

1 **From The Traditional Chinese Medicine plant *Schisandra chinensis* new scaffolds effective on HIV-1**
2 **reverse transcriptase resistant to non-nucleoside inhibitors.**

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11 **Running title:** *Schisandra chinensis* as scaffolds effective on HIV-1 reverse transcriptase resistant

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20 **Abstract**

21 HIV-1 reverse transcriptase (RT) is still an extremely attractive pharmaceutical target for the identification of
22 new inhibitors possibly active on drug resistant strains. Medicinal plants are a rich source of chemical
23 diversity and can be used to identify novel scaffolds to be further developed by chemical modifications. We
24 investigated the ability of the main lignans from *Schisandra chinensis* (Turcz.) Baill. fruits, commonly used
25 in Traditional Chinese Medicine, to affect HIV-1 RT functions. We purified 6 lignans from *Schisandra*
26 *chinensis* fruits and assayed their effects on HIV-1 RT and viral replication. Among the *Schisandra chinensis*
27 fruit lignans, Schisandrin B and Deoxyschizandrin selectively inhibited the HIV-1 RT-associated DNA

28 polymerase activity. Structure activity relationship revealed the importance of cyclooctadiene ring
29 substituents for efficacy. In addition, Schisandrin B was also able to impair HIV-1 RT drug resistant mutants
30 and the early phases of viral replication. We identified Schisandrin B and Deoxyschizandrin as new scaffold
31 for the further development of novel HIV-1 RT inhibitors.

32
33 **Keywords:** *Schisandra chinensis*, HIV-1, reverse transcriptase, plant extracts, plant diversity, HIV
34 resistance.

35 36 **Introduction**

37 Despite the approval of 25 drugs for Human Immunodeficiency Virus type 1 (HIV-1) therapy, emergence of
38 drug resistance strains and side effects due to chronic drug administration require the identification of new
39 HIV-1 inhibitors (Mehellou *et al.*, 2010). For this purpose, HIV-1 reverse transcriptase (RT) is still an
40 extremely attractive drug target since it is a viral-coded protein characterized by multifunctional activities
41 essential for different and fundamental steps in the viral retrotranscription process (Tramontano and Di
42 Santo, 2010; Esposito *et al.*, 2012; Distinto *et al.*, 2012; Corona *et al.*, 2013). In particular, HIV-1 RT has
43 two main enzymatic functions: an RNA-Dependent DNA Polymerase (RDDP) activity, responsible for the
44 formation of the RNA:DNA intermediate, and a Ribonuclease H (RNase H) activity, responsible for the
45 hydrolytic cleavage of the RNA strand of the RNA:DNA hybrid (Esposito *et al.*, 2012). According to their
46 different mechanism of action, RT Inhibitors (RTIs), all selectively inhibiting the DNA polymerase function,
47 are divided into i) Nucleoside RT inhibitors (NRTIs), that structurally resemble and compete with natural
48 nucleotides, and ii) Non-Nucleoside RT Inhibitors (NNRTIs) that are structurally different allosteric agents
49 and bind to a hydrophobic pocket near to the DNA polymerase active site (Esposito *et al.*, 2012). Selection
50 of drug resistant HIV-1 strains represents one of the major therapeutic problems. In particular, the HIV-1 RT
51 Y181C and Y188L point mutations are important for the development of drug resistance to first-generation
52 NNRTIs such as Nevirapine (Mellors *et al.*, 1992; Mellors *et al.*, 1993; Ren *et al.*, 2008), while the RT
53 K103N mutation plays a crucial role in drug resistance to second-generation NNRTIs such as Efavirenz
54 (Maga *et al.*, 1997; Domoal *et al.*, 2004).

55 Plants are a source of chemical diversity and can be used to identify new scaffolds to be further developed by
56 chemical modifications (Li and Vederas, 2009). Despite this, only a limited number of plant extracts have
57 been actually searched for their efficacy on HIV-1 replication and no natural compound has been developed
58 up to clinical approval for HIV treatment (Cos *et al.*, 2008; Yu *et al.*, 2007). Traditional Chinese Medicine
59 (TCM) is the major ancient therapeutic system in China and its herbal component is the most important.
60 Until now only very few plants used in TCM have been explored for antiviral efficacy, despite the fact that
61 screening for active lead compounds from TCM extracts is considered more efficient than a screening from a
62 standard combinatorial chemical library. In fact, considering the long TCM history, a great number of
63 compounds (hits) are likely to be discovered and to be better suited for lead development given their higher
64 degree of drug-like properties (Li and Peng, 2013).

65 In TCM, the fruit of *Schisandra chinensis* (Turcz.) Baill. is commonly known as Wu-Wei-Zi with thousands
66 years of history. It is recorded in the “Shen Nong’s herbal classic” as a top grade material that helps in cough
67 and prevents asthma and it is officially listed in the Chinese Pharmacopoeia as a tonic, sedative and
68 astringent agent (National Pharmacopoeia Committee 2010). In the past decades, Wu-Wei-Zi has been
69 developed as an alternative medicine for the treatment of various liver diseases (Pao *et al.*, 1975; Liu *et al.*,
70 1985). Chemical investigations on Wu-Wei-Zi revealed that the mainly components are lignans, especially
71 dibenzo[a,c]cyclooctadiene lignans, which showed antihepatotoxic, antioxidant, anticancer activities, as well
72 as effects on the central nervous system (Liu and Pesca, 1982; Hancke *et al.*, 1999; Opletal *et al.*, 2004;
73 Panossian and Wikman, 2008). Particularly, Schisandrol A and Schisandrol B showed the capacity to
74 lowering elevate transaminase levels in mice as a protection on induced liver damage and oxidative stress
75 (Pu *et al.*, 2012), while Schisantherin A and Deoxyschizandrin are used for their liver-protective, anti-tumor
76 and anti-oxidant activities and showed also cardioprotective effects in rats (Chang *et al.*, 2013; Pan *et al.*,
77 2011; Pu *et al.*, 2012; Xu *et al.*, 2005). Furthermore, Schisandrin B presents a generalized protective effect
78 against tissues oxidation, demonstrated also in neuronal cells, and is able to selectively inhibit ATR kinase
79 activity in DNA damage response (Chen *et al.*, 2013; Lam *et al.*, 2012; Nishida *et al.*, 2009).

80 In addition, single components of other members of the *Schisandraceae* family such as the *Schisandra*
81 *sphaerandra* and *Schisandra rubriflora* have been reported to inhibit the HIV-1 RT activity as well as viral
82 replication, respectively (Sun *et al.*, 1996; Xiao *et al.*, 2010; Chen *et al.*, 2006).

83 Hence, in the present work, we investigated the effect of six dibenzo[a,c]cyclooctadiene lignans extracted
84 and purified from the *Schisandra chinensis* fruits on both HIV-1 RDDP and RNase H RT functions.
85 In biochemical assays two components, Schisandrin B and Deoxyschizandrin, selectively inhibited the HIV-
86 1 RT-associated DNA polymerase activity in the micromolar range. Schisandrin B was able to impair the
87 early phases of HIV-1 replication in cell-based assays and, importantly, it was effective also on HIV-1
88 K103N, Y181C and Y188L single RT mutants in biochemical assays.

89

90 **Materials and Methods**

91 **Compound purification.**

92 Briefly, six lignans including Schisandrol A, Schisandrol B, Schisantherin A, Deoxyschizandrin, Schisandrin
93 B and Schisandrin C were isolated and purified by repeated open-column chromatography including SiO₂,
94 Sephadex LH-20 and ODS column from the petroleum ether and EtOAc part of *Schisandra chinensis*. The
95 chemical structure was confirmed based on ¹H NMR and ¹³C NMR data. The purities (higher than 97%) of
96 those 6 compounds were determined by HPLC-DAD.

97 **Protein expression and purification.**

98 HIV-1 RT gene subcloned into the p6HRT_prot plasmid was kindly provided by Stuart Le Grice (NCI).
99 Protein expression and purification was performed in M15 *E. Coli* cells as described (Suchaud *et al.*, 2010).
100 HIV-1 RT K103N, Y108C and Y188L mutants were produced by site-directed mutagenesis using the
101 Stratagene kit according manufacturer's indication.

102 **RNase H polymerase-independent cleavage assay.**

103 The HIV-1 RT-associated RNase H activity was measured as previously described (Esposito *et al.*, 2013).

104 **RDDP assay.**

105 The HIV-1 RT-associated RDDP activity was measured using the Enz-Check Reverse Transcriptase Assay
106 Kit (Invitrogen), as previously described (Esposito *et al.*, 2013).

107 **Cell culture assay.**

108 The cytotoxicity of the compounds for the human T lymphoblastoid cell line Jurkat Clone E6-1 (ATCC®
109 TIB-152) was assessed using the MTT method (Gonzales and Cheng, 1993). The ability of active

110 compounds to inhibit the viral replication was performed using a single round of infection which measures
111 the efficiency of the early events of the virus life cycle as previously described (Helseth *et al.*, 1990).

112

113 **Results**

114 With the aim of identifying new chemical scaffolds that could be used to develop novel anti-HIV-1 agents,
115 we assayed the fruit components of the TCM plant *Schisandra chinensis* (Turcz.) Baill. fruits, also taking
116 into account that other compounds extracted from plants belonging to the *Schisandraceae* family have been
117 shown to inhibit both HIV-1 RT-associated RDDP activity and virus replication (Sun *et al.*, 1996; Xiao *et*
118 *al.*, 2010). Starting from *Schisandra chinensis* fruit extracts, we extracted and purified six
119 dibenzocyclooctadiene lignans: Schisandrol A, Schisandrol B, Schisantherin A, Deoxyschizandrin,
120 Schisandrin B and Schisandrin C. All these six compounds are twist boat-chair configuration with a linked
121 biphenyl cyclohexadiene system and each ring is substituted with various groups. Schisantherin A is a *S*-
122 biphenyl while other five lignans are *R*-biphenyl configuration. The six compounds were first tested for their
123 effects on both HIV-1 RT associated functions, RDDP and RNase H activities, using Efavirenz and
124 RDS1643 (Tramontano *et al.*, 2005) as positive controls (Table 1). Results showed that lignans
125 Deoxyschizandrin and Schisandrin B inhibited the RT-associated RDDP activity in the micromolar range
126 (IC₅₀ values around 30 μM concentration), lignans Schisandrol B and Schisandrin C slightly inhibited the
127 HIV-1 RT-associated RDDP activity (IC₅₀ values around 100 μM concentration), while lignans Schisandrol
128 A and Schisantherin A were ineffective. Differently, none of the six lignans was able to inhibit the RT-
129 associated RH function even at 100 μM concentration (Table 1).

130 In order to assess the potential of the new identified scaffolds, we tested the ability of the two most active
131 compounds, Deoxyschizandrin and Schisandrin B, to inhibit the early phase of the HIV-1 replication using
132 an *env* complementation system capable of one single round of infection (Helset *et al.*, 1990) (Table 2).
133 Results showed that Schisandrin B holds an interesting antiviral activity and impair the early steps of HIV-1
134 replication with a selective index > 6, while Deoxyschizandrin was ineffective due to cell toxicity.

135 To further assess the Schisandrin B scaffold potential for drug development, we evaluated its ability to
136 inhibit the HIV-1 RT-associated RDDP activity of enzymes bearing some of the most common, clinically
137 relevant, single mutations involved in NNRTI resistance: K103N, Y181C and Y188L RTs (Table 3).

138 Interestingly, Schisandrin B confirmed its ability to inhibit the HIV-1 RT-associated RDDP function
139 showing only a 2-fold increase in IC₅₀ value when tested on the Y181C RT mutant and a 3-fold increase
140 when tested on the K103N and Y188L RT mutants.

141

142 **Discussion**

143 TCM is a millenary therapeutic system based on a holistic approach in which the pathological manifestations
144 are the result of an overall body disequilibrium, while western medicine is characterized by a more analytic
145 approach. Although these methodologies can appear so different, TCM constitutes an ancient and rich source
146 of knowledge, with a great application potential also in an analytical drug discovery process. In fact, since
147 TCM extracts have been experienced for their medical properties for such a long time, their use in screening
148 processes allows for more efficient identification of hits compounds with drug-like characteristics (Li and
149 Peng, 2013).

150 In the present study we investigated the anti-HIV-1 therapeutic potential of components of the fruits from
151 *Schisandra Chinensis*, a plant belonging to *Schisandraceae* family whose other members have already been
152 shown to have constituents with anti-HIV-1 activity (Sun *et al.*, 1996; Xiao *et al.*, 2010), and whose main
153 components are a group of lignans known in TCM also for their drug-like properties (Pao *et al.*, 1975; Liu *et*
154 *al.*, 1985; Liu and Pesca, 1982; Hancke *et al.*, 1999).

155 The structure activity relationship (SAR) study of the effects of the six tested lignans on the HIV-1 RT-
156 associated RDDP activity demonstrates the importance of the substituents on the cyclooctadiene ring for RT
157 inhibition. In particular, the two methyl substituents in position 6 and 7 of the cyclooctadiene ring appear to
158 be essential for RT inhibition by Schisandrin C, Deoxyschizandrin and Schisandrin B, as showed also for
159 some structurally-related lignans extracted from the fruits of *Schisandra Rubiflora*, another plant belonging
160 to the same taxonomic family of *Schisandra chinensis* (Chen *et al.*, 2006). The importance of these
161 substituents is also demonstrated by the fact that, on the one side, the addition of an hydroxy moiety in
162 position 7 of the cyclooctadiene ring reduces RT inhibition (compare Schisandrin B to Schisandrol B), on the
163 other side their substitution with hydrogen atoms abolishes RT inhibition (compare Deoxyschizandrin to
164 Schisandrol A). Active compounds differ for the absence (Deoxyschizandrin) or the presence of one
165 (Schisandrin B and Schisandrol A) or two (Schisandrin C) dioxolane rings as cyclooctadiene ring

166 substituents. While the presence of two dioxolane rings reduces the potency of RT inhibition, hence
167 suggesting the importance of the three-hydroxymethyl substituted phenyl ring for Deoxyschizandrin and
168 Schisandrin B interaction with RT, the presence of only one dioxolane ring clearly reduces the compound
169 cytotoxicity leading Schisandrin B to exert an anti-viral effect in cell-based assays. It is worth to note that
170 also the modification of the methoxy substituents in positions 1 and 13 could probably be important in
171 enhancing the Schisandrin B inhibition potency, as suggested by two similar molecules extracted from the
172 fruits of *Schisandra Rubiflora*, where the replacement of the two methoxy moieties with hydroxyl groups
173 showed a significant increase in the compounds anti-HIV-1 activity (Chen *et al.*, 2006).

174 In the effort of assessing the Schisandrin B relevance as new scaffold for drug development, we evaluated its
175 effects on the single HIV-1 RT K103N, Y181C and Y188L mutants, that are relevant for NNRTI resistance
176 in clinical practice. Interestingly, Schisandrin B showed only a 2-3 fold reduction in its potency of RT
177 inhibition against all three mutant RTs, while Efavirenz showed a 7-10 fold IC₅₀ increase on K103N and
178 Y188L RT mutants.

179 In conclusion, results confirmed that TCM is a valuable source of compounds with drug-like properties and
180 demonstrated that Schisandrin B is a promising scaffold for the further development of novel HIV-1 RT
181 inhibitors that can be effective also on NNRTI resistant strains.

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183 **References**

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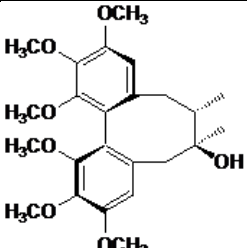
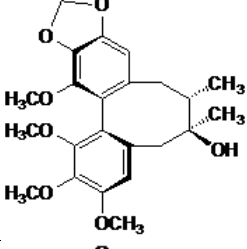
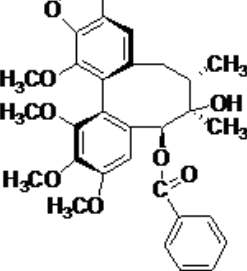
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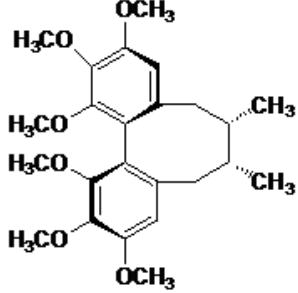
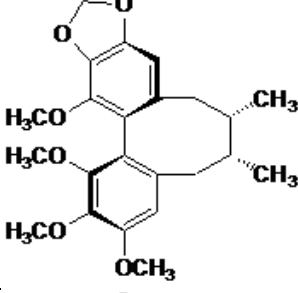
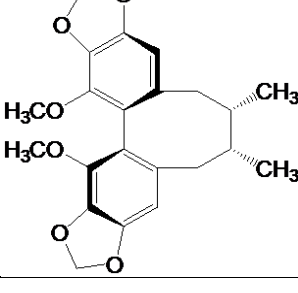
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285

286 ***Schisandra chinensis* components on the HIV-1 RT-associated activities.**

287 Table 1.

Compound	Chemical structure	RDDP IC ₅₀ (μM) ^a	RNase H IC ₅₀ (μM) ^b
Schisandrol A		>100 (92%) ^c	>100 (100%)
Schisandrol B		100 ± 5,0	>100 (100%)
Schisantherrin A		>100 (67%)	>100 (92%)

Deoxyschisandrin		34,5 ± 4,5	>100 (100%)
Schizandrin B		29,0 ± 1,0	>100 (100%)
Schizandrin C		100 ± 5,0	>100 (82%)
RDS1643		> 50	8,6 ± 1,1
Efavirenz		0,023± 0,004	> 10

288 ^aCompound concentration required to reduce HIV-1 RT-associated RDDP activity by 50%.

289 ^bCompound concentration required to reduce HIV-1 RT-associated RNase H activity by 50%.

290 ^cPercentage of control activity in the presence of 100 μM compound concentration.

291

292 Effect of Deoxyschisandrin and Schizandrin B on HIV-1 replication.

293 Table 2.

Compound	EC ₅₀ (μM) ^a	CC ₅₀ (μM) ^b	SI ^c
Deoxyschisandrin	> 20	20	--
Schizandrin B	15	> 100	> 6

294 ^aCompound concentration required to inhibit early phases of HIV-1
295 replication by 50%.

296 ^bCompound concentration required to reduce Jurkat cell viability by
297 50%.
298 ^cSelective index.
299

300 **Effect of Schizandrin B on HIV-1 RT mutants.**

301 Table 3.

Compound	IC₅₀ (μM)^a		
	K103N RT	Y181C RT	Y188L RT
Schizandrin B	90 ± 7	55 ± 4	95 ± 5
Efavirenz	0,176 ± 0,025	0,050 ± 0,009	0,198 ± 0,062

302 ^aCompound concentration required to reduce HIV-1 RT-associated RDDP
303 activity by 50%.
304

305