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M. Morvaridi, G. Carta, F. Bosia, A.S. Gliozzi, N.M. Pugno, D. Misseroni and M. Brun, "Hierarchical auxetic and isotropic porous medium with extremely negative Poisson's ratio", *Extreme Mechanics Letters* **48**, 2021, 101405.

The publisher's version is available at:

http://dx.doi.org/10.1016/j.eml.2021.101405

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Hierarchical auxetic and isotropic porous medium with extremely negative Poisson's ratio

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Abstract

We propose a novel two-dimensional hierarchical auxetic structure, consisting of a porous medium in which a homogeneous matrix includes a rank-two set of cuts characterised by different scales. The six-fold symmetry of the perforations makes the medium isotropic in the plane. Remarkably, the mesoscale interaction between the first- and second-level cuts enables the attainment of a very small value of the Poisson's ratio, close to the minimum reachable limit of -1. The effective properties of the hierarchical auxetic structure are determined numerically, considering both the unit cell with periodic boundary conditions and a finite structure containing a large number of repeating cells. Further, results of the numerical study are validated experimentally on a polymeric specimen with appropriately arranged rank-two cuts, tested under uniaxial tension. We envisage that the proposed hierarchical design can be useful in numerous engineering applications exploiting an extreme auxetic effect.

Keywords: Auxetic metamaterial, negative Poisson's ratio, hierarchical structure, porous medium, experimental validation.

Introduction

Auxetic materials are characterised by the unconventional property of possessing an effective negative Poisson's ratio, so that they expand (contract) transversally when stretched (compressed) longitudinally. Named "auxetic" after Evans [1], these media owe their special behaviour mainly to their micro-structure rather than to their chemical composition. Hence, auxeticity has been observed at different scales, from macro- to nano-dimensions. Apart from examples of natural materials with an intrinsic negative Poisson's coefficient [2-5], auxetic media are generally artificially-made systems, whose micro-structure is designed by exploiting different geometries and mechanisms: re-entrant unit cells [6-9], star-shaped inclusions [10, 11], chiral configurations [12-15], double arrowhead honeycombs [16], perforations and cuttings [17-21], rotating rigid units [22], lattices [23-25] and elastic instabilities [26, 27]. Alternative approaches to design auxetic media are presented in the reviews [28-32]. Some auxetic systems are also characterised by a negative value of the coefficient of thermal expansion, implying that they shrink when subjected to an increase in temperature [33-35]. Additionally, it has been shown that it is also possible to achieve a smooth transition through a wide range of negative and positive Poisson's ratios by using an origami cell that morphs continuously between a Miura mode and an eggbox mode [36-38].

The increasing interest of the scientific community in auxetic metamaterials is due to their enhanced mechanical properties with respect to those of conventional materials, including higher indentation resistance [39] and impact energy absorption abilities [40, 41], improved fatigue performance [42] and pull-out strength [43], as well as the possibility to bend with synclastic curvature [44]. For these reasons, auxetic metamaterials have tremendous potential in many fields, particularly in the aviation industry, e.g. for aircraft design [43, 45, 46], in sports applications for enhanced comfort and protection [47], in electronics to increase electric power output [48] and in biomedical engineering for the design of novel types of stents [49, 50, 51]

and orthopedic implants [52]. On the other hand, zero Poisson's ratio materials have been shown to be useful in other applications, for instance in the design of morphing aircraft [53-55].

Hierarchical structure is widely exploited in natural materials [56], but also in bioinspired artificial materials [57] to enhance mechanical properties. The simultaneous presence of multiple length scales, together with material heterogeneity, has been shown to allow the simultaneous optimization of strength and toughness [58,59], but also to enable the improvement of other mechanical characteristics such as adhesion [60,61], friction [62], or to achieve band gap engineering in dynamics [63,64].

In this paper, we investigate the auxetic behaviour of a porous hierarchical medium, consisting of a homogeneous elastic material containing two classes of perforations, characterised by two different length scales and exhibiting a six-fold symmetry, which makes the medium isotropic. First, by numerically studying the periodic elementary cell, we demonstrate that, for some ratios between the lengths of the two classes of cuts, the effective Poisson's ratio approaches the limit of -1. Second, we verify the results of the periodic cells, under uniaxial loading. Subsequently, we validate the numerical results by experimentally testing a specimen with hierarchical cuts in uniaxial tension. Finally, we provide some analysis and concluding remarks.

Numerical model

We introduce a 2-D hexagonal periodic unit cell with oriented cuts, as shown in Fig. 1a. The cuts are all rotated by the same relative angle Θ (θ - $\pi/6$ with respect to the intersected edge of the hexagonal unit cell of size *l*). Each cut is of length *a* and width *b*, which is also the diameter of the rounded extremities (in consideration of a practical realization). The proposed design

leads to a periodic pattern with either six-fold or three-fold symmetry, similar to previously considered designs providing an isotropic auxetic response [19,20].

Next, we construct a second rank geometry by adding smaller cuts to the first, arranged periodically in a hexagonal pattern of size l' and in turn oriented at the same angle Θ (Fig. 1b). This entails the introduction of a second characteristic size scale in the system, leading to a so-called "hierarchical" geometry. The transposition of these 2-D geometries in real 3-D structures is implemented in thin sheets of thickness *t*, shown in the bottom panels of Fig.1.

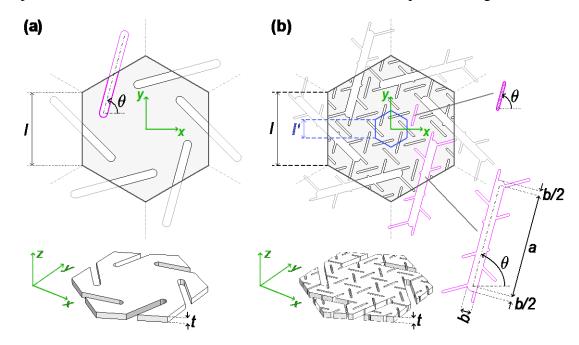


Fig. 1: Top and isometric views of the considered unit cells: a) non-hierarchical rank-one hexagonal unit cell;b) hierarchical rank-two unit cell, with additional second-level cuts.

We numerically evaluate the quasi-static behaviour under uniaxial tension of the two proposed 2-D geometries, and in both cases we derive the "effective" properties as functions of the geometric parameters. This is accomplished by performing a Finite Element (FE) analysis using the commercial package *COMSOL Multiphysics*[®] (version 5.6). A state of plane stress is assumed in the numerical simulations and periodic boundary conditions are applied at the edges of the unit cell. Geometrical parameters are taken as follows: cut length a = 10 mm, cut width

b = 1 mm, lengths of the hexagonal cells l = 9.00 mm and l' = 2.25 mm, Young's modulus E = 2.3 GPa and Poisson's coefficient v = 0.37.

The effective elastic properties of the structure are derived by applying macroscopic longitudinal strains in the horizontal ($\varepsilon_{xx} = 10^{-4}$) and vertical ($\varepsilon_{yy} = 10^{-4}$) directions and the macroscopic shear strain $\varepsilon_{xy} = 10^{-4}$. Then, the corresponding macroscopic Cauchy stress components σ_{xx} , σ_{yy} and σ_{xy} are evaluated as average values of the corresponding local components on the unit cell domains of the models. From these values, it is possible to estimate the effective Young's modulus E_{eff} and Poisson's ratio v_{eff} using Hooke's law for plane stress conditions:

$$E_{eff} = E_{x,eff} = E_{y,eff} = \frac{\sigma_{xx}^2 - \sigma_{yy}^2}{\varepsilon_{xx}\sigma_{xx} - \varepsilon_{yy}\sigma_{yy}},$$
(1)

$$\nu_{eff} = \nu_{xy,eff} = \frac{\varepsilon_{xx}\sigma_{yy} - \varepsilon_{yy}\sigma_{xx}}{\varepsilon_{xx}\sigma_{xx} - \varepsilon_{yy}\sigma_{yy}}.$$
(2)

The equations above provide the homogenised properties of the 2-D linear elastic material, characterised by six-fold or three-fold symmetry. The FE simulations show that for both non-hierarchical and hierarchical designs the elastic properties E_{eff} and v_{eff} are the same along x, y and $\pi/4$ directions, confirming the isotropic nature of the geometry. The stress distributions for both the non-hierarchical and hierarchical models, under the three strain conditions, are illustrated in Fig. 2.

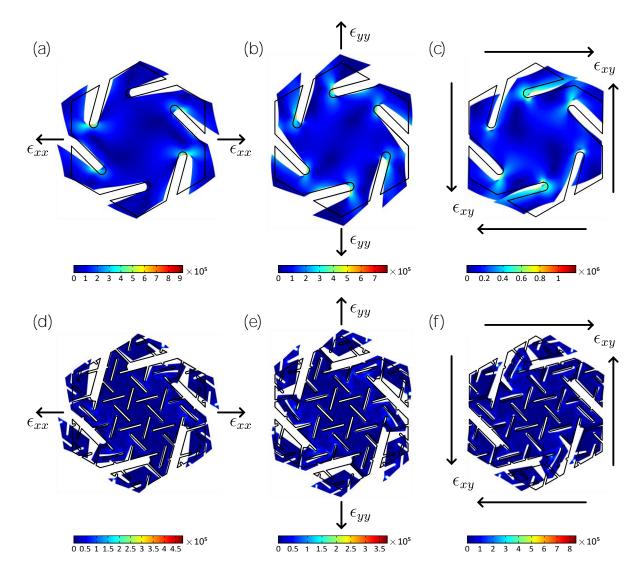


Fig. 2: FE simulations on (a-c) non-hierarchical and (d-f) hierarchical unit cells. (a), (d): normal strain applied in the horizontal direction $\varepsilon_{xx} = 10^{-4}$; (b), (e): normal strain applied in the vertical direction $\varepsilon_{yy} = 10^{-4}$; (c), (f): shear strain $\varepsilon_{xy} = 10^{-4}$. Resulting von Mises stress distributions are represented in colour scale.

The effective Young's modulus and Poisson's ratio obtained for the non-hierarchical geometry are $E_{eff} = 255$ MPa and $v_{eff} = -0.55$, confirming the auxetic behaviour of this design. In the case of the hierarchical geometry, taking l = 4l', we obtain $E_{eff} = 3.70$ MPa and $v_{eff} =$ -0.92, i.e. there is a considerable reduction in the stiffness, but also a remarkable increase in the auxetic behaviour, with an effective Poisson's ratio tending to the minimum reachable value -1. Thus, as assumed, the hierarchical structure can yield a considerable variation in the mechanical properties of architected structures, with the possibility of achieving extreme values. Notice that, despite a reduction in effective Young's modulus between the hierarchical and non-hierarchical case, stress levels remain comparable, due to high stress concentrations at the tips of the cuts.

Clearly, these properties are strongly dependent on the choice of the microstructural geometrical parameters, in particular the so-called "hierarchical ratio" between the characteristic size of each rank of cuts. Therefore, we evaluate the variation of the mechanical properties of the structure (E_{eff} and v_{eff}) with respect to the hierarchical ratio s = l'/l, for values ranging between 1/18 and 1/2. For each hierarchical geometry, the centre of the unit cell of the rank-one system of cuts coincides with the centre of the central unit cell of the rank-two system of cuts in order to enforce the overall six-fold symmetry.

Results are detailed in Table 1 and shown graphically in Fig. 3, together with the effective properties of the non-hierarchical structure (NHEP - horizontal blue lines) and of the "Matrix with effective properties" (MEP - horizontal red lines). The results corresponding to the red lines are obtained by assuming that the matrix possesses the effective Young's modulus and Poisson's ratio of the non-hierarchical structure and it can be considered as the limiting result $(s \rightarrow 0^+)$ for full separation of length-scales between the two ranks of cuts. Decreasing the hierarchical ratio *s*, both the Young's modulus and the Poisson's ratio converge to the limiting values corresponding to MEP ($E_{eff} = 23.62$ MPa and $v_{eff} = -0.605$), while for larger ratios $s \in [1/6, 1/2]$ the numerical results show large differences for different ratios. Remarkably, the Poisson's ratio is minimised at the mesoscale, where the two scales associated with the two ranks of cuts strongly interact locally. Combining constructively the effect of hierarchy and scale interaction, the minimum values of Poisson's ratio are found for s = 1/6 and s = 1/4, reaching in the latter case the value $v_{eff} = -0.92$, that is very close to the thermodynamic

limit for constitutive stability $v_{eff} = -1$. Clearly, the introduction of the second rank of cuts increases the effective porosity and, as expected, causes a decrease in the Young's modulus with respect to the non-hierarchical model. Notice that the exceptional value of v_{eff} does not depend on the particularly small value of $E_{eff} = 3.7 MPa$ for this geometry: calculations for a non-hierarchical unit cell with $E = E_{eff}$ yield $v_{eff} = -0.55$.

Table 1: Numerically calculated effective Young's modulus, Poisson's ratio and porosity for varying hierarchical ratio s = l'/l. In (*) a supercell made of 2x2 cells was analysed to include an integer number of rank-two cells of size l'.

S	E_{eff} (MPa)	v_{eff}	Porosity (%)
1/2	8.04	-0.03	27
1/3	46.3	-0.22	24
1/4	3.70	-0.92	28
1/5	14.4	-0.6	25
1/5.5 (*)	21.48	-0.50	29
1/6	5.36	-0.85	23
1/6.5 (*)	20.4	-0.58	28
1/7	15.4	-0.62	24
1/8	24.6	-0.61	29
1/9	23.9	-0.55	26
1/12	21.8	-0.58	28
1/15	18.08	-0.63	28
1/18	19.9	-0.62	27
0^+	23.62	-0.60	29

To further verify the auxetic behaviour of the proposed design, we study a finite model of dimensions 280×600 mm, including numerous unit cells, and check that the effective values of Young's modulus and Poisson's ratio at this scale coincide with those estimated for a single periodic unit cell. Again, we consider the structure corresponding to a hierarchical ratio s = 1/4 and apply uniaxial tension to the specimen in the vertical direction, free boundary conditions

on the lateral sides, and evaluate the corresponding horizontal and vertical displacement fields (Fig. 4). The effective Young's modulus and Poisson's ratio are evaluated using Eq. (1), where the strain and stress components are computed in the domain enclosed in the rectangle shown in Fig. 4a. Additionally, the effective Poisson's ratio is also determined as the (negative) ratio between the average strains in the horizontal (ε_{xx}) and vertical (ε_{yy}) directions, evaluated considering eight points in the central zone (specified in Fig. 4a); this second approach is implemented to mimic the experimental procedure detailed below. The resulting effective Young's modulus and Poisson's ratio values are $E_{eff} = 3.6$ MPa and $v_{eff} = -0.9$, showing a very good agreement with previously calculated values for single periodic unit cells.

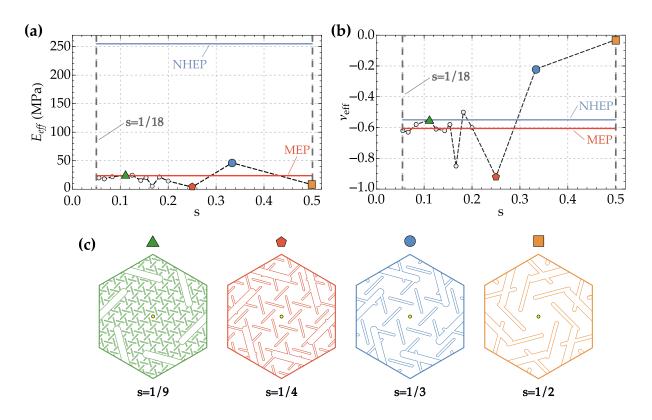


Fig. 3: (a) Effective Young's modulus and (b) effective Poisson's ratio of hierarchical-auxetic material as function of hierarchical ratio s. Four of the considered hierarchical auxetic structures are also shown in panel (c).

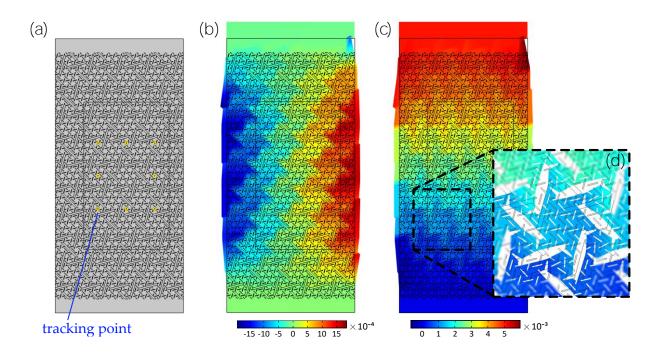


Fig. 4: (a) Considered large-scale geometry. (b) Horizontal and (c) vertical displacements for an applied vertical displacement at the top side of the sample. The displacement components are given in colour scales on the deformed sample. d) Close-up of unit cell deformation.

The contour plots in Figs. 4b and 4c clearly show the material expansion in the horizontal and vertical directions, demonstrating a large negative Poisson's ratio value. In the close up in Fig. 4d it is possible to note the scale interaction effect in the strongly deformed rank-two cuts intersecting the larger ones.

Experimental testing and validation

Experiments were performed to validate the extreme auxetic behaviour of the described hierarchical plate. A thin 280.2 x 599.8 x 2 mm³ PolyCarbonate (PC) specimen with the designed hierarchical distribution of traversing cuts was produced by a Roland EGX-600 professional engraving machine (accuracy 0.01 mm). The chosen geometry corresponds to a hierarchical ratio s = 1/4. The length of the rank-two cuts was reduced by 13% with respect to the geometry considered in Figs. 3 and 4, to avoid exceedingly thin connecting regions between

cuts and high stress concentrations, and to make the experiments practically realizable. To mimic the real experiment, an additional numerical simulation is performed in *Comsol Multiphysics* considering the exact geometry.

The specimen is mounted vertically in a loading frame (Messphysick MIDI 10) by uniformly clamping its upper and lower edges, and uniaxial tension is applied, as shown in Fig 5. During the test, the load is acquired with a DCSRC - 1 kN load cell and the displacement with a displacement transducer mounted in the loading frame. Small dots (0.5 mm in diameter) drawn at specific locations on the sample are used as tracking markers (also shown in Fig. 5), and a 4K *Sony PXW-FS5 (3840 x 2160 pixel)* camera is used to track their displacements during loading (see movie in the Supplementary Material).

Results are shown in Fig. 5a: a vertical displacement is applied to the top of the specimen up to $u_{y,max} = 6$ mm, and a perfectly linear elastic response is detected, leading to the estimation of an effective Young's modulus of 3.33 MPa. In the figure, the loading/unloading curve shows the perfectly linear elastic response of the specimen. To estimate the experimental average value of the Poisson's ratio, 8 markers are placed along the edges of a rectangular region in the central area of the hierarchical plate, as shown in Fig. 5c. The average horizontal and vertical displacements measured by using the 8 tracking points allow to estimate the Poisson's ratio that, after an initial variation due to specimen adjustment, tends to a value of $\overline{v} \cong -0.84$ (Fig. 5b). Such a value is in excellent agreement with the numerical value $v_{eff} = -0.86$, obtained with a finite numerical model analysed in *Comsol Multiphysics* and having the same geometry as the specimen tested in the lab.

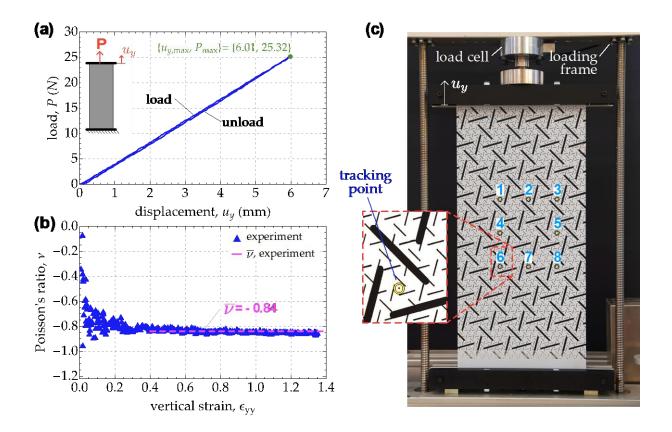


Fig. 5: (a) Force vs displacement diagram (loading/unloading curve) recorded during the experimental test. (b) effective Poisson's ratio as a function of the vertical displacement. The blue/triangle markers and the dashed/magenta line represent the experimental Poisson's ratio and its average value, respectively. (c) Experimental setup, with the specimen inside the loading frame. The tracking markers exploited to estimate the Poisson's ratio are highlighted and labelled from 1 to 8. An example of tracking marker is also highlighted in the inset.

Conclusions

In conclusion, we have designed and tested a simple 2-D structure consisting of a hierarchical arrangement of rotated thin cuts, which displays extreme auxetic properties along each of its 6-fold symmetry directions, providing an isotropic architecture. The novelty of this structure is that it effectively expands uniformly in the plane when loaded uniaxially in any direction. The design procedure starts from a previously considered single scale (non-hierarchical) design, adding smaller symmetrically rotated cuts, thus introducing an additional scale level

into the structure. We firstly show that this structure can be treated macroscopically as an isotropic homogeneous medium, with calculated effective quasi-static mechanical properties. Simulations show that these properties are extremely sensitive to geometrical parameters, in particular the ratio between the lengths of the first- and second-rank unit cells. The designed structure enables a kirigami-like behaviour, and, exploiting the interaction between the scales, it is possible to achieve extreme isotropic auxetic properties. Numerical predictions are verified by experimental tests, with a large degree of confidence. The designed auxetic structures can be useful for many applications in the biomedical field (e.g. for the design of novel types of stents), in the fabrication of impact protection devices and of robust shock and sound absorbing materials, as well as in textile industry for the development of new fabrics.

Acknowledgements

MM, FB, ASG, DM and NMP are supported by the European Commission under the H2020 FET Open ("Boheme") grant No. 863179. MB acknowledges the financial support of Regione Autonoma della Sardegna, project ADVANCING.

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