

1 **Chitosan and hyaluronan coated liposomes for pulmonary administration of curcumin**

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14 **Abstract**

15 Aiming at improving the nebulization performances and lung antioxidant protection of curcumin,
16 chitosan or hyaluronan-coated liposomes were prepared and their characteristics and
17 performances were compared with that of uncoated liposomes. Curcumin loaded liposomes
18 displayed a diameter lower than 100 nm, the coating with both polymers led to a small increase
19 of vesicle size around 130 nm and the zeta potential turned to positive values using chitosan
20 while remained negative using hyaluronan. Chitosan allowed the formation of more lamellar and
21 **stiffer** vesicles with a higher bilayer thickness ($d_B \sim 59 \text{ \AA}$) with respect to the uncoated liposomes,
22 whereas hyaluronan allowed the interdigitation of **the bilayers** ($d_B \sim 47 \text{ \AA}$) due to the polymer
23 intercalation between phospholipid head groups resulting in vesicles mainly organized in
24 uncorrelated bilayers. Both polymer **coatings**, especially hyaluronan, greatly improved the
25 stability of the vesicles, especially during the nebulization process, promoting the deposition of

26 the phytodrug in the furthest stages of the impactor in high amount ($\geq 50\%$). Polymer coated
27 vesicles were biocompatible and improved the curcumin ability to protect A549 cells from the
28 oxidative stress induced by hydrogen peroxide, restoring healthy conditions (cell relative
29 metabolic activity 100%). In particular, a synergic effect of curcumin and hyaluronan was
30 observed resulting in a proliferative effect and a subsequent further enhancement of cell relative
31 metabolic activity up to 120%.

32

33 **Keywords:** hydrophilic polymers, coated liposomes, oxidative stress, pulmonary delivery, A549
34 cells.

35

36 **1. Introduction**

37 Extensive research have shown that many lung diseases, such as cystic fibrosis, asthma, chronic
38 obstructive pulmonary disease, tuberculosis and continuous respiratory infections, can result in
39 chronic local inflammation causing broad lesions, changes in the architecture of the airway walls,
40 cell modification and cancer development (Mantovani et al., 2008; Nair et al., 2010).

41 Synthetic drugs have been used for many years with the aim to control these pulmonary diseases,
42 but the chronic administration is often associated to undesired side effects or drug resistance,
43 which is responsible of therapy failure. For this reason, the use of natural and safe active
44 ingredients, as therapeutic agents or as adjuvants of synthetic molecules, has raised large interest.

45 Among others, curcumin (1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione), a
46 bioflavonoid obtained from the rhizome of *Curcuma longa*, has shown a wide variety of
47 promising pharmacological activities such as anti-inflammatory, anti-microbial, anti-cancer, and
48 anti-Alzheimer as demonstrated in both preclinical and clinical studies (Naksuriya et al., 2014).
49 The bioflavonoid is likely stopping the inflammatory cascade process by its synergic antioxidant
50 and anti-inflammatory activity (Manju and Sreenivasan, 2011).

51 However, because of its chemical structure and low water solubility, curcumin bioavailability
52 and stability are very low and its administration, regardless the route chosen, often results in poor
53 therapeutic effect. The use of nanotechnologies to deliver natural drugs, represents a useful
54 strategy to overcome such kind of problems. Thanks to their high biodegradability and
55 biocompatibility, liposomes are suitable lung delivery systems that can offer advantages for local
56 administration such as uniform deposition and high pulmonary surface distribution of the active
57 agent.

58 In previous studies, curcumin was loaded in several liposomal formulations and their properties
59 were tested by using different administration routes: i.e., the therapeutic properties of curcumin
60 loaded liposomes in lung cancer cells were evaluated and compared with those of native and β -
61 cyclodextrin enclosed phyto-drug (Rahman et al., 2012); in another work, liposomes prepared
62 with dimyristoylphosphatidylcholine and loading curcumin were used, and their ability to inhibit
63 relative metabolic activity of cancer cells (70-80%) was demonstrated (Lee et al., 2014;
64 Thangapazham et al., 2008). On the contrary, few studies focused on the preparation and
65 evaluation of the efficacy of curcumin loaded phospholipid vesicles for lung delivery. Therefore,
66 in this work, to fill this lack of knowledge, polymer surface-modified liposomes were designed
67 to improve curcumin bioavailability and retention into lung tissue. The addition of suitable
68 polymers represents a valid strategy to protect liposomes from enzymatic attack, improve their
69 mucoadhesion properties and increase the local bioavailability of the drug (Manca et al., 2014,
70 2011; Taylor et al., 2010). Among the various polymers able to interact with liposome surface,
71 chitosan possesses good mucoadhesiveness and, as already described, is able to protect and
72 stabilize vesicles (Lemarchand et al., 2004). Surface modification of particles with chitosan has
73 already been demonstrated to prolong their residence time in the airways in comparison with
74 uncoated ones, thanks to ionic interactions between positive amino groups of the polymer and
75 the negative mucus gel layer (Mura et al., 2011; Zaru et al., 2009). Besides, the negatively
76 charged hyaluronic acid has also been extensively used to protect liposomes and enhance their

77 mucoadhesive properties (Lim et al., 2000; Pritchard et al., 1996). It is a natural non-toxic,
78 biodegradable and non-immunogenic, polysaccharide composed of alternating d-glucuronic acid
79 and N-acetyl-d-glucosamine repeating units (Dosio et al., 2016). Hyaluronic acid is native in all
80 the extracellular matrix of human organism as well as in lung environment where it is
81 metabolized by endogenous enzymes (Oh et al., 2010). As previously reported, the hydrophilic
82 hyaluronan can improve liposome stability and drug delivery performances because of its
83 bioadhesive properties as well as its capability to act as a targeting moiety to the epithelial cells
84 expressing cluster determinant 44 (CD44) (Dufay Wojcicki et al., 2012; Lemarchand et al., 2004;
85 Oh et al., 2010). Indeed, hyaluronic acid binds to the extracellular domain of CD44, a cell surface
86 molecule, providing its activation by either a conformational change in the CD44 molecule or a
87 change in the distribution of CD44 molecules on the cell surface (Lesley et al., 1992). CD44 is
88 ubiquitously expressed on leukocytes and parenchymal cells including normal endothelial,
89 epithelial and smooth muscle cells, and is widely over-expressed in inflamed and tumour
90 initiating tissues (Dosio et al., 2016; Nascimento et al., 2016). Furthermore, Teder and
91 collaborators demonstrated its presence in lung cells where it plays an essential role in the
92 resolution phase of pulmonary inflammation, (Dentener et al., 2005; Papakonstantinou and
93 Karakiulakis, 2009; Teder et al., 2002).

94 In this work, liposomes were prepared using a mixture of soybean phospholipids and curcumin,
95 and their surface was coated by adding chitosan or sodium hyaluronate. Physico-chemical
96 properties of the vesicles, such as size, surface charge, morphology and structure, were
97 thoroughly evaluated using Photon Correlation Spectroscopy (PCS), cryogenic transmission
98 electron microscopy (cryo-TEM) and Small-Angle X-ray Scattering (SAXS). Moreover, their *in*
99 *vitro* ability to be nebulized was assessed using a New Generation Impactor. The formulation
100 biocompatibility and ability to inhibit hydrogen peroxide damages were evaluated using the
101 A549 cells as model of lung epithelium.

102 2. Materials and Methods

103 **2.1. Materials**

104 A commercial mixture of soybean phospholipids (Lipoid S75, S75), containing 70%
105 phosphatidylcholine, 9% phosphatidylethanolamine and 3% lysophosphatidylcholine,
106 triglycerides and fatty acids, was kindly supplied by AVG (Milan, Italy) and Lipoid GmbH
107 (Ludwigshafen, Germany). Sodium hyaluronan (HA) low molecular weight (200-400 kDa) was
108 purchased from DSM Nutritional Products AG Branch Pentapharm (Aesch/Switzerland).
109 Chitosan (CH) low molecular weight, curcumin (CUR) (1,7-bis(4-hydroxy-3-methoxyphenyl)-
110 1,6-heptadiene-3,5-dione) and all other products of analytical grade, were purchased from Sigma
111 (Milan, Italy).

112 **2.2. Vesicle preparation**

113 Curcumin (0.5 mg/ml) was dispersed in water and added to a flask containing S75 (60 mg/ml).
114 Lipids were left swelling in the dispersion overnight and sonicated for 20 cycles (5 seconds on
115 and 2 seconds off) using an ultrasonic disintegrator (Soniprep 150, MSE Crowley, United
116 Kingdom). Appropriate amount of chitosan (5 mg/ml) was dissolved under stirring in acetic acid
117 (0.1% v/v) aqueous solution, while hyaluronan (5 mg/ml) was dissolved in distilled water.
118 Curcumin loaded liposomes (5 ml) were slowly added to the polymer dispersion (10 ml) under
119 stirring at 25°C; the stirring was maintained for 1 hour to obtain the final coated vesicles (Zhuang
120 et al., 2010). Liposome dispersion (5 ml) was diluted in distilled water (10 ml) to obtain the same
121 phospholipid and drug concentration of the coated vesicles: curcumin 0.17 mg/ml, phospholipids
122 20.00 mg/ml, polymer 3.33 mg/ml.
123 Each vesicle suspension was purified from the non-incorporated drug by dialysis against distilled
124 water at 25°C for 4 hours, using dialysis tubing (Spectra/Por® membranes: 12–14 kDa MW cut-
125 off, 3 nm pore size; Spectrum Laboratories Inc., Rancho Domingo, USA).
126 Coated vesicle dispersions were ultra-centrifuged at $37,000 \times g$ for 4 hours at 4°C to remove the
127 excess of polymer and washed three times with distilled water.

128 **2.3. Vesicle characterization**

129 Formation and morphology of vesicles were evaluated by cryo-TEM. A drop of each sample was
130 placed on a glow-discharged holey carbon grid and then blotted with filter paper to obtain a thin
131 aqueous film, which was vitrified by plunging the grid (kept at 100% humidity and room
132 temperature) into ethane maintained at its melting point, using a Vitrobot (FEI Company,
133 Eindhoven, The Netherlands). The vitreous films were transferred to a Tecnai F20 TEM (FEI
134 Company) using a Gatan cryotransfer (Gatan, Pleasanton, CA), and the samples were observed
135 in a low-dose mode. Images were acquired at 200 kV at a temperature around -173°C, using low-
136 dose imaging conditions not exceeding $20 \text{ e}^-/\text{\AA}^2$, with a CCD Eagle camera (FEI Company). The
137 average diameter and polydispersity index (PI; a measure of the size distribution width) of the
138 particles were determined by Photon Correlation Spectroscopy using a Zetasizer nano-ZS
139 (Malvern Instruments, Worcestershire, UK). Samples were backscattered by a helium-neon laser
140 (633 nm) at an angle of 173° and a constant temperature of 25°C . Zeta potential was estimated
141 using the Zetasizer nano-ZS by means of the M3-PALS (Mixed Mode Measurement-Phase
142 Analysis Light Scattering) technique, which measures the particle electrophoretic mobility.

143 The lipid content of the dispersions was determined by the Stewart assay (Muzzarelli, 1998).
144 Vesicle dispersions (10 μl) were diluted with the specific reagent consisting of a mixture of
145 chloroform and an aqueous solution of ammonium ferrithiocyanate (0.1 N). The obtained
146 mixtures were maintained in the dark at room temperature for 30 min and then analysed at 485
147 nm using a UV spectrophotometer (Spectrometer Lambda 25, Perkin Elmer, Milan, Italy).

148 Aggregation efficiency (AE%) was calculated as the percentage of the amount of phospholipids
149 measured in the dispersion after the purification process of the polymer (ultra-centrifugation)
150 versus the amount initially measured (Manca et al., 2015a, 2015b).

151 Drug entrapment efficiency (EE%), was expressed as the percentage of the amount of curcumin
152 found after purification by dialysis versus that initially used. Unpurified and purified vesicles
153 were disrupted by dilution with methanol (dilution 1:500) and the curcumin content of each
154 dispersion, was quantified by HPLC, using a chromatograph Alliance 2690 (Waters, Milano,

155 Italy) detector equipped with a photodiode array detector 996. Analysis was performed at 424
156 nm, using a column SunFire C18 (3.5 μm , 4.6x150 mm) and a mixture of acetonitrile, water and
157 acetic acid (90:9.7:0.3, v/v), delivered at a flow rate of 1.0 ml/min.

158 **2.4. Small-Angle X-ray Scattering (SAXS)**

159 SAXS experiments were carried out using a S3-MICRO (Hecus X-ray systems, Graz, Austria)
160 coupled to a GENIX-Fox 3D X-ray source (Xenocs, Grenoble, France) and a 50 focused X-ray
161 beam with 0.1542 nm at Cu K α -line. The q range was 0.003-0.6 \AA^{-1} , where $q = (4 \pi \sin \theta)/\lambda$ is
162 the modulus of the scattering wave vector, θ the scattering angle and λ the wavelength. All
163 scattering curves, recorded at 25°C were reproduced twice, and a representative curve was
164 selected, plotting the scattering intensity (I) as a function of the scattering vector (q). SAXS
165 patterns were calculated using the bilayer model developed by Pabst (Pabst et al., 2003, 2000) and
166 applied in the program GAP (Global Analysis Program).

167 **2.5. Nebulization of formulations and aerodynamic behaviour.**

168 The *in vitro* deposition of tested formulations was evaluated using the next generation impactor
169 (Eur. Ph 7.2, Copley Scientific Ltd., Nottingham, United Kingdom) and the PariSX[®] air jet
170 nebulizer connected to a ParyBoySX[®] compressor. Vesicular formulations (3ml) were placed in
171 the nebulizer and aerosolized to dryness directly into the throat of the impactor. At the end of the
172 experiment, the sample deposited into the different stages of the impactor was recovered with
173 methanol and drug content was quantified by HPLC (section 2.3.). Deposition performances were
174 evaluated calculating the total mass output (TMO%), the Fine Particle Dose (FPD), and the Fine
175 Particle Fraction (FPF). Mass median aerodynamic diameter (MMAD) and geometric standard
176 deviation (GSD) values were calculated without including the mass deposited in the induction
177 port. The cumulative amount of particles with a diameter lower than the stated size of each stage
178 was plotted as a percentage of recovered drug versus the cut-off diameter, and the MMAD of the
179 particles was extrapolated from the graph (Manca et al., 2014).

180 **2.6. Cell relative metabolic activity assay**

181 The human basal epithelial alveolar cells (A549) were maintained at 37°C and 5% CO₂ in a
182 humidified atmosphere and cultured in Dulbecco's modified Eagle's medium supplemented with
183 penicillin, streptomycin, and 10% foetal bovine serum.

184 Cell viability was evaluated as a function of their relative metabolic activity using the 3-[4,5-
185 dimethylthiazol-2-yl]-3,5 diphenyl tetrazolium bromide (MTT) test (Manca et al., 2014). Cells
186 (7.5×10^3) were seeded in 96-well plates. After 24 h, suspensions (25 µl) at different phospholipid
187 and phytodrug **dilutions to reach the appropriate concentrations** (0.02-2 mg/ml and 0.15-17 µg/ml
188 for S75 and curcumin respectively) were added and after 48 h of incubation, MTT solution (0.5
189 mg/ml) was added to each well. Later (2-3 h), the culture medium was removed, replaced with
190 dimethyl sulfoxide and the absorbance of the solubilized dye was measured at 570 nm with a
191 microplate reader (Synergy 4, Reader BioTek Instruments, AHSI S.P.A, Bernareggio, Italy).
192 Results are shown as percentage of **cell relative metabolic activity** in comparison with non-treated
193 control cells (**100% cell relative metabolic activity**).

194 **2.7. Effect of curcumin loaded formulations on oxidative stress damage of cells**

195 A549 cells were seeded in 96 well plates and incubated until confluence. Untreated cells under
196 optimal conditions and cells treated with hydrogen peroxide but without curcumin were used as
197 negative and positive control, respectively. Cells were simultaneously treated with hydrogen
198 peroxide (1:30000 v/v dilution) and formulations **properly diluted to reach** two different
199 curcumin (17 and 8.5 µg/ml) and phospholipid (1 or 2 mg/ml) concentrations, incubated for 3
200 hours and successively washed with PBS. Finally, the MTT assay was used to measure **cell**
201 **relative metabolic activity**. Results are shown as percentage of **cell relative metabolic activity** in
202 comparison with non-treated cells (negative control, 100% **cell relative metabolic activity**).

203 **2.8. Statistical analysis**

204 Results are expressed as the mean ± standard deviation. Multiple comparisons of means
205 (ANOVA) were used to substantiate statistical differences between groups, while Student's t-test

206 was used to compare two samples. Significance was tested at the 0.05 level of probability (p).
207 Data analysis was carried out with the software package XLStatistic for Excel.

208 **3. Results and Discussion**

209 **3.1. Vesicle characterization**

210 Vesicle formation and their structure were firstly confirmed by cryo-TEM. Micrographs showed
211 the formation of spherical and unilamellar liposomes (Figure 1A). Chitosan coating modified the
212 vesicle structure, which appeared spherical too and formed by one or more lamellae (Figure 1B).
213 **Similar structures were obtained by Hasan et al., who found that chitosan-coated liposomes were**
214 **surrounded by a contrasting band (Hasan et al., 2016).** On the contrary hyaluronan coated
215 liposomes disclosed unilamellar structures very similar to the uncoated vesicles (Figure 1C).
216 Mean size, polydispersity index and zeta potential of the formulations are shown in Table 1,
217 which also contains data of empty vesicles to evaluate the effect of curcumin incorporation on
218 these parameters. Mean diameter of empty liposomes was ~90 nm and samples showed a good
219 homogeneity (polydispersity index ~ 0.18). When curcumin was incorporated into the vesicles,
220 their size did not change significantly but the polydispersity increased and the zeta potential
221 became less negative indicating a contribution of the flavonoid on the bilayer surface (Castangia
222 et al., 2014; Hung et al., 2008; Manca et al., 2015a, 2015b). The addition of chitosan coating led
223 to an increase of the vesicle mean diameter in empty (~115 nm) and especially in curcumin-
224 loaded vesicles (~132 nm) clearly due to the polymer layer deposition on vesicle surface, **as**
225 **previously found by Morton et al. and Bishop et al. when coating liposomal carriers or to gold**
226 **nanoparticles, respectively (Bishop et al., 2016, 2015; Morton et al., 2014).** Zeta potential of
227 liposomes was strongly negative (-62 mV, Table 2) because S75 is a mixture of
228 phosphatidylcholine, negatively charged phospholipids and fatty acids. At pH~6 the negative
229 phosphate residues of the phospholipids are distributed on the membrane surface leading to a
230 negative potential value (Abramović et al., 2008). The positively charged molecules of polymeric
231 chitosan can easily interact with the negative phosphate groups of phosphatidylcholine allowing

232 stable, electrostatic interactions. The inversion of the zeta potential of chitosan liposomes from
233 negative to highly positive values (+70 mV) confirmed the presence of the positive polymer
234 coating on **the liposome** surface, while the addition of curcumin partially reduced the zeta
235 potential of the loaded chitosan liposomes (+35 mV). This highly positive zeta potential value is
236 indicative of vesicle stability against aggregation processes, due to the electrostatic repulsion
237 among the particles.

238 The addition of hyaluronan to empty liposomes did not change vesicle size and only allowed a
239 slight increase of sample polydispersity and a decrease of zeta potential that became less
240 negative. The addition of curcumin to hyaluronan liposomes caused an increase of vesicle size,
241 the polydispersity index remained alike while **zeta potential, as for empty coated liposome,**
242 **became less negative (-20 mV). The surface charge is an important parameter, which affects the**
243 **stability of vesicles in dispersion and should be always strongly negative or positive (Akhtar et**
244 **al., 2012). Usually a zeta potential around -20 mV is still enough to ensure the system stability as**
245 **previously reported (Manca et al., 2015a).** Even though hyaluronan is negatively charged, **it can**
246 **interact with zwitterionic phosphatidylcholine heads. Indeed, previous studies demonstrated that**
247 **the orientation and conformation of the choline head groups on the bilayer surface are not**
248 **constant since they** can be affected by binding or adsorption of charged molecules (Scherer and
249 Seelig, 1989).

250 Curcumin entrapment efficiency of liposomes (96%) was slightly higher than that of coated
251 liposomes (~85%) probably because of a loss of the drug during the ultracentrifugation process
252 (table 1). In addition, the amount of phospholipids in **the vesicle dispersions** was measured before
253 and after the purification process (ultra-centrifugation), using a colorimetric assay, **thus,**
254 **obtaining the amount of phospholipids actually aggregated into vesicles.** For each formulation
255 the efficiency of phospholipid aggregation was calculated as percentage and it was very high
256 (92%) for liposomes confirming the good ability of phosphatidylcholine to form closed bilayer
257 vesicles also in the presence of curcumin. The aggregation efficiency of coated liposomes was

258 lower (~80%) than that of liposomes probably because a little amount (10%) of small and
259 uncoated vesicles was still present in the supernatant after centrifugation (table 1).

260 SAXS analysis is a powerful complementary tool to evaluate the physicochemical bilayer
261 modifications caused at molecular level by host chemicals, thus, allowing to collect detailed
262 information on bilayer structure and internal spaces occupied by the two portions of
263 phospholipids inside them. Empty and curcumin-loaded liposomes and polymer-coated
264 liposomes were analysed by SAXS to evaluate the drug and polymer effect on vesicle assembling
265 and features, which can affect the drug *in vivo* release (Gómez Gaete et al., 2008). Data were
266 obtained using the fitting procedure elaborated by Pabst (Pabst et al., 2003), which provides
267 electron density profiles of lamellar membrane. Such theoretical model should be used when the
268 power-law diffuse scattering under the Bragg peaks is detectable, allowing to obtain important
269 information on membrane fluctuations (Fernandez et al., 2008). Scattering curves of intensity
270 versus wave vector (q) for samples are shown in Figure 2. Empty and curcumin loaded liposome
271 patterns presented only a first order reflection peak ($q \sim 0.10 \text{ \AA}^{-1}$) broadened and unsharpened
272 indicating the presence of unilamellar, soft vesicles having a bilayer thickness (d_B) $\sim 52.4 \text{ \AA}$ (table
273 2). Chitosan liposomes displayed a first order diffraction peak at the same q value (0.10 \AA^{-1}), but
274 more sharpened and, hence, indicative of more lamellar (oligolamellar) and more stiff structures
275 with a higher bilayer thickness $d_B \sim 59 \text{ \AA}$ and a definite repetition distance, $d \sim 62 \text{ \AA}$. These
276 changes on diffraction peak reflect an improvement in the structural ordering of the lamellae.
277 Therefore, the vesicle structure changed from unilamellar to partial oligolamellar with an
278 increase of the bilayer thickness, stiffness and vesicle size due to the formation of the polymer
279 layer on **the** bilayer surface. The system stiffness increased because oligolamellar vesicles are
280 more rigid than unilamellar vesicles for the formation of different close concentric lamellae.
281 Moreover, the presence of an electric layer surrounding a membrane is theoretically expected to
282 increase bending and rigidity of the structure (Mertins and Dimova, 2013). The increase of
283 membrane thickness (d_B), observed in chitosan liposomes, seems to be due to a stronger

284 interaction of the chitosan with the polar regions of the phospholipid bilayer, with a consequent
285 separation of the apolar chains and enlargement of their width (z_H) while the polar heads (σ_H)
286 remained constant.

287 Diffraction peak of hyaluronan coated liposomes was much flattened and broad indicating that
288 the vesicles are mainly organized in uncorrelated bilayers having thickness (d_B) lower than that
289 of uncoated liposomes. A decrease in intensity could come from a reduction of the bilayer
290 electron density contrast due to interference between scattering units or to a change in symmetry
291 (size) of the scattering objects, or to a high polydispersity of the sample (Riske et al., 2001).
292 Bilayer thickness (d_B) reduction suggests that hydrophobic segments of hyaluronic acid may
293 partially insert between the lipidic domain of the bilayer as previously reported by Gomez Gaete
294 et al for DPPC-hyaluronic acid microparticles (Gómez Gaete et al., 2008). This polar heads
295 enlargement favoured the lipid chains shift to the opposite bilayer polar heads resulting in a
296 decrease of the apolar chain width (z_H). The results of SAXS analysis showed a minor influence
297 of curcumin on the structural parameters probably indicating its superficial position in the bilayer
298 and also due to its low concentration (Castangia et al., 2014).

299 **3.2. Nebulization Studies**

300 Formulations were nebulized for 15 minutes using the PariSX[®] air jet nebulizer connected to the
301 next generation impactor in order to evaluate *in vitro* curcumin deposition and particle
302 aerodynamic diameter. Indeed, the nebulizer content was almost completely aerosolized, the total
303 mass output (TMO) was ~100% for liposomes (97±5%), hyaluronan liposomes (98±2%) as well
304 as for curcumin dispersion (95±4%), while it was lower for chitosan liposomes (87±8%) probably
305 due to the adhesive properties of chitosan on the apparatus surface. The amount (FPD) and the
306 percentage (FPF) of curcumin deposited in the lower stages of the impactor, were measured
307 (Table 3). The highest drug deposition was obtained using hyaluronan coated liposomes (~130
308 µg and 93%) which reached values ~4-6 fold higher than those of drug dispersions and ~2 fold
309 higher than that of liposomes. The drug deposition provided by chitosan coated liposomes was

310 slightly higher **than** that of liposomes and always 2-3 fold higher than that of the drug dispersion.
311 However, using this formulation almost 50% of curcumin reached the furthest stages of the
312 impactor mimicking the deepest part of the respiratory tree.
313 It is well known that the process of jet nebulization involves repeated cycles of aerosol drop
314 formation and their recapture in the nebulizer reservoir before the formulation leaves the device.
315 During this process, considerable shearing forces are applied to the samples, leading to
316 **development** of dispersion drops and their fragmentation, subsequent aggregation, fusion and
317 formation of new larger drops (Manca et al., 2015b; Melis et al., 2016). Carrier stability **against**
318 these shearing forces plays a key role for their suitable use for pulmonary administration because
319 **it** affects the final aerodynamic diameter (MMAD) of **the** aerosolized particles (Abu-Dahab et
320 al., 2001). Curcumin dispersion and liposomes had a large MMAD (~5 μm), which did not allow
321 the particles to reach the latter stages of the impactor, suggesting that only a small part of the free
322 drug could reach the deep airways. Using liposomes, the shearing forces may cause the rupture
323 of the vesicles and curcumin leakage, which, similarly to the dispersion, may undergo the
324 aggregation of the individual molecules during the nebulization process, **with the consequent**
325 formation of stable and large agglomerates unable to be nebulized (Manca et al., 2014).
326 Differently, coated vesicles showed a good aptitude to be nebulized because the hydrophilic
327 polymers had a remarkable effect on the physical properties and stability of the vesicles (Manca
328 et al., 2011). In particular, the polymer coating could improve vesicle resistance during
329 aerosolization reducing their **rupture**, facilitating structure re-assembling and, finally, promoting
330 the delivery of high amount of curcumin to the lowest stages of the impactor. The MMADA of
331 both coated liposomes was ~3 μm , lower than that of liposomes, confirming the polymer ability
332 to improve vesicle stability and nebulization performances.

333 **3.3. *In vitro* biocompatibility and antioxidant activity**

334 Cytotoxic effect of samples on A549 cells was assayed **for 48h by testing different dilutions**
335 **(corresponding to the following concentrations: S75 0.02-2 mg/ml; drug 0.17-17 $\mu\text{g/ml}$)**, using

336 the MTT assay (Manca et al., 2014). Empty formulations **did not cause any reduction in cell**
337 **relative metabolic activity** ($\geq 100\%$) at all the tested dilutions (Figure 3). Furthermore, empty
338 hyaluronan coated liposomes **induced a slight increase in cell relative metabolic activity** up to
339 $\sim 113\%$ thanks to hyaluronic acid ability to improve cell migration and proliferation (Manca et
340 al., 2015; Manuskiatti and Maibach, 1996). The cell incubation with curcumin loaded vesicles
341 led to a small decrease in **cell relative metabolic activity**, which was always $\geq 80\%$ even at the
342 highest sample concentration (S75 2 mg/ml; drug 17 $\mu\text{g/ml}$) (Figure 3).

343 Increased levels of reactive oxygen species provided by hydrogen peroxide may damage cellular
344 processes even leading to **cell death**. **Curcumin, as a** potent antioxidant, hampers the formation
345 of these reactive oxygen species protecting the cells (Bar-Sela et al., 2010; Jurenka, 2009;
346 Strimpakos and Sharma, 2008). The carrier ability to modulate curcumin antioxidant activity and
347 to protect cells from hydrogen peroxide damages was tested for 3 h using A549 and the effect
348 provided by the flavonoid dispersion was used as reference. The addition of hydrogen peroxide
349 to the cells (untreated cells) led to **a reduction** ($\sim 40\%$) of **cell relative metabolic activity** and the
350 simultaneous treatment with curcumin dispersion did not improve cell survival ($p > 0.05$). **On the**
351 **contrary, the vesicles promoted the antioxidant activity of curcumin within the cells, while**
352 **protecting them even at the lowest curcumin concentration** (8.5 $\mu\text{g/ml}$). Hydrogen peroxide
353 stressed-cells treated with curcumin loaded vesicles **showed** the same **cell relative metabolic**
354 **activity** of the unstressed cells or even **higher**, up to 120% using hyaluronan coated liposomes.
355 This result is probably related to the synergic effect of curcumin and hyaluronic acid, the first
356 performing its antioxidant activity and the last stimulating cell proliferation (Castangia et al.,
357 2015; Manca et al., 2015a; Manuskiatti and Maibach, 1996). It **has also been** suggested **that**, due
358 to the interaction with CD44 receptor, hyaluronan may activate pathways involved in the
359 regulation of cellular redox status and intracellular ROS generation (Halicka et al., 2009; Ye et
360 al., 2012).

361 **4. Conclusions**

362 The combination of nanotechnologies and natural drugs represents a smart strategy to
363 ameliorate the treatment of pulmonary diseases or prevent chronic inflammation. Aiming to
364 this, **curcumin loaded liposomes** were modified by coating their surface **with chitosan or**
365 **hyaluronan** to protect both phytodrug and vesicles and improve curcumin local efficacy.
366 Positively charged, oligolamellar and stiff vesicles were obtained using the first polymer and
367 negatively charged, unilamellar and less stiff vesicles with the second one. Overall results
368 disclosed that vesicles coated with hyaluronan appeared more appropriate to improve lung
369 deposition and to effectively protect A549 cells from the oxidative stress induced by hydrogen
370 peroxide. Curcumin loaded hyaluronan coated liposomes may represent a potential and safe
371 delivery system for the local treatment of different lung diseases able to prevent chronic
372 inflammatory conditions.
373

374 **Figure 1.** Cryo-TEM micrographs of (A) curcumin loaded liposomes, (B) chitosan coated
375 liposomes and (C) hyaluronan coated liposomes. Arrows indicate the structure of the vesicles.

376

377 **Figure 2.** Representative SAXS patterns of empty and curcumin (CUR) loaded liposomes,
378 chitosan (CH) coated liposomes and hyaluronan (HA) coated liposomes.

379

380 **Figure 3.** Cell relative metabolic activity (MTT assay) of A549 cells incubated with empty and
381 curcumin (CUR) loaded liposomes, chitosan (CH) coated liposomes and hyaluronan (HA) coated

382 liposomes, as a function of their concentration (A) and after simultaneous exposure to hydrogen
383 peroxide and curcumin loaded vesicles. Each independent experiment was repeated three times.

384 Results are expressed as mean values \pm standard deviation (n=9).

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