Retrospective study on Peripheral Nervous System Involvement in Systemic Lupus Erythematosus: prevalence, associated factors and outcome. Commentato [A1]: Target journal: Seminars in arthritis and Rheumatism Alessandra Bortoluzzi*a, MD, PhD, brtlsn1@unife.it Matteo Piga*^b, MD, PhD, matteopiga@unica.it Ettore Silvagni^a, MD, ettore.silvagni@student.unife.it Elisabetta Chessa^b, MD, elis.chessa@gmail.com Alessandro Mathieu^b, MD, mathieu@medicina.unica.it Marcello Govoni^a, MD, gvl@unife.it *: equal contribution Formattato: Inglese (Regno Unito) Institutional addresses: a: Rheumatology Unit, Department of Medical Sciences, University of Ferrara and Azienda Ospedaliero-Universitaria S.Anna, Cona (Ferrara), Italy b: Rheumatology Unit, University Clinic and AOU of Cagliari, Italy Correspondence to: Alessandra Bortoluzzi, Department of Medical Sciences, Section of Rheumatology, University of Ferrara, Ferrara and Azienda Ospedaliero-Universitaria Sant'Anna di Ferrara, Cona (FE), Italy Cona (Ferrara) Via Aldo Moro 8, 44124 Cona, Italy. E-mail: brtlsn1@unife.it http://orcid.org/0000-0003-2416-8134 Telephone number: +390532239651 FAX number +390532688109

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ABSTRACT

In the past years, the peripheral nervous system involvement in systemic lupus erythematosus (PNSLE) has received little attention despite its potentially significant impact on disease outcome.

Objectives. To assess the prevalence and clinical features of PNS involvement in a large cohort of Systemic Lupus Erythematosus (SLE) patients.

Methods. SLE patients consecutively observed at two tertiary referral centres over a period of 14 years (from 2000 to 2014) were selected. PNS manifestations were ascertained according to the 1999 American College of Rheumatology case definitions and by using an attribution algorithm for neuropsychiatric (NP) events. Prevalence of PNSLE, demographic, clinical and laboratory data were assessed. Patients with PNS manifestations were compared with a control group of SLE patients without PNS involvement.

Results. In a retrospective cohort of 1,224 patients, the overall prevalence of PNS involvement was 6.9% (85 patients, 95% Confidence Interval (95%CI) 0.06-0.08), with 68% of the events attributable to SLE. Polyneuropathy was the most common manifestation observed (42 events, 43.3%), followed by cranial neuropathy in 30 cases (30.9%), 12 singlesingle (12.4%) or multiple (8 events, 8.2%) mononeuritis. The average age of SLE onset was significantly higher in patients with PNS manifestations than in controls (mean ± standard deviation (SD): 45.9±14.8 vs 37.1±14.0) and they were more likely to have a higher SLEDAI-2K and SLICC/ACR Damage Index (SDI) scores, and hypertension and livedo reticularis. A subgroup analysis for events deemed to be SLE-related provided similar results.

Conclusion. PNS involvement is an uncommon, but not so rare complication of SLE. A careful neurological evaluation for this manifestation should be included in the diagnostic workup, especially in patients with later onset and with higher damage and disease activity.

Keywords: Systemic lupus erythematosus, peripheral nervous system, neuropsychiatric lupus erythematosus, cranial neuropathy, polyneuropathy, mononeuropathy.

1.1 INTRODUCTION

Systemic lupus erythematosus (SLE) is an immune-mediated disease, characterized by the production of autoantibodies and immune-complexes deposition that can affect multiple organs and systems including both the central (CNS) and peripheral nervous system (PNS). The prevalence of neuropsychiatric lupus (NPSLE) widely varies across studies, depending on the type of manifestations, selection of inclusion criteria and the lack of standardized evaluation measures [1]. In 1999, the American College of Rheumatology (ACR) provided the definitions for 7 peripheral and 12 central NP clinical manifestations related to SLE [2]. Up to date, little is known about the actual prevalence of peripheral neuro-lupus (PNSLE) and the demographic and specific immunological factors related to this type of involvement [2]. Most of the studies evaluating NP involvement in SLE applying the 1999 ACR nomenclature are typically retrospective cohort studies and considered both peripheral and central involvement. The prevalence of PNS complications ranged between 2 and 10%, with a higher predominance of polyneuropathy (2-3%) and mononeuritis (single or multiple: 0.5-1%) compared to rare or unusual events as acute inflammatory demyelinating polyradiculoneuropathy (Guillain-Barré syndrome (GBS), 0.1%), myasthenia gravis (0.1%) and plexopathy (<0.1%) [3-6][3-6]. For some Authors, a revisiting of this classification seems advisable, for instance, including small fibers neuropathy among peripheral syndromes occurring in PNSLE [7-9][7-9]. In addition the diagnosis of PNSLE is a relevant and challenging clinical issue because up to one third of peripheral neuropathies (PN) recognizes a non-SLE etiology-171[7]; entrapment neuropathies, diabetes, infectious, endocrine, metabolic, critical illness, genetic, nutritional, traumatic, neoplastic and iatrogenic etiologies can represent alternative causes at any time [10].

The present study aims to estimate the prevalence of the PNS involvement in a large cohort of patients with SLE from two tertiary referral centres, distinguishing the proportion of events attributed to SLE and non-SLE causes. The secondary objective is to define clinical and serological characteristics, non-specific and specific risk factors, treatment approaches and short-term outcome with the final purpose to profile the patients with-PNS involvement in SLE.

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2.1 METHODS

2.1.1 Patients

We examined patients with SLE, evaluated over a 15-year period, between 1st January 2000 and 31st-December 2014, at the Rheumatology Unit of the Ferrara University Hospital and at the Rheumatology Unit of the University Clinic of Cagliari, two tertiary referral centres for SLE. All patients had to be diagnosed with SLE according to the 1997 ACR revised classification criteria-<u>[2]</u>, <u>[11]</u>, <u>In all patients</u>, signs or symptoms of PNS involvement have been evaluated by drawing the clinical and laboratory information from the available documentation (clinical hospital records, patient charts, and lupus clinic database) and only patients with a clinical follow-up of at least one year were included in the study. As a disease-control group, patients evaluated during the same period and suffering from SLE but without NP abnormalities, matched for gender and disease duration, were randomly retrieved from the database by using an alphabetical list (1:3 ratio).

2.1.2 Case ascertainment

Each case of PNS involvement was further characterized at the time of neurologic diagnosis. For each manifestation we evaluated the disease duration from the diagnosis of SLE to the time of neurologic diagnosis. A PNS event was considered "concomitant" to SLE if it occurred within 3 months after the diagnosis of SLE. For peripheral neuropathy, data included features of peripheral neurologic event. The electrophysiological study results were recorded when available, including the signs of neuropathic changes, denervation, axonal neuropathy or peripheral nerve demyelination. Pure compression neuropathy (e.g. the median nerve in the carpal tunnel) was not included in the analysis as not attributable to SLE. For cranial neuropathy, results of MRI examination were reviewed for evidence of nerve enhancement, as a marker of inflammation and to rule out nerve root compression [11][12].

The final neurologic diagnosis was also extracted from the chart review. We considered all the seven peripheral manifestations listed in 1999 ACR nomenclature and case definition, retrospectively attributed according to the attribution rules as explained elsewhere [12,13][13,14], considering (i) temporal relationship of NP events to the diagnosis of SLE; (ii) recognition of confounding factors (i.e. alternative causes or non-SLE contributing factors derived from the ACR case definitions for 19 NP syndromes); (iii) identification of minor or common NP events as described by Ainiala et al. [14][-15]; (iv) favouring factors (i.e. clinical and non-clinical variables which support the attribution to SLE). Furthermore, besides cases defined by 1999 ACR nomenclature, we included in the study patients with small fiber neuropathy, diagnosed by biopsy "punch skin". The outcome of PNS manifestations was generated from a physician's 7-point Likert scale (1=patient demise,

2=much worse, 3=worse, 4=no change, 5=improved, 6=much improved, 7=resolved) <u>[15][16]</u>. The outcome response for all PNS events was recorded after one year of follow-up and scored as "much improved or resolved" (score \ge 5); "no change" (score = 4) or "worse" (score \le 3).

2.1.3 Associated factors

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Formattato: Tipo di carattere: (Predefinito) Calibri, 11 pt Formattato: Tipo di carattere: Times New Roman, 12 pt Disease activity was routinely assessed by the SLE Disease Activity Index 2000 (SLEDAI-2K) [16][17], measured at the onset time of the NP manifestation without taking into account the NP items. Damage was calculated by the Systemic Lupus International Collaborating Clinics/American College of Rheumatology (SLICC/ACR) damage index (SDI) [17][18].

In all patients, a large panel of factors and/or comorbidities was checked for. Risk factors were categorized as generic (not strictly SLE-related) or specific (SLE-related), and each of them has been defined as reported elsewhere [18,19][19,20]. Generic factors included: hypertension, diabetes, dyslipidaemia, smoking habit (>10 cigarettes/day); specific factors were anti-phospholipid antibodies (aPLs) including anti-cardiolipin (aCLs) and anti-Beta2-glycoprotein I (aB2GP1) antibodies (both IgG and IgM isotypes), lupus anticoagulant (LA), anti-Ro/SSA and anti-Sm antibodies, rheumatoid factor, cryoglobulins, anti-phospholipid syndrome (APS), Sjogren's syndrome (SS), Raynaud phenomenon (RP), livedo reticularis registered in clinical charts and ascertained by history or by direct medical observation. Immunological parameters were: total serum gammaglobulins (g/l); complement fractions C3 and C4 (g/l) detected by nephelometry (hypocomplementaemia was defined as C3<0.8 and C4<0.11 g/l); anti-nuclear antibodies (ANAs) tested by indirect immunofluorescence (IIF), using Hep2 cell substrate (positivity was defined as a titre ≥1: 160), rheumatoid factor analysed using standard ELISA methods.- For the identification of cryoglobulins, serum was prepared after warm centrifuging at 37°C and observed at 4°C for formation of cryoprecipitate [20]. aPLs, anti-Extractable Nuclear Antigen (ENAs) antibodies and anti-dsDNA were analysed by each centre by validated assays routinely used. Treatment and medications recorded (ongoing at the time of the event and started/modified for new PNS manifestation) included: corticosteroids (CS), hydroxychloroquine, immunosuppressive drugs (cyclophosphamide (CYC), azathioprine (AZA), mycophenolate mofetil (MMF), cyclosporine A (CYA), methotrexate (MTX)), rituximab, intravenous immunoglobulins (IVIG), plasma exchange (PEX), neuroleptics, neurotrophics orneurotrophics or other relevant treatments (e.g. anti-platelet therapy or anticoagulants). In the disease control group, we recorded ongoing treatment at the time of study inclusion.

2.1.4 Statistical analysis

Frequency calculations and descriptive statistics were used for the assessment of patient characteristics. Either the chi-square test or Fisher's exact test was used for group comparisons involving binary data, as appropriate. For continuous variables, a two-tailed Student's t-test or a nonparametric Mann–Whitney U test was used to perform comparisons between groups. The results were considered significant at p<0.05. Data processing and statistical analyses were performed using MedCalc for Windows, version 9.5.0.0 (MedCalc Software, Mariakerke, Belgium). Formattato: Tipo di carattere: (Predefinito) Calibri, 11 pt Formattato: Tipo di carattere: Times New Roman, 12 pt Codice campo modificato

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3.1 RESULTS

3.1.1 Demographic and clinical data

A total of 1,224 patients, 804 from Ferrara and 420 from Cagliari attended our lupus clinics for the indicated timeframe. Overall, 58 out of 804 patients (7.2%, 95% Confidence Interval (95%CI) 0.06 - 0.09) and 27 out of 420 patients (6.4-%, 95%CI 0.044 - 0.092), respectively, had at least one PNS event, for a total of 85 patients with PNS involvement (6.9-%, 95%CI 0.06 <u>-to</u> 0.08) and 97 PNS events. <u>Of these, 61 patients (4.9%, 95% CI 0.04 - 0.06) had a PNS manifestation attributed to SLE.</u> Demographic, clinical and laboratory data are reported in Table 1. In all, 85.9% of patients were female, mean age at SLE onset was 45.9 years (standard deviation, SD 14.8), mean (SD) disease duration at the time of the event occurrence was 5.8 years (9.2). In two cases the event has preceded the diagnosis of SLE and in 26 patients PNS involvement appeared at the onset of the disease, in the remaining 57 patients PNS involvement appeared <u>more than 3 months</u> after <u>the</u> diagnosis of SLE.

3.1.2 Peripheral nervous system manifestations

Polyneuropathy was the most common manifestation (42 events, 43.3% of all PNS events recorded), followed by cranial neuropathy in 30 cases (30.9%), -single monoeuritis-in 12 (12.4%) or multiple_mononeuritis in 8 cases (8.2%), small fib<u>er</u> neuropathy (4 events, 4.1%), myasthenia gravis (3 events, 3.1%), plexopathy and autonomic neuropathy in 1 case (1%). Table 2 shows details of PNS involvement and electrodiagnostic features for polyneuropathy and cranial neuropathy. In our cohort, there were no cases of GBS or Chronic Inflammatory Demyelinating Polyradiculoneuropathy (CIDP). Using the attribution algorithm for NP events, applied as previously reported [12][13], 66 (68%) out of 97 PNS events in 61 patients reached the defined cut-point for a proper attribution to SLE (Table 2). Mononeuritis multiplex (85%, 17/20 events) and cranial neuropathies (93.3 %, 28/30) were more likely to be SLE-related.

3.1.3 Comparison between patients with and without PNS events

In our case control study, we-<u>SLE patients with PNS involvement were</u> matched (by gender and SLE duration) <u>SLE patients with PNS involvement</u> to 243 control SLE patients without central or peripheral manifestations (1:3 ratio). In both groups, most patients were female, all Caucasian. The age at SLE diagnosis was significantly higher in patients with PNS involvement, and they were more likely to have higher SLEDAI-2K and SDI scores (Table 1). There was no significant difference in lupus serology between the two groups. Among clinical characteristics, an association was observed with concomitant Sjögren's syndrome in cases with PNS involvement (<u>p=0.005</u>), while malar rash and photosensitivity were more common in controls. A subgroup analysis, including only the SLE-related PNS manifestations and their controls, gave similar results concerning significantly older age, higher SDI and SLEDAI-2K in cases with PNS involvement compared to controls.

3.1.4 Generic and specific risk factors

Among generic risk factors, smoking habit <u>(p=0.04)</u>, diabetes <u>(p<0.0000)</u> and hypertension <u>(p<0.0000)</u> were more often reported in patients with peripheral involvement, while livedo reticularis was the only specific

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risk factor related to PNSLE (p=0-006). Compared with SLE control group, no patient in the SLE-PNS group was taking contraceptives. In the subgroup of patients with PNS-related manifestation, concomitant hyper-tension (p=0.002) and livedo reticularis (p=0.02) were significantly more common than in SLE control group.

Table 1. Demographic, clinical and laboratory data of SLE patients with peripheral nervous system involve-

ment and disease control group.

	Α	В	A vs B	С	A vs C	
	SLE Control group	SLE PNS		SLE PNS related		
		(all)		event		
Demographic characteristics (number)	243 (74.1)	85 (25.9)		61 (18.6)		
Gender, F:M (%)	215/28	73/12 (85.9/14.1)	0.52	54/7 (88.5/11.5)	0.9	Commentato [MG11]: Non metterei p poichè il matching per
	(88.5/11.5)					sesso era pre-definito nei criteri di selezione
Age at disease onset, mean (SD) years	37.1 (14.0)	45.9 (14.8)	<0.0000	44.5 (14.7)	0.002	
Disease duration at last assessment, mean (SD)	13.1 (8.6)	13.9 (9.3)	0.4	13.1 (9.5)	0.9	Commentato [MG12]: Idem come sopra: anche il matching per
years						durata di malattia era pre-definito
Clinical and sero-immunological characteristics						
Central nervous system, N (%)	-	40 (47.1)	-	29 (47.5)	-	
Sjogren's syndrome, N (%)	21 (8.6)	17 (20)	0.005	10 (16.4)	0.07	Formattato: Tipo di carattere: Grassetto
Malar rash, N (%)	89 (36.6)	14 (16.5)	0.001	11 (18.3)	0.006	Formattato: Tipo di carattere: Grassetto
Discoid rash, N (%)	15 (6.2)	7 (8.2)	0.51	7 (11.5)	0.15	Formattato: Tipo di carattere: Grassetto
Photosensitivity, N (%)	96 (39.5)	23 (27.1)	0.04	15 (24.6)	0.03	Formattato: Tipo di carattere: Grassetto
Mucosal ulcer, N (%)	22 (9)	6 (7.1)	0.57	22 (9.1)	1.0	Formattato: Tipo di carattere: Grassetto
Arthritis, N (%)	160 (65.8)	47 (55.3)	0.08	37 (66.7)	0.45	(
Serositis, N (%)	59 (24.3)	16 (18.8)	0.30	9 (14.7)	0.11	
Nephropathy, N (%)	38 (15.6)	13 (15.3)	0.94	9 (14.7)	0.87	
Haematological, N (%)	113 (46.5)	32 (37.6)	0.16	22 (36.1)	0.14	
Hemolytic anemia, N (%)	12 (4.9)	3 (3.53)	0.77	2 (3.3)	0.74	
Leucopenia/Lymphocytopenia, N (%)	96 (39.5)	28 (32.9)	0.28	20 (32.8)	0.33	
Thrombocytopenia, N (%)	22 (9)	10 (11.7)	0.47	6 (9.8)	0.85	
ANA, N (%)	240 (98.8)	81 (95.3)	0.08	57 (93.4)	0.03	Formattato: Tipo di carattere: Grassetto
Anti-dsDNA, N (%)	141 (58)	46 (54.1)	0.53	31 (50.8)	0.31	<u> </u>
Anti-Ro/SSA, N (%)	90 (37)	35 (41.2)	0.49	26 (46.2)	0.42	
Anti-Ro/SSB, N (%)	26 (10.7)	10 (11.8)	0.78	7 (11.5)	0.86	
Rheumatoid factor, N (%)	<u>41/95(20.7)</u>	<u>15/47 (22.4)</u>	<u>0.78</u>	<u>10/34 (20.8)</u>	0.56	
Cryoglobulin, N (%)	<u>11/198 (11.6)</u>	<u>10/67 (21.3)</u>	<u>0.13</u>	<u>8/48 (23.5)</u>	0.08	
Antiphospholipid (LA, aCL or anti-β2GPI), N (%)	89 (36.6)	40 (47.1)	0.09	24 (39.3)	0.69	
Hypergammaglobulinaemia, N (%)	62 (25.5)	27 (31.8)	0.26	20 (32.8)	0.25	
Hypocomplementemia, N (%)	136 (55.9)	52 (61.2)	0.40	37 (60.7)	0.51	
Monoclonal component, N (%)	4 (1.6)	3 (3.5)	0.38	3 (4.92)	0.15	
SLEDAI-2K at the first PNS event, mean (SD)	2 (2.3)	6.9 <mark>**</mark> (5.3) <u>**</u>	<0.0000	7.7 (5.6) <u>**</u>	<0.0000	Formattato: Tipo di carattere: Grassetto
SDI	0.9 (1.1)	2.2 (1.9)	<0.0000	2.1 (1.8)	<0.0000	Formattato: Tipo di carattere: Grassetto
Risk factors						Formattato: Tipo di carattere: Grassetto
Smoking, N (%)	43/224 (19.2)	8 (9.5)	0.04	6/60 (10)	0.09	Formattato: Tipo di carattere: Grassetto
Hypertension, N (%)	52/238 (21.8)	36/84 (42.9)	<0.0000	25/60 (41.7)	0.002	Formattato: Tipo di carattere: Grassetto
Diabetes, N (%)	7/238 (2.9)	14/84 (16.7)	<0.0000	5 (8.3)	0.07	Formattato: Tipo di carattere: Grassetto
Dislipidaemia, N (%)	59/238 (24.8)	25/84 (29.8)	0.37	15 (25)	0.97	Formattato: Tipo di carattere: Grassetto
Contraceptive intake, N (%)	25/237 (10.5)	0 (0)	0.001	0 (0)	0.004	
Hypotiroidism, N (%)	23/239 (9.47)	12 (14.1)	0.28	8 (13.1)	0.43	
Tiroiditis, N (%)	25/238 (10.5)	9 (10.6)	0.98	3 (4.9)	0.2	Formattato: I Ipo di carattere: Grassetto
Raynaud's phenomenon, N (%)	60 (24.7)	20 (23.5)	0.83	11 (18.0)	0.27	Formattato: Tipo di carattere: Grassetto
Livedo reticularis, N (%)	14 (5.8)	13 (15.2)	0.006	9 (14.7)	0.02	Formattato: Tipo di carattere: Grassetto
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Ongoing therapies

Corticosteroids	205 (84.4)	83 (97.7)	0.001	60 (98.4)	0.002
Hydroxychloroquine	170 (70)	53 (62.3)	0.19	34 (55.7)	0.03
Immunosuppressants [§]	77 (31.7)	32 (37.6)	0.35	25 (41.0)	0.17
Rituximab	5 (2.1)	3 (3.5)	0.43	2 (3.3)	0.63
Anti-platelet therapy	72 (29.6)	39 (45.9)	0.006	27 (44.3)	0.03
Anticoagulant	13 (5.3)	12 (14.1)	0.009	8 (13.1)	0.03

*_data available for 60/61 patients, ** data available for 84/85 patients... List of abbreviation: SLEDAI-2K, Systemic lupus erythematosus disease activity index 2000; SDI, Systemic lupus international collaborating clinics/American College of Rheumatology (SLICC/ACR) damage index; SD, standard deviation. [§] Immunosuppressants: Azathioprine, Methotrexate, Mycophenolate mofetil, Cyclosporine A). Formattato: Tipo di carattere: Grassetto
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Formattato: Tipo di carattere: Grassetto Formattato: Tipo di carattere: Grassetto Formattato: Tipo di carattere: Grassetto Formattato: Tipo di carattere: Grassetto Table 2. Peripheral nervous system manifestations and electrodiagnostic studies observed in 85 PNSLE patients.

	All the events	Attributed events	Non-attributed events	Tabella formattata
	N° (%)	N° (%)	<u>N° (%)</u>	
oatients	85 (100)	61 (71.8)	<u>24 (28.2)</u>	Formattato: Italiano (Italia)
eral events	97 (100)	66 (68)	<u>31 (32)</u>	
uropathy	42 (43.3)	23 (34.9)	<u>19 (61.3)</u>	
Sensorimotor lower limbs	21 (21.6)	13 (19.7)	<u>8 (25.8)</u>	
Pure sensitive lower limbs	10 (10.3)	5 (7.6)	<u>5 (16.1)</u>	
Pure sensitive upper and lower limbs	2 (2.1)	1 (1.5)	<u>1 (3.2)</u>	
Sensorimotor lower and upper limbs	2 (2.1)	1 (1.5)	<u>1 (3.2)</u>	
Sensorimotor upper limbs	1 (1)	1 (1.5)	<u>0</u>	
Pure sensitive upper limbs	1 (1)	1 (1.5)	<u>0</u>	
Sensorimotor lower limbs and pure	2			
sensitive upper limbs	1 (1)	1 (1.5)	<u>0</u>	
Small fibres neuropathy		NA	<u>4 (12.9)</u>	Formattato: Tipo di carattere: Non Grassetto
	4 (4.1)	NA		Formattato: Tipo di carattere: Non Grassetto
neuropathy	30 (30.9)	28 (42.4)	<u>2 (6.4)</u>	
Optic neuritis	7 (7.2)	7 (10.6)	<u>0</u>	Formattato: Tipo di carattere: Non Grassetto
Trigeminal nerve	7 (7.2)	6 (9.1)	<u>1 (3.2)</u>	Formattato: Tipo di carattere: Non Grassetto
Vestibular nerve	7 (7.2)	6 (9.1)	<u>1 (3.2)</u>	Formattato: Tipo di carattere: Non Grassetto
Oculomotor nerve	4 (4.1)	4 (6.1)	<u>0</u>	Formattato: Tipo di carattere: Non Grassetto
Abducens nerve	3 (3.1)	2 (3)	<u>1 (3.2)</u>	Formattato: Tipo di carattere: Non Grassetto
Facial nerve	2 (2.1)	2 (3)	<u>0</u>	
europathy	20 (20.6)	17 (25.7)	<u>3 (9.7)</u>	
Single	12 (12.4)	9 (13.6)	<u>3 (9.7)</u>	Formattato: Tipo di carattere: Non Grassetto
Multiple	8 (8.2)	8 (11.9)	<u>0</u>	Formattato: Tipo di carattere: Non Grassetto
enia gravis	3 (3.1)	1 (1.5)	<u>2 (6.4)</u>	
athy	1 (1)	0	<u>1 (3.2)</u>	
mic neuropathy	1 (1)	0	<u>1 (3.2)</u>	Formattato: Tipo di carattere: Grassetto
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PNSLE, Peripheral Nervous System manifestations in Systemic Lupus Erythematosus; GBS, Guillain-Barré syndrome; CIDP,

Chronic Inflammatory Demyelinating Polyradiculoneuropathy, <u>NA</u>, <u>not-applicable</u>.-

3.1.54 Therapeutic approach and outcome

Therapeutic approach, recorded at the time of PNS manifestations onset, most frequently relied on CS pulses or moderate to high dosage of background CS and enhanced immunosuppression for attributed events. Neurotrophic and neuroleptic agents were especially adopted in polyneuropathies. Table 3 shows in detail the therapeutic approach. Table 4 shows the short-term outcome for the most frequent PNS manifestations in our cohort. In 85 evaluable manifestations, the short-term outcome assessed 1 year after the onset of the PNS manifestation showed a resolution or a significant improvement in 56 cases (65.9%). In all Overall -14/22 (63.6%) cases of attributed polyneuropathy and 11/18 (61.6%) cases of not-attributed polyneuropathy improved while only 4/22 (18.2%) attributed and 2/18 (11.1) not-attributed polyneuropathies became worseened. All the not-attributed mononeuropathies and cranial neuropathies improved and only 1/14 (7.1%) attributed mononeuropathies and 1/26 (3.8%) attributed cranial neuropathies worsened. Myasthenias and plexopathy have shown an improvement during follow-up, whereas autonomic neuropathy did not change.

	Polyneu	iropathy	Monone	uropathy	Cranial No	europathy		
	Not Attributed	Attributed	Not Attributed	Attributed	Not Attributed	Attributed		
N° of events	19	23	3	17	2	28		
Pulse CS	3	10	-	6	-	8		
CS 0.5 -1 mg/kg/day	-	12	1	8	-	15		
СҮС	1	4	-	-	-	3		
PEX		1	-	2	-	1		
IVIG	1	2	1	2	-	1		
RTX	-	-	-	2	-	2		
СҮА	-	1	-	-	-	-		
мтх	1	2	-	1	2	1		
MMF	1	5	-	3	-	-		
AZA	1	2	2	3	-	2		
Neurotrophics	2	9	1	1	-	3		
Neuroleptics	2	8	1	1	-	3		

Table 3. Therapeutic approach for most frequent peripheral nervous system manifestations in SLE patients.

CS, corticosteroids; CYC, cyclophosphamide; PEX, plasma exchange; IVIG, intravenous immunoglobulins; RTX, rituximab; CYA, cyclo-

sporine A; MTX, methotrexate; MMF, mycophenolate mofetil, AZA, azathioprine.

Table 4. Short-term (1-year) outcome of most frequent peripheral nervous system events in SLE patients.

	Polyneuropathy		Monone	uropathy	Cranial Neuropathy		
	Not Attributed	Attributed	Not Attributed	Attributed	Not Attributed	Attributed	
N° of events	19	23	3	17	2	28	
Outcome	18	22	3	14	2	26	
Much improved or	11 (61.1)	14 (63.6)	3 (100)	8 (57.1)	2 (100)	18 (69.2)	
resolved, N (%)							
No change, N (%)	5 (27.8)	4 (18.2)	0	5 (35.7)	0	7 (26.9)	
Worse, N (%)	2 (11.1)	4 (18.2)	0	1 (7.1)	0	1 (3.8)	

Short-term outcome assessed according to a physician's 7-point Likert scale [15] 16.

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4.1 DISCUSSION

The final purpose of our study was to define the overall prevalence of PNS involvement and to profile the patient with SLE complicated by a PNS manifestation. The prevalence of PNS manifestations in our study is similar to that reported by Oomatia et al. [7] and by Hanly et al. [21][21], and slightly lower if compared to Florica's [22][22], and Toledano's et al results [23][23]. In the SLICC cohort, out of 843 NP events, 58 (6.9%) involved PNS [21][21], In the study of Oomatia [7], in addition to classifying ACR criteria for NPSLE, patients had to meet the definitions of peripheral neuropathy provided by the Task Force of the American Academy of Neurology and the American Academy of Physical Medicine and Rehabilitation. The prevalence of peripheral neurological involvement was 6% (123/2097 of the patients). - with 67% (82 of 123) attributable to SLEThis data is quite similar to what reported in our cohort where we observed a prevalence ranging from 6.4% to 6.8%. In theis study by Oomatia et al. the authors they excluded patients having cranial neuropathies and close attention was paid to small fibers involvement, not included in the original ACR nomenclature and more frequent than others, demonstrated in 17.1% of patients (14 of 82) by skin biopsy. Florica et al. found polyneuropathy in 207/1533 patients (14%). In their cohort, the authors also included CIDP was also included, another manifestation not listed in the ACR case definition, that was more frequent (5.3% of the cases) than in other reports. Toledano et al. reported an overall prevalence of PNS involvement of 17.5% (93 out of 524 patients) and of 13.5% when excluding patients with carpal tunnel syndrome [23][23].

In our study the prevalence of individual PNS was very similar to the average prevalence observed in the literature meta-analysis carried out by Unterman et al., confirming that peripheral polyneuropathy is the most common manifestation across the studies, followed by cranial nerve neuropathy [24][24], We have confirmed that GBS and plexopathy are extremely rare in SLE, suggesting, as already hypothesized, that they may reflect the manifestation of a distinct and coincidental neurologic syndrome [7]. Autonomic neuropathy was also very rare; however, the mild course and the non-specific and multifaceted character of this event, coupled with the lack of routine availability of identification tests, makes its recognition very tough in a retrospective study.

Like prior studies, our data emphasize the importance of a careful diagnostic process of SLE related PNS manifestations. Regarding attribution, 71.78% of our patients experienced at least one PNS manifestation deemed as related to SLE (68%, 61/85 of all the events). Similarly, Oomatia et al. reported 67% of patients (82/123) as having PNSLE attributed to SLE, while 33.3% due to other non-SLE etiologies, such as infectious or metabolic [7]. Compared to other studies, Florica et al. [22][22] reported that similar results with 39.6% of the all whole PNS events registered were and judged as not-SLE related (major causes were entrapment neuropathies, iatrogenic etiologies, hypothyroidism and diabetes mellitus; other causes were ethanol abuse, paraproteinemia, Sjogren's syndrome, uraemia, viral hepatitis). Similarly, Oomatia reported that out of total 123 PNSLE patients, 33.3% were not attributable to SLE due to other non SLE etiologies, such as infectious or metabolic [7]. Analysing the type of the event, multiplex mononeuritis was more likely to be SLE-related, an

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observation confirmed again by Florica et al. <u>[22][22]</u> and in agreement with the new classification criteria for SLE that have seen the inclusion of this event, <u>deemed judged to be</u>-very specific. In our series, cranial neuropathy was a very specific event as well<u>and</u>, attributed to SLE in more than 93% of patients, making it a very evocative event for primary NPSLE.

Among clinical and demographic data, comparing patients with and without PNS involvement, an older age at onset of the disease, higher disease activity and SDI score are traits associated with PNS involvement attributed to SLE, data shared by different studies that have solely focused on the description of peripheral involvement in SLE and confirmed in our cohort [6,7,22,23]{6,7,22,23}, Consistent with previous findings, even in our study signs of PNS involvement would seem to characterize a patient with different comorbidities or risk factors (Table 5).

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Formattato: Tipo di carattere: (Predefinito) +Corpo (Calibri) Formattato: Tipo di carattere: (Predefinito) +Corpo (Calibri) Codice campo modificato Table 5. Summary of the most relevant evidence evidence of demographic and clinical items related to PNS

PNSLE	vs	SLE	control	Ferrara/Ca-	Beijing Lupus	Hopkins Lupus	Toronto Lupus	Spain Cohort	
groups				gliari Lupus	Cohort [6]	Conort [7]	Conort <u>221221</u>	<u>1231123</u>	
				cohort					
Mean ag	ge at	SLE o	nset	45.9/37.1	36.9/31,7	34.0/29.0	36.5/31.7	37.3/30.8	
				(p<0.00001)	(p=0.007)	(p= 0.0031)	(p=0.0004)	(p=0.001)	
Smoking	; hab	it (%)		9.5/19.2	-	-	-	-	
				(p=0.04)					
Hyperte	nsior	n (%)		42.9/21.8	-	-	-	-	
				(p<0.00001)					
Diabetes	5 (%)			16.7/2.9	-	8.5/9.1	-	-	
				(p<0.00001)		(p=0.22)			
Sjogren's	s syn	drom	e (%)	20.0/8.6	-	-	-	-	
				(p=0.005)					
aPLs (%)				47.1/36.6	-	-	22/20 (p=0.62)	-	
				(p=0.09)					
Livedo re	eticu	laris (%)	15.2/5.8	-	-	-	-	
				(p=0.006)					
Raynaud	l's pł	nenom	nenon	23.5/24.7	28.8/17.8	64.6/50.8	-	-	
(%)				(p=0.83)	(p=0.063)	(p=0.012)			
SDI (scor	re)			2.2/0.9	-	4.0/1.93	1/0 (p=0.18)	-	
				(p<0.00001)		(p<0.0001)			
SLEDAI (score	e)		6.9/2*	12.0/10.4	2.2/2.78**	8/6 (p=0.01)	8/6 (p=0.006)	
				(p<0.00001)	(p=0.02)	(p=0.013)			
Photose	nsitiv	vity (%	5)	27.1/39.5	-	-	-	48.4/41.5	
				(p=0.04)				(p=0.225)	
Malar ra	sh (9	6)		16.5/36.6	-	58.5/51.0	-	52.7/53.1	
				(p=0.01)		(p=0.14)		(p=0.947)	
Kidney ir	nvolv	vemer	it (%)	15.3/15.6	53.4/64.4	-	41/46 (p=0.30)	24.7/38.4	
				(p=0.94)	(p=0.117)			(p=0.042)	
Haemato	ologi	cal		37.6/46.5	53.4/42.4	-	-	11.8/21.5	
involven	nent	(%)		(p=0.16)	(p=0.125)			(p=0.034)	

PNSLE, peripheral nervous system involvement in systemic lupus erythematosus; aPLs, anti-phospholipid antibodies; SDI, SLICC/ACR Damage Index; SLEDAI, SLE Disease Activity; 2K, Index 2000; SELENA, Safety of Estrogens in Lupus National Assessment.

*: SLEDAI-2K applied; **: SELENA-SLEDAI applied.

relativo alle comorbidità (e relativa tabella 5) che invece è citato in discussion
Per Prof. Govoni: questa parte non è relativa solamente ai dati del no- stro studio, ma alla letteratura più in generale per meglio supportare il quarto paragrafo in discussione. Se le sembra decontestualizzata la posso togliere, ma mi sembra un peccato. E' riportato un commento sui FdR nei risultati
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If Oomatia et al. have reported an association with opportunistic infections and osteoporotic fractures [7], we investigated the association with general and specific risk factors, an aspect not covered by previous studies on PNS involvement in SLE. In our cohort, we fouind an association with Sjogren's syndrome, livedo reticularis, smoking habit and diabetes during PNS manifestations, but none of this sign has shown to have strong associations with events attributed to SLE, proving to be possible confounders. Only hypertension and lived reticularis wereas confirmed as associated with SLE-related PNS involvement. As mentioned above, none of the patients with PNS involvement was taking contraceptives; probably this aspect could be partly justified by the more advanced median age of this group and therefore by a reduced need for contraceptive measures. Causes of the heterogeneity of acute and chronic immune neuropathies, despite significant advances in understanding pathogenesis, remain largely unresolved. Nevertheless, the vasculitic involvement of small vessels seems to be supported by the association of some definite conditions which may be linkedassociated with alterations in microcirculation (older age, smoking habits, hypertension) and the onset of an autoimmune disease like SLE <u>could</u> act ass a second hit to induce symptomatic peripheral manifestations.

In our study, there was no significant association between <u>peripheral manifestations and</u> lupus serology and peripheral manifestations. In addition, we did not find an association with cryoglobulins, a parameter recently highlighted in the course of multiple mononeuropathy associated to SLE, with a prevalence reported up to 55 % of cases [25], The role of autoantibodies in PNS involvement in SLE is still controversial, ; as con-

trasting data are present in literature; and further studies are needed to clear up this issuearify that. In our retrospective cohort, patients were treated reflecting EULAR recommendations for the management of NPSLE [26][25], Cranial neuropathies were managed with CS and immunosuppressants, while, in polyneuropathies, neurotrophic and neuroleptic agents were employed, as well, reserving PEX and IVIG for severe cases. The only controlled clinical trial designed in NPSLE patients [27][26] showed in severe peripheral neuropathies higher efficacy of intravenous CYC treatment compared with pulses of CS; however, it included only 7 cases of polyneuropathy. In our cohort, most of the attributed events were treated with CS, CS, while, among immunosuppressants used for severe polyneuropathies, MMF and CYC shared similar prescription rates. In our cases we confirmed the suggested and well-recognized treatment of (single or multiple) mononeuritis based on the use of CS, immunusuppressants and PEX/IVIG, reflecting a treatment strategy which aims to lower inflammation around the epineurium [28][27].

Regarding short-term outcome, our results depict a quite good prognosis for PNSLE. We found improvement in next-to 60% of polyneuropathies, while, among attributed ones, 18% worsened and the same percentage remained stable. Overall only 1 patient out of 14 mononeuropathies and 1 out of 26 cranial neuropathies got worse. The explanation of this favourable prognosis may lie in the presumptive inflammatory back-ground at the basis of these neurologic events that could have induced a more aggressive treatment-behavior_approach.

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A limitation of this study is its retrospective nature, which could have influenced the correct recognition of some PNS events such as small fibre neuropathy and autonomic neuropathy. Some data, especially rheumatoid factors and cryoglobulins, were available for a proportion of patients making it difficult to attain conclusive evidence on their effective association with PNS manifestations. ; secondlyT-the analysis evaluation of the evolution of the PNS events was only possible in a proportion of patients and has not been possible to assess the impact on quality of life perceived by the patient. Finally, the short-term follow-up could be an additional limitation, especially in capturing possible relapses. Despite this, the use of stringent and validated criteria to determine whether peripheral manifestations were attributable to SLE is a strength of our study, which provides a significant contribution to further knowledge of primary NPSLE, with special attention to peripheral pictures.

5.1 CONCLUSION

As we have recently reviewed [29][28] examining the peripheral involvement in SLE from epidemiological data to new pathogenetic and clinical evidence, to <u>improve knowledge about better characterize</u> this complication *it is still a priority in the approach to SLE. The <u>aimgoal</u> of this study was to characterize clinical and demographic features related to PNSLE distinguishing between events deemed attributed or not to SLE. Higher age at SLE onset, higher disease activity and damage scores <u>were factors related to PNS events, in line</u> with what previously reported. In this work we have further substantiated the role of the demographic and disease related outcomes, demonstrating a correlation with PNS events directly attributed to SLE. Livedo reticularis and <i>y*-hypertension have emerged as additional risk factors in PNS, suggesting, in the case of hypertension, the opportunity of identifying novel preventive strategy targeting unexpected goals (such as <u>PNS events</u>), were factors related to PNS events attributed to SLE, in line with previously reported literature date, while a global good outcome was enhanced for the majority of these manifestations. A careful clinical, instrumental and global assessment of the patient complaining PNS symptoms is mandatory to better and promptly recognize, attribute and manage such a neglect manifestation of the disease. <u>Further studies will help us to better define how much the proper recognition of NPSLE, ensured by applying the attribution algorithm, could affect appropriateness of treatment.</u>

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References

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