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1	How gable roofs change the mechanisms of vertical
2	momentum transfer: a LES study on two-dimensional urban
3	canyons
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8	Received: DD Month YEAR/ Accepted: DD Month YEAR
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10	Abstract. A Large Eddy Simulation (LES) study on the presence of gable roofs in urban
11	canyons is presented: two canyon aspect ratios, $AR_C = 1$ , 2, $(AR_C = W/H$ corresponding
12	to the ratio of the canyon width, W, to the eaves' height, H) and variable roof slope, $\alpha$
13	$(\alpha = 0^{\circ}, 10^{\circ}, 20^{\circ}, 30^{\circ}, 45^{\circ})$ in a periodic configuration are considered. The vertical
14	turbulent momentum flux is analysed by means of the quadrant analysis both in terms of
15	spatial maps and mean vertical profiles in order to assess how the presence of a gable
16	roof and its slope affects the mechanisms of mixing in the roughness sublayer. A gradual
17	modification with increasing roof slope is apparent for the mean flow patterns as well as
18	for the shear layer thickness. On the contrary, the contribution of ejections and sweeps at
19	the rooftop goes through an abrupt change when passing from flat- to gable-roof
20	buildings. This behaviour arises for both the investigated flow regimes. We observed that
21	with gable roofs the imbalance between sweeps and ejections is monotonically increasing
22	with $\alpha$ . However, the case of flat roof is not in continuity with the small pitch ones, as it
23	gives much higher values of imbalance, comparable to what was observed for the largest
24	analysed pitches (45°).
25 26	Keywords Street canyon $\cdot$ Urban boundary layer $\cdot$ Gable roof $\cdot$ Large Eddy Simulation $\cdot$ Quadrant analysis

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### 28 1 Introduction

29 Shaping the urban environment is a crucial issue in order to control the breathability of 30 the cities where the pollutant concentration levels suggested by the World Health 31 Organization are often exceeded (WHO 2006; Air Quality in Europe 2018). Studies on 32 realistic geometries are useful to address specific site studies, but they are unfeasible to 33 infer general conclusions or to understand physical phenomena. As a consequence, 34 research in this field often relies on schematic and idealized simulations (Zajic et al., 35 2015, 2011; Di Bernardino et al., 2020). In this perspective, the street canyon unit 36 represents a simple, yet effective, scheme to get insight on the basic phenomena and to 37 single out the effect of some specific urban elements. Moreover, investigations on urban 38 canyons allow the development of simple parameterizations needed in mesoscale models, 39 which rely on the synthetic representation of the canyon unit (Martilli et al., 2002).

40 The simplest urban canyon configuration is represented by a two-dimensional periodic 41 scheme, which received much attention in literature, both in laboratory and numerical 42 works. Many issues have been investigated on this simple unit, from the onset of different 43 flow regimes according to the canyon aspect ratio (Oke, 1988), and its effect on turbulent 44 kinetic energy budget (Di Bernardino et al., 2015a; Di Bernardino et al., 2015b), to the 45 influence of the building aspect ratio (Badas et al., 2020), the role of the small-scale 46 roughness placed on the top of the buildings (Salizzoni et al., 2008), the mechanism of 47 pollutant removal from the canyon (Chung and Liu, 2013; Liu et al., 2011; Liu and Wong, 48 2014), the role of buoyancy (Cai, 2012; Cheng and Liu, 2011a) and many others.

49 Roofs represent a common feature in buildings, and investigations on their effect in urban 50 context have recently received much attention in literature. For instance, Tominaga et al. 51 (2015) worked on the influence of roof pitches on the air flow in case of isolated 52 buildings, while Shao et al. (2019) analysed the characteristics of cladding and structural 53 loads for hip-roofed buildings, Chen et al. (2019) studied the effects on wind loads of 54 gable-roof buildings with different roof slopes, Xing et al. (2018) demonstrated how roof 55 pitches affect the pressure distributions around isolated buildings and Gullbrekken et al. 56 (2018) experimentally measured wind pressure coefficients aimed at evaluating the roof 57 ventilation. Indeed, the role of the roof is central also in street canyon flows: in his 58 pioneering work Rafailidis (1997) found out that the roof shape highly alters the flow 59 dynamics, potentially beneficing the urban air quality. This statement was confirmed by 60 other authors who investigated the presence of roofs in urban canyons, with both 61 numerical and experimental techniques (Badas et al., 2017; Ferrari et al., 2017; Huang et 62 al., 2015; Takano and Moonen, 2013; Yassin, 2011), proving how roof slope is crucial in 63 modifying turbulence levels at the interface between the canyon and the overlaying 64 airflow, and may produce relevant impacts on natural ventilation compared to the flat 65 roof buildings. Llaguno-Munitxa et al. (2017) remarked the roof positive role on the 66 turbulence and mixing above a building array, while emphasizing the negative role of 67 façade elements such as balconies. Despite these efforts, a parametric study on how the 68 gable roof pitch affects the momentum transfer between the canyon and the above 69 boundary layer is missing in literature. Here, Large Eddy Simulations (LES) on periodic 70 urban canyons are performed with this aim, focusing the analysis on two canyon aspect 71 ratios ( $AR_c = W/H = 1, 2$ ) that are characteristic of urban texture. The present 72 investigation is mainly carried out by means of the so-called quadrant analysis (QA), a 73 simple yet powerful method among the conditional sampling techniques, dating back to 74 the '70s (Wallace et al., 1972), but still widely used to infer important information on the 75 flow.

The paper is organized as follows: the numerical set-up and validation with flume experimental data are presented in Section 2. In Section 3, after a brief introduction of quadrant analysis (QA), the main results are described. Discussion is presented in Section 4 and conclusions are drawn in Section 5.

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# 81 2 Numerical Set-Up and Validation

The experiments were carried out by means of the Large Eddy Simulation (LES) technique with the open-source CFD code OpenFOAM 2.3. Results were validated against water-channel measurements obtained by Garau et al. (2018). The detailed numerical methodology and validation processes are reported below.

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### 87 2.1 Numerical methods

Assuming neutral conditions, the flow can be described by the continuity and NavierStokes equations, which, according to the classical LES scheme, are spatially filtered and
can be expressed as:

$$\frac{\partial \bar{u}_i}{\partial x_i} = 0, \tag{1}$$

$$\frac{\partial \overline{u}_i}{\partial t} + \frac{\partial \overline{u}_i}{\partial x_j} \overline{u}_j = -\Delta P \delta_{ij} - \frac{\partial \overline{p}}{\partial x_i} - \frac{\partial \tau_{ij}}{\partial x_j} + \nu \frac{\partial^2 \overline{u}_i}{\partial x_i \partial x_j},$$
(2)

91 where  $\bar{u}_i$  are the resolved-scale velocity components in the *i*-direction,  $\bar{p}$  is the resolved 92 scale kinematic-pressure,  $\Delta P$  is the kinematic-pressure gradient,  $\delta_{ij}$  the Kronecker delta 93 and  $\nu$  is the kinematic viscosity. The sub-grid scale (SGS) Smagorinsky model 94 (Smagorinsky, 1963) was here employed, thus the SGS Reynolds stresses can be written 95 as follow:

$$\tau_{ij} = \left(\overline{u_i u_j} - \overline{u}_i \overline{u}_j\right) = -2\nu_{SGS} S_{ij},\tag{3}$$

96 where,  $v_{SGS}$  is the kinematic eddy viscosity, computed as  $v_{SGS} = C_k \Delta \sqrt{k_{SGS}}$ , where  $C_k =$ 97 0.094 is an empirical modelling constant,  $\Delta$  is the filter width ( $\Delta = (\Delta_1 \Delta_2 \Delta_3)^{1/3}$ ), and 98  $k_{SGS}$ , the SGS kinematic energy, is evaluated as:

$$k_{SGS} = \frac{2C_K}{C_{\varepsilon}} \Delta^2 |S|^2, \tag{4}$$

where  $C_{\varepsilon} = 0.07$ . The above equations are solved in OpenFOAM by means of the finite 99 100 volume method (FVM). Second-order-accurate schemes were adopted for both time and 101 space derivatives. Namely, the backward differencing scheme in the time derivatives, and 102 the central differencing scheme (Gaussian integration with linear interpolation) in the 103 spatial derivatives were applied. The large time-step transient solver for incompressible 104 flow was considered for the pressure-velocity coupling scheme, by means of the PIMPLE 105 algorithm (merged PISO-SIMPLE). The preconditioned conjugate gradient (PCG) 106 method was used to solve the linear equation system for  $\bar{p}$  and the preconditioned bi-107 conjugate gradient (PbiCG) method was chosen for  $\bar{u}$ . The time-step increment was 108 varied during the simulation in order to assure inside the entire domain Courant numbers, 109 *Co*, lower than 0.6.

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#### 111 2.2 Computational Domain and Boundary Conditions

112 The sketch of the computational domain is shown in Fig. 1: three identical street canyons 113 are simulated, with buildings perpendicularly disposed with respect to the wind direction, 114 and two canyon aspect ratios,  $AR_c = W/H = 1$  and 2, which correspond respectively to 115 skimming flow and wake interference regimes (Oke, 1988). Buildings are characterised by height of the eaves equal to the width (i.e. H = W, see Fig. 1) and by a variable total 116 117 height,  $H_{tot}$ , depending on the roof slope, and ranging from a minimum of  $H_{tot} = 1$  with  $\alpha = 0^{\circ}$ , up to a maximum of  $H_{tot} = 1.5$ , with  $\alpha = 45^{\circ}$  (see Fig. 1). In order to match the 118 119 minimum dimensions requested by most guidelines (Blocken, 2015; Franke et al., 2011; 120 Tominaga et al., 2008) both vertical and span-wise lengths were defined equal to 9H (i.e. 121 6  $H_{tot}$  when  $\alpha = 45^{\circ}$ ), while the stream-wise length is equal to 6H when  $AR_c = 1$ , and 122 to 9*H* when  $AR_c = 2$ . Periodic boundary conditions were employed for both the streamwise and span-wise faces of the domain, in order to obtain a periodic regular 2D street 123 124 canyon arrangement. The number of canyons usually employed on similar configurations 125 is variable (usually ranging from 1 to 5). Actually, Cheng and Liu (2011) demonstrated, 126 by means of the two-point correlation analysis, the good performances achieved by 127 employing three canyons, especially when the mass transport is not simulated. This 128 justifies the choice of the configuration adopted in this work.

129 The symmetry condition was imposed on the top boundary, while the Spalding wall 130 boundary condition (Spalding, 1962) was assumed both on the ground and on the 131 building walls to model the near-wall flows:

$$\mathbf{y}^{+} = \mathbf{u}^{+} + \frac{1}{E} \Big[ e^{ku^{+}} - 1 - ku^{+} - \frac{1}{2} (ku^{+})^{2} - \frac{1}{6} (ku^{+})^{3} \Big],$$
(5)

where  $y^+$  and  $u^+$  are the non-dimensional wall variables, k is the Von Karman constant and E(= 9) is an empirical constant.

134 In order to set the turbulent initial condition, several inflow turbulence generator 135 techniques (ITGT) can be adopted (Bazdidi-Tehrani et al., 2016). In this work, the 136 instantaneous turbulent velocity fluctuations were superimposed onto the mean velocity 137 field obtained from a precursor RANS (Reynolds Averaged Navier Stokes) simulation, 138 by means of the technique proposed by De Villiers (2006). A transitional time interval, about 35 convective times long ( $T_C = L/U_{mean}$ , where L is the domain size in stream-139 140 wise direction and  $U_{mean}$  is the mean velocity) was found necessary to the flow achieve 141 the fully turbulence development. The imposed mean stream-wise velocity allowed

obtaining a Reynolds number at the building height,  $Re_H = \frac{U_H H}{v} = 7000$ , which is higher than the minimum needed to obtain the flow independence on Reynolds number which, according to Hoydysh et al., (1974), is  $Re_H = 3400$ .

We adopted a structured mesh (Fig. 1b), as often done in the case of urban canyons, also 145 146 in presence of gable roofs (see e.g. Ozmen et al. 2016). The grid is stretched both in the 147 vertical directions and towards the canyon centre with an expansion ratio of 1.2. Consequently, the resolution is equal to  $\Delta x = \Delta z = 0.016 H$  in the proximity of the 148 149 building walls and the ground, whilst in the canyon center the cell size is doubled. This 150 set up corresponds to 32 cells per building side, higher than the threshold (i.e. 10) 151 prescribed by the guidelines (Tominaga et al., 2008). The resolution in the span-wise direction is equal to  $\Delta y = 0.05 H$ . The total number of cells ranges from  $7 \cdot 10^6$  (in the 152 case of  $AR_c = 1.0$ ) to  $9 \cdot 10^6$  (in the case of  $AR_c = 2.0$ ). As shown in Fig. 1b, the 153 154 highest cell deformation occurs in case of gable roofs around the corners; nevertheless, 155 the mesh quality was found acceptable as also confirmed by the validation step discussed 156 in section 2.4.



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158 Fig. 1: Left panel: computational domain scheme: H is the eaves height,  $H_{tot}$  is the total height of 159 buildings, W is the canyon width,  $\alpha$  is the pitch slope and the green region is the canyon unit. Right panel: 160 computational grid.

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162 After the turbulence transition time interval, data were recorded every  $0.05T_C$  (where  $T_c$ 

163 is the convective time), assuring the statistical independence among recorded samples, 164 and simulations were performed for a period of about 70  $T_c$ .

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#### 166 2.3 Validation

Statistics were computed by averaging data samples both in time and in space (over the 167 168 span-wise length), in order to enhance the statistical robustness of dataset, while the 169 analysis was focused only on the central canyon. Model validation was performed by 170 means of the experimental results obtained by Garau et al. (2018). They run experiments 171 above a series of 20 identical prismatic obstacles with square section, variable roof shape (flat,  $\alpha = 0^{\circ}$ , and gable roof,  $\alpha = 45^{\circ}$ ), and canyon aspect ratios,  $AR_c$ , ranging from 1 172 173 to 6. The experiments were performed in a water flume, where obstacles extended across 174 the entire width of the channel. The velocity measurements were carried out by means of the Feature Tracking Velocimetry (FTV) technique (Besalduch et al., 2014) in the 17<sup>th</sup> 175 176 canyon, assuring that the equilibrium of the roughness layer is attained and that the 177 experimental fields are representative of periodic conditions (Llaguno-Munitxa et al., 2017). Four configurations were employed for validation ( $AR_c = 1, 2$  both with  $\alpha =$ 178 179 0°, 45°). Fig. 2 displays the profiles of the stream-wise velocity and of the vertical 180 velocity skewness spatially averaged on the canyon periodic unit.

181 The averaging over the periodic unit (Fig. 1 left panel) is indicated by angle brackets and182 is computed as:

$$\langle \bar{\gamma} \rangle(z) = \frac{1}{\lambda(z)} \int_0^b \int_{\lambda(z)} \bar{\gamma}(x, y, z) dx \, dy, \tag{6}$$

183 where z is the non-dimensional vertical coordinate, b is the spanwise dimension of the 184 domain, the integration variable  $\bar{\gamma}$  corresponds to the time average of a generic parameter,  $\gamma$ , and  $\lambda(z)$  is the canyon unit width, depending on z. The standard deviation between 185 186 numerical and experimental profiles, averaged among all the simulations, was found to be 187 0.02 for the first order statistics, 0.12 for the second order statistics and 0.26 for the third order statistics. It is worthwhile noting that closer to the canyon up to z/H = 3, the 188 189 statistics profiles of numerical (solid lines) and experimental (dashed lines) skewness 190 profiles display a better match (Fig. 2). A higher variability observed from z/H = 3 level

191 up to the top could be blamed on one hand to the occurrence of large turbulent structures, 192 which need a very large sample dataset to be completely resolved (Rossi and Iaccarino, 193 2013), and on the other hand to limitations in the upper part of the experimentally 194 simulated boundary layer: a higher degree of uncertainty of the experimental acquisition 195 for the highest velocity flow particles as well as the influence of the water-air interface 196 (Garau et al., 2018). The mean stream-wise velocities made non-dimensional by the free-197 stream velocity,  $U_{ref}$ , at z/H = 7 (where z/H = 7 is the maximum height available for 198 experimental simulations), match very well especially inside the canyon. Some small 199 differences are visible just above the building top in case of flat roofs for both  $AR_c = 1$ and 2 (blue lines in panels a, c), and between 2 < z/H < 4, in case of square section 200 201 canyon with 45° sloped roof (green lines in panel a).



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Fig. 1: Comparisons between numerical (solid line) and laboratory (dashed line with symbols) experiments. The horizontally averaged profiles of the mean stream-wise velocity made non dimensional by the freestream velocity,  $U_{ref}$  (a, c) and the mean skewness of the vertical velocity profiles (b, d), (computed z/H = 7).

As for the skewness factor (Fig. 2 b, d), the highest differences are visible above z/H =3.5, where for all the cases, the laboratory data appear to grow faster than the numerical ones, whereas above z/H = 6 they converge towards the numerical curves. The mean standard deviation between numerical and experimental profiles in these four configurations was found equal to 0.26 when computed on all the profiles up to 7*H*, but equal to 0.10 if only the lowest part, up to 3.5*H*, is taken into account. In the lowest region, below the z/H = 3.5 level, the configuration that more deviates from experimental results is  $AR_c = 1$ ,  $\alpha = 45^\circ$ , showing some discrepancies in values but not in the overall behaviour, near the bottom (Fig. 3a).

216 In order to compare the spatial patterns, Fig. 3 and Fig. 4 show the streamlines of the 217 mean velocity field superimposed to the vertical velocity skewness colour maps in the 218 four cases used for validation. The streamlines exhibit the typical features of the 219 skimming flow regime (panels b, c, e and f in Fig. 3) and wake interference (panels b, c, e 220 and f in Fig. 4), which are common also for the other roof slopes. According to Oke, 221 (1988), the skimming flow regime is valid for  $AR_C < 1.5$ , while the wake interference 222 regime corresponds to  $1.5 < AR_c < 3.5$ . For the skimming flow regime (Fig. 3), a main 223 stable vortex occupies the entire canyon, with two small counter-rotating vortexes sit in 224 the corners between the bottom and the building façades, which become very small with 225 increasing roof pitch (panels e and d in Fig. 3). In the case of wake interference (Fig.4) 226 there are "secondary flows in the canyon space where the downward flow of the cavity 227 eddy is reinforced by deflection down the windward face of the next building 228 downstream" (Oke, 1988): two counter rotating vortexes are apparent for flat buildings 229 (panels b and c in Fig. 4) while the upwind vortex almost vanish for  $\alpha = 45^{\circ}$ . Indeed, as 230 already pointed out by Badas et al. (2017) and Garau et al. (2018) the flow topology and 231 the transition limits among the flow regimes, traditionally studied with reference to flat 232 roof buildings, are affected by presence of gable roof.

233 The comparison of numerical (panels b and e) and laboratory (panels c and f) skewness 234 fields together with the corresponding mean vertical profiles is shown in Fig. 3 and 4: the 235 map fields present the same crucial elements and despite some differences are foreseen, 236 both vertical profile and spatial patterns are in good agreement and allow having an 237 adequate confidence in numerical data. Both the datasets show, for the two investigated 238 aspect ratios, a negative skewness value tongue going from the upwind pitch to the 239 downwind building eaves, and then protruding towards the canyon bottom. The transition 240 lines between negative and positive regions (black continuous lines) demonstrate also a 241 good agreement between numerical and experimental results, although the latter are 242 slightly noisier.



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Fig. 2: Horizontally averaged profiles (a, d) and maps for numerical (b, e) and laboratory (c, f) experiments of the mean skewness of the vertical velocity profiles. All data are made non dimensional by the free-stream velocity,  $U_{ref}$ . Comparisons are plotted for  $AR_c = 1$  and flat roof (first row panels) or  $\alpha =$ 45° (second row panels), up to z/H = 3. The black lines indicate the zero crossing.



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Fig. 3: Horizontally averaged profiles (a, d) and maps for numerical (b, e) and laboratory (c, f) experiments of the mean skewness of the vertical velocity profiles. All data are made non dimensional by the free-stream velocity,  $U_{ref}$ . Comparisons are plotted for  $AR_c = 2$  and flat roof (first row panels) or  $\alpha =$ 45° (second row panels), up to z/H = 3. The black contour lines indicate the zero crossing.

# 254 **3 Results**

255 The Quadrant Analysis (QA) was here employed to assess how the presence of gable roof 256 and its slope affects the Reynolds stress contributing terms. The theoretical framework is 257 briefly drawn in the following, while for an in-depth review of QA the reader is referred 258 to Wallace (2016). In order to separate different vertical, turbulent momentum 259 flux  $(\overline{u'w'})$  contributions, Wallace et al. (1972), who first introduced the QA, split the 260 Cartesian u' - w' plane into four quadrants (see Fig. 10a), according to the sign of the fluctuating velocity components:  $Q_1(u' > 0, w' > 0)$ ,  $Q_2(u' < 0, w' > 0)$ ,  $Q_3(u' < 0, w' > 0)$ 261 0, w' < 0) and  $Q_4(u' > 0, w' < 0)$ , and the turbulent momentum flux is then separately 262 computed in each quadrant. In the following, the term  $\overline{u'w'}_i$  represents the turbulent 263

momentum contribution corresponding to the quadrant  $Q_i$  and contributions are made non

265 dimensional by  $U_{ref}$ .

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Fig. 4: Quadrant analysis of the vertical momentum flux fields  $|\overline{u'w'}|/U^2_{ref}$  for the configuration  $AR_c = 1$ ,  $\alpha = 30^\circ$ : the spatial maps represent the absolute values of the relative magnitudes for ejections (Q<sub>2</sub>, panel a), sweeps (Q<sub>4</sub>, panel b), outward interactions (Q<sub>1</sub>, panel c), inward interactions (Q<sub>3</sub>, panel d), and the superimposed streamlines of the mean velocity magnitude. Two different colour-bars are employed for even (a, b) and odd (c, d) quadrants.

273 As an example, Fig. 5 displays the maps of the absolute value of the contribution to the turbulent momentum flux from the four quadrants in the case of  $AR_c = 1$  and  $\alpha = 30^\circ$ . 274 The  $Q_2$  and  $Q_4$  quadrants correspond respectively to ejection, or burst-like events (i.e. 275 low momentum fluid transported upwards - Fig. 5a), and sweep events (i.e. high 276 momentum fluid transported downwards - Fig. 5b): they are gradient-wise motions and 277 278 represent organized events, normally giving the largest contributions to the momentum 279 flux for wall bounded flows (Raupach, 1981), as occurring in the present case. The  $Q_2$ 280 (ejection – Fig. 5a) and  $Q_4$  (sweep – Fig. 5b) colour maps show similar patterns, with a 281 sharp distinction between low and quite uniform values inside the canyon and higher 282 values just above the eaves, at the interface between the canyon and the external flow. 283 The  $Q_1$  (Fig. 5c) and  $Q_3$  (Fig. 5d) motions are named outward and inward interactions: 284 they are counter-gradient-wise motions, represent the unorganized part of the flow, and 285 they generally give a minor contribution to the momentum flux. Indeed, Fig. 5 displays 286 two colour-bars, and the one used for the even quadrants has a range extent three times 287 larger than the colour scale of the odd quadrant maps.

288 Moreover, in this specific case, as well as for the other investigated conditions,  $Q_1$ 289 (outward interaction) and  $Q_3$  (inward interaction) show higher values in the flow 290 overlaying the canopy, similarly to  $Q_2$  and  $Q_4$ . However, high values of the odd 291 contributions propagate within the canyon, differently from what observed for the even 292 quadrants. Specifically,  $Q_1$  and  $Q_3$  are very low in the lee of the upwind building 293 (including the roof), whereas in the proximity of the windward roof and façade of the 294 downwind building a layer of high values is apparent. The strength and penetration inside 295 the canyon of that region, and specifically the downward momentum transport 296 (depending on inward interaction -  $Q_3$ ), are closely related to both the roof slope and the 297 canyon aspect ratio. Since sweeps  $(Q_4)$  appear to give the most important contribution to 298 momentum flux in the shear layer, where the exchange between canyon and surrounding 299 air takes place, the sweep colour maps for three of the simulated roof slopes (0°, 20°, 45°) 300 and the two  $AR_c$  are plotted in Fig. 6 and 7 (respectively for  $AR_c = 1$  and 2) to show the 301 dependence on the slope and canyon aspect ratio. Sweep contribution  $(Q_4)$  remains 302 homogeneously low inside the canyon, whereas the highest contributions are found 303 within the shear layer developing between the eave and the roof top. A comparative 304 analysis of the maps of Fig. 6 and Fig. 7 shows that the thickness of the shear layer 305 depends on the roof slope and is a minimum for the flat roof case (Fig. 6a). Within and 306 above the shear layer, the  $Q_4$  contribution to the turbulent momentum flux increases with 307 roof slope, particularly in the case of skimming flow (Fig. 6) but also in case of wake 308 interference regime (Fig. 7).



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310 **Fig. 5:** Absolute values of the sweeps,  $|Q_4|/U_{ref}^2 = |(\overline{u'w'}_4)|/U_{ref}^2$ , for configurations with  $AR_c = 1$ , 311 and  $\alpha = 0^\circ$  (a),  $\alpha = 20^\circ$ (b) and  $\alpha = 45^\circ$  (c). Streamlines of the mean velocity field are superimposed (white 312 lines).



Fig. 6: Absolute values of the sweeps,  $|Q_4|/U_{ref}^2 = |(\overline{u'w'}_4)|/U_{ref}^2$ , for configurations with  $AR_c = 2$ , and  $\alpha = 0^\circ$  (a),  $\alpha = 20^\circ$  (b) and  $\alpha = 45^\circ$  (c). Streamlines of the mean velocity field are superimposed (white lines).

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317 In the wake interference regime ( $AR_c = 2$ ), relatively high  $Q_4$  values are present within 318 the canyon also in between the two counter rotating vortexes (Fig. 7 a, b) while, above 319 the eaves, a layer of high  $Q_4$  values whose vertical extension increases from  $\alpha = 0^{\circ}$  (a) to 320  $\alpha = 45^{\circ}$  one (c) is apparent.



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**Fig. 7:** Vertical momentum transfer made non-dimensional by the reference velocity for  $AR_c = 1$ . Upper row: horizontally averaged profiles of the four quadrant contributions (panels a–d) and total vertical momentum transfer (panel e). Lower row: frequency of the four contributions at the different pitch angles (panels f–i).



**Fig. 9:** Vertical momentum transfer made non-dimensional by the reference velocity for  $AR_c = 2$ . Upper row: horizontally averaged profiles of the four quadrant contributions (panels a–d) and total vertical momentum transfer (panel e). Lower row: frequency of the four contributions at the different pitch angles (panels f–i).

331 Other than QA spatial maps, we investigated the vertical profiles of quadrant contribution 332 to the turbulent momentum flux, as well as their corresponding frequencies, which are 333 both spatially averaged over the canyon unit (see Eq. 6). Results are plotted for all the 334 slopes of the investigated range  $(0^{\circ} - 45^{\circ})$  and the two canyon aspect ratios  $AR_c = 1$  and

 $AR_c = 2$  in Fig. 8 and Fig. 9, respectively. The presence of the pitched roof causes a 335 336 general increase of the momentum transfer in the roughness sublayer (Fig. 8e and 9e). 337 The total turbulent momentum flux increases for gable roofs, especially for  $AR_C = 1$ , but 338 the four quadrants do not contribute to this increment in the same proportion: the relative 339 importance of sweeps ( $Q_4$ , Fig. 8b) and ejections ( $Q_2$ , Fig. 8d) becomes more prominent 340 for increasing roof slope. In accordance to Cheng and Liu (2011), sweeps  $(Q_4)$  and 341 ejections  $(Q_2)$  prevail in the shear layer, both in terms of their contribution (as also 342 apparent in Fig. 5) and occurrence frequency. Thus, their contribution basically drives the 343 overall momentum transfer behaviour.  $Q_4$  (sweeps) exhibits a peak at the rooftop height, 344 irrespective of the pitch angle, as seen also in Fig. 6 and 7 despite  $Q_2$  having a higher 345 occurrence frequency at the same height.  $Q_2$  (ejections) is a (smoother) maximum just 346 above rooftop height. In summary, we observe that the behaviour of the even quadrant 347 contributions is affected by the roof pitch in two ways: i.e. by changing the maximum 348 value and changing the height where the maximum is located. The combined effect 349 justifies the fact that, at the eaves' height, the  $Q_2$  and  $Q_4$  contributions can be lower for 350  $45^{\circ}$  pitched roof compared to lower angle configurations. With  $AR_C = 1$ , the increase in 351 the magnitude of the momentum transfer seems to have a step behaviour: lower values for flat roof; then similar, a little higher, values for  $\alpha = 10^{\circ}$  and  $\alpha = 20^{\circ}$ , and finally 352 similar, highest values for  $\alpha = 30^{\circ}$  and  $\alpha = 45^{\circ}$ . A similar step behaviour is not apparent 353 for  $AR_C = 2$ . Above the rooftop,  $Q_2$  and  $Q_4$  display linearly decreasing contributes, while 354 355 around the top of the investigated domain (z = 7H)  $Q_2$  prevails in all the analysed 356 simulations, although corresponding to lower frequencies than  $Q_4$  ones. Inside the canyon, 357 the role of outward  $(Q_1)$  and inward  $(Q_3)$  contributions becomes more important, and in 358 case of 45° roof slope,  $AR_c = 1$ , they dominate both the frequency and the Reynolds 359 stress contribution, due to the momentum transfer occurring near the windward wall.

In order to unveil how "the larger, energetic motions which occur relatively seldom are the principal source of Reynolds stress" (Wallace and Brodkey, 1977), and to single out the contribution of intense Reynolds shear stress events within each quadrant, the socalled "hole analysis" is often applied in different contexts, from turbulent boundary layers (Willmarth and Lu 1972; Lu and Willmarth 1973), flow over wall roughness (Raupach, 1981) and vegetated canopies (Poggi et al., 2004; Yue et al., 2007), to grid turbulence (Raushan et al., 2018). A hyperbolic threshold  $H_s$  is used to define the "hole"

367 and contributions outside that region, i.e.:

$$|u'w'| \ge H_s|(\overline{u'w'})|,\tag{7}$$

are considered when computing corresponding statistics (see Fig. 10a).

369 Fig. 10b presents the hole analysis performed on the canyon periodic unit for the case 370  $AR_c = 1$  and flat buildings. Solid color lines represent the quadrant fractional 371 contributions to the turbulent momentum flux lying outside the hole (Eq. 7), versus the 372 hole size,  $H_s$ ; the plot also shows the contribution from all the quadrants from events lying within the hole (solid black line) and the percentage of time spent within the hole 373 374 (dashed blue line). The plot is remarkably in agreement with the results obtained by 375 (Willmarth and Lu 1972), who performed the hole analysis at a specific non-dimensional 376 distance from the wall in case of a turbulent boundary layer. Namely, for all the  $H_s$  the 377 contributions from ejections  $(Q_2)$  and sweeps  $(Q_4)$  are larger than those from outward 378  $(Q_1)$  and inward  $(Q_3)$  interactions, and above the threshold  $H_s = 6$ , ejections  $(Q_2)$  and 379 sweeps  $(Q_4)$  almost represent the whole contribution to the vertical turbulent momentum 380 flux. However, although  $Q_2$  being higher than  $Q_4$ , their difference is less marked with 381 respect to the aforementioned results, due to the different nature of the two flows. 382 Comparing the total contribution (black solid line) and the corresponding time spent 383 within the hole (dotted blue line), it is apparent how, despite a large fraction of the time is 384 spent within the hole for high  $H_s$  values, there are short periods of intense activity that 385 bring to a non-negligible turbulent momentum flux contribution (for instance, the 386 contribution for  $H_s > 10$  is roughly the 10% of the total, despite corresponding to 387 occurrence time less than 5%). For the other investigated cases, the results are very 388 similar to Fig. 10b, since, when averaged on the whole vertical extension, they all 389 represent the overall contribution of a boundary layer over a rough terrain, hence they 390 have very similar behaviour.

391



392 393

**Fig. 10:** (a): Sketch of the hole quadrant analysis (Willmarth and Lu, 1972); (b): hole analysis performed on the overall canyon unity for flat buildings and unity canyon aspect ratio: quadrant contributions to the turbulent momentum flux outside the hole, vs the hole magnitude  $H_s$  for  $Q_1$  (blue solid line);  $Q_2$  (red solid line)  $Q_3$  (yellow solid line);  $Q_4$  (violet solid line); contribution of all quadrants inside the hole (black solid line); percentage of time spent within the hole (blue dashed line).

The influence of the roof slope and canyon aspect ratio is investigated by applying the hole analysis at the roof top height, since it represents the separation between the canyon and the external flow and corresponds to a characteristic region in QA spatial patterns and profiles (Fig. 5-9). Results for all the analyzed slopes are displayed in Fig. 11 (panels a and b present the results obtained for  $AR_c = 1$  and 2, respectively).

404 For all the examined configurations, the predominance of ejections  $(Q_2)$  and sweeps  $(Q_4)$ 405 with respect to outward  $(Q_1)$  and inward  $(Q_3)$  contribution is confirmed. Nonetheless, an inversion between  $Q_2$  and  $Q_4$  contributions is observed: differently from Fig. 10, Fig. 11 406 407 shows higher values for sweeps  $(Q_4)$  compared to ejections  $(Q_2)$ . Moreover, for the 408 threshold,  $H_s$ , higher than 6,  $Q_2$  values are very low, and for gable roofs data collapse on 409 a single line already for  $H_s > 4$  in the case of  $AR_c = 1$ , while  $Q_4$  represents almost the 410 only remaining contribution to the turbulent momentum flux. The shift between  $Q_2$  and  $Q_4$  trends from Fig. 10 to Fig. 11 is due to the difference in considering the effect of a 411 412 boundary layer as a whole (as done in Fig. 10, by averaging the contribution over the 413 canyon unit) with respect to focusing on the mixing layer (as in Fig. 11, which displays



414 the analysis at the roof top).

416

417 Fig. 8: Hole analysis of the quadrant contributions to the turbulent momentum flux outside the hole, vs the 418 hole magnitude  $H_s$ ; contributions are spatially averaged at the roof level, for  $AR_c = 1$  (a),  $AR_c = 2$  (b) 419 and different roof slopes (simple line for 0°; circle for 10°; triangle for 20°; star for 30°; diamond for 45°). 420  $Q_1$ : blue,  $Q_2$ : red,  $Q_3$ : yellow,  $Q_4$ : violet, contribution of all quadrant inside the hole: black.

421 Moreover, a trend emerges in Fig. 11: the higher the roof pitch, the higher the sweep 422 contribution  $(Q_4)$  and, correspondingly, the lower the ejection one  $(Q_2)$ . This trend arises for both the canyon aspect ratios, but the effect is more apparent for  $AR_c = 1$  (Fig. 11a). 423 424 The flat roof case deviates from this general trend, being closer to the 45° roof slope than 425 to the 10° case for both the canyon aspect ratios. In all the analysed cases, the quadrant contributions change with  $H_s$  following quite similar trends but, for high  $H_s$  values, the 426

427 remaining  $Q_4$  contribution is rather different. For instance, Fig. 11a shows that when  $H_s = 10$  in the case of flat roof, sweep contribution,  $Q_4$ , still accounts for the roughly 428 10% of the total momentum flux, and the same value holds true for  $\alpha = 45^{\circ}$  while this 429 value decreases monotonically for gable roofs, becoming negligible in case of  $\alpha = 10^{\circ}$ . 430 431 Fig. 12 shows the excess of sweeps contribution with respect to ejections, relative to the total momentum transfer,  $(\langle \overline{u'w'}_4 \rangle - \langle \overline{u'w'}_2 \rangle) / \langle \overline{u'w'}_{tot} \rangle$ , in the framework of the 432 433 hole analysis. As commented for Fig. 11, there is an increase of the relative contribution 434 for increasing roof slopes for both the canyon aspect ratios. However, the flat roof case 435 deviates from this trend and corresponds to the highest curve in case of the  $AR_c = 1$ , 436 while being the second highest curve in case of  $AR_c = 2$ . In addition, all the lines show a 437 relative maximum, highlighted with a red dot in Fig. 12, whose position is displaced 438 towards higher  $H_s$  values with decreasing roof slope, except for the flat roof case.





Fig. 13 displays the vertical profile of  $Q_4/Q_2$  ratio obtained by considering all the events (i.e.  $H_s = 0$ ) for all the roof slopes (represented by different colours) and the two canyon aspect ratios ( $AR_c = 1$  and  $AR_c = 2$ , plotted with solid and dashed lines respectively). Here, the height is made non-dimensional by the rooftop height,  $H_{tot}$ . All the cases converge towards the predominance of ejections ( $Q_4/Q_2 < 1$ ) for high  $z/H_{tot}$ , i.e. the 450 typical behaviour of a boundary layer far enough from the rough wall. Irrespective of the

451 canyon aspect ratio, and for all the roof slopes, near the rooftop (close to  $z/H_{tot} = 1$ )

there is a sharp peak corresponding to ratios higher than one, highlighting the dominance

453 of sweeps  $(Q_4)$  in the interfacial shear layer.



454

Fig. 13 Horizontally averaged profile of the mean  $Q_4/Q_2$  ratio for the ten configurations, as in the legend: solid lines for  $AR_c = 1$ ; dashed lines for  $AR_c = 2$ , and different roof slopes indicated by different colours. Data are made non dimensional with the total height of buildings ( $H_{tot}$ ) both in the main panel and in the inset. The black dashed line corresponds to  $Q_4/Q_2 = 1$ .

Deeper inside the canyon, the ratio of sweeps  $(Q_4)$  to ejections  $(Q_2)$  decreases, till ejections start prevailing again in the bottom part of the canyon  $(z/H_{tot} < 0.4)$ : in the case of higher aspect ratio,  $AR_c = 2$  (see also the dashed lines in the inset of Fig. 13), this trend is monotonic while in the case of  $AR_c = 1$  there is still an ejection predominance, but all the lines present a minimum value near  $z/H_{tot} = 0.1$ . Hence, the canopy layer is dominated by the sweep quadrant whilst in the above inertial sublayer ejections lead.

This behaviour is quite different from the one observed by Poggi et al., (2004) in the case of forest canopy, where the  $Q_4/Q_2$  ratio has a quite constant trend inside the canopy (characterized by weak ejections in sparse canopies and by strong sweeps within dense canopies) probably also due to the three-dimensionality of the obstacles of the vegetated canopy.

470 In order to assess the effects on the momentum transport efficiency and identify any trend

471 related to the roof slope variability, the correlation coefficient was computed:

$$r_{uw} = \langle \frac{\overline{u'w'}}{\sqrt{\overline{u'^2}}\sqrt{\overline{w'^2}}} \rangle \tag{8}$$

472 Fig. 14 displays the vertical profile of the correlation coefficient horizontally averaged 473 over the roughness periodic unit,  $r_{uw}$ ; vertical coordinates are made non-dimensional by 474 the eaves' height, H. All the curves present a minimum value around -0.5 near the rooftop level (as highlighted in the inset of Fig. 14, where data are plotted versus  $z/H_{tot}$ ). This is 475 476 the same value (-0.5) obtained for neutrally stratified roughness sublayer and for 477 vegetated canopies (Finnigan, 2000), while the above region displays lower absolute 478 values, which is a sign of a more efficient momentum transfer of the urban canopy with 479 respect to the above layers. At higher elevations above the canopy,  $r_{uw}$  is roughly 480 constant around -0.4 for all the analysed cases, while for elevations larger than 6 H, all 481 curves show an increasing trend and they approach towards slightly higher values than -482 0.32, i.e. the standard inertial sublayer value in case of a constant stress surface layer 483 (Garratt, 1994).

484 Inside the canopy, the profiles are mainly dependent on  $AR_C$ : when  $AR_C = 1$ ,  $r_{uw}$  trends 485 have positive values except near the cavity top, whilst for  $AR_c = 2$ ,  $r_{uw}$  follows the same 486 monotonic trend toward the axis origin for all cases, except for 45° roof slope, collapsing 487 on a single line. This trend resembles the family portrait of forest canopies identified by Böhm et al., (2013) and Raupach et al., (1996). Instead, in the case of  $AR_c = 1$ , the 488 489 correlation coefficient inside the canyon is positive irrespective of the roof slope due to 490 the prevalence of positive quadrant contribution (inward and outward interaction) with respect to the negative ones (sweep and ejections), in agreement with what already 491 492 observed in Fig. 8 and 9. Moreover, although a sharp trend with roof slope is not apparent, 493 the higher  $r_{uw}$  absolute values at the roof height are found for the higher roof angles, 494 pointing out a more efficient vertical momentum transfer.



495

496 Fig. 10: Correlation profile,  $r_{uw}$ , for the ten configurations: solid lines for  $AR_c = 1$ ; dashed lines for 497  $AR_c = 2$ . Different colours indicate different roof slopes as displayed in the legend. Data are made non 498 dimensional respectfully using the eaves height (*H*), in the main plot, and total height is employed ( $H_{tot}$ ) in 499 the small inset.

# 500 4 Discussion

The QA analysis highlighted a different behaviour among the considered cases that is related to the different development of the shear layer. In order to investigate its growth and evolution, the shear layer thickness was computed at the rooftop by means of the vorticity thickness, which is a measure of the maximum local shear (Brown and Roshko, 1974):

$$\delta_{\omega} = \frac{U_2 - U_1}{\partial U / \partial y|_{max}} \tag{9}$$

506

507 where the maximum is computed along each vertical profile, while  $U_2$  and  $U_1$ , in the 508 present study, are taken as  $U_{ref}$  and 0 respectively.



509 x/H x/H510 Fig. 11: Vorticity thickness  $\delta_{\omega}$  (Eq. 9) made non dimensional by the momentum thickness computed along 511 a line at the rooftop level for the five roof slopes (with different colour as in the legend) and two aspect 512 ratios (panel a for  $AR_c = 1$ ; panel b for  $AR_c = 2$ ).

513 Fig. 15 shows the variation of the vorticity thickness  $\delta_{\omega}$  along the cavity at the rooftop 514 height,  $\lambda(z = H_{tot})$ , made non-dimensional by the momentum thickness  $\theta_0$  (which was 515 computed from the velocity profiles at the upwind roof ridge in case of gable roof and at 516 the leading edge for the flat roofs). The analysis is focused on the vorticity thickness 517 evolution between the upstream and downstream corner of the cavity  $(|x/H| \le$  $AR_{c}/2$ ). The general trend displayed in Fig. 15 is similar to the ones reported in 518 519 literature for cavity flows (Chang et al., 2006; Haigermoser et al., 2008; Kang et al., 520 2008). The vorticity thickness increases along the canyon cavity, it reaches a maximum 521 close to the downwind building corner and then decreases. A trend with the roof slope is 522 apparent. Indeed, the curves are shifted towards higher values when higher roof slopes 523 are considered, and correspondingly their slope, hence the vorticity rate of growth, 524 increases. This behaviour can be observed for both the aspect ratios, but it is more evident when  $AR_c = 1$ . A deviation from this behaviour is observed for the  $\alpha = 45^\circ$ ,  $AR_c$ 525 = 1 case, which displays lower values and slope compared to the  $\alpha = 30^{\circ}$  case. 526

For both the aspect ratios, two lines clusters emerge according to the roof slope, the first includes  $0^{\circ}$  and  $10^{\circ}$  whereas the second includes  $20^{\circ}$ ,  $30^{\circ}$  and  $45^{\circ}$ . The latter issue is in contrast with the picture portrayed in Fig. 11, where a distinct trend between flat roof and gable roof was identified. Actually, this discrepancy confirms that the perspective deriving from mean flow analysis is very limited. Hence, for instance, turbulent closure development cannot rely on mean flow features (Krogstadt and Antonia, 1999). Here, a similar configuration confirmed by the smooth passage between the flow topology at increasing roof slope (Fig. 6-7) brings to similar vorticity thickness evolution for flat and  $\alpha = 10^{\circ}$  slope, despite the QA analysis identified a very different behaviour for flat roof buildings.

Variations of hole analysis have been proposed in literature, for instance Lu and Willmarth, (1973) defined the threshold on the product of stream-wise and vertical velocity standard deviations, while Narasimha et al., (2007) considered the Reynolds stress standard deviation. We however verified that, albeit obvious shift in the sample data, the obtained results are confirmed also using these hole definitions (for the sake of brevity, results are not presented here).

The analysis showed that presence of roofs does not alter the positive imbalance between sweeps (Q<sub>4</sub>) and ejections (Q<sub>2</sub>) (Fig. 11-13), a feature that is acknowledged to be universal for forest canopies, and it is synonymous of the mixing-layer mechanisms (Finnigan, 2000), but that does not hold true in other urban configurations, such as 3D diagonal array of cubes (Kanda, 2006). Nevertheless, the gable roof highly impacts on the relative importance of sweeps (Q<sub>4</sub>) and ejections (Q<sub>2</sub>) (Fig. 11 -13).

549

# 550 **5 Conclusion**

551 A LES simulation of periodic urban canopy was performed: two canyon aspect ratios were investigated, and roof pitch ranging from  $0^{\circ}$  to  $45^{\circ}$ . The systematic analysis 552 553 presented above allows unveiling marked trends with increasing roof slope and, at the 554 same time, a different behaviour of gable- with respect to flat-roof buildings in terms of 555 decomposition of the Reynolds shear stress and on the intermittency of the momentum 556 transfer. Quite a gradual variation with increasing slope is apparent for the mean velocity 557 field and derived vorticity thickness, while a remarkable difference between flat and 558 gable roof buildings emerged from quadrant analysis, in the repartition between ejection 559 and sweep events and in their intermittency as identified by the hole analysis. The 560 analysis of the present results suggests an explanation of the role of the gable roof in 561 enhancing the momentum transfer. The vertical momentum flux is driven by the 562 development of the interfacial shear layer at the canyon top. With flat roof, the Kelvin-563 Helmholtz instabilities characterising the shear layer begin developing at the upper, 564 leeward, corner. Conversely, with gable roof, the development starts at the roof edge, i.e. 565 0.5 H upstream from leeward corner. The upstream shift of the initial shear layer 566 developing point allows a longer length to the Kelvin-Helmholtz structures to grow and become unstable enhancing the mixing. This effect is more significant for  $AR_C = 1$ 567 568 because, for  $AR_C = 2$ , in the downstream portion of the interface, the shear layer is 569 completely unstable and does not play a determinant role in the momentum exchange whatever the starting point. For  $\alpha = 10^{\circ}$  and  $\alpha = 20^{\circ}$ , however, the growth rate of the 570 571 Kelvin-Helmholtz structures is limited by the small roof angle. Conversely, the effect is 572 not present for the larger explored angles ( $\alpha = 30^{\circ}$  and  $\alpha = 45^{\circ}$ ). Presumably, the limiting 573 effect terminates at a critical angle in between 20° and 30°, but the present results do not 574 allow a finer detection. Actually, the characterization of ejection  $(Q_2)$  and sweep  $(Q_4)$ 575 events plays a key role in most of the structural models developed in the context of the 576 wall-bounded turbulence to explain the redistribution of turbulent kinetic energy and 577 momentum (Lozano-Durán et al., 2012), and it is also intimately linked to the evolution 578 of coherent studies, which is presently under study.

579 Inherent limitations affect the present simulations, which are intentionally focused on 580 idealized conditions, rather different from realistic conditions from many points of view. 581 First of all, the analysis here presented refers to a periodic configuration of two-582 dimensional urban canyons, and the role of gable roof may have a different impact when 583 different, more complex or heterogeneous urban texture is considered. Moreover, 584 perpendicular incident wind and stationary conditions are simulated. Indeed, also in the 585 case of stable stratification, small departure from the ideal stationary conditions, which 586 are generally simulated in numerical and laboratory canyon models, as well as change in 587 wind direction can have a determinant impact on the flow field in real conditions (Karra 588 et al., 2017).

589 Nonetheless, the present work is a step forward to the characterization of the inner 590 mechanisms of mixing above urban canyons, potentially useful for the development of 591 parametrizations relevant in many contexts, from microscale to mesoscale models.

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