with a slightly basic buffer solution (pH 8) to remove the excess of chitosan. The material was dried under vacuum and at room temperature overnight.

2.3.3. Labeling of MSN-NH₂, MSN-HA, and MSN-CHIT with FITC. A mass of 20 mg of MSNs (MSN-NH₂, MSN-HA, MSN-CHIT) was suspended in 3 mL of Millipore water, and mixed with 5 mL of a 0.3 mg/mL FITC ethanol solution. The suspension was stirred at room temperature in dark conditions for 6h and the particles were centrifuged, then washed three times with ethanol. The FITC labeled particles were used for fluorescence microscope observations and flow cytometry.³⁴

2.3.4. Physicochemical Characterization of Functionalized MSNs. Thermogravimetric analysis (TGA) was carried out on a Mettler-Toledo TGA/STDA 851. Thermal analysis data were collected in the 25–1000 °C range, under oxygen flow (heating rate = 10 °C min⁻¹; flow rate = 50 mL min⁻¹). The hydrodynamic diameter and the zeta potential of MSNs were measured using a Zetasizer nano ZSP (Malvern Instruments) in backscatter configuration ($\theta = 173^\circ$) at laser wavelength of $\lambda = 633$ nm. The scattering cell temperature was fixed at 37 °C and the data were analyzed with the Zetasizer software 7.03 version. For both zeta potential and DLS measurements the sample was prepared by suspending MSNs (1 mg/mL) in filtered (0.2 μ m polypropylene filter, Whatman) Milli-Q water. Samples were sonicated for 30 min and left under agitation overnight. Samples were sonicated for other 30 min and then the measurements were carried out.

2.4. Biological Methods. **2.4.1. In Vitro Viability Assay.** Cytotoxicity of MSNs was determined by the MTS assay. 3T3 mouse fibroblast cells were cultured in complete medium at 37 °C in a 5% CO₂ humidified environment. 3T3 cells were plated at a density of 3×10^3 /mL in 96-well plates for 24 h, and then incubated with serial concentrations of MSN-NH₂, MSN-HA, and MSN-CHIT (from 200 μ g/mL to 6.2 μ g/mL) ($t = 0$). After 24 h, MSNs were removed and replaced with fresh complete medium. Cell viability was quantified by adding 20 μ L of Cell-Titer 96 Aqueous One Solution Reagent (MTS) to the cultures for 2 h at 37 °C, immediately ($t = 24$ h), then after 24 ($t = 24$ h + 24 h) and 48 ($t = 24$ h + 48 h) hours after MSNs removal. Samples were read at $\lambda = 490$ nm for colorimetric assessment. All the experiments were performed at least in triplicate for each group.

2.4.2. Cell Growth Curve. 3T3 cells were plated 4×10^4 /mL in 12-well plates. The day after ($t = 0$) cells were treated with 100 μ g/mL of MSNs for the analysis of cell growth. After 24 h MSNs (MSN-NH₂, MSN-HA, and MSN-CHIT) were removed, and cells were cultured with fresh medium. Cell counts were performed immediately ($t = 24$ h), then after 24 ($t = 24$ h + 24 h) and 48 ($t = 24$ h + 48 h) hours after MSNs removal, using a Beckman Coulter counter. All the experiments were performed at least in triplicate for each group.

2.4.3. Intracellular Uptake through Fluorescence Microscopy. 3T3 cells were plated at a density of 3×10^5 /mL on glass coverslips. After 24 h from seeding, cells were incubated with 100 μ g/mL FITC-labeled MSN-NH₂, MSN-HA, and MSN-CHIT for 24 h. After treatment, MSNs were removed and cells maintained in fresh complete medium for further 24 h. Then, cells were washed with PBS, stained for 30 min with Hoechst dye 33342 for nuclei, and observed using an Olympus BX41 fluorescence microscope equipped with an appropriate filter for FITC detection ($\lambda_{\text{Ex}} = 490$ nm; $\lambda_{\text{Em}} = 517$ nm).

2.4.4. Intracellular Uptake through Flow Cytometry. 3T3 cells, after treatment with FITC-labeled MSNs as described above, were dissociated by 0.25% trypsin/EDTA and resuspended in PBS. MSN uptake was analyzed using a BD FACSCANTO II flow cytometer (Becton Dickinson Biosciences, CA). At least 10 000 cells were analyzed in each sample. Cells without nanoparticle treatment were used as controls.

2.5. Transmission Electron Microscopy. Samples of 3T3 cells were treated with 100 μ g/mL of MSN-NH₂, MSN-HA, and MSN-CHIT for 24 h. After treatment, MSNs were removed and cells maintained in fresh complete medium for further 24 h. Then, cells were fixed for 2 h in a mixture of 1% (para)formaldehyde (Electron Microscopy Sciences) and 1.25% glutaraldehyde (Electron Microscopy Sciences) in 0.1 M sodium cacodylate (Electron Microscopy Sciences) buffer (pH 7.4). Cells were rinsed in the same buffer after fixation, postfixed in 1% osmium tetroxide for 1h and finally stained in aqueous uranyl acetate 0.25% overnight at 4 °C. The next day the cells were processed by standard methods for embedding in epoxy resin.⁴⁷ In detail, they were dehydrated in a graded series of ethanol and xylene and infiltrated overnight in Epon 812/xylene mixtures at room temperature. Cells were finally embedded in pure Epon 812 resin, transferred to dry beam capsules or flat embedding molds previously filled with embedding medium and polymerized in an oven at 60 °C for 24 h. Embedded cells were trimmed and sectioned after the resin blocks returned to room temperature. Ultrathin sections of the above samples (60–90 nm thick) were cut with a LKB ultratome 8800 ultramicrotome and collected on Formvar-coated grids. They were

poststained with uranyl acetate and bismuth subnitrate, washed three times with distilled water, and finally observed and photographed in a transmission electron microscope (JEOL 100S model, Tokyo, Japan) operating at 80 kV.

3. RESULTS

3.1. Characterization of Functionalized MSNs. Mesoporous silica nanoparticles (MSNs) were synthesized according to Yu et al.³⁴ A detailed characterization of MSN is reported in the Figure S1. It includes the textural characterization (Figure S1A) obtained by the nitrogen adsorption/desorption isotherms which allowed to measure the surface area ($S_{\text{BET}} = 1193 \text{ m}^2/\text{g}$), pore volume ($V_p = 0.87 \text{ cm}^3/\text{g}$) and the maximum of pore size distribution ($d_p = 22 \text{ \AA}$). The structural characterization was carried out by means of small angle X-rays scattering (Figure S1C) and transmission electron microscopy (Figure S1D). Both techniques confirm the occurrence of the hexagonal array of pores the synthesized MSNs. Additionally, the TEM image in Figure S1D allows to estimate a particle size in the range 100–130 nm.

Scheme 1 summarizes the steps involved in the functionalization of MSNs. A reaction with aminopropyltriethoxysilane (APTES) introduces the amino group on the surface. This group is needed for the subsequent functionalization steps with hyaluronic acid (HA) and chitosan (CHIT) respectively. HA binding requires the use of two additional reagents, namely NHS and EDC.³⁵ CHIT binding was carried out similarly to what currently done in the covalent immobilization of enzymes on solid supports⁴⁸ using glutaraldehyde, a bifunctional reagent, which is able to react with the amino groups of MSN-NH₂ and those of chitosan biopolymer.

Functionalization of MSNs was proved by means of thermogravimetric analysis (TGA). Figure 1 compares the percentage mass loss profiles as a function of temperature for functionalized MSNs.

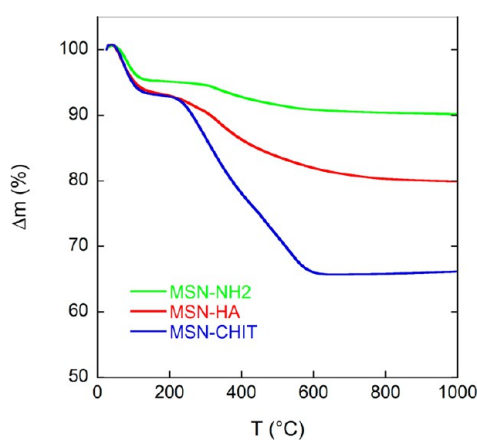


Figure 1. Percentage mass loss profiles as a function of temperature for functionalized MSNs (MSN-NH₂, MSN-HA, and MSN-CHIT).

The values of partial mass loss below and above 200 °C are reported in Table 1. For temperatures below 200 °C, a mass loss of 4.9% is obtained for MSN-NH₂ particles. This mass loss can be ascribed to the removal of humidity and to the condensation of surface silanols. Above 200 °C, the mass loss is due to the burning of organic groups. At $T > 200 \text{ °C}$, a $\Delta m = 5.0\%$, is obtained for MSN-NH₂. The functionalization with HA produces mass loss of about 7% for both MSN-HA and MSN-CHIT at $T < 200 \text{ °C}$. Instead, at $T > 200 \text{ °C}$ Δm is 13.1% for MSN-HA and of 26.7% for MSN-CHIT, respectively. The higher mass loss found for MSN-CHIT respect to MSN-HA suggests that the former sample has a higher degree of grafted biopolymer compared to the latter.

Additional characterization of the functionalized samples was carried out through FTIR spectroscopy. Figure S2 compares the FTIR spectra of MSN-NH₂ with those of MSN-HA and MSN-CHIT and the text in the Supporting Information gives a description of band assignment. Substantially, TGA and FTIR techniques confirm the success of the functionalization procedure.

These functionalizations are expected to produce differently charged MSNs. Particularly once dispersed in an aqueous medium, positive charges for MSN-NH₂ and MSN-CHIT, and negative charges for MSN-HA surfaces would occur. This was confirmed by zeta potential measurements (Table 1). Indeed the values of ζ are positive ($9.7 \pm 0.8 \text{ mV}$) for MSN-NH₂ but changes sign after functionalization with HA ($-7.2 \pm 0.8 \text{ mV}$). Finally, the functionalization with positively charged polymer chitosan makes the nanoparticles even more positively charged ($40 \pm 1 \text{ mV}$). This would result in a very highly stable suspension in distilled water. Similar measurements were carried out using the cell culture medium as particle dispersant. This was done because one of the main aims of the work was the evaluation of the interactions between the different MSNs and the 3T3 mouse fibroblast cells. Thus, functionalized MSNs were prepared using the cell culture broth as dispersing medium. This medium is constituted by high glucose DMEM, 10 v% Fetal Bovine Serum and 0.5 v% antibiotics, and any attempt to measure the zeta potential of our MSNs failed: both medium and MSNs, independently of the functionalization, gave a zeta potential around -10 mV as already observed by Treccani et al.⁴⁴ These findings are clearly related to the complexity of the culture medium, particularly to the high ionic strength and to the presence of DMEM amino acids and FBS proteins, which can adsorb at the particle surface and eventually form a protein corona.^{31,44}

The functionalized particles were characterized with dynamic light scattering for the determination of the hydrodynamic diameter, d_H (Table 1 and Figure S3) both in Milli-Q water and cell culture medium. In Milli-Q water, the size (d_H) of MSN-NH₂ is $126 \pm 2 \text{ nm}$ and becomes $156 \pm 3 \text{ nm}$ (MSN-HA) and $169 \pm 3 \text{ nm}$ (MSN-CHIT) after biopolymer functionalization. This size increase is consistent with a

Table 1. Characterization of Functionalized MSNs by Means of TGA ($\Delta m\%$), Zeta Potential (ζ), and Hydrodynamic Diameter (d_H)

sample	TGA ($\Delta m \%$)		Milli-Q water		cell culture medium	
	<200 °C	>200 °C	ζ (mV)	d_H (nm)-Number	ζ (mV)	d_H (nm)-Number
MSN-NH ₂	4.9	5.0	9.7 ± 0.8	126 ± 2	-11.3 ± 0.6	131 ± 2
MSN-HA	7.0	13.1	-7.2 ± 0.8	156 ± 3	-10 ± 1	138 ± 1
MSN-CHIT	7.1	26.7	40 ± 1	169 ± 3	-6.7 ± 0.9	157 ± 4

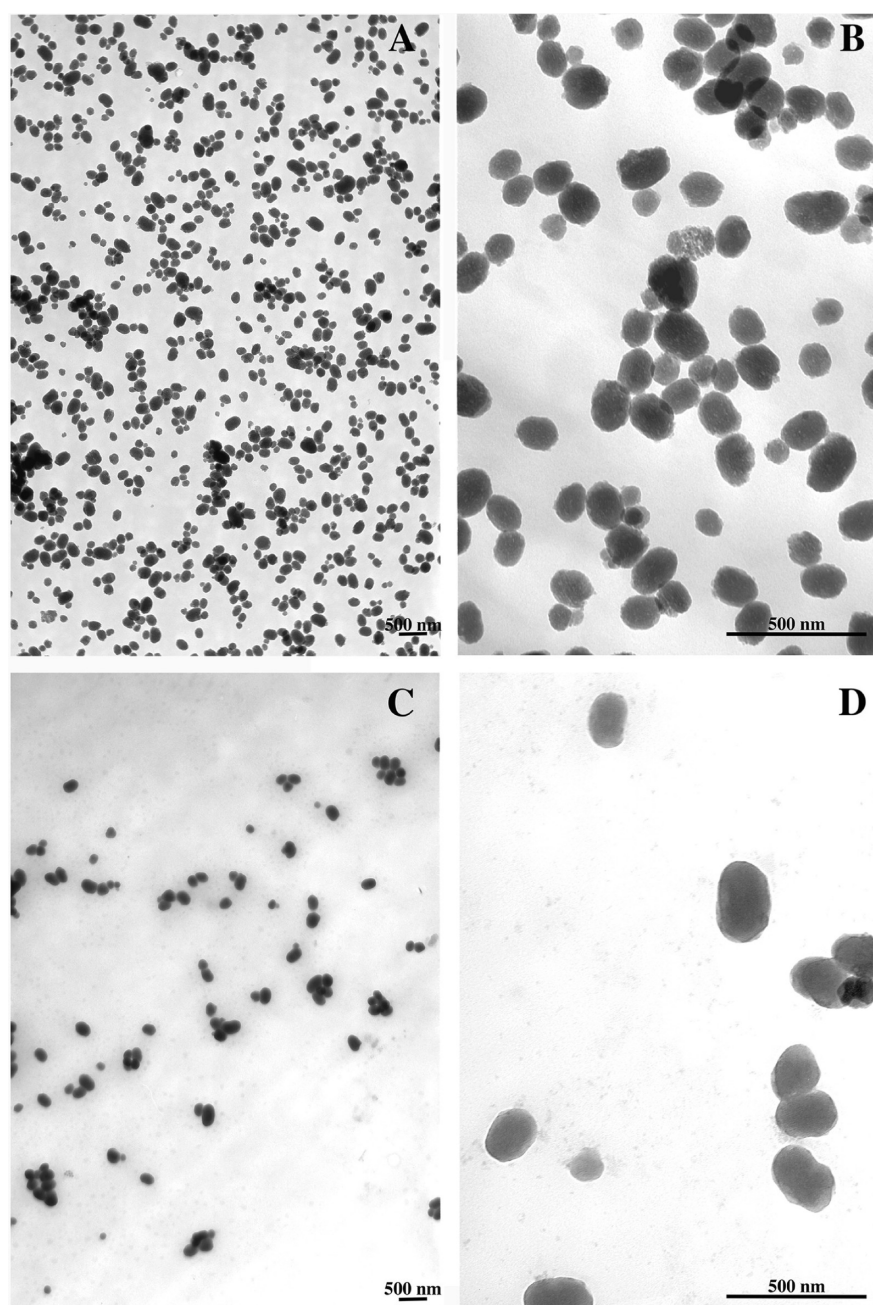


Figure 2. TEM images of functionalized MSNs. (A, B) MSN-HA; (C, D) MSN-CHIT.

successful functionalization of mesoporous silica nanoparticles. In the cell culture medium, the size of the MSNs is not significantly different for MSN-NH₂, whereas it is slightly lower for MSN-CHIT (157 ± 4 nm) and even lower for MSN-HA (138 ± 1 nm). A possible explanation is that the electrolytes occurring in the culture medium, besides affecting the measured zeta potential, also play a role to decreasing the hydrodynamic diameter of the biopolymer-functionalized MSNs.

Figure 2 shows the TEM images at low magnification of the functionalized MSNs. We observe that there is a good correspondence, at least at the qualitative level, between particle sizes obtained with DLS and TEM techniques.

To improve the ultrastructural details of functionalized particles by standard transmission electron microscopic techniques, we have treated nanoparticles with phosphotungstic acid solution. To date, the phosphotungstic acid staining is a

rapid and easy method employed during electron microscopic investigations of isolated particles,⁴⁹ virus,⁵⁰ and organelles,⁵¹ which usually appear brilliant in an amorphous electron-dense layer. As negative staining, it mainly allows the analysis of the superficial details of nanoparticles and individual macromolecules at electron microscopy level.

After treatment with phosphotungstic acid solution, we observed the presence of an electron lucent halo surrounding the MSN-HA and the MSN-CHIT particles (Figure S4). That halo was not observed for MSN-NH₂. Although the nature of the electron lucent halo detected on the above particles needs to be completely ascertained by additional and more specific EM counterstaining techniques, its presence could be ascribed to the HA and CHIT functionalization processes, respectively.

3.2. Cell Viability and Counts. The effect of MSNs on 3T3 cell viability and proliferation profiles were evaluated using

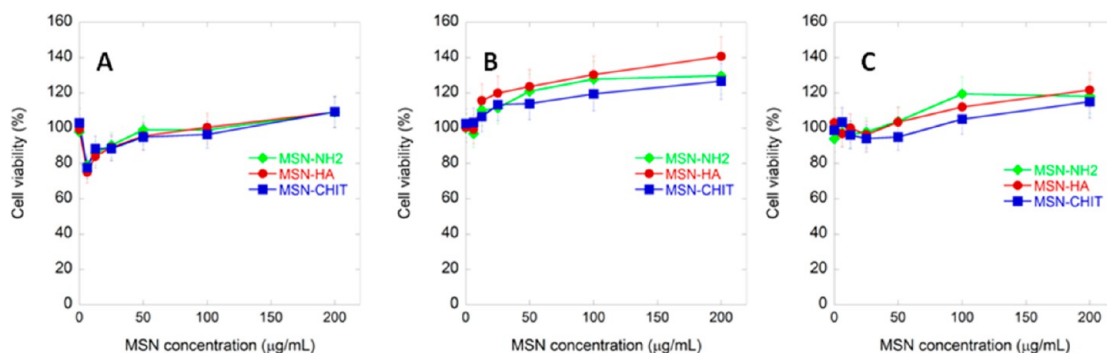


Figure 3. Effect of MSN-NH₂, MSN-HA, and MSN-CHIT on the viability/proliferation of 3T3 cell line incubated with the different MSNs for 24 h. Viability measurement through MTS assay: (A) immediately after MSNs removal; (B) 24 h after MSNs removal, and (C) 48 h after MSNs removal according to the protocol in section 2.4.1. Values are expressed as percentage of control wells containing culture medium without MSNs. Results represent the mean \pm standard deviation of three independent experiments.

MTS assay and Coulter counter assay. Cell viability was measured after exposure to different concentrations of MSN-NH₂, MSN-HA, or MSN-CHIT for 24 h. Figure 3 shows the viability measured with respect to controls (%) for concentrations of MSNs up to 200 $\mu\text{g/mL}$. Figure 3A shows the viability determined immediately after MSNs removal. Figures 3B and 3C show the viability measured after MSNs removal for 3T3 cells allowed to grow in the medium for other 24 or 48 h, respectively. The experiments performed immediately after MSNs removal show a small decrease of viability with the lowest concentrations of MSNs (Figure 3A). On the contrary, no decrease of cell viability was observed for experiments carried out after 24 or 48 h (Figures 3B–C).

In addition, we note that viability measured for MSNs concentration of 200 $\mu\text{g/mL}$ becomes very high compared to control, particularly for MSN-HA 24 h after MSNs removal (Figure 3B), and for all MSNs when cells are left to grow in the medium for 48 h after MSNs removal (Figure 3C). We can suggest that MTS assays are commonly used, and certainly reliable in relation to the evaluation of biocompatibility, but more at a qualitative rather than at a quantitative level. However, these findings confirm the good safety of MSNs used in this study toward 3T3 fibroblast cells. Indeed, it has been suggested that the presence of inorganic objects such as our MSNs (particles internalized or located on the cell membranes), may originate interference with the colorimetric assay as observed by Bancos et al.⁵² Clearly the MTS assay can prove the general viability but, at a quantitative level, cell counts are more reliable. The experiments of cell counts were performed using the same conditions used for MTS assay in terms of contact time and following measurements. The MSNs concentration of 100 $\mu\text{g/mL}$ was considered. Figure 4 shows the results of cell counts obtained for the different MSNs compared to the control. All measurements were performed in triplicate, and the quantitative results demonstrate again the safety of our MSNs, and also the fact that the highest growing speed of the cells is observed when cells are left to grow for 24 h after the incubation with MSNs. It is remarkable that both cytotoxicity and cell proliferation are not influenced by the type of functionalization of the MSNs.

3.3. Intracellular Uptake. The cellular uptake performance of the MSNs was visualized by fluorescence and transmission electron microscopes. Figure 5 shows the fluorescence microscopy panels of 3T3 cells incubated with the FITC-labeled MSNs for 24 h, afterward MSNs were removed, and cells were left to grow in the culture medium for 24 h before

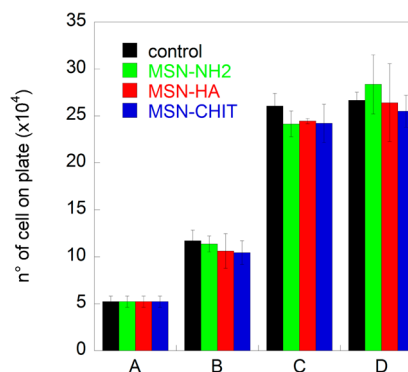


Figure 4. Effect of MSN-NH₂, MSN-HA, MSN-CHIT, and controls on the proliferation capacity of 3T3 cell line incubated with the different MSNs for 24 h, and then left in contact with the culture medium for different times. Cell count: (A) number of cells before treatment ($t = 0$); (B) number of cells immediately after MSNs removal ($t = 24$ h); (C) number of cells 24 h after MSNs removal ($t = 24$ h + 24 h); (D) number of cells 48 h after MSNs removal ($t = 24$ h + 48 h) according to protocol in section 2.4.2.

performing the fluorescence microscopy analysis. Differently from the proliferation experiments, the cellular uptake performance depends significantly on MSN functionalization.

Indeed, we observed that about half of the cells incubated with FITC-MSN-NH₂ display significant amounts of internalized MSNs (Figure 5A, B), almost all the cells incubated with FITC-MSN-HA display high amounts of MSNs in their cytoplasm (Figure 5C, D), whereas only few cells incubated with FITC-MSN-CHIT show a very low number of internalized MSNs (Figure 5E, F). Indeed FITC-MSN-CHIT nanoparticles tend to form large aggregates outside or over the cells as demonstrated by the presence of big fluorescent spots in Figure 5E, F.

The qualitative observations of fluorescence microscopy were supported by flow cytometry analysis that determined the cellular uptake of FITC-MSNs into 3T3 cells by the incorporated fluorescence intensity. Figure 6 shows representative flow cytometry assessment of controls cells and cells treated with FITC-MSN-HA, indicating a very efficient uptake of nanoparticles. FITC-MSN-HA were efficiently internalized by all the cells, whereas the uptake of FITC-MSN-NH₂ was lower, since about 79% of cells were FITC positive. Finally, the uptake of FITC-MSN-CHIT is not shown because unreliable results due to the formation of big aggregates into the cell

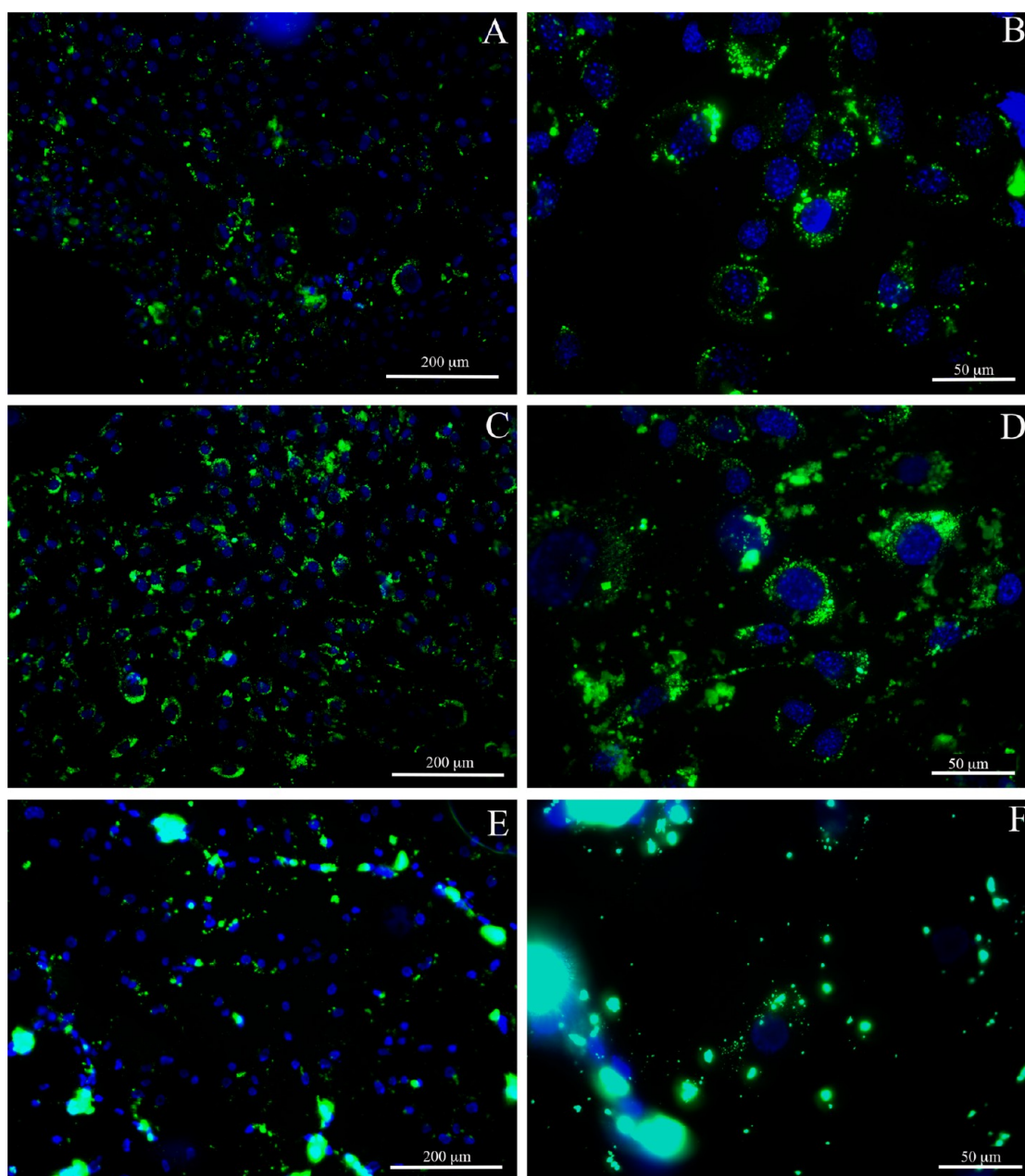


Figure 5. Internalization of MSNs using fluorescence microscopy. Images at different magnification (bars: left side 200 μm , right side 50 μm) of 3T3 mouse fibroblasts incubated for 24 h with 100 $\mu\text{g}/\text{mL}$ of MSNs, and then left to grow for other 24 h in the culture medium (see section 2.4.3). (A, B) MSN- NH_2 , (C, D) MSN-HA, (E, F) MSN-CHIT. Blue, nuclei (Hoechst assay); green fluorescence, FITC-MSNs.

culture medium were obtained. However, this behavior is consistent with what observed in Figure 5E, F.

3.4. Electronic Microscopy. Electron microscopy analysis confirmed and extended the results obtained by means of fluorescence microscopy. TEM highlighted at ultrastructural level significant differences in the morphological events that occurred in 3T3 cell cultures after exposure to the different types of functionalized MSNs. Figure 7 shows some significant TEM images (see also Figure S5). In detail, 3T3 cells following MSN- NH_2 treatment exhibited only few cytoplasmic vesicles containing MSN particles (Figure 7A, B). Differently, 3T3 cells treated with MSN-HA particles exhibited a unique morphology of their cytoplasm that was mainly characterized by the presence of a large amount of vesicles filled with MSN-HA particles (Figure 7C, D). In both samples, the vesicles were

delimited by a single membrane with size up to 1–2 μm in diameter (Figure 7A–D), suggesting an internalization by endocytosis. Although most internalized MSNs were seen to be confined inside the cytoplasmic vesicles, additional MSNs particles were found free in the cytosol. In contrast, MSN-CHIT particles exhibited a significant different pattern in 3T3 cells, where they were often observed strictly associated with the external cell surfaces or forming large aggregates in the culture medium (Figure 7E, F). Occasionally, very few MSN-CHIT particles were internalized in the cytoplasmic compartment (Figure 7F). Moreover, in all 3T3 samples examined in this study, the nucleus was always found devoid of MSN particles, which remained restricted to the cytoplasmic compartment. The higher resolving power of the TEM technique highlighted the cell structure of the 3T3 cells

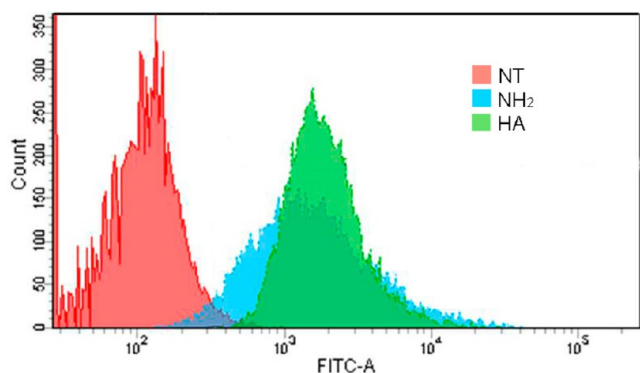


Figure 6. Flow cytometry: Histogram plot of control cells (red) and cells incubated with FITC-labeled MSN-NH₂ (blue) or MSN-HA (green).

incubated with MSNs particles. Independently of the type of functionalized MSNs used, the typical cell ultrastructure was preserved, being characterized by well developed Golgi apparatus, endoplasmic reticulum and mitochondria. No significant morphological alterations were detected when compared to control samples (see [Figure S6](#)).

4. DISCUSSION

As demonstrated by fluorescence microscopy, TEM images also document the significant dissimilarities in the MSNs patterns that take place in 3T3 cells following treatment with the different functionalized MSNs. The type of functionalization does not seem to affect the cellular architecture of the 3T3 mouse fibroblasts that was always well preserved and characterized by the presence of well-developed cellular organelles. This agrees with the cell viability experiments. However, the different amounts of MSNs particles internalized in the cytoplasm of 3T3 cells following a specific MSN

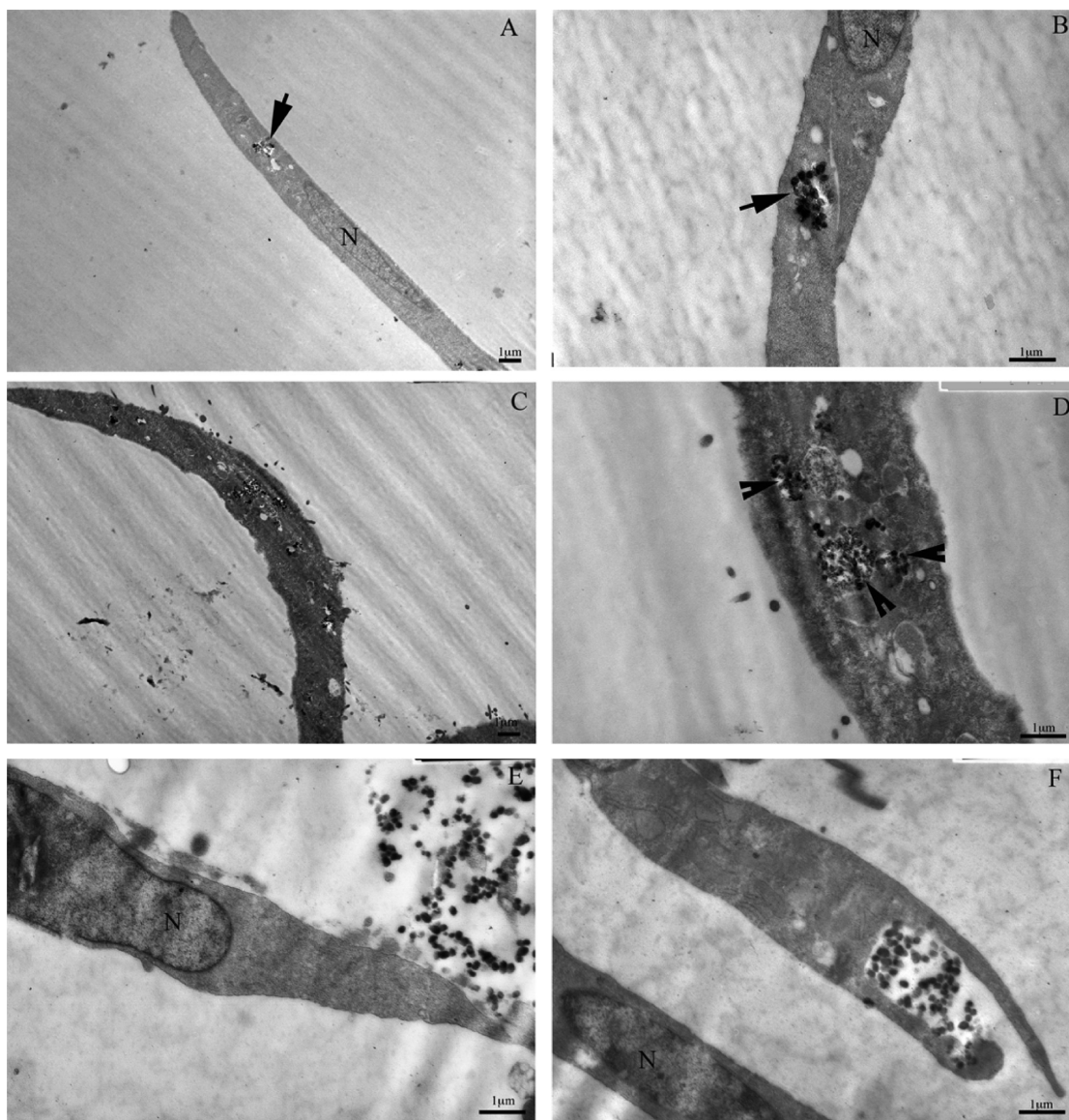


Figure 7. Electron micrographs of mouse fibroblasts 3T3 incubated for 24 h with 100 $\mu\text{g}/\text{mL}$ of MSNs, and then left to grow for other 24 h in the culture medium before the other treatments (see section 2.5). (A, B) MSN-NH₂, (C, D) MSN-HA, (E, F) MSN-CHIT. Arrows and arrowheads indicate internalized MSN-NH₂ and MSN-HA nanoparticles, respectively. N = nucleus. Additional TEM images are shown in [Figure S5](#).

treatment point out the significant role of the functionalization groups of the MSNs in the cellular uptake. Both fluorescence and electron microscopy demonstrate that 3T3 cells can easily internalize MSN-HA particles much more efficiently than functionalized MSN-NH₂, suggesting a specific uptake, probably via hyaluronic acid receptor mediated endocytosis. These marked differences can be explained considering that a variety of cellular mechanisms regulate the interactions of the plasma membrane with the external materials. The endocytosis is a complex process that controls not only the internalization of extracellular materials but also the expression of specific cell-surface receptors and is deeply involved in the cellular trafficking of macromolecules and particles through the cellular compartments.⁵³ The unique biological properties of hyaluronic acid may account for the high biocompatibility of MSN-HA with 3T3 cells. Hyaluronic acid is an ubiquitous extracellular matrix component⁵⁴ synthesized by fibroblasts, whose interaction with its specific cell surface receptor CD44 mediates a wide range of physiological roles including cell adhesion and migration.⁵⁵

In the present work, although the cellular mechanisms involved in MSNs internalization cannot clearly be ascertained, we may point out some considerations. The cytoplasmic vesicles visualized by electron microscopy in 3T3 cells represent the cellular organelles that participate in the intracellular trafficking of MSN particles, being one of the steps of the sorting process that takes place soon after internalization. Moreover, we observed some MSNs that are not encapsulated into vesicles, suggesting that they are able to escape from the endocytic system and translocate into the cytosol.

In contrast, MSN-CHIT particles are strictly associated with the external cellular surfaces or dispersed as large aggregates in the culture medium, and only occasionally, are able to penetrate the cells. The low rate of intracellular uptake of MSN-CHIT may be ascribed to the large size of aggregates that cannot be endocytosed by 3T3 cells.

However, although their inefficiency to penetrate the cellular compartment, the peculiar pattern that MSN-CHIT particles exhibit at the electron microscopic level raises interesting questions concerning their possible biological properties and function. The MSN-CHIT affinity for the external cellular surfaces clearly depends on the specific physicochemical properties of both chitosan and plasma membrane and could account for a potential role of chitosan in the modulation of the cellular adhesion to synthetic substrates. Lately, the growing interest in the development of biologically active coatings has led to significant improvements in the design of specific scaffolds for tissue engineering and new hypothesis are coming forth.^{56–58} The fluorescence and TEM results highlight the morpho-functional events that characterize the interactions of artificial functionalized substrates with cell surfaces and represent a first step toward the deep understanding of the mechanisms involved in this process, but this is only the tip of the iceberg and many questions remain to be ascertained.

5. CONCLUSIONS

MSNs functionalized with amino groups (MSN-NH₂) were further functionalized at the external surface introducing a layer based on hyaluronic acid or chitosan polysaccharides, in order to obtain stimuli-responsive objects endowed with higher bioadhesion properties than non functionalized MSNs. The high level of the viability of 3T3 cells, determined through the

MTS assay after a contact with the MSNs for 24 h, was confirmed by the cell growth curve obtained through Coulter counter. The whole results clearly assess the high biocompatibility of all types of functionalized MSNs, without any significant effect because of the different functionalizations. Significant differences due to type of functionalization were instead observed in the fluorescence microscopy experiments performed with the FITC-labeled MSN-NH₂, MSN-HA, and MSN-CHIT, which strikingly agree with the results of TEM experiments. Using an exposure time of 24 h and 100 µg/mL of MSNs, followed by another 24 h in contact with the culture medium only, different types of interactions between MSNs and 3T3 cells can be demonstrated: MSN-NH₂ and MSN-HA are easily internalized (at different extent), whereas MSN-CHIT tends to give large aggregates dispersed in the medium or localized at external surface of the cell membranes. Both fluorescence microscopy and TEM images give clear evidence that the MSN particles are localized in the cytoplasm in the case of NH₂ and HA functionalizations, as shown in Figure 5A–D, as well as in Figure 7A–D. In the case of CHIT functionalization, only a few particles are internalized as demonstrated in Figures 5E, F and 7E, F. These results permit us to emphasize some concluding remarks: (i) the importance of the external functionalization with HA allows for a very large cellular uptake: (ii) the external functionalization with CHIT seems to prevent a massive uptake from 3T3 cells. In principle, it may be suggested that MSN-CHIT undergoes strong interactions with the medium components that evidently screen the original (quite high) positive surface potential (see Table 1), thus resulting in a significant particle aggregation. In both cases, the formation of a serum protein corona may play a role.⁴⁴ These results, however, do not exclude the usefulness of CHIT functionalization for delivering anticancer drugs to tumor cells (the acidic pH would favor release as a result of CHIT hydrolysis) because massive adhesion to cellular membranes occurs as clearly shown in Figure 7F. In addition, the functionalization with CHIT, that is a positively charged polyelectrolyte, may decrease the retention of MSNs within the endosome which affects the efficiency of drug release, particularly in the case of sensitive therapeutic moieties such as proteins, antibodies, or DNA fragments. This was ascertained in the case of MSNs functionalized with the polyethylenimine polymer.⁵⁹

In conclusion, the different cellular uptake observed in our *in vitro* experiments for MSNs functionalized with HA and CHIT suggests the need of further investigations not only with additional cell lines, but also a deeper evaluation of the interactions of MSNs with different dispersing media as well as the most suitable degree of functionalization. Indeed, our functionalized particles may be regarded as a stimuli-responsive platform to be tuned depending on the medium and type of cells with which particles are expected to interact.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsbomaterials.5b00502.

Additional characterizations (TGA, DLS) of MSNs samples and TEM images of control samples (PDF)

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Notes

The authors declare no competing financial interest.

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Supporting Information

Mesoporous Silica Nanoparticles Functionalized with Hyaluronic Acid and Chitosan Biopolymers. Effect of Functionalization on Cell Internalization

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Physico-chemical characterization of MSNs.

Textural analysis was carried out on a Thermoquest-Sorptomatic 1990 instrument, by determining the N₂ adsorption/desorption isotherms at 77 K. Before analysis, pure silica samples (MSNs) were heated up to 250 °C at a rate of 1°C/min under vacuum, while the functionalized samples (MSN-NH₂, MSN-HA, and MSN-CHIT) were outgassed overnight at 40°C. The specific surface area, and the pore size distribution were assessed by the Brunauer-Emmett-Teller (BET) and BJH methods, respectively.

Small-angle X-ray scattering (SAXS) was recorded with a S3-MICRO SWAXS camera system (HECUS X-ray Systems, Graz, Austria). CuK α radiation of wavelength 1.542 Å was provided by a GeniX X-ray generator, operating at 30 kV and 0.4 mA. A 1D-PSD-50 M system (HECUS X-ray Systems, Graz, Austria) containing 1024 channels of width 54.0 μm was used for detection of scattered X-rays in the small-angle region. The working q -range (\AA^{-1}) was $0.003 \leq q \leq 0.6$, where $q=4\pi \sin(\theta)\lambda^{-1}$ is the modulus of the scattering wave vector. Thin-walled 2 mm glass capillaries were filled with the sample for the scattering experiments. The scattering patterns were recorded for 1h.

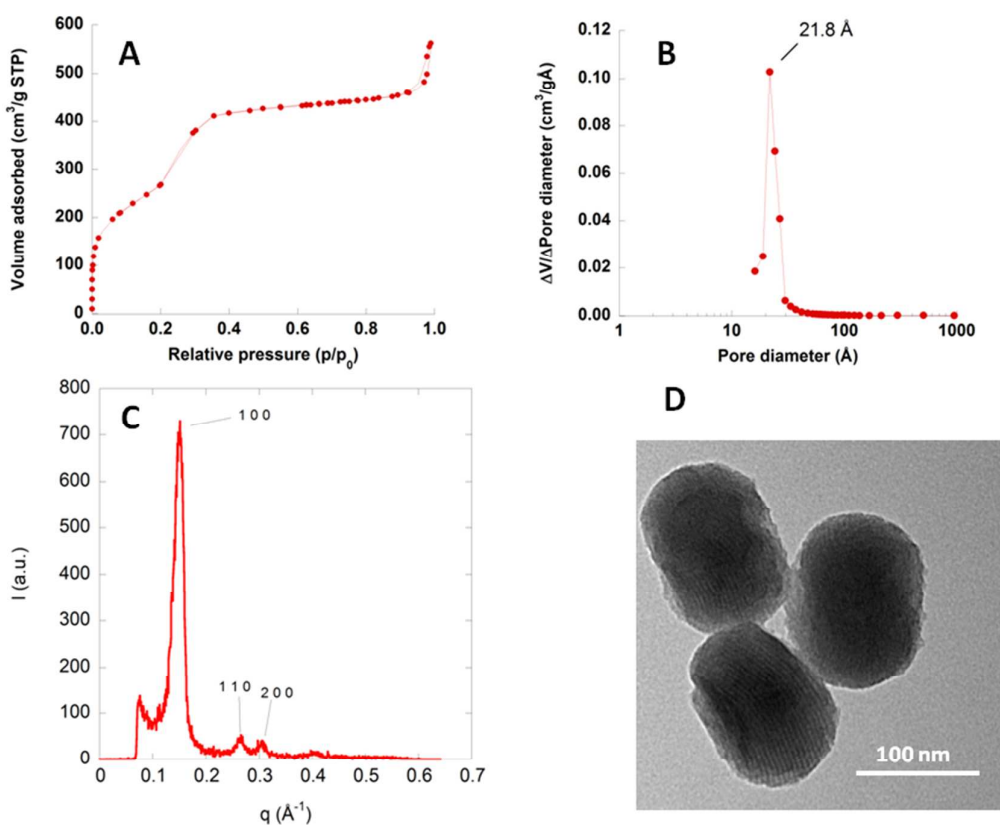


Figure S1. Textural and structural characterization of MSNs (MCM-41 nanoparticles). A) Nitrogen adsorption/desorption isotherm; B) Pore size distribution; C) SAXS pattern; D) TEM image.

The textural characterization obtained by the nitrogen adsorption–desorption isotherm of the MSNs, displayed in Figure S1A, exhibits a typical type IV isotherm and a steep capillary condensation step

occurring at a relative pressure (P/P_0) of 0.3. This corresponds to a narrow pore size distribution (d_{BJH}) centered at about 22 Å (Figure S1B). The use of BJH method has been criticized because it underestimates pore size.¹ But more accurate methods (i.e. the NLDFT¹) are still not widespread, and available only in most recent instrumental facilities. Hence we take 22 Å as a rough, but nevertheless useful, estimation of pore size distribution of our sample. The calculated surface area (S_{BET}) and pore volume are 1193 m²/g and 0.87 cm³/g respectively. The structural characterization was carried out by SAXS and TEM techniques. The SAXS pattern of the MSNs (Figure S1C) shows three typical well resolved diffraction peaks due to the 100, 110 and 200 planes of a highly ordered two dimensional hexagonal mesophase. SAXS analysis provides a lattice parameter $a = 48$ Å. The TEM image in Figure S1D confirms that the MSNs are nanoparticles with well-ordered mesopores and allows to estimate a particle size in the range 100–130 nm.

FTIR Characterization.

ATR-FTIR measurements were conducted with a Bruker Tensor 27 spectrophotometer equipped with a diamond-ATR accessory and a DTGS detector. A number of 256 scans at a resolution of 2 cm⁻¹ were averaged from wavenumber 4000 to 400 cm⁻¹. The Opus software was used for data handling. Figure S2 compares the FTIR spectra of MSN-NH₂ with those of MSN-HA and MSN-CHIT. All samples show two characteristic bands at 1060 cm⁻¹ and 800 cm⁻¹ due to the Si–O stretching ($\nu_{\text{Si-O}}$), and one band at 450 cm⁻¹ due to the Si–O–Si bending ($\delta_{\text{Si-O-Si}}$). All samples exhibit a broad O–H stretching band with two peaks centered around 3400 and 3230 cm⁻¹ respectively. MSN-NH₂ sample shows a band at 2920 cm⁻¹ due to the C–H stretching of the propylamino group. A very intense band for MSN-HA (2950 cm⁻¹) and two close bands for MSN-CHIT (2970 and 2880 cm⁻¹) can also be assigned. The MSN-NH₂ sample (Figure 2A) displays a band at 1520 cm⁻¹, which disappears after the functionalization with HA and CHIT (Figures 2B and 2C), that can be assigned to N–H bending ($\delta_{\text{N-H}}$). In addition, the functionalization with HA is confirmed by the peaks at 1570-1470 cm⁻¹ due to the stretching of the COO⁻ group.² The band

around $1630\text{-}1650\text{cm}^{-1}$ observed for the three samples cannot clearly be assigned. Indeed, it can be either due to the bending mode of physisorbed water (MSN-NH₂) or to the C=O stretching of amide groups (MSN-HA and MSN-CHIT).³

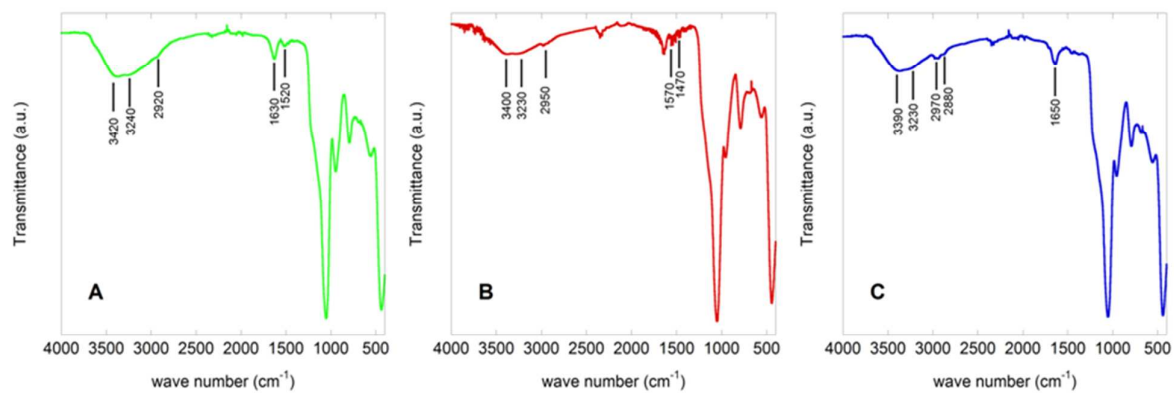


Figure S2. FTIR Spectra of A) MSN-NH₂, B) MSN-HA, and C) MSN-CHIT.

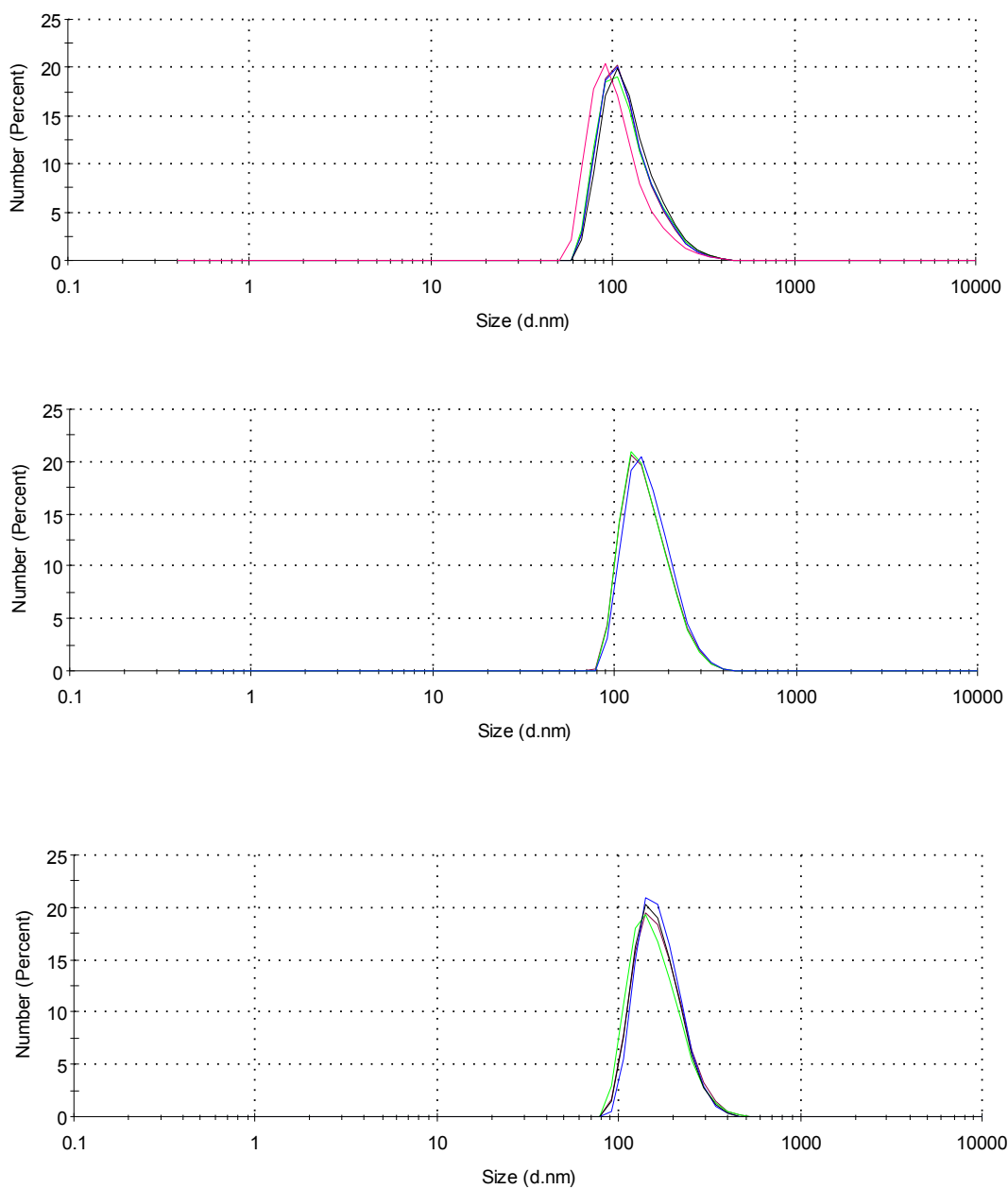


Figure S3. Particle size distribution (Number) obtained by DLS measurements for A) MSN-NH₂; B) MSN-HA; and C) MSN-CHIT. The different colours of the curves are associated to different DLS measurements of the same sample.

MSNs staining with phosphotungstic acid.

Appropriated dilutions of MSN-NH₂, MSN-HA and MSN-CHIT particles were stained with phosphotungstic acid 2% solution, placed on formavar coated 100 mesh grids. Excess of suspension

was adsorbed touching the edge of the grids with filter paper. The grids were air dried and finally observed and photographed with a Jeol 100S transmission electron microscope.

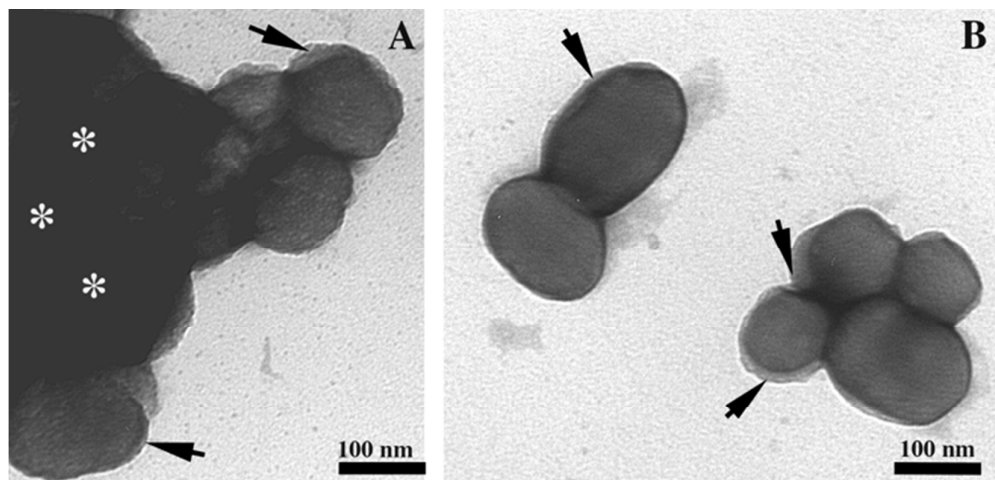


Figure S4. Transmission electron micrographs of MSN-HA (A), and MSN-CHIT (B) particles after staining with phosphotungstic acid solution. Note the presence of electron lucent halo (arrows) surrounding the MSN-HA (A), and MSN-CHIT (B) particles that could be ascribed to the functionalization with HA and CHIT respectively. The dark areas (asterisks) are probably due to the phosphotungstic acid staining process or to the electron beam passing through large aggregates of MSN-HA particles.

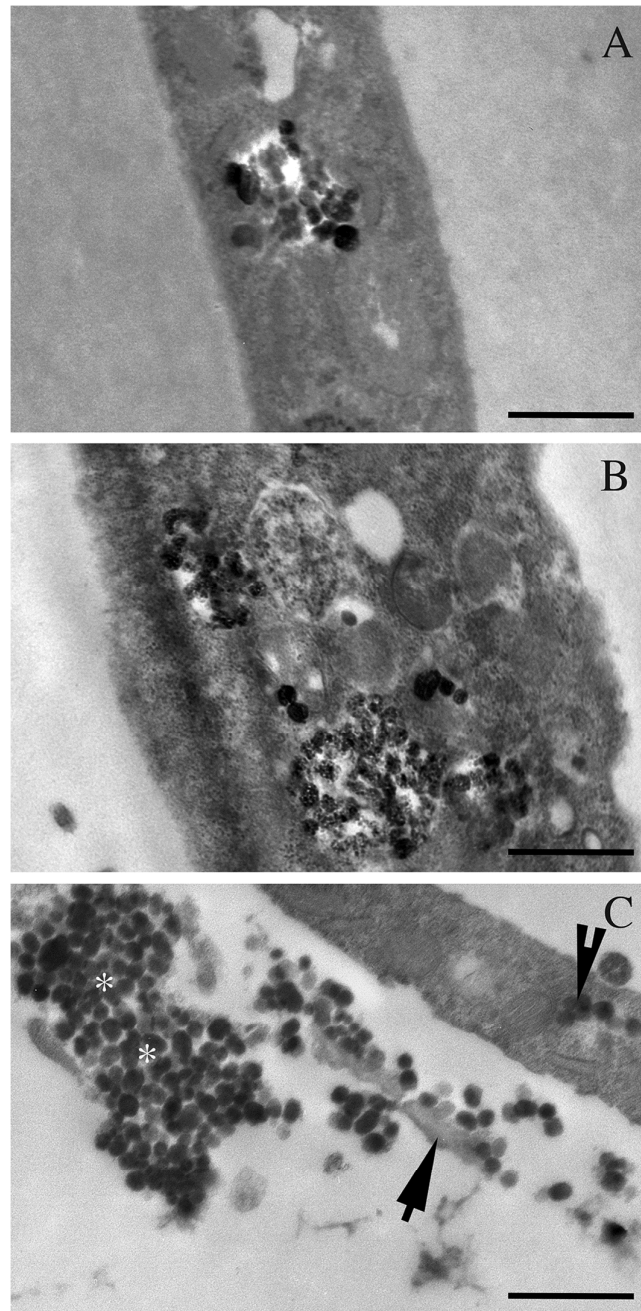


Figure S5. Electron micrographic details of mouse fibroblasts 3T3 incubated for 24 hours with 100 $\mu\text{g}/\text{mL}$ of MSNs, and then left to grow for other 24 hours in the growing medium before the other treatments (see par. 2.6). Panel A: MSM-NH₂, Panel B: MSN-HA, Panel C: MSN-CHIT. Note that MSN-CHIT particles are associated to the external cell surfaces (arrow) or forming large aggregates in the culture medium (asterisks), whereas only few MSN-CHIT particles are internalized in the cytoplasmic compartment (arrowhead). Bars = 1 μm

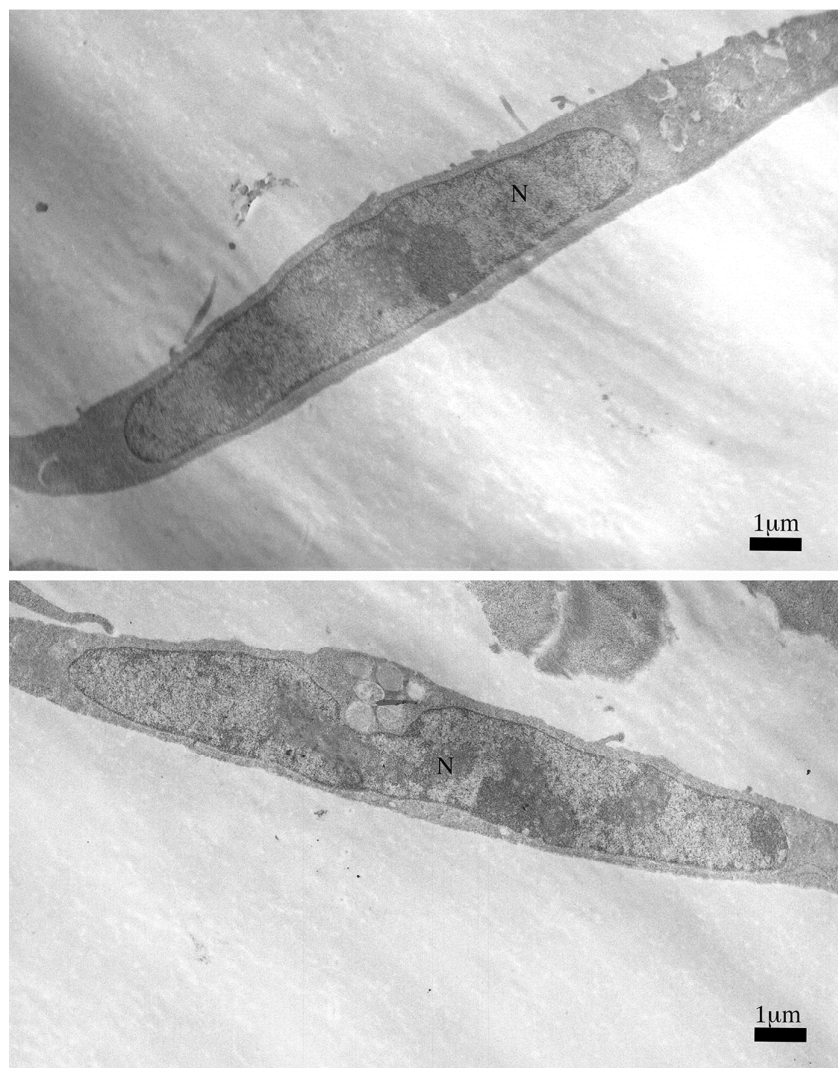


Figure S6. Electron micrographs of 3T3 cell control samples. N= nucleus

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Paper IV

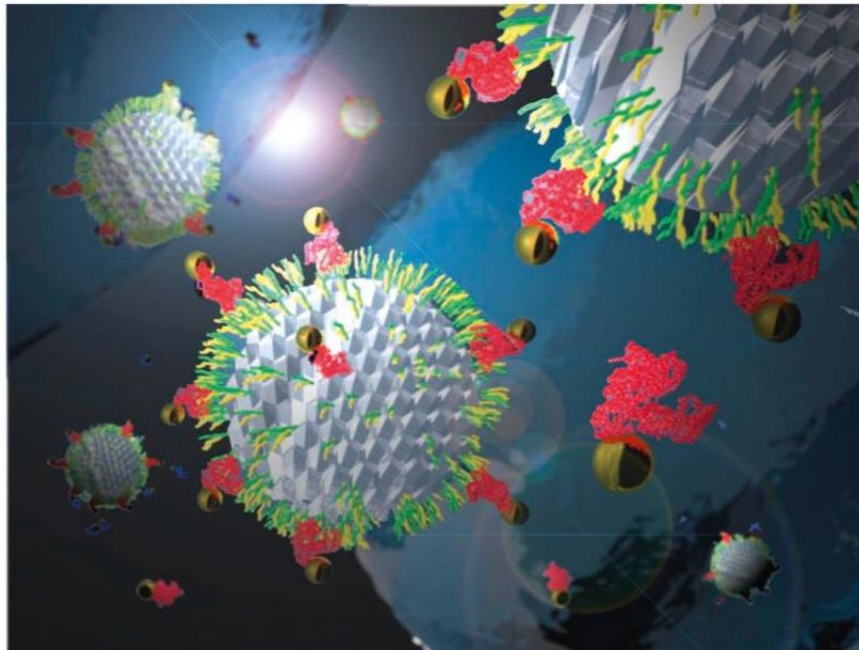
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Interaction between bovine serum albumin and mesoporous silica nanoparticles functionalized with biopolymers.

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Interactions between bovine serum albumin and mesoporous silica nanoparticles functionalized with biopolymers

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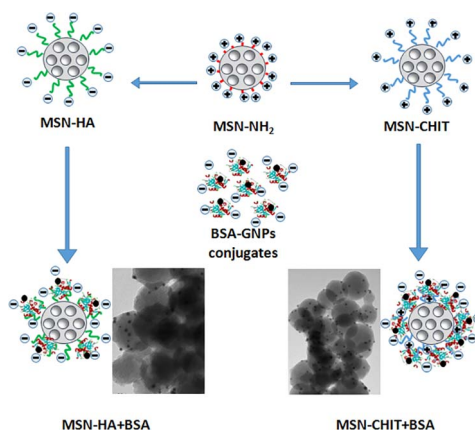
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HIGHLIGHTS

- Mesoporous silica nanoparticles (MSNs) were functionalized with two biopolymers.
- The surface charge of functionalized MSNs modulates the interactions with BSA.
- van der Waals forces play an important role in the overall MSNs-BSA interactions.
- BSA-gold NP conjugates were used to visualize the formation of a protein corona.

GRAPHICAL ABSTRACT



ARTICLE INFO

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Chitosan
Bovine serum albumin
Protein corona
Gold nano particles

ABSTRACT

Biomedical application of nanoparticles is largely associated to their fate in biological media which, in turn, is related to their surface properties. Surface functionalization plays a key role in determining biodegradation, cytotoxicity and biodistribution through interactions which may be mediated by the macromolecules occurring in biological media. A typical example is given by several proteins which lead to the formation of coated nanoparticles referred as protein corona. In this work we focus on mesoporous silica nanoparticles which, due to their intrinsic textural features, show potential as carriers for sustained drug release. Mesoporous silica nanoparticles functionalized by different biopolymers such as hyaluronic acid and chitosan were synthesized and characterized through small angle X-rays scattering, thermal analysis, and infrared spectroscopy. Biopolymer-coated mesoporous silica nanoparticles were used to investigate the interaction with bovine serum albumin, and to point out the role of different biopolymer coating. Gold-conjugated-bovine serum albumin was used to gain evidence on the occurrence of surface bound proteins enabling direct observation by transmission electron

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microscopy. Our findings provide insights on how different biopolymers affect the formation of a protein corona around functionalized mesoporous silica nanoparticles.

1. Introduction

Cancer is treated with chemotherapeutic drugs which are able to restrain tumor's growth but are also toxic for healthy cells, thus resulting in adverse side effects [1,2]. The use of smart pharmaceutical formulations, which release the drug at the target diseased tissue only, would permit to reduce undesired side effects of the chemotherapeutics [3–6]. In this context, researchers are focusing on nanomaterials as smart carriers for targeted drug delivery and stimuli-responsive systems, useful for the treatment of cancer [7–13].

Ordered mesoporous silicates, synthesized for the first time in the early 1990s [14], are nanostructured materials with a big potential in nanomedicine applications [15–20]. Among them mesoporous silica nanoparticles (MSNs) are likely the best drug carrier candidates for the realization of innovative pharmaceutical formulations [11,21–24], as a result of their characteristic textural and structural features. Indeed, their high surface area (up to 1400 m²/g), the narrow distribution of pore size (1–2 Å), and the high pore volume (1–3 cm³/g), allow to load high amounts of drugs which can then be released with a sustained rate [24–26]. Moreover, the external surface of MSNs can easily be functionalized with target biomolecules, i.e. proteins/antibodies [27–29], peptides [30,31] or saccharides [32–34], that can be recognized by receptors overexpressed by tumor cells [35–37]. Alternatively, these macromolecules can modify their conformation, as a response to a change of environmental conditions (i.e. pH), thus acting as a stimuli-responsive system [38,39]. In general, the external functionalization affects MSNs biocompatibility, biodistribution, pharmacokinetics, particle stability, circulation time, tumor accumulation, cellular uptake, and therapeutic efficacy [32,40,41]. Relevant examples of biopolymers for the external functionalization of MSNs surface are offered by hyaluronic acid (HA) and chitosan (CHIT). The first was used by Zhan et al. to obtain a MSNs-based target system. Indeed, tumor cells overexpress CD-44 receptors which recognize HA chains promoting HA-functionalized MSNs' endocytosis, and thus drug internalization in the tumor tissue [1]. CHIT biopolymer, due to its capacity to change conformation depending on pH, was used to realize a pH-responsive system that allows for drug release at the acidic pH of tumor cells [42].

When dispersed in a biological medium, nanoparticles (NPs) seek to lower their surface energy by adsorbing biomolecules such as proteins, peptides, or glycolipids. This surface layer of biomolecules, known as the “protein corona” (PC), is due to the physico-chemical interactions established between the NPs and the biomolecules occurring in the biological fluid [43,44]. The nature of the PC depends on several NPs features [45], such as, their chemical composition [46], size [47], shape [48], surface charge [49–51], and hydrophilic/hydrophobic character [49]. PC also depends on the composition of the biological medium, and on proteins concentration in blood plasma, temperature, administration route as well as composition of the cell membrane [43,51]. Overall, the formation of the PC on NPs surface modifies their physico-chemical properties [11] that is, surface charge and hydrophilic/hydrophobic character, thus affecting the fate of NPs in terms of cellular uptake, [52] and cytotoxicity [53]. Two types of protein corona can occur, the “hard corona” (HC), characterized by strong interactions between NPs and proteins, and the “soft corona” (SC) consisting of weakly bound proteins [44,52,54]. The formation of either HC or SC depends on medium composition including protein concentration, but also on the NPs external charge, and the affinity of proteins for the NPs surface [51]. Proteins like serum albumins, and immunoglobulins have high concentrations in the blood, therefore they are initially present in the SC of NPs, but they can be replaced, at later time, by other proteins which occur with lower concentrations but show higher affinities

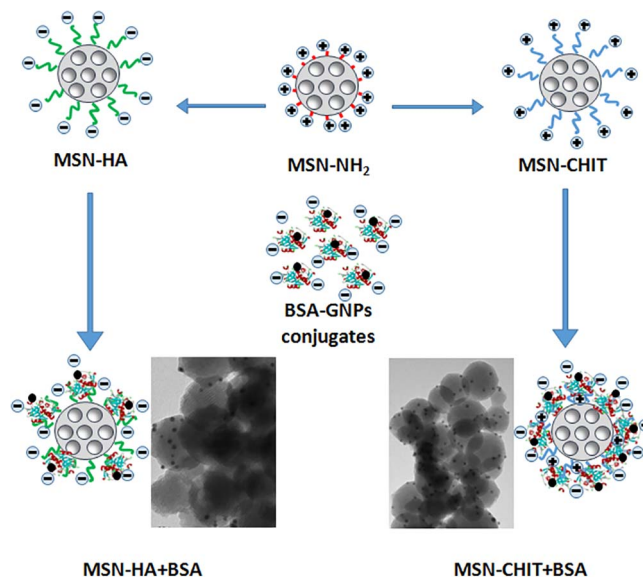
toward NPs [55]. These changes of composition in the PC are known as the “Vroman effect” [55,56]. An important factor for the formation of the protein corona is the surface charge of NPs. As demonstrated by Shahabi et al. positive MSNs, functionalized with amine or polyethylene imine, promote the interaction with BSA. On the contrary neutral or negative MSNs, modified with polyethylene glycol or sulfonate, contrast the adsorption of BSA due to the establishment of unfavorable interactions [57]. Recently, we investigated the different behavior of MSNs functionalized with HA or CHIT (MSN-HA and MSN-CHIT respectively) toward cell internalization [32]. In vitro studies showed that the MSN-HA were easily internalized by 3T3 mouse fibroblast cells whereas MSN-CHIT gave rise to large aggregates in the cell medium which prevented an effective cell internalization. A possible reason of this behavior is that the components of the cell medium are adsorbed at a different extent on the functionalized MSNs due to their opposite surface charge. That is negative and positive for MSN-HA and MSN-CHIT respectively. Albumins are negatively charged at pH 7 (IEP ~ 4.7) and are abundant in the cell medium. We hypothesized therefore that albumins would adsorb more effectively on MSN-CHIT than on MSN-HA when forming a PC. This would reduce MSN-CHIT colloidal stability by promoting aggregation [32].

Here the interactions between bovine serum albumin (BSA) with MSN-HA and MSN-CHIT samples are investigated. The aim of the work is to study a model system which may provide new insights on the formation of a PC around MSNs functionalized with oppositely charged biopolymers (HA vs CHIT). To this purpose the adsorption of BSA on differently functionalized MSNs (MSN-NH₂, MSN-HA and MSN-CHIT) was investigated through FTIR, zeta potential, and thermogravimetric analysis. Finally, gold nanoparticles conjugated to bovine serum albumin (BSA-GNPs) were used to visualize the bound proteins on MSNs surface by mean of transmission electron microscopy (Scheme 1).

2. Materials and methods

2.1. Chemicals

Tetraethoxysilane (TEOS, 98%), hexadecyltrimethylammonium



Scheme 1. Direct visualization of BSA-GNP conjugates on the surface of biopolymer-modified MSNs (MSN-HA and MSN-CHIT).

bromide (CTAB, > 99%), anhydrous toluene (99.8%), 3-aminopropyltriethoxysilane (APTES, > 98%), triethylamine (> 99%), chitosan (cod. 740,063, MW = 60–120 kDa), NaH_2PO_4 (99%), Na_2HPO_4 (99%), hydrochloric acid (37%), and glutaraldehyde (50% aqueous solution) were purchased from Sigma-Aldrich (Milan, Italy). *N*-hydroxysuccinimide (NHS, > 97%), and *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride (EDC, > 98%) were purchased from Fluka. Sodium hyaluronate (MW = 90–130 kDa) was purchased from Contipro Biotech a.s. (Czech Republic). Bovine serum albumin conjugated with gold nanoparticles (BSA-GNPs) was purchased from British BioCell International.

2.2. Synthesis and functionalization of MSNs with HA and CHIT biopolymers

MSNs were synthesized by a silica precursor (TEOS) and an organic surfactant (CTAB) as reported in ref.s [25,32]. After surfactant removal MSNs were functionalized with APTES (0.5 mL for 1 g of MSNs) with a post-synthesis functionalization [25,32]. The resulting MSN-NH₂ sample was collected by filtration, washed with toluene and acetone, and dried overnight at room temperature under vacuum. HA-grafted MSNs (MSN-HA) were synthesized as described in ref. [32]. Briefly, an aqueous solution of NHS (0.186 g), EDC (0.113 g) and HA (0.060 g) was added to a dispersion of 0.5 g of MSN-NH₂ in water. The pH was adjusted to 9.0 by adding triethylamine and the mixture was stirred at 38 °C overnight. The obtained white powder was centrifuged, washed with water, and dried under vacuum at room temperature overnight. CHIT-grafting was carried out as reported in ref. [32], by adding to a dispersion of 0.5 g of MSN-NH₂ at pH = 7.5 1 mL of aqueous glutaraldehyde (50%). Then, the obtained red powder was dispersed in an aqueous solution containing chitosan (0.055 g) and HCl 0.1 M to promote the solubilization of the biopolymer. The obtained MSN-CHIT was collected by centrifugation, washed with a basic buffer solution (pH = 8), and dried overnight under vacuum at room temperature.

2.3. Adsorption of bovine serum albumin (BSA)

2.3.1. Adsorption of BSA on MSNs

A mass of 25 mg of MSNs previously functionalized (MSN-NH₂, MSN-HA or MSN-CHIT) was suspended in 5 mL of a 20 mg/mL solution of BSA in 10 mM phosphate buffer saline (PBS) solution (pH = 7.4 and 0.15 M NaCl) for 24 h at 25 °C. The powder was centrifuged, washed with PBS for three times and dried under vacuum at room temperature overnight. These BSA-loaded materials (MSN-NH₂, MSN-HA and MSN-CHIT + BSA) were submitted to FTIR, TGA and light scattering characterization.

2.3.2. Adsorption of BSA-GNPs on MSNs

A mass of 5 mg of MSNs previously functionalized (MSN-NH₂, MSN-HA or MSN-CHIT) was suspended in 100 μL of BSA-GNPs and 100 μL of 10 mM PBS solution (pH = 7.4 and 0.15 M NaCl) and left under agitation for 2 h at 25 °C. After supernatant removal the powder was suspended in 100 μL of fresh PBS and 50 μL of glutaraldehyde 50% w/w for fixing the protein to MSNs. The suspension was gently stirred for another hour at 25 °C. The obtained red powder was centrifuged, washed with PBS and dried overnight under vacuum at room temperature [58]. BSA-GNPs loaded samples were then used for TEM analysis.

2.4. Characterization of functionalized MSNs

The textural characterization was carried out on an ASAP 2020 instrument, by determining the N₂ adsorption/desorption isotherm at 77 K. Before analysis MSN-NH₂ samples was heated at 110 °C at a rate of 1 °C/min under vacuum for 24 h, whereas polymer-functionalized sample (MSN-HA and MSN-CHIT) were outgassed under the same conditions while heating at 40 °C for 60 h. The hexagonal structure was determined by small-angle X-rays scattering (SAXS) analysis. Patterns were recorded for 1 h with a S3-MICRO SWAXS camera system (HECUS X-ray Systems, Graz, Austria). CuK α radiation of wavelength 1.542 Å was provided by a Genix X-ray generator, operating at 30 kV and

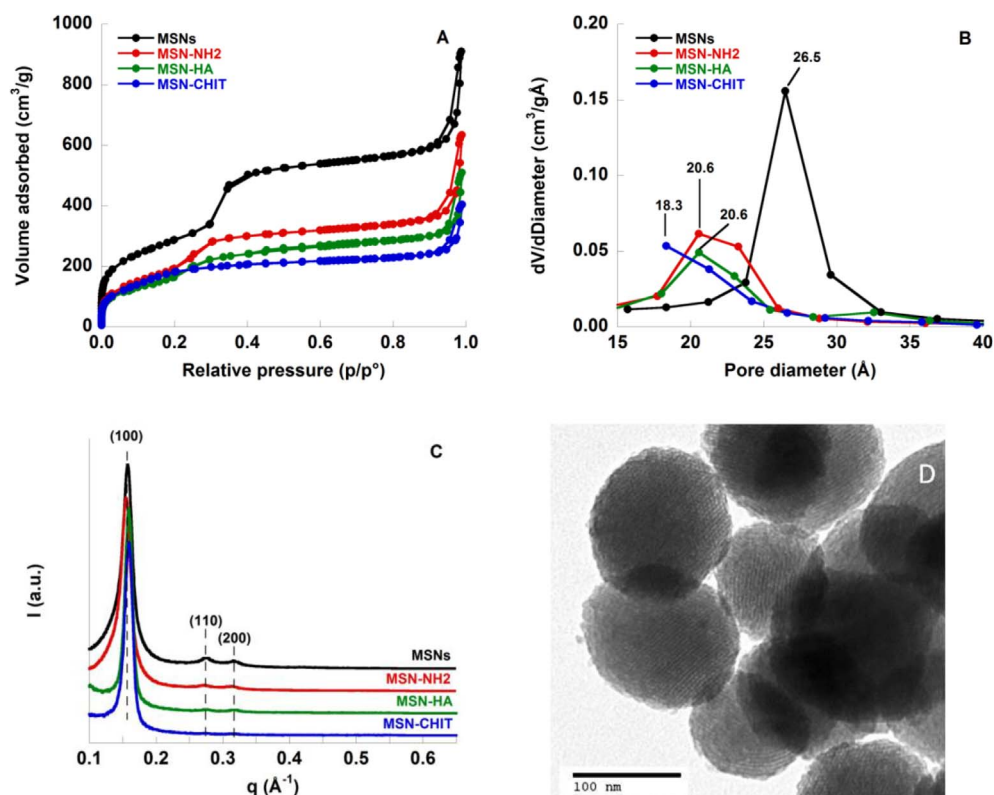


Fig. 1. Characterization of MSNs (black), MSN-NH₂ (red), MSN-HA (green), and MSN-CHIT (blue) samples: (A) adsorption/desorption N₂ isotherms; (B) pore size distribution; (C) SAXS patterns. (D) TEM micrograph of MSN-NH₂ sample.

0.4 mA.

Transmission electron microscopy (TEM) analysis was carried out placing appropriate amounts of finely ground powder samples onto carbon-coated copper grids that were observed and photographed by a Hitachi H-7000 microscope equipped with a thermionic gun operating at 100 kV. Digital images were acquired by an AMT DVC CCD camera.

Thermogravimetric analysis (TGA) was carried out on a Mettler-Toledo TGA/STDA 851. Thermal analysis data were collected in the 25–1000 °C range, under oxygen flow (heating rate = 10 °C·min⁻¹; flow rate = 50 mL·min⁻¹) using argon as the carrier.

The hydrodynamic diameter and the zeta potential of MSNs were measured using a Zetasizer nano ZSP (Malvern Instruments) in backscatter configuration ($\theta = 173^\circ$) at laser wavelength of $\lambda = 633$ nm. The scattering cell temperature was fixed at 37 °C and the data were analysed with the Zetasizer software 7.03 version. For both zeta potential and hydrodynamic diameter measurements the samples were prepared by suspending MSNs (1 mg/mL) in filtered (0.2 μ m polypropylene filter, Whatman) millipore water. Before measurements the samples were left under agitation overnight and sonicated for 30 min.

The surface functionalization was confirmed through Fourier transform infrared (FTIR) studies conducted with a Bruker Tensor 27 spectrophotometer equipped with a diamond-ATR accessory and a DTGS detector. A number of 256 scans at a resolution of 2 cm⁻¹ were averaged in the spectral range 4000 cm⁻¹–400 cm⁻¹.

3. Results and discussion

3.1. Characterization of MSNs

The synthesized MSNs were initially functionalized with APTES to obtain MSN-NH₂, and then with hyaluronic acid (HA) and chitosan (CHIT) biopolymers obtaining MSN-HA and MSN-CHIT samples. The samples were then characterized through different physico-chemical techniques. Fig. 1A shows nitrogen adsorption/desorption isotherms. All samples exhibit a typical type IV isotherm with a small hysteresis cycle at $p/p^\circ > 0.9$ due to the capillary condensation of nitrogen into the mesopores. Table 1 reports the textural parameters: the surface area was calculated through the BET method (S_{BET} [25,59], whereas the pore size distributions were obtained by the BJH method [25,60]. The hysteresis of the functionalized samples lies at lower adsorbed nitrogen volumes than the original MSNs, due to a decrease of pore volume induced by the functionalization. This is paralleled by the decrease of the pore size as shown in Fig. 1B. SAXS patterns (Fig. 1C) show for all samples an intense peak, due to the reflection of (1 0 0) plane, and two weak peaks due to the reflection of (1 1 0) and (2 0 0) planes respectively. These patterns are associated to materials with a hexagonal array of pores. The lattice parameters, a , shown in Table 1, confirm that both the amino- and the polymer-functionalization do not alter the ordered structure of the MSNs. TEM micrograph for MSN-NH₂ (Fig. 1D) shows almost spherical nanoparticles with size in the range 80–130 nm, and confirms the occurrence of an ordered hexagonal array of pores. The hydrodynamic diameter (d_{H}) of MSNs samples dispersed in distilled water at 37 °C was measured through dynamic light scattering (DLS). The d_{H} values obtained for the different MSN samples, reported in Table 1, are in agreement with TEM data.

FTIR spectra (Fig. 2) show the characteristic peaks of silica materials for all samples. That is, two intense peaks at 1070 cm⁻¹ and 795 cm⁻¹ attributed to the asymmetric and symmetric stretching vibration of Si-O-Si, respectively. Other two peaks at 965 cm⁻¹ and 450 cm⁻¹ are related to Si-OH bending and to the deformation modes of the O-Si-O, respectively. MSN-NH₂ spectrum shows an additional peak at 1547 cm⁻¹ due to the asymmetric -NH₂ bending, which confirms the amino-functionalization. MSN-HA sample displays a peak at 1636 cm⁻¹ assigned to C=O stretching [40,61]. Finally, MSN-CHIT spectrum shows two peaks at 1649 cm⁻¹ and 1556 cm⁻¹ due to C=N stretching and the asymmetric bending of amino groups in the biopolymer chain

[61].

Thermogravimetric analysis (TGA) was then carried out. Fig. 3 shows the graphs of mass loss (Δm %) as a function of temperature for the original and the functionalized MSN samples. At temperatures below 200 °C the mass loss can be ascribed to the removal of humidity and to the condensation of surface silanols. Above 200 °C, Δm values can be directly related to the extent of surface functionalization.

The mass loss values are reported in Table 2. Remarkably, the similar Δm % values obtained for MSN-HA and MSN-CHIT samples suggest that a similar degree of biopolymer functionalization was achieved. Electrophoretic light scattering measurements in distilled water result in a different surface potential depending on surface functionalization. Zeta potential (ζ) values are strongly negative for pure silica (-20.0 mV) and become positive after amino-functionalization (+30.6 mV). Likewise, ζ values for MSN-HA and MSN-CHIT are negative and positive, due to the occurrence of dissociated carboxylic groups of hyaluronic acid and protonated amino groups of chitosan chains, respectively. An additional evidence of surface functionalization is provided by the increase of hydrodynamic diameter (d_{H}) for the functionalized MSNs, as reported in Table 1.

3.2. Adsorption of BSA on biopolymer-modified MSNs

The biopolymer-functionalized materials were then suspended in a 20 mg/mL BSA solution in PBS (pH 7.4, NaCl 150 mM) to simulate the formation of the protein corona in physiological systems [57,62]. In order to evaluate the extent of BSA adsorption on the surface of the biopolymer-functionalized materials, MSN-NH₂ + BSA, MSN-HA + BSA and MSN-CHIT + BSA samples were characterized through FTIR, TGA and electrophoretic light scattering (ELS) measurements. Fig. 4 shows FTIR spectra of pure BSA and BSA-loaded MSNs (MSN-HA + BSA and MSN-CHIT + BSA). The FTIR spectrum of BSA protein displays two characteristic bands at 1642 cm⁻¹ and 1522 cm⁻¹ named amide I (C=O stretching) and amide II (C-N stretching and N-H bending), respectively [63–65]. The occurrence of these two characteristic bands proves the successful adsorption of a protein on a solid support [19,66,67]. In Fig. 4 we observe two bands at 1651 cm⁻¹ and 1547 cm⁻¹ in the pure-BSA sample. In the FTIR spectra of MSN-BSA samples, it is difficult to assign univocally those bands to amide I and amide II due to the occurrence of vibrations of the functional groups of HA and CHIT biopolymers grafted on MSNs in the same spectral region (compare Figs. 2 and 4). It is likely that for MSN-HA + BSA and MSN-CHIT + BSA samples those bands are overlapped, preventing therefore unambiguous peak assignment.

However, BSA adsorption on biopolymer-functionalized MSNs can be assessed by TGA measurements shown in Fig. 5A-C. For all samples an increase of Δm (%) after BSA adsorption is observed (Table 2). These values are higher for biopolymer-functionalized materials, MSN-HA (26.3%) and MSN-CHIT (29.7%) than for the amino-functionalized MSN-NH₂ (18.1%). The corresponding values of BSA loading (L_{BSA}),

Table 1
Surface area (S_{BET}), pore diameter ($d_{\text{Des,BJH}}$), pore volume (V_{p}), and lattice parameter (a) and hydrodynamic diameter (d_{H}) in distilled water at 37 °C of MSNs.

Sample	^a S_{BET} (m ² /g)	^b $d_{\text{Des,BJH}}$ (Å)	^c $V_{\text{pDes,BJH}}$ (cm ³ /g)	^d a (Å)	^e d_{H} (nm)
MSN	1061	26.5	1.41	45.4	140 ± 12
MSN-NH ₂	894	20.6	0.98	45.9	160 ± 6
MSN-HA	735	20.6	0.79	45.4	168 ± 11
MSN-CHIT	623	18.3	0.63	45.4	186 ± 7

^a Surface area calculated by the BET method.

^b Pore diameter from desorption branch calculated by BJH method.

^c Pore volume calculated at $p/p^\circ = 0.99$.

^d Lattice parameter obtained by SAXS.

^e Hydrodynamic diameter obtained by DLS.

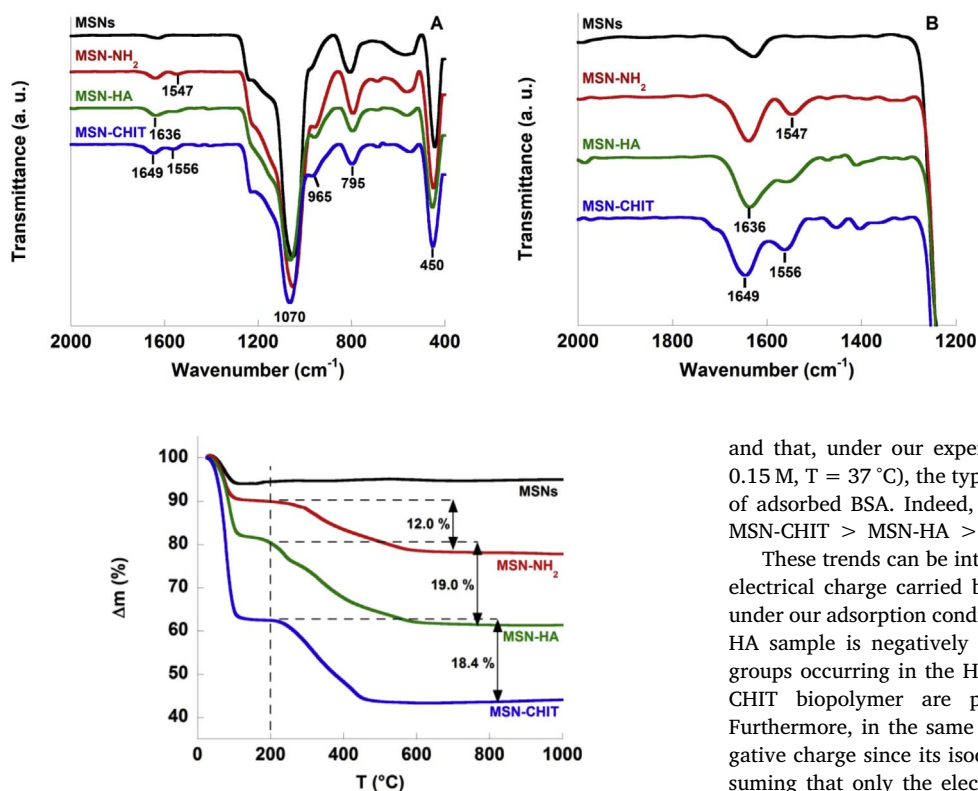


Fig. 3. Thermogravimetric analysis (TGA) of MSNs, MSN-NH₂, MSN-HA and MSN-CHIT samples.

Table 2

Characterization of biopolymer-functionalized MSNs and BSA-loaded MSNs. Percentage mass loss (Δm) below and above 200 °C. Zeta potential (ζ) and hydrodynamic diameter (d_{H}) in distilled water at 37 °C. Loading of BSA (L_{BSA}).

Sample	Δm (%)		ζ (mV)	L_{BSA} (mg/g)
	< 200 °C	> 200 °C		
MSN	7.6	4.0	-20.0 ± 0.2	–
MSN-NH ₂	10.2	12.0	$+30.6 \pm 0.5$	–
MSN-HA	19.6	19.0	-14.8 ± 0.9	–
MSN-CHIT	37.6	18.4	$+10.1 \pm 0.3$	–
MSN-NH ₂ + BSA	11.4	18.1	$+9.3 \pm 0.3$	65
MSN-HA + BSA	15.2	26.3	-18.5 ± 0.4	79
MSN-CHIT + BSA	11.9	29.7	-7.7 ± 0.6	127

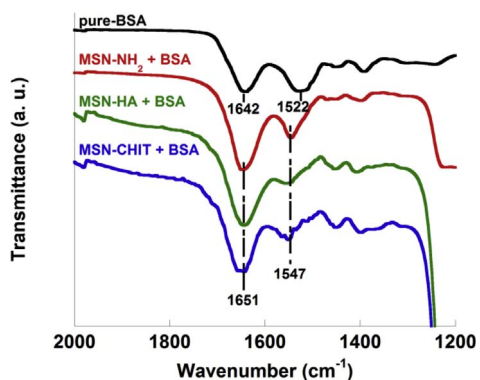


Fig. 4. FTIR spectra of functionalized MSNs loaded with BSA.

calculated from Δm (%) values, are $L_{BSA} = 65$ mg/g, 79 mg/g, and 127 mg/g for MSN-NH₂, MSN-HA and MSN-CHIT, respectively. These results confirm that BSA protein is effectively adsorbed on MSNs surface

Fig. 2. FTIR spectra of original and functionalized MSN samples.

and that, under our experimental conditions (pH 7.4, ionic strength 0.15 M, $T = 37$ °C), the type of functionalization modulates the amount of adsorbed BSA. Indeed, the amount of BSA loading is in the order MSN-CHIT > MSN-HA > MSN-NH₂.

These trends can be interpreted by taking into account the different electrical charge carried by the biopolymers and the protein. Indeed under our adsorption conditions (PBS pH = 7.4 and 0.15 M NaCl) MSN-HA sample is negatively charged due the dissociation of carboxylic groups occurring in the HA chains. On the contrary, amino groups of CHIT biopolymer are protonated, and thus positively charged. Furthermore, in the same physiological conditions, BSA has a net negative charge since its isoelectric point (IEP) is about 4.7 [68,69]. Assuming that only the electrostatic interaction is operating, we would expect a high BSA loading for MSN-CHIT and a negligible or low BSA loading for MSN-HA. BSA loading indeed decreases in the order MSN-CHIT > MSN-HA > MSN-NH₂. The non-negligible BSA loading recorded on MSN-HA, however, suggests that electrostatics is likely not the only interaction at work. Once BSA has been adsorbed on the MSNs surface, it changes the physico-chemical features of the NPs [11], as demonstrated by electrophoretic light scattering results reported in Table 2. Indeed, ζ -potential values for all samples are strongly affected by the presence of adsorbed BSA molecules. In particular, we observe a decrease of ζ values for MSN-NH₂ – from +30.6 to +9.3 mV – and a charge reversal for MSN-CHIT from +10.1 mV to –7.7 mV. This can be explained by a strong interaction between the negatively charged BSA and the positively charged particles, in our adsorption conditions. In the case of MSN-HA we observed a slightly more negative value of ζ from –14.8 mV to –18.5 mV. Such a change of ζ value is consistent with the adsorption of negatively charged BSA molecules on the negatively charged MSN-HA particles, as already confirmed by TGA data. Although the attraction of two species with like charges might sound counterintuitive, it should be recalled that BSA adsorption might take place on positively charged patches occurring also above its IEP [70]. Moreover, we should also consider that under physiological conditions electrostatics is screened. It is easy to calculate that at a 100 mM NaCl concentration the Debye length is about 1 nm. This means that a surface potential of 100 mV is reduced by charge screening to about 5–10 mV within the diffuse layer. Hence, above 100 mM salt concentration the intensity of the electrostatic force is comparable to that of non-electrostatic van der Waals interactions [71]. Therefore, due to electrolyte-induced surface potential screening, the ubiquitous attractive van der Waals forces between uncharged BSA and hyaluronic patches are likely responsible of the BSA loading of 79 mg/g. The fact that besides electrostatics also other interactions are operating is demonstrated by the lower BSA loading (65 mg/g) reached by the positively charged MSN-NH₂ (Scheme 2).

What obtained here seems to confirm the findings observed in a previous work where the internalization of functionalized MSNs on 3T3 mouse fibroblast cells was investigated [32]. Indeed, in the protein-rich cell culture medium MSN-NH₂ and MSN-HA particles were easily

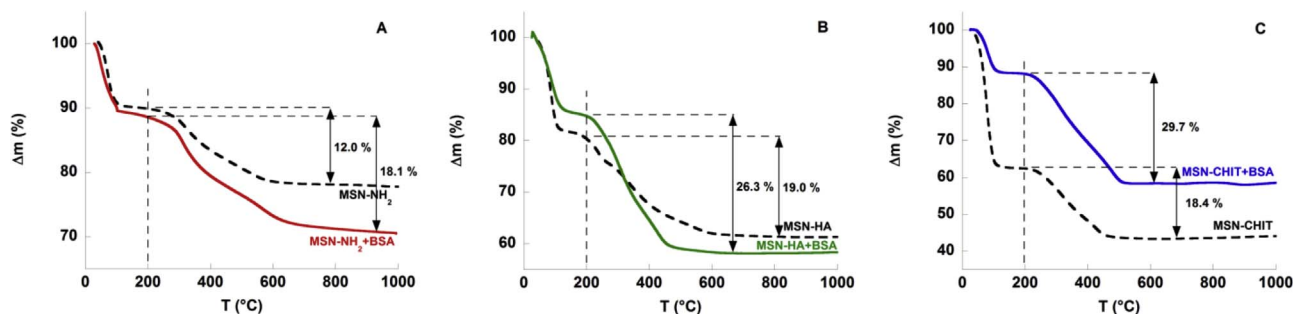


Fig. 5. Percentage mass loss profiles as a function of temperature for MSN-NH₂ (A), MSN-HA (B) and MSN-CHIT (C) and the corresponding BSA-loaded samples as determined by TGA.

internalized whereas MSN-CHIT resulted in the formation of large aggregates which could not be internalized by 3 T3 cells. The high BSA loading on MSN-CHIT, obtained by TGA measurements, is consistent with the drastic change of zeta potential (Table 2) which is likely the cause of the formation of those particle aggregates [32].

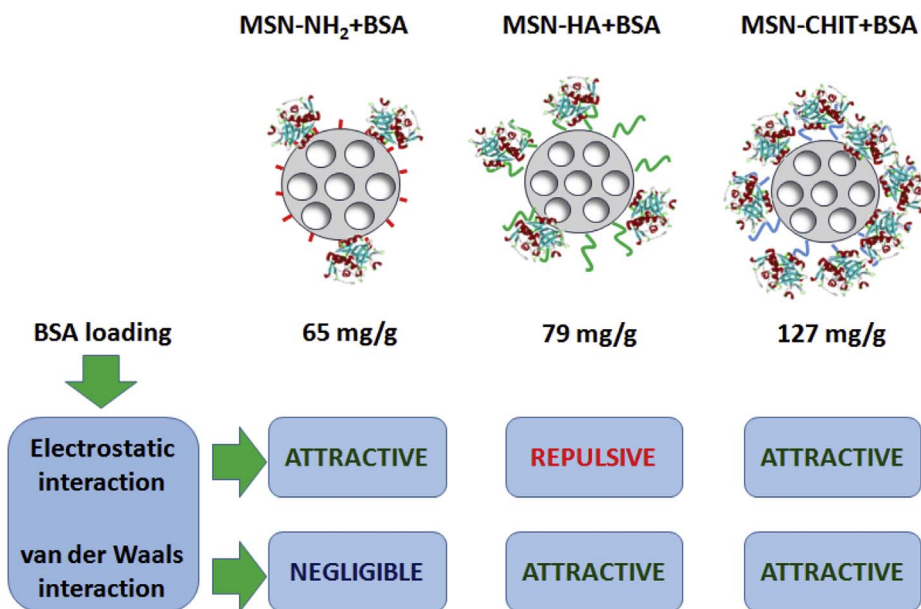
3.3. Transmission electron microscopy analysis

TEM characterization was used to highlight the inner mesoporous structure of all samples and confirm BSA adsorption on surfaces of most of MSN samples examined in this investigation. In detail, in order to detect the presence of BSA on the MSNs, we used a specific gold labelling technique that consisted mainly of BSA conjugated with gold nanoparticles (GNPs). The use of GNP-antibody conjugates was previously used to localize human lysozyme [72] and an antibody fragment [58] within the pores of SBA-15 mesoporous silica. Here, the BSA-GNPs conjugates allowed the visualization of BSA adsorbed on MSNs. Both MSN-HA and MSN-CHIT samples showed similar BSA-GNPs patterns that were mainly characterized by the presence of an evident and intense gold labelling decorating the MSN surfaces (Fig. 6). However, variability of gold labelling intensity was occasionally observed. Overall, by a careful examination of several samples, a qualitative higher abundance of gold labelling was observed for MSN-CHIT than for MSN-HA in agreement with TGA data. The lower abundance of gold labelling for MSN-NH₂ sample is also in qualitative agreement with the

lowest BSA loading, quantified through TGA (Table 2).

4. Conclusions

Taking into consideration the results of this study we can remark that the choice of a simple model provided interesting information on the interaction between BSA and functionalized MSNs. Our system was constituted by HA/CHIT-functionalized MSNs suspended in a 20 mg/mL BSA aqueous solution (phosphate buffer solution at pH 7.4 and NaCl 150 mM) at T = 37 °C. Within these conditions BSA interacts with the MSNs surface covered by the biopolymer. BSA is able to interact with both types of sample even though they carry opposite electric charges. This is an additional proof of the importance of non-electrostatic van der Waals interactions particularly in biological systems [71]. In fact, electrostatic and non electrostatic interactions likely cooperate to get the highest BSA loading on MSN-CHIT sample. For MSN-HA, instead, the two types of interactions have opposite effects which, nonetheless, overall result in a relatively high BSA loading. The effective adsorption of BSA is clearly demonstrated by TEM images of the samples treated with a GNP labelling procedure. Once BSA covers functionalized MSNs surface, the resulting surface potential is quite different from that of bare particles. That is a relevant finding which can influence the formation of the protein corona, and results in a different destiny of functionalized MSNs, once dispersed in a cellular medium or in a body fluid.



Scheme 2. Interactions responsible of the formation of the protein corona on functionalized MSNs.

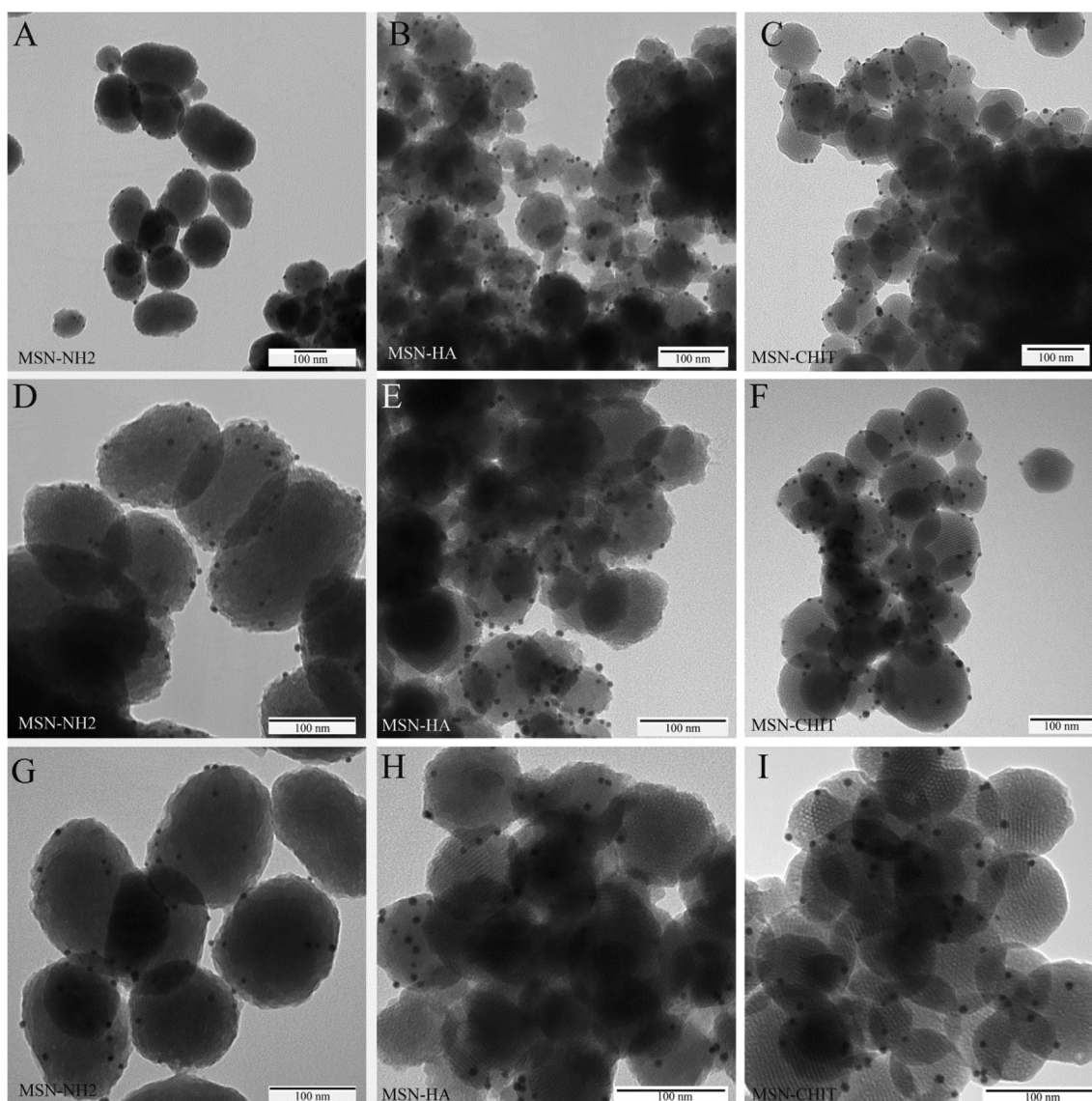


Fig. 6. TEM micrographs of MSN-NH₂ + BSA-GNPs (A, D, G), MSN-HA + BSA-GNPs (B, E, H) and MSN-CHIT + BSA-GNPs (C, F, I).

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Paper V

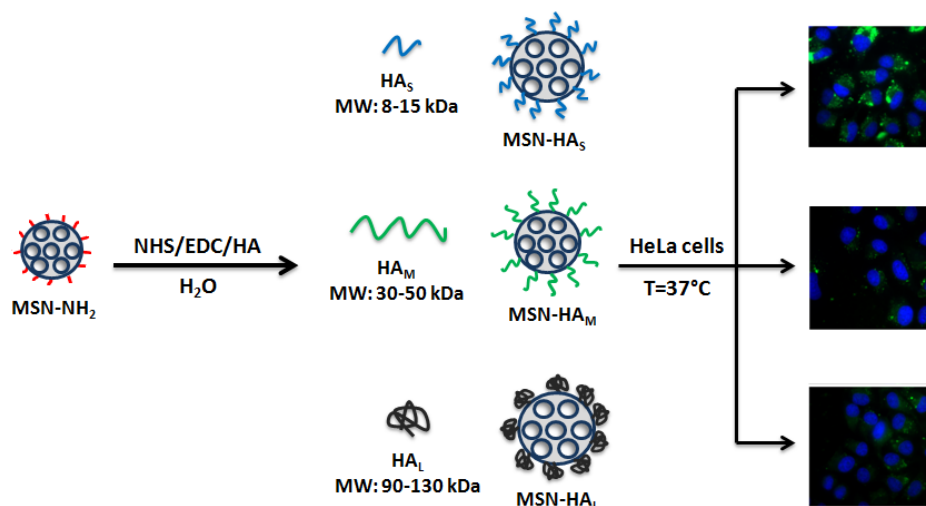
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Mesoporous Silica Nanoparticles Functionalized with Hyaluronic Acid. Effect of the Biopolymer Chain Length on Cell Internalization

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Mesoporous silica nanoparticles functionalized with hyaluronic acid. Effect of the biopolymer chain length on cell internalization

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ABSTRACT

Mesoporous silica nanoparticles (MSNs) were functionalized with amino groups (MSN-NH₂) and then with hyaluronic acid, a biocompatible biopolymer which can be recognized by CD44 receptors in tumor cells, to obtain a targeting drug delivery system. To this purpose, three hyaluronic acid samples differing for the molecular weight, namely HA_S (8–15 kDa), HA_M (30–50 kDa) and HA_L (90–130 kDa), were used. The MSN-HA_S, MSN-HA_M, and MSN-HA_L materials were characterized through zeta potential and dynamic light scattering measurements at pH = 7.4 and T = 37 °C to simulate physiological conditions. While zeta potential showed an increasing negative value with the increase of the HA chain length, an anomalous value of the hydrodynamic diameter was observed for MSN-HA_L, which was smaller than that of MSN-HA_S and MSN-HA_M samples. The cellular uptake of MSN-HA samples on HeLa cells at 37 °C was studied by optical and electron microscopy. HA chain length affected significantly the cellular uptake that occurred at a higher extent for MSN-NH₂ and MSN-HA_S than for MSN-HA_M and MSN-HA_L samples. Cellular uptake experiments carried out at 4 °C showed that the internalization process was inhibited for MSN-HA samples but not for MSN-NH₂. This suggests the occurrence of two different mechanisms of internalization. For MSN-NH₂ the uptake is mainly driven by the attractive electrostatic interaction with membrane phospholipids, while MSN-HA internalization involves CD44 receptors overexpressed in HeLa cells.

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1. Introduction

Cancer is a multifactorial widespread disease that represents a growing threat for human health [1]. The microenvironment of solid tumors is very similar to tissue repair sites of an injured tissue where activated platelets are involved in the release of vasoactive mediators, serum fibrinogen, growth factors, and proteins for the regeneration of a new healthy tissue. In particular epithelial and stromal cells are involved in a reciprocal signaling cross-talk responsible of the healing which is interrupted when

the tissue is completely regenerated, while persists in tumor tissue allowing for the growth of tumor and stimulating the angiogenesis [2–4]. This phenomenon takes place in solid tumors when they reach 2 mm³, and causes the formation of new blood vessels [5]. Long-circulating nanosized drug delivery systems (NDDS) can take advantage of the abnormal vascularization of tumor tissues. Indeed endothelial pores have dimensions between 10 and 1000 nm, and lymphatic vessels in tumor tissue are absent or non-functional, hence NDDS cannot efficiently be removed and are retained inside the endothelial pores. This passive phenomenon is known as Enhanced Permeability and Retention (EPR) effect [5].

Current therapies consist in the administration of chemotherapeutic drugs that are able to curb tumor growth, but these drugs are toxic for healthy cells and can produce several bad side effects [1,2]. In the recent years researchers widely focused on the possibility to

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produce site-specific drug nanocarriers. Several smart drug delivery systems based on functionalized liposomes [6,7], micelles [8], mesoporous silica nanoparticles [9–12], carbon nanotubes [13], and inorganic nanoparticles [14] have been engineered. These systems allow to address the drug administration to the diseased tissue only [5,7], thus obtaining a reduction of the toxic effects of the drug along with an increase of the local drug concentration, and consequently an improvement of the therapeutic efficacy [15].

In this context ordered mesoporous silica was used for the first time in 2001 by Vallet-Regi and co-workers [16], and, as a result of peculiar features such as high surface area and pore volume that allow for drug adsorption and controlled release, received great attention since then [10,11,17–25]. Moreover, the possibility to introduce smart functional groups at the internal and/or the external mesoporous silica surface [9,26–28] allows to promote different types of specific interactions with biological entities. Focusing on mesoporous silica nanoparticles (MSNs), huge improvements were obtained on specific actions such as the MSNs' performance towards the sustained drug release [9,26–28], the reduction of toxicity, the increase of the biocompatibility [10,29,30] and cell internalization [31], as well as the cytotoxicity towards tumor cells [32,33]. Indeed, several target molecules [10,17,30,34–37] and stimuli-responsive capping agents [27,34,38–41] can easily be grafted at the MSNs' surface. Target molecules allow the MSNs to reach the tumor tissue only through a molecular recognition mechanism. Then, once in the internal tissue, the stimuli-responsive capping agent [5,34] permits the release of the drug as a response to internal (change of pH [42–45], temperature [46–48], redox reactions [40,49–52]) or external (irradiation with light [53–55], magnetic field [55–57]) stimuli.

Hyaluronic acid (HA) is an anionic polysaccharide composed of D-glucuronic acid and N-acetyl-D-glucosamine units linked through alternating $\beta_{1,3}$ and $\beta_{1,4}$ glycosidic bonds [58,59]. HA is the main component of the extracellular matrix of the human connective tissue and one of the most important components of the skin. It also occurs at high concentrations in synovial joint fluids, vitreous humor of eyes, hyaline cartilage, intervertebral disc nucleus, and umbilical cord [59]. In humans HA is synthesized in plasma membrane by membrane-bound synthase (Has) [58]. This enzyme is present in three different isoforms: Has1 and Has2, responsible for the synthesis of high molecular weight HA ($\sim 2 \cdot 10^6$ Da), and Has3, responsible of low molecular weight ($< 3 \cdot 10^5$ Da) [58,60,61]. HA has also many physiological roles related to its structure as for example regulation of water in tissues, lubrication, structural and space-filling properties. If modifications of HA ordered structure occur, the physico-chemical properties, being controlled by HA's secondary and tertiary structure, also change, particularly the rheological behavior [58]. Furthermore HA has a very good affinity for CD44 receptors overexpressed in several cancer cells, property that makes it a great active tumor target [2,62]. Recently HA was used to target different kinds of NDDS like HA-conjugated polyamidoamine dendrimers [63], micelles [64], and ceramides [65] or HA-grafted graphene quantum dots [66], carbon nanotubes [67,68] as well as mesoporous silica nanoparticles [69].

Herein the behavior of HA-grafted MSNs was investigated, focusing on the effects of the biopolymer's chain length towards cell internalization. MSNs were functionalized with HA having different molecular weight: HA_S: 8–15 kDa, HA_M: 30–50 kDa and HA_L: 90–130 kDa. Dynamic (DLS) and electrophoretic (ELS) light scattering measurements were used to characterize the different systems at pH=7.4 at the temperature of 37 °C to simulate physiological conditions [45,70–72]. Fluorescence and electron microscopy experiments were then performed to highlight the effects of the polymer's chain length on the HA-grafted MSNs' internalization in HeLa cells.

2. Materials and methods

2.1. Chemicals

Tetraethoxysilane (TEOS, 98%), hexadecyltrimethylammonium bromide (CTAB, >99%), anhydrous toluene (99.8%), 3-aminopropyltriethoxysilane (APTES, >98%), hydrochloric acid (37%), fluorescein isothiocyanate (FITC) and triethylamine (>99%) were purchased from Sigma-Aldrich (Milan, Italy). NH₄NO₃ (>99.9%), NaH₂PO₄ (99%), Na₂HPO₄ (99%), acetic acid (>99.7%), NaOH (97%), N-(3-dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (EDC, >98%), N-hydroxysuccinimide (NHS, >97%) were purchased from Fluka. Hyaluronic acid sodium salt (HA_S: 8–15 kDa, HA_M: 30–50 kDa and HA_L: 90–130 kDa) was purchased from Contipro Biotech a.s. (Czech Republic).

2.2. Synthesis of amino-functionalized Mesoporous Silica Nanoparticles (MSN-NH₂)

A mass of 1 g of CTAB was dissolved in 480 g of Millipore water and 3.5 mL of NaOH (2 M) and the resulting solution was stirred at 80 °C. After 2 h a volume of 10 mL of TEOS was added dropwise. The solution was stirred for other 2 h, and then the resulting white precipitate (MSN-T) was filtered under vacuum, washed with distilled water and methanol, and dried overnight at room temperature. The grafting of the amino group on the surface of the MSNs was carried out by dispersing 1 g of the MSN-T sample in 45 mL of anhydrous toluene, and then adding dropwise 0.5 mL of APTES. The dispersion was stirred and kept at 110 °C under reflux overnight. The resulting MSN-T-NH₂ sample was collected by filtration, washed with acetone, and dried overnight at room temperature under vacuum. CTAB surfactant was removed by dispersing the previously synthesized material in 1 L of a 10 mg/mL NH₄NO₃ solution in ethanol:water (95:5), and then stirring the dispersion overnight under reflux. The functionalized mesoporous silica nanoparticles (MSN-NH₂) were recovered via filtration, washed with water and ethanol, and dried under vacuum at room temperature. The removal of CTAB is confirmed by the disappearance from FTIR spectra (Fig. S1) of the bands at 2930 cm⁻¹ and 2840 cm⁻¹ – assigned to asymmetric and symmetric stretching of C–H, respectively – the band at 1490 cm⁻¹, due to the C–H bending, and that at 1220 cm⁻¹ assigned to the C–N stretching of CTAB molecule.

2.3. Grafting of Hyaluronic Acid (HA) on MSN-NH₂

A mass of 0.5 g of MSN-NH₂ sample was dispersed in 50 mL of millipore water. In another vessel, 10 mL of an aqueous solution containing NHS (0.186 g) and EDC (0.113 g) were mixed with 30 mL of an aqueous solution containing 0.060 g of HA at different chain length (HA_S, HA_M and HA_L). The two solutions were mixed, and the pH was adjusted to 9.0 by adding triethylamine. Finally, the mixture was stirred at 38 °C overnight. The HA-modified MSNs (MSN-HA_S, MSN-HA_M and MSN-HA_L) were collected after centrifugation, washed extensively with millipore water, and dried under vacuum and at room temperature overnight.

FITC labeling of functionalized MSNs was carried out by dispersing

40 mg of MSN-NH₂ or HA-grafted MSNs (MSN-HA_S, MSN-HA_M and MSN-HA_L) in 5 mL of a 3.75 mM FITC solution in ethanol. The dispersion was stirred at room temperature under dark conditions for 3 h. The obtained yellow powder was centrifuged, washed with ethanol to remove the excess of FITC, and dried under vacuum. These FITC labeled MSNs were used for fluorescence microscopy.

2.4. Physico-chemical characterization of functionalized MSNs

Textural analysis was carried out on an ASAP 2020 instrument, by determining the N₂ adsorption/desorption isotherm at 77 K. Before analysis functionalized MSNs samples were heated at 40 °C, at the rate of 1 °C/min, under vacuum for 60 h. The Brunauer-Emmett-Teller (BET) [73] and Barrett-Joyner-Halenda (BJH) [74] methods, calculated from adsorption and desorption branch of N₂ isotherm, were used to calculate surface area, pore volume and pore size distribution, respectively.

Small-angle X-ray scattering (SAXS) patterns were recorded through a S3-MICRO SWAXS camera system (HECUS X-ray Systems, Graz, Austria). CuK α radiation of wavelength 1.542 Å was provided by a GeniX-X-ray generator, operating at 30 kV and 0.4 mA. A 1D-PSD-50 M system (HECUS X-ray Systems, Graz, Austria) containing 1024 channels of width 54.0 μ m was used for detection of scattered X-rays in the small-angle region. The working q-range (Å⁻¹) was $0.003 \leq q \leq 0.6$, where $q (=4\pi \sin \theta \lambda^{-1})$ is the modulus of the scattering wave vector and θ is the scattering angle. Thin-walled 2 mm glass capillaries were filled with the sample for the scattering experiments. The scattering patterns were recorded for 1 h.

Thermogravimetric analysis (TGA) was carried out on a TG-DTA 320 Seiko thermo-balance. Thermal analysis data were collected in the 25–1000 °C range, under oxygen flow (heating rate = 10 °C/min; flow rate = 50 mL min⁻¹).

The hydrodynamic diameter (d_H) and the zeta potential (ζ) of MSNs were measured using a Zetasizer Nano ZSP (Malvern Instruments) in backscatter configuration ($\theta = 173^\circ$), at laser wavelength of $\lambda = 633$ nm. The scattering cell temperature was fixed at 37 °C, and the data were analyzed with the Zetasizer software 7.03 version. For both d_H and ζ measurements the sample was prepared by suspending MSNs (1 mg/mL) in filtered (0.2 μ m polypropylene filter, Whatman) milliQ water, 25 mM phosphate buffer (pH 7.4). Samples were sonicated for 30 min and left under stirring overnight. The samples were sonicated for other 30 min and then the measurements were carried out. ζ -potential values were calculated by mean of the Henry equation [75]:

$$\mu_E = \frac{2\varepsilon\zeta f(ka)}{3\eta} \quad (1)$$

where μ_E is the electrophoretic mobility, η is the viscosity of the dispersant, and $f(ka)$ the Henry's function, $\varepsilon (=e_0 e_r)$ is water permittivity and $\varepsilon_0 (=8.85$ pF/m) is vacuum permittivity. For our experiments we assumed as dispersant water ($\varepsilon_r = 78.5$ at 25 °C and 74.40 at 37 °C, and $\eta = 0.89$ cP at 25 °C and 0.69 cP at 37 °C) and $f(ka) = 1.5$ in agreement with Smoluchowski approximation commonly used for nanoparticles larger than 0.2 μ m dispersed in aqueous media and with electrolytes concentration higher than 10 mM.

Fourier transform infrared (FTIR) studies were carried out with a Bruker Tensor 27 spectrophotometer equipped with a diamond-ATR accessory and a DTGS detector. A number of 256 scans at a resolution of 2 cm⁻¹ were averaged from wave number 4000–400 cm⁻¹. The Opus software was used for data handling.

2.5. Biological assays

The human cervical carcinoma HeLa cell line and 3T3 murine fibroblasts (kindly provided by Dr. A. Diana, University of Cagliari) were grown in DMEM medium supplemented with 10% fetal bovine serum (FBS), 2 mM L-Glutamine, penicillin (100U/mL) and streptomycin (100 μ g/mL) at 37 °C in 5% CO₂.

Cell Growth was evaluated culturing 3×10^4 /mL HeLa cells in 12 well plates. The day after ($t = 0$) cells were treated with 50 μ g/mL of MSN-NH₂, and with HA-modified MSNs (MSN-HA_S, MSN-HA_M and MSN-HA_L). After 24 h nanoparticles were removed, and cells were

cultured with fresh medium. Cell counts were performed immediately ($t = 24$ h), after 24 ($t = 24$ h + 24 h) and 48 ($t = 24$ h + 48 h) h following nanoparticles removal, using a Scepter Cell Counter, Millipore. All the experiments were performed at least in triplicate for each group.

For fluorescence microscopy, HeLa cells were grown in Dulbecco's Modified Eagle's Medium (DMEM; Sigma Aldrich) supplemented with fetal calf serum (10%) at 37 °C in a humidified atmosphere containing 5% CO₂. Cells were seeded in 3 cm dishes containing glass coverslips at a density of 1×10^5 /mL, left to grow for 24 h, and then incubated with 50 μ g/mL FITC-MSN-NH₂ or FITC-MSN-HA_x, where x = L (long), M (medium), and S (short) HA chain, for varying times (4 and 24 h) either at 37 °C or 4 °C. After incubation, the cells were washed with PBS to remove unbound nanoparticles, fixed with paraformaldehyde (4%), stained with the nuclear dye Hoechst 33342 and observed through a fluorescence microscope (Olympus BX41) or processed for immunocytochemistry.

For immunocytochemistry assay, cells on coverslips were pre-incubated with normal goat serum (1:5, Vector, Burlingame, CA, USA) for 30 min. Cells were then overlaid for 60 min at room temperature with the mouse anti-CD44 antibody (1:200, Chemicon). Then the cells were washed three times with PBS and subsequently incubated for 1 h with an anti-mouse IgG conjugated with Alexa Fluor 594 (1:500, Molecular Probes). Nuclei were counterstained with Hoechst 33342. Negative controls were routinely performed for each experiment, incubating the samples with non-immune serum and then with appropriate secondary antibody. Imaging was carried out using an Olympus BX41 fluorescence microscope.

A quantitative determination of the cellular uptake was provided by flow cytometry measurement. HeLa cells (1×10^5 /mL) seeded in 6 cm plates were grown for 24 h and then treated with FITC-MSN-NH₂ or FITC-MSN-HA (HA_S, HA_M, HA_L) for 4 h either at 37 °C or 4 °C. After incubation, the cells were washed with PBS, harvested by trypsinization, suspended in PBS and analyzed by a FACSCANTO flow cytometer (BD-Bioscience) and analyzed with FACSDiva software Version 6.1.3 (BD Biosciences) and FloJo V7.6.5 software.

MSNs internalization was also visualized through Transmission Electron Microscopy. Samples of HeLa cells, incubated with MSN-NH₂, and with HA-modified MSNs (MSN-HA_S, MSN-HA_M and MSN-HA_L) for 24 h at 37 °C, were fixed for 2 h in a solution of 1% (para)formaldehyde (Electron Microscopy Sciences) and 1.25% glutaraldehyde (Electron Microscopy Sciences) in 0.1 M sodium cacodylate (Electron Microscopy Sciences) buffer, at pH 7.4. After rinsing in the same buffer after fixation, samples were postfixed in 1% osmium tetroxide for 1 h and stained in 0.25% aqueous uranyl acetate overnight at 4 °C. Dehydration of cell cultures in an ascending graded series of ethanol and xylene was followed by infiltration and embedding in Epon 812 resin. The specimens were transferred to flat embedding molds and polymerized in an oven at 60 °C for 24 h. Finally, thin sections (60–90 nm thick) were cut with a LKB ultratome 8800 ultramicrotome, post-stained with uranyl acetate and bismuth subnitrate, observed and photographed in a transmission electron microscope (JEOL 100S model, Tokyo, Japan) operating at 80 kV.

3. Results and discussion

Functionalized MSNs, prepared according to methods described in the previous section, were firstly characterized for their structural, textural and surface properties through TEM, SAXS, N₂ adsorption isotherms, along with FTIR, TGA, DLS and ELS experiments. Then, to evaluate biocompatibility and cell internalization

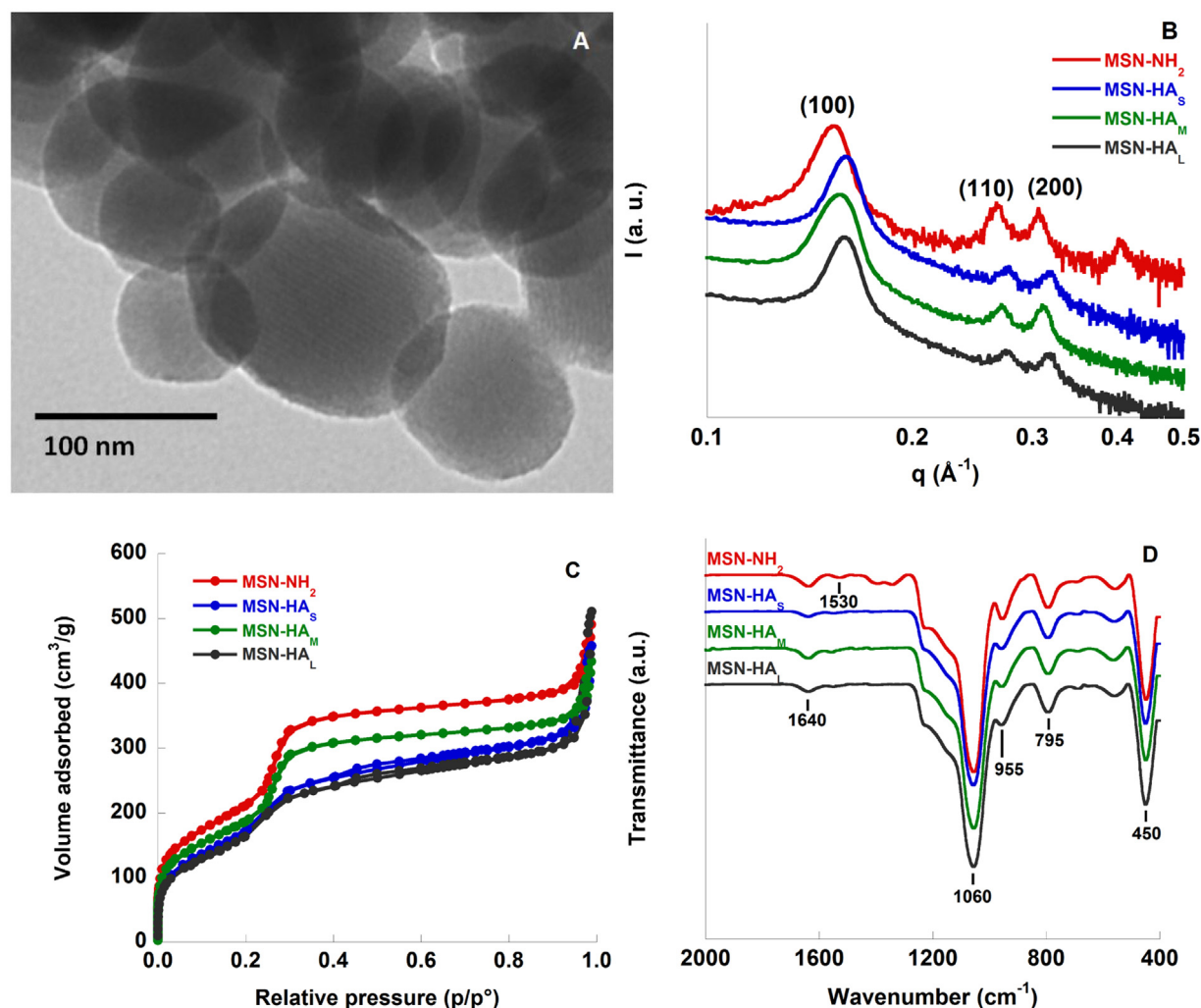


Fig. 1. Characterization of Functionalized MSNs: (A) TEM micrograph, (B) SAXS pattern, (C) N₂ Adsorption/desorption isotherms, and (D) FTIR spectra obtained for functionalized MSNs.

performance, biological assays on HeLa cells were performed using image techniques, namely optical and electron microscopy.

3.1. Characterization of functionalized MSN samples

A TEM micrograph of MSNs is shown in Fig. 1A. The particles are almost spherical and display, as expected, parallel pore channels. The SAXS patterns of MSN-NH₂, MSN-HA_S, MSN-HA_M and MSN-HA_L, shown in Fig. 1B, are typical of hexagonal structures, displaying an intense peak due to reflection of (100) plane and two weak peaks due to the reflection of (110) and (200) planes, respectively. This result confirms that the functionalization preserves the original ordered structure of the materials. The lattice parameters, calculated using the usual equation $a_{\text{Hex}} = (2/3)^{0.5} \times (h^2 + k^2 + l^2)^{0.5}$, are reported in Table 1. The N₂ adsorption/desorption isotherms of MSNs materials are reported in Fig. 1C. They exhibit the typical trend of type IV isotherms, with a sharp increase of gas volume adsorption at relative pressure values in the range 0.2–0.4 p/p⁰. Table 1 reports also the data of surface area (S_{BET}), pore diameter (d_{BJH}) and pore volume (V_{pBJH}), whereas Fig. S2A shows the pore size distribution obtained for the functionalized materials. The FTIR spectra reported in Fig. 1D for the same samples show the characteristic peaks of silica materials, two peaks at 1060 cm⁻¹ and 800 cm⁻¹ due to the asymmetric and symmetric stretching vibration of Si-O-Si, respectively, and other two at 450 cm⁻¹ and

960 cm⁻¹ that can be related to O-Si-O bending and Si-OH stretching, respectively [76,77]. Surface functionalization is confirmed by the presence of two peaks at 1530 and 1640 cm⁻¹ that are related to -NH₂ bending [78] and C=O stretching [79] for NH₂- and HA-modified MSNs, respectively. Concerning the peak at 1640 cm⁻¹, it cannot be excluded that it could also be due to the C=O stretching of NHS used to activate the -COOH groups of HA. Nonetheless, NHS is soluble in water and its excess has likely been fully removed during the last synthesis step where an extensive washing of the sample was carried out. In any case the functionalization of MSN-NH₂ with HA is confirmed by TGA and ELS measurements as described below. Fig. S2 B displays the percentage mass loss as a function of temperature for functionalized MSNs. Values of mass loss below and above 200 °C are reported in Table 1. For temperature below 200 °C mass loss is due to the removal of humidity and to the condensation of surface silanols. More interesting are the results at temperature above 200 °C when organic groups are burnt, although the mass loss measured for MSN-HA_L is relatively low compared to those found particularly for MSN-HA_M sample.

3.2. Electrophoretic (ELS) and dynamic (DLS) and light scattering measurements

The functionalized MSNs materials were also characterized through electrophoretic light scattering (ELS) (Fig. S2C) and

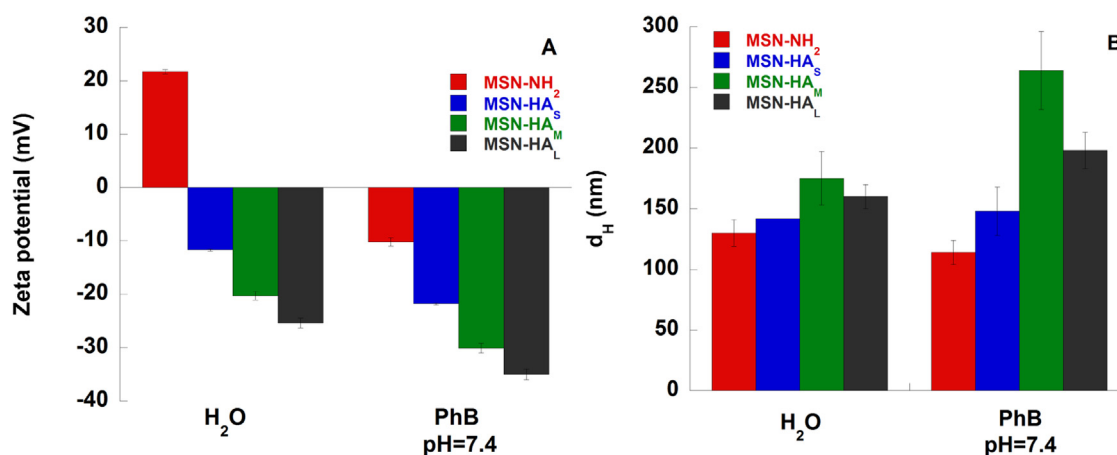


Fig. 2. Functionalized MSNs suspended in water and phosphate buffer PhB at pH 7.4. (A) Zeta potential and (B) hydrodynamic diameter, at 37 °C.

Table 1

Characterization of functionalized MSNs. Surface area (S_{BET}), pore diameter (d_{BJH}), pore volume (V_p), and lattice parameter (a) of Functionalized MSNs. TGA measurements: mass loss (%). pH, zeta potential (ζ) values from ELS, and hydrodynamic diameters (d_{H}) from DLS (in milliQ water at 25 °C).

Sample	^a S_{BET} (m ² /g)	^b $d_{\text{Des,BJH}}$ (Å)	^c $V_{\text{pDes,BJH}}$ (cm ³ /g)	^d a (Å)	^e Mass loss (%)	^f Mass loss (%)	pH	^e ζ (mV)	^e d_{H} (nm)
MSN-NH ₂	805	23.0	0.64	47.0	10.2	12.0	4.23	+34 ± 1	160 ± 6
MSN-HA _S	753	21.3	0.75	45.2	8.0	12.1	8.65	-11.3 ± 0.2	192 ± 15
MSN-HA _M	711	23.0	0.56	43.8	7.6	17.3	8.46	-17 ± 3	197 ± 8
MSN-HA _L	735	20.6	0.83	45.4	7.6	15.7	8.73	-20.4 ± 0.7	168 ± 11

^aSurface area calculated by the BET method. ^bPore diameter from the desorption branch calculated by BJH method. ^cPore volume from the desorption branch calculated at $p/p^* = 0.99$ by BJH method. ^dLattice parameter obtained by SAXS. ^e $T < 200$ °C. ^f $T > 200$ °C. ^eReported values are the average of at least three measurements.

dynamic light scattering DLS (Fig. S2D) measurements to highlight the effects of the external functionalization. As reported in Table 1 and in Fig. S2C, ζ values change as a result of the functionalization with the biopolymer. Indeed the positive value obtained for MSN-NH₂ (+34 mV) becomes negative for MSN-HA systems, due to the presence of carboxylic groups in the biopolymer's chain. Furthermore ζ values become more negative with increasing the length of HA's chain, and then the number of dissociated carboxylic groups as demonstrated by the basic pH values. From DLS measurements we would expect an increase, of the particle's size, d_{H} (Table 1, and Fig. S2D) along the series: MSN-NH₂ < MSN-HA_S < MSN-HA_M < MSN-HA_L. In fact, d_{H} increased going from MSN-NH₂ (160 ± 6 nm) to MSN-HA_S (192 ± 15 nm), but remained unchanged for MSN-HA_M (197 ± 8 nm), and decreased for MSN-HA_L (168 ± 11 nm) as obtained through DLS analysis. The increase of biopolymer chain length, going from HA_S (MW = 8–15 kDa) to HA_M (MW = 30–50 kDa), and to HA_L (MW = 90–130 kDa) increases the probability of a multi-point attachment of HA on MSN-NH₂ which simply does not allow the full disentanglement of the biopolymer chain. This is likely the reason of the counterintuitive trend of measured d_{H} values. However, independently of the hydrodynamic diameter and HA's MW, all grafted-HA MSNs produce the inversion of the zeta potential. This was $\zeta = +34$ mV for MSN-NH₂ and became negative for MSN-HA samples ($\zeta = -11, -17, -20$ mV for short, medium, and long HA) paralleled by a strong increase of pH (from 4.2 to 8.5–8.7), as a result of a high number of dissociated carboxylic groups. The long biopolymer chains of HA_L, multi-point attached and entangled over the MSN-NH₂ surface may produce a thick layer that increases the negative ζ , but does not enlarge the average particle's diameter of MSN-HA_L sample significantly.

In the perspective of using MSNs in biological media, the observation that HA grafting on the MSN-NH₂ surface produces a strong effect on the pH of the aqueous dispersions of the functionalized MSNs, suggested to deepen the characterizations evaluating the effect of pH using a pH buffer, at 37 °C. The functionalized MSNs suspended in water, 25 mM phosphate buffer at pH = 7.4 (PhB) were

examined at 37 °C through ELS and DLS. The results are presented in Fig. 2 and Table S1.

As expected in water medium at 37 °C, ζ values are positive for MSN-NH₂ and negative for MSN-HA systems, being the values very similar to those determined at 25 °C. In phosphate buffer at pH 7.4 all functionalized MSNs display negative values of ζ , being the ζ values increasingly negative according to the following sequence: $-\text{NH}_2 < -\text{HA}_S < -\text{HA}_M < -\text{HA}_L$. Comparing these values to those measured in water a significant adsorption of the H_2PO_4^- and HPO_4^{2-} anions at the solid surface can be suggested not only for MSN-NH₂ (that is expected as a result of strong electrostatic interactions induced particularly by divalent HPO_4^{2-} anions), but also for HA-grafted MSNs (see ζ values in Table S1): in the latter case the adsorption of buffer anions is likely due to specific interactions originated by van der Waals (non-electrostatic) forces [80–82]. Turning the attention to the particles' size d_{H} determined through DLS (see Fig. 2B) we may remark the rather high standard deviations of the obtained values (Table S2). Again we observe the apparently anomalous d_{H} values measured for MSN-HA_L in both media (water, PhB at pH = 7.4), as in the case of data at 25 °C, reported in Table S1.

3.3. Optical microscopy

To evaluate the cellular uptake, HeLa cells were treated with FITC-conjugated MSN-HA particles and studied by fluorescence microscopy and cytofluorimetry. As shown in Fig. 3, after 4 h of treatment at 37 °C, all MSNs were internalized by cells. In particular, MSN-HA uptake is likely mediated by CD44 receptors, that are highly expressed in HeLa cells (Fig. 3). In fact, when a similar experiment was performed at 4 °C, nanoparticles were not internalized, suggesting that an ATP-dependent endocytosis is involved in MSN-HA uptake which is relatively inactive at low temperatures (Fig. 3) [83]. On the other hand, when HeLa cells were incubated with MSN-NH₂ at 4 °C, a strong fluorescence was observed on the surface of cells, likely due to an attractive interaction between positively charged nanoparticles and negatively charged phospholipids of cell membrane (Fig. 3) [84].

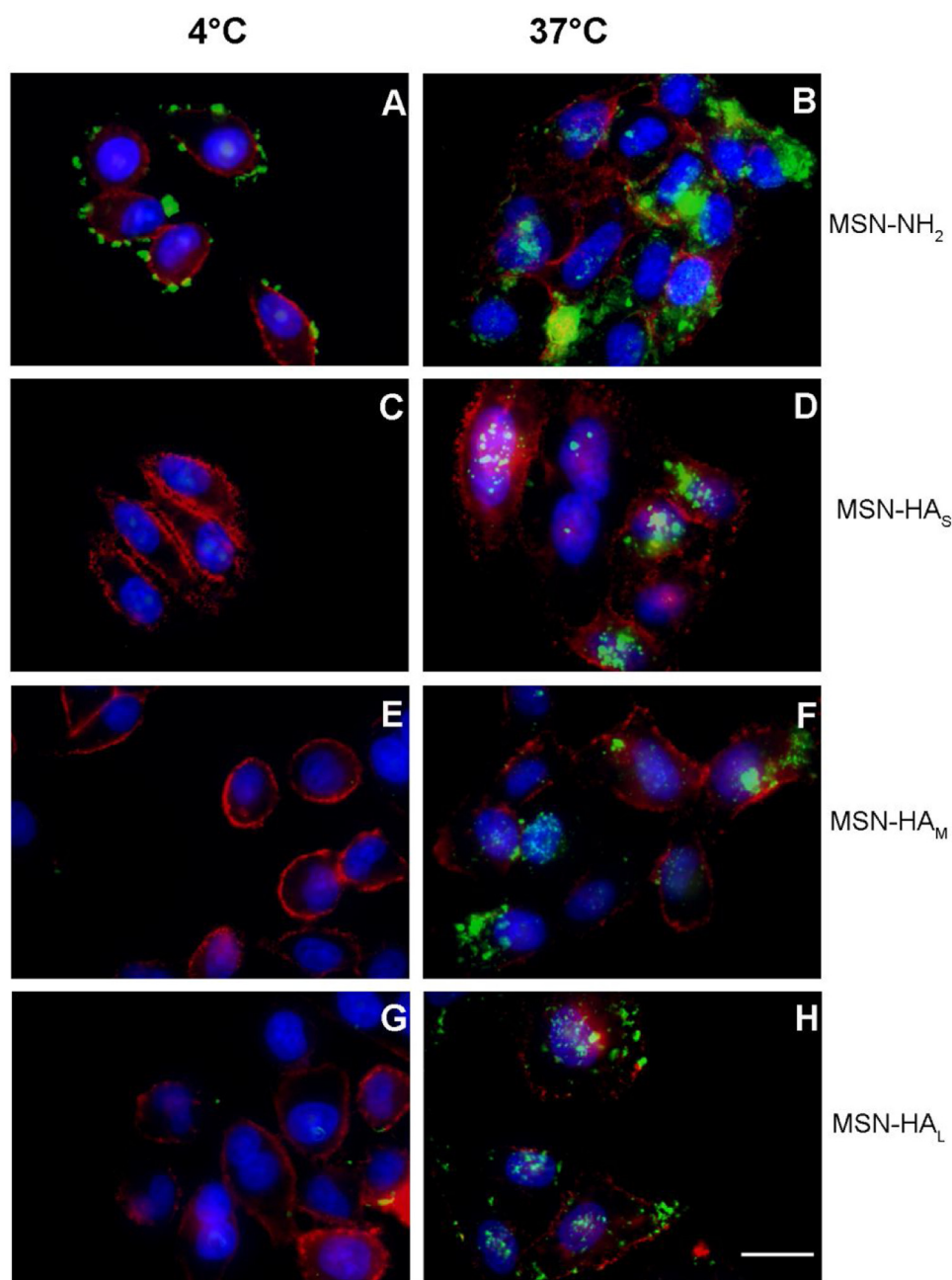


Fig. 3. Fluorescence microscopy images of HeLa cells treated for 4 h at different temperatures (4 °C and 37 °C) with FITC labeled MSNs. Cell membranes are immunostained with CD44 antibody (red) and nuclei are stained with Hoechst (blue). Bar: 20 μm . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Moreover, we compared the cell internalization of MSN-HA in HeLa cells and in a CD44 receptor-negative cell line (3T3 cells). In contrast to HeLa, the rate of MSNs internalization was very low in 3T3 cells after 4 h incubation with MSN- HA₅ (Fig. S3), as already observed by Zhao et al. [83] and Wang et al. [85]. This highlights the importance of CD44 receptors to mediate cell uptake. On the other hand, our previous results showed MSN-HA uptake by 3T3 after 24 h incubation [29], suggesting that alternative internalization mechanisms may take place in these cells following prolonged MSN-HA exposure.

A quantitative evaluation of the cellular uptake was provided by cytofluorimeter analysis that confirmed results obtained by microscopy (Fig. S4). In addition, cytofluorimetry showed a different rate of internalization, since MSN-NH₂ and MSN-HA₅ particles

were internalized by all cells, whereas both MSN-HA_M and MSN-HA_L were detected only in about 50% of cells. These differences were detected also by fluorescence microscopy after 24 h treatment (Fig. S5). MSN particle internalization does not affect cell growth and proliferation as indicated by cell count results (Fig. S6).

3.4. Electron microscopy

TEM experiments highlighted a well preserved ultrastructure of HeLa cells after treatment with MSNs, being mainly characterized by the presence of a well-developed Golgi apparatus, endoplasmic reticulum and several mitochondria in their cytoplasm. TEM observations confirmed the fluorescence microscopy results, highlighting the presence of functionalized MSNs in the cytoplasm of the

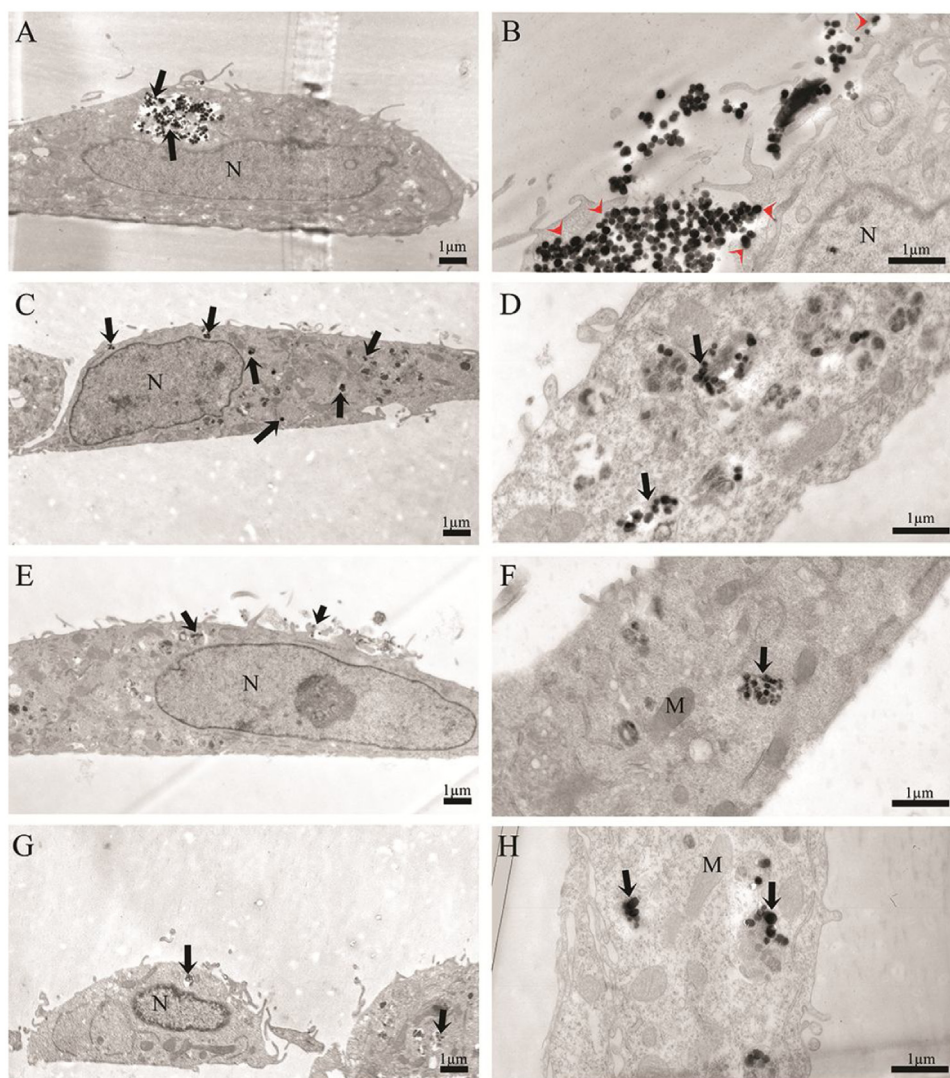


Fig. 4. Electron micrographs of HeLa cells incubated for 24 h: (A–B) MSN-NH₂, (C–D) MSN-HA₅, (E–F) MSN-HA_M, (G–H) MSN-HA_L. Black arrows show the presence of the internalized MSNs. (B) Detail of cytoplasmic portion of HeLa cell displaying intense MSN-NH₂ interaction with the external cellular surface (red arrowheads).

HeLa cells after treatment with all types of particles (Fig. 4) [31,86]. No MSNs were detected in the nuclear compartment (Fig. 4).

The MSNs were found confined within cytoplasmic vesicles delimited by a single membrane, suggesting an endocytosis process for all types of functionalized particles. This mechanism of internalization was proposed also by Guo and co-workers [87] which explained how particle size can affect the mechanism and the rate of cellular internalization. Indeed, MSNs with dimensions around 200 nm are easily internalized with an endocytosis process. However, differences concerning the amount of internalized MSNs were observed. In detail, the HeLa cells following the MSN-NH₂ and MSN-HA₅ treatment exhibited the larger amount of internalized MSNs and cell surface interacting MSNs (Fig. 4A–D), whereas only few internalized MSNs were observed following MSN-HA_M, and MSN-HA_L exposure (Fig. 4E–H). Interestingly, a higher association of MSN-NH₂ to the cellular surface was observed (Fig. 4B).

The Optical microscopy and TEM results indicate that two different internalization mechanisms occur for MSN-NH₂ and MSN-HA samples. Indeed, while for MSN-NH₂ the uptake is mediated by the electrostatic attraction between the positive surface charge of the material and the negatively charged cell membrane, for MSN-HA samples the uptake is likely mediated by CD44 receptors overexpressed in HeLa cells (Fig. 3). Indeed, the MSN-HA internalization

process occurred at 37 °C only, whereas is inhibited at 4 °C, likely due to the inactivation of the ATP-dependent endocytosis at low temperature (see Figs 3–4).

4. Conclusions

In this work the behavior of different MSNs samples, functionalized with amino groups and HA with increasing chain length (HA₅ > HA_M > HA_L), was investigated. They showed different ζ and hydrodynamic diameter in distilled water and at pH 7.4. We found an apparently anomalous d_H value of MSN-HA_L, which was smaller than values obtained for the other samples (MSN-HA₅ and MSN-HA_M). These smaller d_H values observed for MSN-HA_L are likely due to the high MW and possible multi-point attachment of HA chains entangled over the solid surface [88]. The internalization of MSNs samples on HeLa cells was then evaluated. Cells were incubated at 37 °C and 4 °C with the MSNs and visualized through optical and electron microscopy. The image findings suggest important details on the internalization mechanism driven by the ATP-dependent endocytosis, and also by CD44 receptors in the case of HA functionalized MSNs. Remarkably, MSN-NH₂ adsorbs at HeLa surface (Fig. 3A) also at low temperature because of a favorable electrostatic interaction. Finally, the chain length has also an effect on

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Supporting Information

Mesoporous Silica Nanoparticles Functionalized with Hyaluronic Acid. Effect of the Biopolymer Chain Length on Cell Internalization

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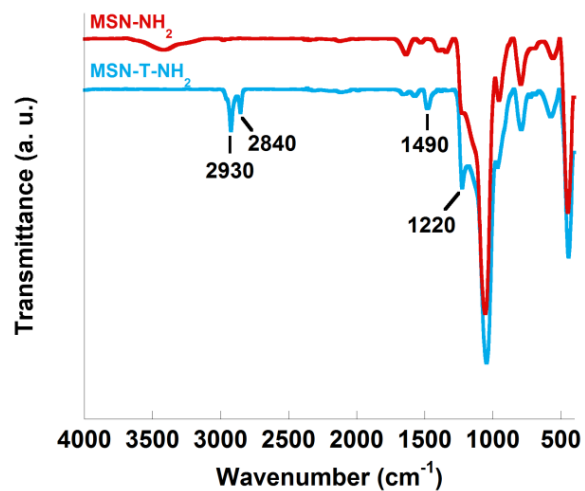


Fig. S1. FTIR spectra of before (MSN-T-NH₂) and after (MSN-NH₂) the removal of template.

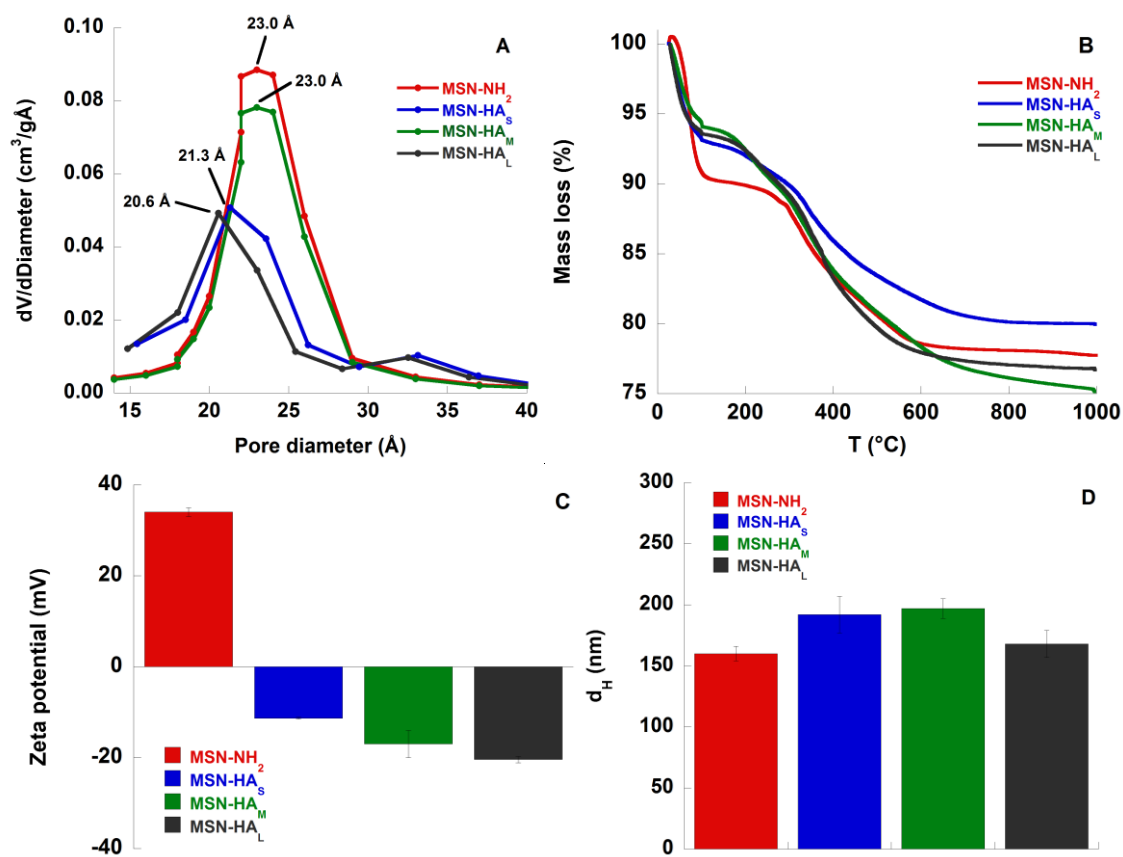


Fig. S2. (A) pore size distribution, (B) percentage mass loss profiles as a function of temperature, (C) Zeta potential (ζ) from ELS, and (D) hydrodynamic diameter (d_H) of functionalized MSNs.

Table S1. Values of ζ and d_H in water and 25 mM phosphate buffer (PhB) at pH=7.4 at 37°C for MSN-NH₂, MSN-HA_S, MSN-HA_M and MSN-HA_L.

Sample	H ₂ O		Ph-B pH=7.4	
	ζ (mV)	d_H (nm)	ζ (mV)	d_H (nm)
MSN-NH ₂	+21.7±0.4	130±11	-10.2±0.8	114±10
MSN-HA _S	-11.7±0.2	142±14	-21.8±0.2	148±20
MSN-HA _M	-20.3±0.8	175±22	-30.1±0.9	264±32
MSN-HA _L	-25.4±0.9	160±10	-35±1	198±15

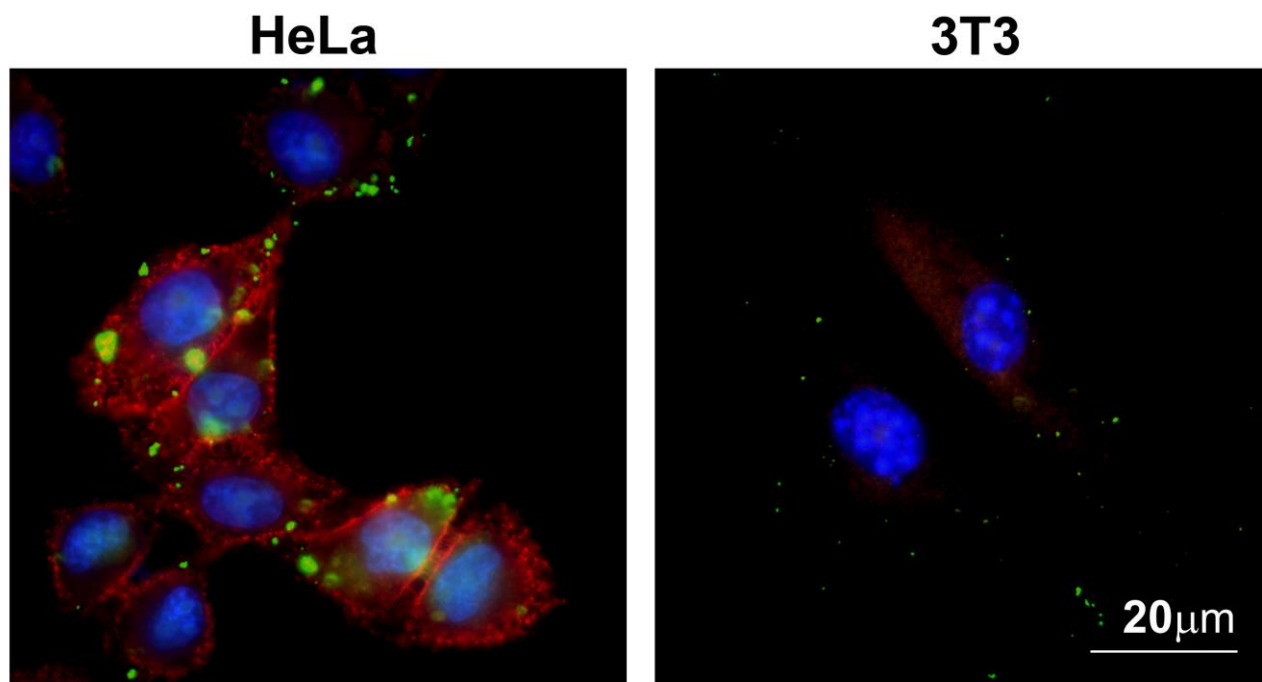


Fig. S3. Fluorescence microscopy images of 3T3 and HeLa cells treated for 4 h at 37°C with FITC labeled MSN-HAs. Cell membranes are immunostained with CD44 antibody (red) and nuclei are

stained with Hoechst (blue). Bar: 20 μm .

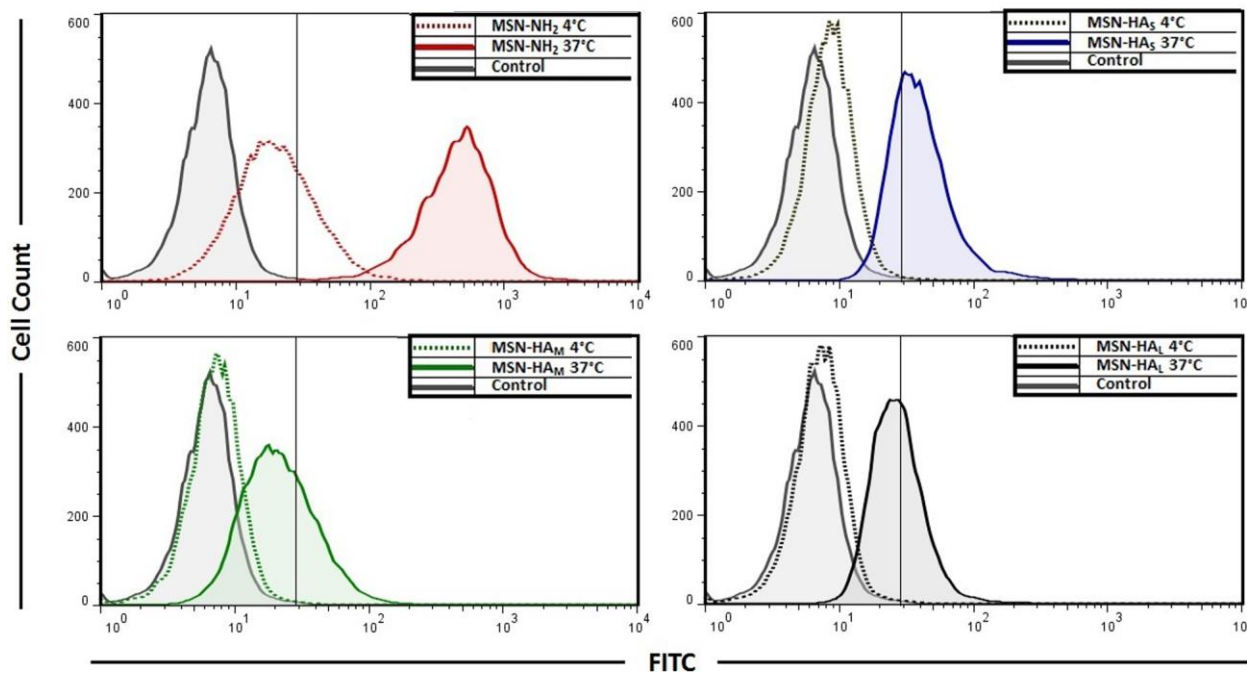


Fig. S4. Flow cytometry analysis on cells treated with FITC labeled MSN for 4h at different temperatures.

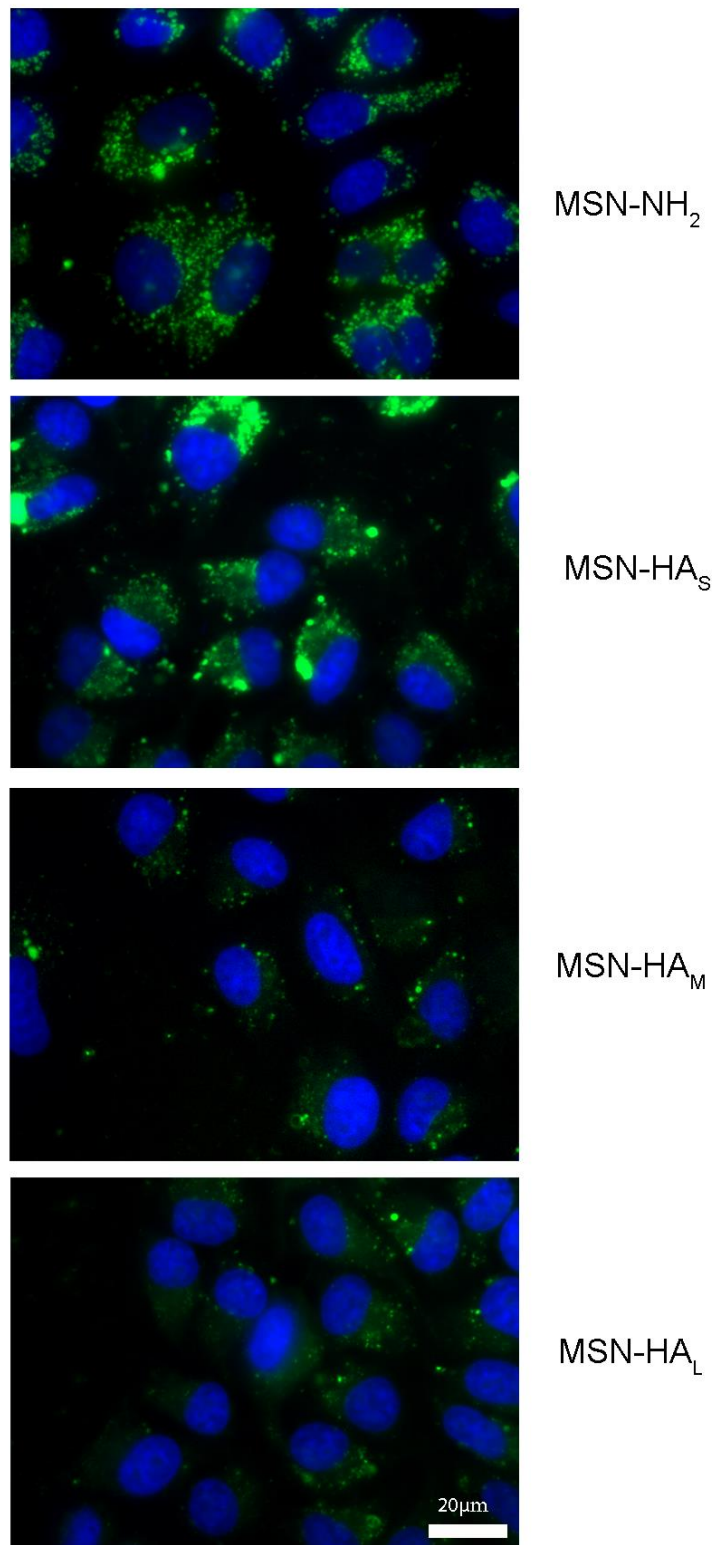


Fig. S5. Internalization of MSNs using fluorescence microscopy of HeLa cells incubated for 24 with 50 $\mu\text{g}/\text{mL}$ of FITC-MSNs

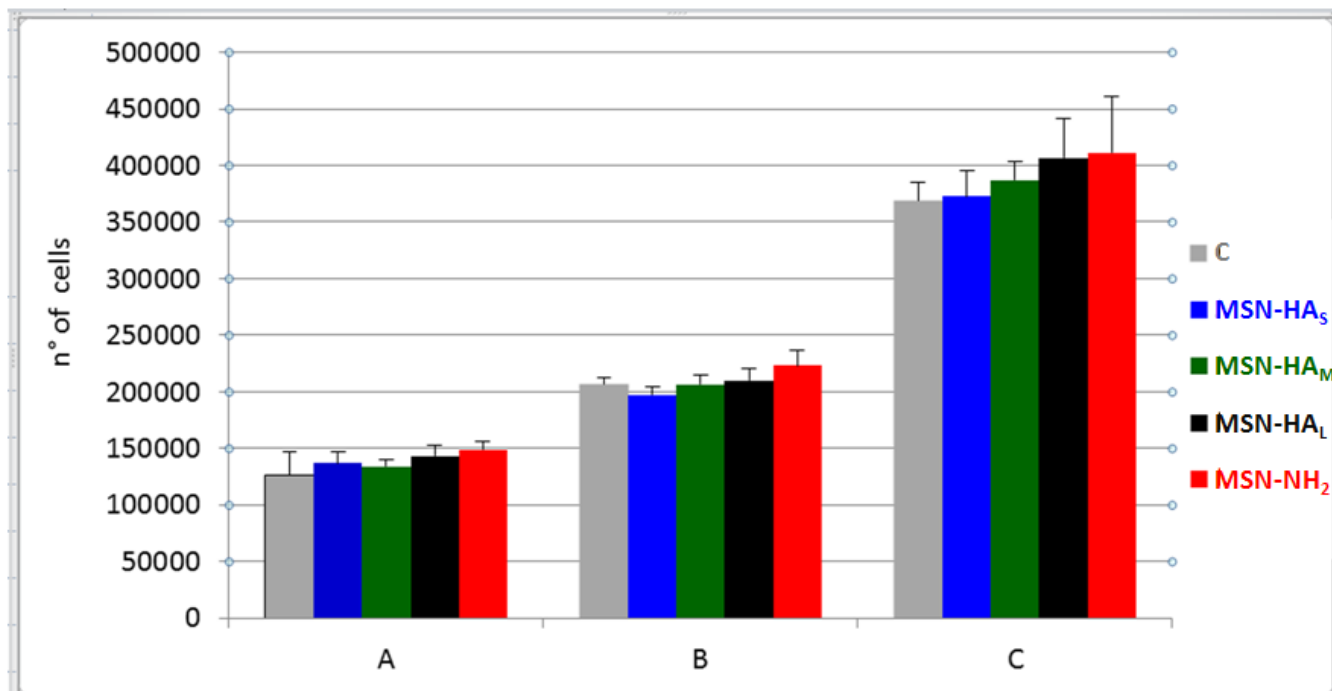


Fig. S6. Effect of MSNs on the proliferation capacity of Hela cell line incubated for 24 h, and then left in contact with the culture medium for different times. Cell count: (A) number of cells immediately after nanoparticles removal ($t = 24$ h); (B) number of cells 24 h after nanoparticle removal ($t = 24$ h + 24 h); (C) number of cells 48 h after nanoparticles removal ($t = 24$ h + 48 h) according to protocol.